NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
THIS IS A NEW INTERVIEW R (Z076=5)

R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2})

R IS MARRIED (X065=1);
R IS PARTNERED (X065=3);
R IS SINGLE (X065=6)

R IS WORKING FOR PAY ({J005 or J020}=1)
R IS NOT CURRENTLY WORKING (J020=5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.

K003 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION L
IF R IS WORKING NOW FOR PAY (J005 or J020=1), GO TO SECTION L

K003
Have you ever worked for pay for more than a few months?

1. YES 5. NO 8. DK 9. RF

GO TO K038 (K ASSIST)

K004
When did you last work for pay?

OR

K004
YEAR

K005
YEARS AGO

DK RF
K006 BRANCHPOINT: IF R LAST WORKED FOR PAY MORE THAN 2 YEARS AGO (per K004 or K005), GO TO K007 BRANCHPOINT

K006

In what month was that?

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

K007 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K022

K007

The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?

[IWER: IF R SAYS, "I RAN MY OWN BUSINESS" ENTER "SELF-EMPLOYED"]

1. SOMEONE ELSE  2. SELF-EMPLOYED  8. DK  9. RF

K008

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
What kind of business or industry did you work in — that is, what did they make or do at the place where you worked?

IF R WAS SELF-EMPLOYED (K007=2):
What industry did you work in? That is, what did your company do or make?
(What industry did you typically work in?)

DK  RF

INDUSTRY

K009 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K010

K009

What was the official title of your job?
(The title that your employer used?)

___________

TITLE

K010

What sort of work did you do?

(Tell me a little more about what you did.)

___________

TYPE OF WORK

K011

How many hours a week did you usually work [for that employer/in that business]?

___________

HOURS PER WEEK

K012 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K014

K012

Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

1. YES  5. NO  8. DK  9. RF

GO TO K014

K013

Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

1. YES  5. NO  8. DK  9. RF

K014
IWER: READ SLOWLY:
Counting paid vacations as weeks of work, how many weeks a year did you usually work?

NUMBER OF WEEKS

K039 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K015

K039 Were you salaried on that job, paid by the hour, or what?

1. SALARIED  2. HOURLY  3. PIECEWORK/COMMISSION

7. OTHER/COMBINATION  8. DK  9. RF

K015 IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
How much were you earning, before deductions, when you left that employer?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]

IF R WAS SELF-EMPLOYED (K007=2):
How much were you earning, before deductions, when you stopped working for the business?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT

GO TO K018 BRANCHPOINT

K016 PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

K017
K018 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K022

IF R WAS SELF-EMPLOYED (K007=2), GO TO K020

K018
About how many employees worked for that company or organization at the location where you worked?

[IWER: DO NOT PROBE DK/RF]

NUMBER OF EMPLOYEES

DK

RF

GO TO K020

K019
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5
2. 5 TO 14
3. 15 TO 24
4. 25 TO 99
5. 100 TO 499
6. 500 OR MORE
8. DK
9. RF

K020
About how many employees worked for that company or organization at all locations?

[IWER: DO NOT PROBE DK/RF]

IF R WAS SELF-EMPLOYED (K007=2):
[IWER: ENTER '-2' IF ONLY ONE LOCATION]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
[IWER: THE NUMBER MUST BE AT LEAST AS LARGE AS K018]

NUMBER OF EMPLOYEES

DK

RF

GO TO K022

K021
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5  
2. 5 TO 14  
3. 15 TO 24  
4. 25 TO 99  
5. 100 TO 499  
6. 500 OR MORE  
8. DK  
9. RF

K022
When did you start working for [that employer/yourself]?

OR
K022  
K023  
K024
OR
YEAR  
YEARS AGO  
AT AGE

K025 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K038 (K ASSIST)

K025
How much were you earning, before taxes and other deductions, when you started?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT

0  
DK  
RF

GO TO K028

K026
PER:

1. HOUR  
2. WEEK  
3. EVERY TWO WEEKS/BI-WEEKLY  
4. MONTH  
5. TWICE A MONTH  
6. YEAR  
7. OTHER (SPECIFY)  
8. DK  
9. RF

K028 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K031

IF R WAS SELF-EMPLOYED (K007=2), GO TO K029

K028
Were you covered on that job by a union or employee-association contract?

1. YES  5. NO  8. DK  9. RF

K029
IF R WAS SELF-EMPLOYED (K007=2):
Why did you stop working at this business?

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]
(Did the business close, were you laid off or let go, did you have poor health or a disability, did you leave to take care of family members, did you quit, did you retire, did ownership of your employment change, did your pension rules change, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

1. BUSINESS CLOSED  2. LAID OFF/LET GO  3. POOR HEALTH/DISABLED  4. FAMILY CARE
6. QUIT  7. RETIRED  9. OWNERSHIP CHANGED

10. PENSION RULES CHANGED  97. OTHER (SPECIFY)  98. DK  99. RF

K031
IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for that employer.

IF R WAS SELF-EMPLOYED (K007=2):
Aside from IRAs not sponsored by your business or Keogh plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for yourself?

[IF R MENTIONS IRA OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:] I will ask about these later in the interview. Here, I just want to find out about other plans operated through your previous [business/employer].

1. YES  5. NO  8. DK  9. RF
K032 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K038 (K ASSIST)

IF R DID NOT REPORT THAT WAS INCLUDED IN PENSION PLAN (K031={5 or DK or RF}), GO TO K035

K032

In how many different pension plans were you included on this job?

1 — 8 PLANS

<table>
<thead>
<tr>
<th>0. NONE</th>
<th>1. ONE</th>
<th>2. TWO</th>
<th>3. THREE</th>
<th>4. FOUR</th>
<th>5. FIVE</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO K035</td>
<td>GO TO BEGINNING OF KW078_2 LOOP</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6. SIX</th>
<th>7. SEVEN</th>
<th>8. EIGHT</th>
<th>95. DENIES BEING INCLUDED IN PENSION PLAN</th>
<th>98. DK</th>
<th>99. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO BEGINNING OF KW078_2 LOOP</td>
<td>GO TO K035</td>
<td>GO TO K035</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

K033

Is this just one plan or more than one?

<table>
<thead>
<tr>
<th>1. ONE PLAN</th>
<th>3. MORE THAN ONE</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO K035</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

BEGINNING OF PENSION BLOCK-2 (KW078_2 — KW077_2)

NOTE: THE FOLLOWING PENSION LOOP (KW078_2) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW078_1 THROUGH JW077_1), WITH THE ADDITION OF QUESTIONS W029 & W034. THE "_2" AFTER EACH LABEL INDICATES THAT THIS IS THE SECOND TIME THIS BLOCK IN THE QUESTIONNAIRE.

***BEGINNING OF KW078_2 LOOP: QUESTIONS KW078_2 THROUGH KW077_2 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT K032 (K032={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT K033 (K033={1 or 3}).***

KW078_2

IF THIS IS THE FIRST PLAN and R HAD MORE THAN ONE PLAN (K032 ≥2 or K033=3):

Now I have some questions about the most important of these plans.

What was the name of this plan?
IF THIS IS THE SECOND, THIRD OR FOURTH PLAN (K032 \geq 2) or R HAD MORE THAT ONE PLAN K033=3):
Now I have some questions about the next most important of these plans.
What was the name of this plan?

IF R HAD ONLY ONE PLAN ({K032 or K033}=1):
What was the name of this plan?

NAME OF PLAN

KW082_2
Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?
(What did your former employer call it?)

1. 401K PLAN
2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)
3. DEFINED BENEFIT PLAN
4. DEFINED CONTRIBUTION PLAN
5. 401A
6. 403B PLAN
7. 457 PLAN
8. THRIFT/SAVINGS PLAN (TSP)
9. PROFIT-SHARING PLAN
10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN
12. PORTABLE CASH OPTION PLAN
13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)
14. SEP OR SIMPLE PLAN
15. COMBINATION PLAN
16. CASH BALANCE PLAN
97. OTHER (SPECIFY)
98. DK
99. RF

KW001_2
IF THIS IS THE FIRST TIME QUESTION ASKED:
Some pension and retirement plans base benefits on a formula involving age, years of service and salary, often called a defined benefit plan. Some plans base benefits on how much money has accumulated in a person's pension or retirement account, often called a defined contribution plan. Other plans use both ways of setting benefits.

What type of plan is your [PLAN NAME] (plan)?
OTHERWISE:
(Some pension and retirement plans base benefits on a formula involving age, years of service and salary, often called a defined benefit plan. Some plans base benefits on how much money has accumulated in a person's pension or retirement account, often called a defined contribution plan. Other plans use both ways of setting benefits.)

Is this [PLAN NAME] (plan) a defined benefit formula type or a defined contribution account type plan?

[IWER: READ AS NECESSARY: "Defined Contribution plans include 401-K, 403-B, ESOP, SRA, thrift/savings, stock/profit sharing, and money purchase plans"]

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS 'BOTH']

1. DEFINED BENEFIT (FORMULA)  2. DEFINED CONTRIBUTION (ACCOUNT)  3. BOTH TYPES  8. DK  9. RF

GO TO KW002_2 BRANCHPOINT  GO TO K035 (OUT OF KW078_2 LOOP)

KW085_2
Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you had on that job.

Are you very certain, somewhat certain or not certain at all that you actually had (a) [TYPE OF PLAN per KW001_2]?

1. VERY CERTAIN  2. SOMEWHAT CERTAIN  3. NOT CERTAIN AT ALL  8. DK  9. RF

KW034_2
How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

DK  RF

0-50 YEARS
KW002_2 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN

({KW082_2=3 and KW001_2}=1) GO TO KW097_2

KW002_2

IF TYPE B PLAN:
How much money was in your account when you left that
[business/employer]?

OTHERWISE:
For the part of your pension where money is accumulated in an account,
how much money was in your account when you left that
[business/employer]?

[IWER: IF R SAYS ‘DOES NOT HAVE AN ACCOUNT’, ENTER [-2]]

[IWER: DO NOT PROBE DK/RF]

---

AMOUNT

-2   DK   RF

GO TO KW097_2

GO TO KW097_2

KW003_2 - KW005_2 Unfolding Sequence
Question text: Did it amount to a total of less than $____, more than
$____, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
When you left that [business/employer], what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

- 8. RECEIVING BENEFITS NOW
- 1. WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM
- 2. ROLLED OVER INTO IRA
- 3. LEFT IN THE PLAN
- 4. CONVERT TO/PURCHASED ANNUITY
- 6. LOST BENEFITS
- 7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS
- 10. EXPECTING FUTURE BENEFITS
- 97. OTHER (SPECIFY)
- 98. DK
- 99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW065_2 BRANCHPOINT: IF R [WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS] (KW097_2=(1 or 6)), GO TO KW008_2 BRANCHPOINT

Could you have taken benefits as a cash settlement or a lump-sum payment?

- 1. YES
- 5. NO
- 8. DK
- 9. RF

KW008_2 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (KW097_2=3 ONLY) and WE KNOW HOW MUCH MONEY WAS LEFT IN THAT ACCOUNT (KW002_2 NOT EMPTY, NOT DK, and NOT RF), GO TO KW009_2

IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3), GO TO KW063_2 BRANCHPOINT

How much money did you leave in the old plan?
AMOUNT

KW063_2 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} {INSTALLMENTS OR IRREGULAR PAYMENTS} (KW097_2 NOT 7), GO TO KW009_2 BRANCHPOINT

How much money in total did you receive in installments or irregular payments in the last calendar year?

AMOUNT

KW009_2 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (KW082_2=3 and KW001_2=1), GO TO KW013_2 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3) or R REPORTED DOES NOT HAVE ACCOUNT (KW002_2=-2), GO TO KW013_2 BRANCHPOINT

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

GO TO KW013_2 BRANCHPOINT

KW010_2 - KW012_2 Unfolding Sequence

Question text: Does it amount to less than $____, more than $____, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
KW013_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS
CONVERTED TO/PURCHASED AN ANNUITY (KW097_2 NOT 4) and DID
NOT REPORT RECEIVING BENEFITS NOW (KW097_2 NOT 8), GO TO
KW018_2 BRANCHPOINT

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8) and DID NOT
REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED
AN ANNUITY (KW097_2 NOT 4), GO TO KW014_2

KW013_2
How much did you (convert to/purchase as) an annuity?

____________________ AMOUNT

DK RF

KW108_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS
{CONVERTED TO/PURCHASED AN ANNUITY} ONLY (KW097_2 NOT 4
ONLY), GO TO KW014_2 BRANCHPOINT

KW108_2
Have you started receiving benefits from this annuity?

1. YES 5. NO 8. DK 9. RF

KW014_2 BRANCHPOINT: IF R DID NOT REPORT RECEIVING BENEFITS NOW or STARTED
RECEIVING BENEFITS (KW097_2 NOT 8 and KW108_2 NOT 1), GO TO
KW054_2 BRANCHPOINT

KW014_2
How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½,
ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80 AGE

____________________ AGE

DK RF

GO TO KW015_2

KW086_2
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]
[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

KW015_2
How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

____________________________________ AMOUNT 0 DK RF

GO TO KW049_2 (AFTER KW017_2)

KW016_2
[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH 6. YEAR 7. OTHER (SPECIFY) 8. DK 9. RF

KW028_2
Are the benefits adjusted for changes in the cost of living?

1. YES 5. NO 8. DK 9. RF

GO TO KW049_2

KW059_2
Are the benefits adjusted automatically?

1. YES 5. NO 8. DK 9. RF

KW049_2
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?
1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO KW054_2 BRANCHPOINT

7. OTHER (SPECIFY)
8. DK
9. RF

GO TO KW054_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

KW051_2
What is that time period?

OR

KW051_2
UNTIL YEAR

OR

KW052_2
UNTIL AGE

OR

KW053_2
YEARS

KW054_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NO T 3}), GO TO KW018_2 BRANCHPOINT

KW054_2
If you or your [husband/wife/partner] should die, will the pension payments change, stay the same, or what?

1. CHANGE
3. STAY THE SAME
7. OTHER (SPECIFY)
8. DK
9. RF

KW055_2

KW054_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED OVER INTO AN IRA (KW097_2 NOT 2), GO TO KW056_2 BRANCHPOINT

KW018_2
How much did the rollover amount to?

DK
RF

AMOUNT
KW056_2 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM (KW097_2 NOT 1), GO TO KW035_2 BRANCHPOINT

**KW056_2**

How much money did you withdraw or how much was the lump sum or cash settlement you received?

________ AMOUNT

**KW057_2**

What did you do with the money?

1. BOUGHT DURABLES
2. SPENT IT
3. SAVED/INVESTED
4. PAID OFF DEBT
5. ROLLED INTO IRA
7. OTHER (SPECIFY)
8. DK
9. RF

**KW035_2 BRANCHPOINT: IF THIS IS NOT A SELF INTERVIEW (A009 NOT 1), GO TO KW042_2**

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8), GO TO KW096_2 BRANCHPOINT

IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (KW097_2 NOT 10), GO TO KW042_2

**KW035_2**

At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

________ AGE

96 ALREADY RECEIVING BENEFITS

GO BACK TO KW097_2 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (KW097_2=8)

GO TO KW096_2 BRANCHPOINT

**KW098_2**
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

KW036_2
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY
2. AMOUNT PER MONTH/YEAR
3. LUMP SUM
8. DK
9. RF

GO TO KW038_2
GO TO KW041_2
GO TO KW096_2
BRANCHPOINT

KW037_2
[IWER: ENTER PERCENT OF FINAL PAY]
PERCENT
DK
RF
GO TO KW089_2

KW038_2
[IWER: PROBE IF NECESSARY]
How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]
AMOUNT
0
DK
RF
GO TO KW039_2
KW089_2

J133_2 - J135_2 Unfolding Sequence
Question text: Do you expect a total of less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
RANDOM ENTRY POINTS: $1,000, $2,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW039_2 BRANCHPOINT: GO TO KW089_2

KW039_2

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

KW040_2

GO TO KW089_2

KW041_2

[IWER: ENTER LUMP SUM AMOUNT]

AMOUNT

KW089_2 BRANCHPOINT: GO TO KW042_2

KW089_2

IF R IS MARRIED/PARTNERED (X065={1 or 3}):

Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR SPOUSE/PARTNER LIVES  3. FOR A FIXED TIME PERIOD

GO TO KW094_2 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

KW090_2

GO TO KW094_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.
KW091_2
What is that time period?

<table>
<thead>
<tr>
<th>OR</th>
<th>KW091_2 UNTIL YEAR</th>
<th>OR</th>
<th>KW092_2 UNTIL AGE</th>
<th>OR</th>
<th>KW093_2 YEARS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

KW094_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),
GO TO KW096_2 BRANCHPOINT

KW094_2
If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

KW096_2 BRANCHPOINT: IF R REPORTED {CURRENTLY RECEIVING BENEFITS or EXPECTS FUTURE BENEFITS FROM PENSION} and LEFT MONEY IN THE PLAN ({KW097_2=8 or 10} and KW097_2=3), CONTINUE

OTHERWISE, GO TO KW042_2

KW096_2
IF R EXPECTS TO RECEIVE FUTURE BENEFITS (KW097_2=10):
You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?

IF R IS CURRENTLY RECEIVING BENEFITS (KW097_2=8):
You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

<table>
<thead>
<tr>
<th>1. NO, DIFFERENT MONEY</th>
<th>2. YES, THE SAME MONEY</th>
<th>3. YES, PARTLY THE SAME</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

KW042_2
What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]
30–80 AGE

| AGE | 95 NO AGE REQUIREMENT | DK | RF |

GO TO END OF LOOP (AFTER KW077_2)

**KW077_2**

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

***END OF KW078_2 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS KW078_2 THROUGH KW077_2 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K032 (K032={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K033 (K033=3). IF NOT, CONTINUE ON TO K035.***

END OF PENSION BLOCK-2: (KW078_2 — KW077_2)
K035
Over the last 10 years, since YEAR TEN YEARS AGO, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

1. YES  5. NO  8. DK  9. RF

GO TO KW158_3 BRANCHPOINT

K036
How many times have you been on temporary layoff or unemployed and looking for work?

NUMBER OF TIMES

DK  RF

KW158_3 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2), GO TO K038 (K ASSIST)

BEGINNING OF EMPLOYER INFORMATION BLOCK-3:
(KW158_3 — KW165_3)

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (KW158_3 THROUGH KW165_3) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW158_1 - JW165_1) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

KW158_3
IWER: READ TO ALL RESPONDENTS:
We would like to obtain complete information about fringe benefits and other general characteristics of employers for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

What is the name of the company or organization for whom you worked on that job?

IWER: FOR THIS EMPLOYER INFORMATION SEQUENCE, AFTER PROBING, USE CTRL-D OR CTRL-R IF R DOESN’T KNOW OR DOES NOT WISH TO ANSWER

COMPANY NAME

DK  RF

GO TO K038 (K ASSIST)
(What is the address of this employer?)

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

ADDRESS 1

ADDRESS 2

(In what city (is/was) it located?)

(In what state (is/was) it located?)

[IWER: PLEASE DOUBLE CHECK THAT THE STATE IS CORRECTLY ENTERED]

NOTE: THE IWER TYPES THE FIRST LETTER IN, THEN SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

KW163_3 BRANCHPOINT: IF STATE NOT 'OTHER COUNTRY', GO TO KW164_3
(In what country (is/was) it located?)

OTHER COUNTRY
(SPECIFY)

GO TO K038
(K ASSIST)

KW164_3

(What is the zip code for this employer?)

ZIP

KW165_3

(What is the phone number for this employer?)

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

PHONE NUMBER

KW171_3

Confirmation EMPLOYER NAME/ADDRESS:

[IWER: PLEASE CONFIRM EMPLOYER NAME AND ADDRESS WITH R]

EMPLOYER NAME:
ADDRESS 1:
ADDRESS 2:
CITY:
STATE:
ZIP CODE:
PHONE NUMBER:

1. YES,
   INFORMATION IS CORRECT

5. NO, BACK UP TO
   CORRECT INFORMATION AS NEEDED

8. DK
9. RF

GO BACK TO KW158_3

END OF EMPLOYER INFORMATION BLOCK-3:

(KW158_3 — KW165_3)
K038

K ASSIST

IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION K — LAST JOB?

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. NEVER</td>
<td>2. A FEW TIMES</td>
<td>3. MOST OR ALL OF THE TIME</td>
<td>4. THE SECTION WAS DONE BY A PROXY REPORTER</td>
</tr>
</tbody>
</table>

GO TO SECTION L