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	CASE		ΙD	#	_					

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HEALTH AND RETIREMENT SURVEY UNLABELLED PRIMARY COVERSHEET

R: VIEWED	BY:	
RFS/TL:		
	DATE	INITIALS
OFFICE:		/
•	DATE	INITIALS

REVISED Proj. 103 (466308) Study Phase Code: 5 Apr.-Dec. 1992

	AprDec. 1992
0. This coversheet describes:	
an HU structure added to Listing Sheet and selected for this study	2. Interviewer's Label
an added HU found at a listed and selected line Sample ID is:	
1. Sample Address:	COPY INFORMATION BELOW FROM LABELLED COVERSHEET:
a. Unique Address or Description:	
	RELEASE:
	MODULE ADMIN.: 5. NO 1. YES>LETTER:
b. Sample Location:	R: R1 R2
с. Н	
c. Household ID#	
3. This IW No	11. Amount of Payment: \$
4. Length of IW (Minutes)	
4a. Length of Module Iw: (Minutes)	12. Mode of IW: 1. FACE-TO-FACE 2. TELEPHONE
5. Length of Post-Edit (Minutes)	13. IW Conducted In: 1. ENGLISH 2. SPANISH
6. Pers. Ltr Req.? 5. NO 1. YES>(Date)	
7. Total Calls (Call # of Final Call)	14. Proxy Iw: 1. YES 5. NO
8. Date of Final Result	15. Primary R's Date of Birth: / / MO DAY YR
9. Final Result Code	MU DAT IK
10. Mode of Payment: 1. U/M CHECK 2. IWER PD.	16. Primary R is Age Eligible: 1. YES 5. NO
REMEMBER TO COMPLETE OBSERVATION SECTION	, THUMBNAIL SKETCH, AND EDIT CHECKLIST

18. Hello, my name is ______, and I work for The University of Michigan's Survey Research Center. Let me show you my identification (SHOW ID). The University of Michigan is conducting a nationwide study, and we are interested in talking to people about health issues, employment and perceptions of retirement. You should have received a letter from the University telling you about this survey. (SHOW LETTER AND BROCHURE, IF NECESSARY.) This address was selected as part of the study's national sample, and I may need to interview someone here.

NEXT PAGE, ITEM 19.

19.	EXACT TIME NOW:
20.	HU LISTING OBTAINED FROM:
	1. HU MEMBER 2. NEIGHBOR 3. APT. MGR. 4. LANDLORD 5. OBSERVATION 7. OTHER:

21 a-d. In order to determine whom to interview, I need to know who lives at this address. Let me assure you that all information you provide is strictly confidential. I would like to start with you. What is your full name and how old are you?

Next, I would like the full name, age and relationship to you of each of the other members of this household who are living here now. Please be sure to include persons who <u>usually</u> live here but are presently living away at school.

	a.	b.	c.	d.	e. 50-62	f.	g.	h.	j.	k.	m.
					Yr Old ASK:	Age Eligible			Salactad	Secondary	House- holder
	Household Member's Full Name (First) (Last)	Household Member's Relationship to Informant	Sex	Age	Yr of Birth	Person	MARITAL STATUS	Person Number	Eligible	R(s) [R1/R2]] n√n
M			М								
A			М								
L			М								
Ε			M						-		
s			М								
			M								
F			F								
Ε			F								
M			F								
A			F								
L			F								
Ε			F								
s			F								

21e. (ASK FOR ALL HU MEMBERS AGE 50-62) In what year was (NAME) born?

21f. CHECK COL. f FOR ALL PERSONS BORN 1931-1941.

1. NO (ONE BORN 1931-1941>CODE RESULT '91', NER>TURN TO P. 7, ZO PRE-RECRUITMENT INFO	
2. ALL	OTHERS	
V		
OR EACH PE	SON MARKED IN COL. 21f, ASK:) (Are you/Is NAME) married or living with someone in an	n intimate rela
	SON MARKED IN COL. 21f, ASK:) (Are you/Is NAME) married or living with someone in an I IF MARRIED OR LIVING WITH PARTNER: M=Married; P=Partner	n intimate rela
RK COL. 21g	IF MARRIED OR LIVING WITH PARTNER: M=Married; P=Partner	n intimate rela
RK COL. 21g	IF MARRIED OR LIVING WITH PARTNER: M=Married; P=Partner	n intimate rela
RK COL. 219 TERVIEWER (EE HHL, COL	IF MARRIED OR LIVING WITH PARTNER: M=Married; P=Partner	n intimate rela
NTERVIEWER (SEE HHL, COL 1. ONLY	IF MARRIED OR LIVING WITH PARTNER: M=Married; P=Partner HECKPOINT . 21f	n intimate rela

25. MULTIPLE AGE-ELIGIBLE PEOPLE IN HU--INSTRUCTIONS FOR SELECTING RESPONDENT

- A. In Col. 21h. assign a sequential number to each eligible person checked in Col. 21f. First number eligible (checked) MALES from oldest to youngest and continue the numbering with eligible (checked) FEMALES, from oldest to youngest.
- B. Use selection table to the right to select a respondent. In the first column circle the total number of eligible persons (highest number assigned in Col. 21h). The corresponding number in the second column of the selection table denotes the person to be selected as the age eligible respondent. Check Col. 21j for this person.

SELECTION	N TABLE E,
If the number of eligible persons is:	Interview the person numbered:
1	1
2	2
3	3
4	3
5	3
6 or more	5

26.

1. ELIGIBLE PERSON IS MARRIED

ASK TO SPEAK TO PERSON(S)
MARKED IN COL. 21j OR
PERSON'S SPOUSE/PARTNER

2. ELIGIBLE PERSON IS SINGLE

ASK TO SPEAK TO PERSON MARKED IN COL. 21j; GO TO ITEM 28

27. DETERMINE PRIMARY RESPONDENT (MOST KNOWLEDGEABLE RESPONDENT)

(Hello, I am ______, and I am working on a nationwide survey for the University of Michigan's Survey Research Center. You should have received a letter from the University telling you about the survey. (SHOW LETTER AND BROCHURE, IF NECESSARY.)

My selection procedure tells me I need to interview you <u>and</u> your (HUSBAND/WIFE/PARTNER). Which of you is the <u>most knowledgeable</u> about your family's assets, debts and retirement planning?

1. HUSBAND

2. WIFE

3. PARTNER

- 28. RECORD "R1" IN COL. 21k TO DENOTE PRIMARY R (MOST KNOWLEDGEABLE). IF THIS PERSON IS MARRIED/PARTNER, ALSO RECORD "R2" IN COL. 21k TO DENOTE SECONDARY R (SPOUSE/PARTNER).
- 29. VERIFY ELIGIBILITY--DATE OF BIRTH OF R1 (OR R2). (Verify the year of birth of the age-eligible R.)
- 30. In whose name is this home owned or rented? (IF HU MEMBER(S), CHECK COL. 21m)

USE THIS COVERSHEET FOR THE PRIMARY R (KNOWLEDGEABLE RESPONDENT).

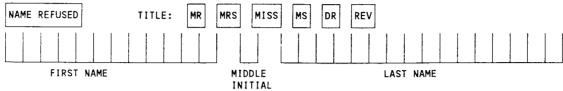
COMPLETE A PEACH COVERSHEET FOR THE SECONDARY R.

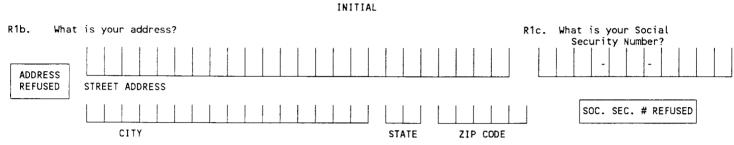
ATTEMPT AN INTERVIEW WITH PRIMARY R (AND SECONDARY R).

RECONTACT INFORMATION

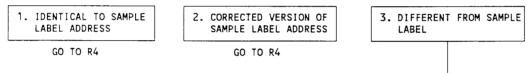
R1.	Thank you very much for this interview. We value people like you who are willing to contribute their experiences to
	our research. We will be sending you a report of some of our findings as a way of expressing our appreciation for
	your cooperation. Our Regional Supervisor may also be calling or writing you to verify this interview. For these
	reasons I would like to verify your name and ask for your mailing address and telephone number.
	(FOR WOMEN OBTAIN THEIR FIRST NAME, NOT THEIR HUSBAND'S FIRST NAME.)

R1a. What is your <u>full legal</u> name as it appears on official documents such as your voter's registration, Social Security Card, or driver's license? (IWER: VERIFY SPELLING OF R'S FULL NAME AND WRITE CLEARLY.)



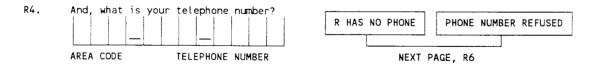


R2. INTERVIEWER CHECKPOINT: ADDRESS AT R1b ABOVE IS:

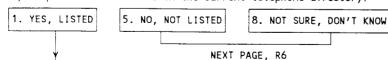


R3. Is this a mailing address for your home, an address you will be moving to, a relative's address, the address of a friend, a business address, or what?

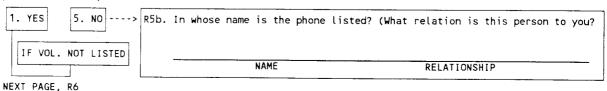




R5. Is your phone number listed in the current telephone directory?



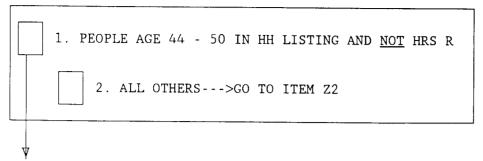
R5a. Is your phone listed in your name?



	1. 153	Koa.	phone number?	ontact you at	your other	residence.	May I have	that a	ddress and	
	5. NO		priorie riamber:					ADD	RESS REFUSED	
			STREET ADDRESS			•				
	}		CITY			STAT	E		ŽIP	-
		TELEP	PHONE NUMBER: ARE	A CODE			R HAS NO PHON	IE	PHONE REFUSED	
₹7.	V			, discourant to the state of th						
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	1. NAME:					RELATION	SHIP TO R:			
	ADDRE	ss:_	10000							
						TELEPHONE	:			
	2. NAME:					DE: 4770W				
	Noone									
10.	PRESENT AND ASK		1/HER) TO REAL	, II. ALIEN	THE FUKM	<i>IS COMP</i>	LUIUD, ILA	CE In	E UNIUMAL	
	AND ASK INSIDE T	(HIM HE C	M/HER) TO REAL COVERSHEET, (F Z1OBTAIN RE	PG. 6) AND G	IVE ONE CO	OPY TO R		ICE TE	E ORIGINAL	
R9.	AND ASK INSIDE TO TURN TO	(HIM HE C P. 7,	OVERSHEET, (F	PG. 6) AND G	IVE ONE CO	OPY TO R		CE TR	E ORIGINAL	
R9.	AND ASK INSIDE TO TURN TO	(HIM HE C P. 7,	COVERSHEET, (F Z1-OBTAIN RE D BY INTERVIEWER	PG. 6) AND G CRUITMENT DURING POST-E	IVE ONE CO	OPY TO R	TO KEEP.			
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R9.	AND ASK INSIDE TO TO BE COM Assuming w problem, i	(HIM HE C P. 7,	COVERSHEET, (F Z1OBTAIN RE D BY INTERVIEWER e or could obtain	CCRUITMENT DURING POST-E n a telephone not conduct a	IVE ONE CO	PPY TO R	ndent, is the respondent b	re any y phone	reason (e.g. he ?	arin;
R9.	AND ASK INSIDE TO TO BE COM Assuming w problem, i	(HIM HE C P. 7,	COVERSHEET, (F Z1-OBTAIN RE D BY INTERVIEWER e or could obtain s) why we should	CCRUITMENT DURING POST-E n a telephone not conduct a	IVE ONE CO	PPY TO R	ndent, is the respondent b	re any y phone	reason (e.g. he ?	arin
R9.	AND ASK INSIDE TO TO BE COM Assuming w problem, i	(HIM HE C P. 7,	COVERSHEET, (F Z1-OBTAIN RE D BY INTERVIEWER e or could obtain s) why we should	CCRUITMENT DURING POST-E n a telephone not conduct a	IVE ONE CO	PPY TO R	ndent, is the respondent b	re any y phone	reason (e.g. he ?	arin
110.	TURN TO TO BE COM Assuming w problem, i 5. NO 1.	(HIMHE C	COVERSHEET, (F Z1-OBTAIN RE D BY INTERVIEWER e or could obtain s) why we should	CCRUITMENT DURING POST-En a telephone not conduct a is the reason?	IVE ONE CO	PPY TO R	ndent, is the respondent b	re any y phone	reason (e.g. he	
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R9 .	TURN TO TO BE COM Assuming w problem, i 5. NO 1.	(HIMHE C	COVERSHEET, (F Z1-OBTAIN RE D BY INTERVIEWER e or could obtain s) why we should	CCRUITMENT DURING POST-En a telephone not conduct a is the reason?	IVE ONE CO	PPY TO R	ndent, is the respondent b	re any y phone	reason (e.g. he	

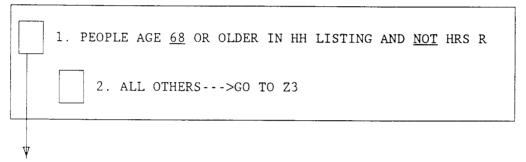
IWER: Staple tear-off sheets from Family Booklet here. Also place Interviewer Data Card and Permission Form here.

Z1. RECRUITMENT	FOR	NEW	HRS	COHORT	SAMPLE
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Right now, this study is only interviewing people who were born between 1931 and 1941. However, the study will be going on for several years, and we would like to talk with (PERSON(S) AGED 44 - 50) in about four years.

Z2. RECRUITMENT FOR OLDEST OLD SAMPLE



(Right now, we are only interviewing people who were born between 1931 and 1941.) We (also) expect to be doing an important study of older adults next year, and we would like to talk with (NAME/PERSON(S) $\underline{68}$ OR OLDER) then.

The purpose of the study is to learn more about how and when people's health changes as they age. Most of what is currently known about this comes from studies done in hospitals, and not from talking with people in their own homes.

Z3. INTERVIEWER CHECKPOINT

1.	PEOPLE IN HU AGE 44-50 OR 68+ AND NOT HRS R>NEXT PAGE, Z4
2.	ALL OTHERS>END CONTACT

RECRUITMENT INFORMATION

WRITE CLE		1 1	! !				j l		- 1	1 1	1	ı	1 1	1 1	ı	1 1	- 1		Ĺ
NAME RE	FUSED																		
TITLE:			<u> </u>	FIRST	NAME			MIDDE	Ε				LAST	NAME					
MR	MISS	MS D	R REV																
What is y	your addre Г																		
		ADDRES REFUSE		STREET	ADDRE	ss 						1	1 1		1 1	İ			
	_			L	CITY				41				STATE	 	ZIF	CODE		J	
In what y	/ear were	you bor	n?	YEA	R OF B	IRTH													
INTERVIEW ADDRESS A	VER CHECKP ABOVE IS:	OINT:		NTICAL EL ADD		MPLE 2	CORR	ECTED LE LAB			:	IFFEF ABEL	RENT F	ROM S	AMPLE				
				GO TO	Z8			GO TO	Z8	i									
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1. MAI			3. RELA		4. FRI	END 5.	BUSIN	ESS 7	. OTHE	R:									_
					_														=
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Is the ph	ione numbe	· liste	d in the	curre	nt tal	enhone	direct	05/2											
	ione numbe								KNOW										
	ione numbe		d in the			ephone 3. NOT			KNOW										
		5.	NO, NOT	LISTE	D [KNOW										
1. YE	S, LISTED	5.	NO, NOT	LISTE	name?	3. NOT	SURE,	DON'T		ed? (W	√hat	relat	ion i	s thi	s per	son to	о уа	ou)?	
1. YE	Is your 1. YES	5. phone l	isted in	LISTE	name?	3. NOT	SURE,	DON'T		ed? (\	√hat ∣				s per	son to	o yo	ou)?	
1. YE	Is your 1. YES	5.	isted in	LISTE	name?	3. NOT	SURE,	DON'T		ed? (k	√hat∣		cion i		s per	son to	o yo	ou)?	
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SECOND PERSON AGE 44-50 OR 68+

	NAME REFUSED T	ITLE: MR MRS MISS	MS DR REV		
	FIRST NAME	MIDDLE	LA	ST NAME	
13.	In what year were you born	?YEAR OF BIRTH			
14.	Do you have another place 1. YES -> Z14a. We may wi and phone	sh to contact you at th			
	5. NO	number?			ADDRESS REFUSED
	STREET AD	DRESS			
	CITY		STAT	E	ZIP
	TELEPHONE NUMBE	R://		R HAS NO PHONE	PHONE REFUSED
15. If	for any reason we should hav	e difficulty contacting	you, could you giv	e me the name.	address. and
τει	lephone number of two close f this person's relationship t	riends or relatives who	·will know hów to g	et in touch wit	h you? [And what
1	NAME:		RELATIONSHIP TO	R:	1941
1.	ADDRESS:				
١.			_ TELEPHONE:		
1.					
2.			_ RELATIONSHIP TO	R:	
	NAME:ADDRESS:			R:	

THIRD PERSON AGE 44-50 OR 68+

Z16. I i	need to verify your full legal name a D WRITE CLEARLY.)	as it appears on offic	cial documents.	(VERIFY SPELI	LING OF FULL NAME
	NAME REFUSED TITLE:	MRS MISS MS MIDDLE	DR REV	IAME	
Z17.	In what year were you born?	YEAR OF BIRTH			
Z18.		CODE	r residence. May STATE R HA	y I have that	ADDRESS REFUSED ZIP PHONE REFUSED
tele is t	for any reason we should have difficue phone number of two close friends or this person's relationship to you?]	lty contacting you, c relatives who will k	could you give me know how to get i	the name, ac n touch with	ddress, and you? [And what
1.	NAME:		ATIONSHIP TO R:_		
2.	NAME:		ATIONSHIP TO R:_		
		TELEI			

FOURTH PERSON AGE 44-50 OR 60+

Z20.	I need to verif	y your full legal na RLY.)	nme as it appea	rs on officia	al documents	s. (VERIFY S	SPELLING OF	FULL NAME
	NAME REFU	JSED TITLE	: MR MRS [DR REV	ST NAME		
Z21.	In what ye	ear were you born? _	YEAR OF BIF	RTH				
Z22.	752 1141	z22a. We may wish t phone number?	o contact you a				that addre	
		CITY			STAT	E -		ZIP
		TELEPHONE NUMBER: A	REA CODE			R HAS NO PHO	NE PHONE	E REFUSED
Z23.	rerebuone number	on we should have di r of two close friend s relationship to you	as or relatives	ting you, co who will kn	uld you giv ow how to g	e me the nam et in touch n	e, address, with you?	, and [And what
	1. NAME:			RELA	TIONSHIP TO	R:		
				TELEPI	HONE:	<u> </u>		
						R:		
	ADDRESS:							

NONINTERVIEW FORM

01.MOBILE HOME 02.DETACHED SINGLE FAMILY 04.APARTMENT HOUSE 05.CONDO COMPLEX 07.OTHER, (SPECIFY):	MUST be completed for each coversheet	finalized as a Noninterview.
O. NOBILE O. DETACHED SINCE FAMILY O. APARTMENT O. COMPO COMPLEX O.	1. Describe the type of structure in wh	nich the respondent lives (sample address).
NI2a. Check the box below which best describes the situation. 1. Building Manager or other Catekeeper must let you in the building (on the grounds, into the mobile home park) but then you are free to attempt contact with Ry's HU. 2. Building Manager/other Catekeeper must get permission from someone in R's HU before you are allowed to make contact with the household. 7. Other (DESCRIBE SITUATION:) Nere you ever able to make contact with someone at this housing unit? 1. YES	01.MOBILE O2.DETACHED O3.MULTI- HOME SINGLE FAMILY	APARTMENT 0.5.CONDO 07.OTHER, (SPECIFY):
1. Building Manager or other Gatekeeper must let you in the building (on the grounds, into the mobile home park) but then you are free to attempt contact with R's HU. 2. Building Manager/other Gatekeeper must get permission from someone in R's HU before you are allowed to make contact with the household. 7. Other (DESCRIBE SITUATION:) 3. Were you ever able to make contact with someone at this housing unit? 1. YES 5. NO NI3a. Did you ever have any contact with the respondent? 1. YES 5. NO NI3b. Did R refuse initially? 1. YES 5. NO NI3c. What is the reason for no contact? 1. NOBODY HOME AT ANY CALL; NO INFO ABOUT HOUSEHOLD COULD BE OBTAINED OBTAINED FROM NEIGHBOR, MANAGER, ETC. 1. NOBODY HOME AT ANY CALL; NO INFO ABOUT HOUSEHOLD COULD BE OBTAINED FROM NEIGHBOR, MANAGER, ETC. 2. ALL OCCUPANTS AWAY DURING ENTIRE STUDY PERIOD (i.e., long vacation, illness); INFO OBTAINED FROM NEIGHBOR, MANAGER, ETC. 3. NOBODY ANSWERED DOOR BUT THINK SOMEONE IN HU WHEN VISIT(S) MADE 4. COULD NOT OBTAIN ACCESS TO HU N13f. Who verified that this HU is occupied? D. STRESSFUL VERY TOO ILL BUSY' D. STRESSFUL BL CONFIDENTIALITY F. INVASION OF G. NO REASON GIVEN T. OTHER (SPECIFY): 1. TOTHER (SPECIFY):		
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H. OTHER (SPECIFY)	DD TILL CIT	7. OTHER (SPECIFY):
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	1. Under \$20,000	2. \$20,000-	\$50,000 3. \$50,000-\$80,000 4. \$80,000 OR ABOVE 8. CAN'T GUESS
NI5.	The race of	R's household	d is: (CIRCLE ONE: definitely / probably)
	1. WHITE 2		AMERICAN 4. ASIAN 7. OTHER:
NI6.	Is R or R's l		Hispanic origin? (CIRCLE ONE: definitely / probably) CAN'T GUESS
NI7.	informant(s coversheet attempts ma coversheet enter your	s) that will as a Noninteade at persua transfers, e ID# for each	any interactions you had with the primary respondent or help us understand your reasons for finalizing this erview. Examples of the kind of information we need are asionletters, visits, different incentives offered, excuses/reasons R gave for not participating. (Date and entry made below.)
	DATE	IWER ID	

NI4. What is the estimated income of R's household?

CALL RECORD

	CALL #6	CALL #7	CALL #8	CAII #9	01# 1100
DATE				Δ. μ. ο μ.	OALL # 10
DAY OF WEEK					
EXACT TIME BEGAN	AM / PM	AM / PM	AM / PM	AM / PM	AM / PM
IWER ID					
CONTACT WITH:	R / INF / NO ONE	R / INF / NO ONE			
MODE OF CONTACT:	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL
TELEPHONE NUMBER IF OBTAINED:					
HU LISTING OBTAINED:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
APPOINTMENT MADE:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
APPOINTMENT KEPT:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
EXACT TIME END	AM / PM	AM / PM	AM / PM	AM / PM	AM / PM
DETAILED DESCRIPTION OF CONTACT OR ATTEMPT TO CONTACT					·
TOTAL TIME FOR CALL:					

	CALL #11	CALL #12	CALL #13	CALL #14	CALL #15
DATE			"		ıll
DAY OF WEEK					
EXACT TIME BEGAN	AM / PM	MM / PM	AM / PM	AM / PM	AM / PM
IWER ID					
CONTACT WITH:	R / INF / NO ONE				
MODE OF CONTACT:	PERSONAL / TEL				
TELEPHONE NUMBER IF OBTAINED:					
HU LISTING OBTAINED:	YES / NO				
APPOINTMENT MADE:	YES / NO				
APPOINTMENT KEPT:	YES / NO				
EXACT TIME END	AM / PM				
DETAILED DESCRIPTION OF CONTACT OR ATTEMPT TO CONTACT					
					-
TOTAL TIME FOR CALL:					

CALL RECORD		DATE R LTR SENT:	SENT:	TOTAL # PENS USED:	USED:
	CALL #1	CALL #2	CALL #3	CAII #4	# I IV
DATE			ıll		OALL #3
DAY OF WEEK					
EXACT TIME BEGAN	AM / PM	AM / PM	AM / PM	AM / PM	MA / MA
CALL SLOT LETTER					
IWER ID					
CONTACT WITH:	R / INF / NO ONE	R / INF / NO ONE			
MODE OF CONTACT:	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL	PERSONAL / TEL
TELEPHONE NUMBER IF OBTAINED:					
HU LISTING OBTAINED:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
APPOINTMENT MADE:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
APPOINTMENT KEPT:	YES / NO	YES / NO	YES / NO	YES / NO	YES / NO
EXACT TIME END	AM / PM	AM / PM	AM / PM	AM / PM	AM / PM
DETAILED DESCRIPTION OF CONTACT OR ATTEMPT TO CONTACT					
A. MONDAY-FRIDAY Between 5:31.7:30 pm					
B MONDAY-FRIDAY Between 7:31-8:30 pm (Different <u>day</u> then item A.)					
C. SATURDAY Anytime D. SUNDAY Anytime					
			•		
TOTAL TIME FOR CALL:					
_					