NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
   THIS IS A NEW INTERVIEW R (Z076=5)

R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2})

R IS MARRIED (X065=1);
   R IS PARTNERED (X065=3);
   R IS SINGLE (X065=6)

R IS WORKING FOR PAY ({J005 or J020}=1)
   R IS NOT CURRENTLY WORKING (J020=5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.
K003 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION L
IF R IS WORKING NOW FOR PAY (J005 or J020=1), GO TO SECTION L

K003
Have you ever worked for pay for more than a few months?

1. YES  5. NO  8. DK  9. RF

GO TO K038 (K ASSIST)

K004
When did you last work for pay?

OR

K004
YEAR

K005
YEARS AGO

K006 BRANCHPOINT: IF R LAST WORKED FOR PAY MORE THAN 2 YEARS AGO (per K004 or K005), GO TO K007 BRANCHPOINT

K006
In what month was that?

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL


K007 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K022

K007
The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?

[IWER: IF R SAYS, "I RAN MY OWN BUSINESS" ENTER "SELF-EMPLOYED"]

1. SOMEONE ELSE  2. SELF-EMPLOYED  8. DK  9. RF
IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
What kind of business or industry did you work in — that is, what did they make or do at the place where you worked?

IF R WAS SELF-EMPLOYED (K007=2):
What industry did you work in? That is, what did your company do or make?
(What industry did you typically work in?)

INDUSTRY

\[\text{DK} \quad \text{RF}\]

K009 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K010

K009
What was the official title of your job?
(The title that your employer used?)

TITLE

\[\text{DK} \quad \text{RF}\]

K010
What sort of work did you do?
(Tell me a little more about what you did.)

TYPE OF WORK

\[\text{DK} \quad \text{RF}\]

K011
How many hours a week did you usually work [for that employer/in that business]?

HOURS PER WEEK

\[\text{DK} \quad \text{RF}\]

K012 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K014
K012
Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

1. YES   5. NO   8. DK   9. RF

GO TO K014

K013
Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

1. YES   5. NO   8. DK   9. RF

K014
IWER: READ SLOWLY:
Counting paid vacations as weeks of work, how many weeks a year did you usually work?

DK   RF

NUMBER OF WEEKS

K039 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K015

K039
Were you salaried on that job, paid by the hour, or what?

1. SALARIED   2. HOURLY   3. PIECEWORK/COMMISSION

7. OTHER/COMBINATION   8. DK   9. RF
K015
IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
How much were you earning, before deductions, when you left that employer?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]

IF R WAS SELF-EMPLOYED (K007=2):
How much were you earning, before deductions, when you stopped working for the business?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

__________________________ AMOUNT

0  DK  RF

GO TO K018 BRANCHPOINT

K016
PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH

5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

K017

K018 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K022

IF R WAS SELF-EMPLOYED (K007=2), GO TO K020

K018
About how many employees worked for that company or organization at the location where you worked?

[IWER: DO NOT PROBE DK/RF]

__________________________ NUMBER OF EMPLOYEES

DK  RF

GO TO K020
K019
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5  2. 5 TO 14  3. 15 TO 24  4. 25 TO 99
5. 100 TO 499  6. 500 OR MORE  8. DK  9. RF

K020
About how many employees worked for that company or organization at all locations?

[IWER: DO NOT PROBE DK/RF]

IF R WAS SELF-EMPLOYED (K007=2):
[IWER: ENTER ‘-2’ IF ONLY ONE LOCATION]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
[IWER: THE NUMBER MUST BE AT LEAST AS LARGE AS K018]

NUMBER OF EMPLOYEES

GO TO K022

K021
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5  2. 5 TO 14  3. 15 TO 24  4. 25 TO 99
5. 100 TO 499  6. 500 OR MORE  8. DK  9. RF

K022
When did you start working for [that employer/yourself]?

OR

K022 YEAR  K023 YEARS AGO  K024 AT AGE

DK  RF

K025 BRANCHPOINT: IF (R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED) (per K004 or K005), GO TO K038 (K ASSIST)
K025
How much were you earning, before taxes and other deductions, when you started?
[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

<table>
<thead>
<tr>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
</tr>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO K028

K026
PER:
1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH
6. YEAR
7. OTHER (SPECIFY)
8. DK
9. RF

K028 BRANCHPOINT: IF R IS IN (AHEAD or CODA) COHORT (X023={1 or 2}),
GO TO K031
IF R WAS SELF-EMPLOYED (K007=2), GO TO K029

K028
Were you covered on that job by a union or employee-association contract?

1. YES
5. NO
8. DK
9. RF
**K029**

IF R WAS SELF-EMPLOYED (K007=2):
Why did you stop working at this business?

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]
(Did the business close, were you laid off or let go, did you have poor health or a disability, did you leave to take care of family members, did you quit, did you retire, did ownership of your employment change, did your pension rules change, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

<table>
<thead>
<tr>
<th>1. BUSINESS CLOSED</th>
<th>2. LAID OFF/LET GO</th>
<th>3. POOR HEALTH/DISABLED</th>
<th>4. FAMILY CARE</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. QUIT</td>
<td>7. RETIRED</td>
<td>9. OWNERSHIP CHANGED</td>
<td></td>
</tr>
<tr>
<td>10. PENSION RULES CHANGED</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
</tbody>
</table>

**K031**

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for that employer.

IF R WAS SELF-EMPLOYED (K007=2):
Aside from IRAs not sponsored by your business or Keogh plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for yourself?

[IF R MENTIONS IRA OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:] I will ask about these later in the interview. Here, I just want to find out about other plans operated through your previous [business/employer].

| 1. YES | 5. NO | 8. DK | 9. RF |

**K032 BRANCHPOINT: IF R IS IN (AHEAD or CODA) COHORT (X023=(1 or 2)), GO TO K038 (K ASSIST)**

IF R DID NOT REPORT THAT WAS INCLUDED IN PENSION PLAN (K031={5 or DK or RF}), GO TO K035
K032

In how many different pension plans were you included on this job?

1 — 8 PLANS

0. NONE

1. ONE

2. TWO

3. THREE

4. FOUR

5. FIVE

GO TO K035

GO TO BEGINNING OF KW078_2 LOOP

6. SIX

7. SEVEN

8. EIGHT

95. DENIES BEING INCLUDED IN PENSION PLAN

98. DK

99. RF

GO TO BEGINNING OF KW078_2 LOOP

GO TO K035

GO TO K035

K033

Is this just one plan or more than one?

1. ONE PLAN

3. MORE THAN ONE

8. DK

9. RF

GO TO K035
BEGINNING OF PENSION BLOCK-2
(KW078_2 — KW077_2)

NOTE: THE FOLLOWING PENSION LOOP (KW078_2) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW078_1 THROUGH JW077_1), WITH THE ADDITION OF QUESTIONS W029 & W034. THE "_2" AFTER EACH LABEL INDICATES THAT THIS IS THE SECOND TIME THIS BLOCK IN THE QUESTIONNAIRE.

***BEGINNING OF KW078_2 LOOP: QUESTIONS KW078_2 THROUGH KW077_2 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT K032 (K032={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT K033(K033={1 or 3}).***

KW078_2
IF THIS IS THE FIRST PLAN and R HAD MORE THAN ONE PLAN (K032 ≥2 or K033=3):
Now I have some questions about the most important of these plans.

What was the name of this plan?

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN (K032 ≥2) or R HAD MORE THAN ONE PLAN K033=3):
Now I have some questions about the next most important of these plans.

What was the name of this plan?

IF R HAD ONLY ONE PLAN ((K032 or K033)=1):
What was the name of this plan?

__________________________
NAME OF PLAN

DK  RF
Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?

(What did your former employer call it?)

1. 401K PLAN
2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)
3. DEFINED BENEFIT PLAN
4. DEFINED CONTRIBUTION PLAN
5. 401A
6. 403B PLAN
7. 457 PLAN
8. THRIFT/SAVINGS PLAN (TSP)
9. PROFIT-SHARING PLAN
10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN
12. PORTABLE CASH OPTION PLAN
13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)
14. SEP OR SIMPLE PLAN
15. COMBINATION PLAN
16. CASH BALANCE PLAN
97. OTHER (SPECIFY)
98. DK
99. RF
(Could you tell me a little more about this plan?)

I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

ASK ALL Rs:
Was your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS ‘BOTH’.

DEFINITION: 401-K, 403-B, 457, ESOP, SRA, ((TSP) THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, SEP/SIMPLE, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED ‘DEFINED BENEFIT’ PLANS.
TYPE B PLANS ARE OFTEN CALLED ‘DEFINED CONTRIBUTION’.

1. TYPE A PLAN   2. TYPE B PLAN   3. BOTH PLAN TYPES   8. DK   9. RF

GO TO KW002_2 BRANCHPOINT

GO TO K035 (OUT OF KW078_2 LOOP)

Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you had on that job.

Are you very certain, somewhat certain or not certain at all that you actually had (a) [TYPE OF PLAN per KW001_2]?

1. VERY CERTAIN   2. SOMewhat CERTAIN   3. NOT CERTAIN AT ALL   8. DK   9. RF
How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

0-50 YEARS

How much money was in your account when you left that [business/employer]?

OTHERWISE:
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

[IWER: IF R SAYS ‘DOES NOT HAVE AN ACCOUNT’, ENTER [-2]]

[IWER: DO NOT PROBE DK/RF]

AMOUNT

-2 DK RF

GO TO KW097_2

KW003_2 - KW005_2 Unfolding Sequence
Question text: Did it amount to a total of less than $____ , more than $____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
When you left that [business/employer], what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

<table>
<thead>
<tr>
<th>1. WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM</th>
<th>2. ROLLED OVER INTO IRA</th>
<th>3. LEFT IN THE PLAN</th>
</tr>
</thead>
<tbody>
<tr>
<td>4. CONVERT TO/PURCHASED ANNUITY</td>
<td>6. LOST BENEFITS</td>
<td>7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS</td>
</tr>
<tr>
<td>8. RECEIVING BENEFITS NOW</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW065_2 BRANCHPOINT: IF R [WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS] (KW097_2={1 or 6}), GO TO KW008_2
KW065_2
Could you have taken benefits as a cash settlement or a lump-sum payment?

1. YES  5. NO  8. DK  9. RF

KW008_2 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (KW097_2=3 ONLY) and WE KNOW HOW MUCH MONEY WAS LEFT IN THAT ACCOUNT (KW002_2 NOT EMPTY, NOT DK, and NOT RF), GO TO KW009_2

IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3), GO TO KW063_2 BRANCHPOINT

KW008_2
How much money did you leave in the old plan?

__________________________
DK   RF

AMOUNT

KW063_2 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} {INSTALLMENTS OR IRREGULAR PAYMENTS} (KW097_2 NOT 7), GO TO KW009_2 BRANCHPOINT

KW063_2
How much money in total did you receive in installments or irregular payments in the last calendar year?

__________________________
DK   RF

AMOUNT

KW009_2 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (KW082_2=3 and KW001_2=1), GO TO KW013_2 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3) or R REPORTED DOES NOT HAVE ACCOUNT (KW002_2=-2), GO TO KW013_2 BRANCHPOINT
KW009_2

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

| AMOUNT | DK | RF |

GO TO KW013_2
BRANCHPOINT

KW010_2 - KW012_2 Unfolding Sequence

Question text: Does it amount to less than $____, more than $____, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW013_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (KW097_2 NOT 4) and DID NOT REPORT RECEIVING BENEFITS NOW (KW097_2 NOT 8), GO TO KW018_2 BRANCHPOINT

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8) and DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (KW097_2 NOT 4), GO TO KW014_2

KW013_2

How much did you (convert to/purchase as) an annuity?

| AMOUNT | DK | RF |

KW108_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS {CONVERTED TO/PURCHASED AN ANNUITY} ONLY (KW097_2 NOT 4 ONLY), GO TO KW014_2 BRANCHPOINT

KW108_2

Have you started receiving benefits from this annuity?

| 1. YES | 5. NO | 8. DK | 9. RF |

KW014_2 BRANCHPOINT: IF R DID NOT REPORT RECEIVING BENEFITS NOW or STARTED RECEIVING BENEFITS (KW097_2 NOT 8 and KW108_2 NOT 1), GO TO KW054_2 BRANCHPOINT

KW014_2
How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80 AGE

[GO TO KW015_2]

KW086_2

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

KW015_2

How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

0  DK  RF

[GO TO KW049_2 (AFTER KW017_2)]

KW016_2

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

KW017_2
Are the benefits adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO KW049_2

Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF

IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR SPOUSE/PARTNER LIVES  3. FOR A FIXED TIME PERIOD

GO TO KW054_2 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

GO TO KW054_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

What is that time period?

KW051_2

KW051_2 UNTIL YEAR

OR

KW052_2 UNTIL AGE

OR

KW053_2 YEARS

KW054_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO KW018_2 BRANCHPOINT

If you or your [husband/wife/partner] should die, will the pension payments change, stay the same, or what?
KW018_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED OVER INTO AN IRA (KW097_2 NOT 2), GO TO KW056_2 BRANCHPOINT

KW018_2
How much did the rollover amount to?

__________________________
DK RF
AMOUNT

KW056_2 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM (KW097_2 NOT 1), GO TO KW035_2 BRANCHPOINT

KW056_2
How much money did you withdraw or how much was the lump sum or cash settlement you received?

__________________________
DK RF
AMOUNT

KW057_2
What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

KW058_2
KW035_2 BRANCHPOINT: IF THIS IS NOT A SELF INTERVIEW (A009 NOT 1), GO TO KW042_2

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8), GO TO KW096_2 BRANCHPOINT

IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (KW097_2 NOT 10), GO TO KW042_2

KW035_2
At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

96 ALREADY RECEIVING BENEFITS

GO BACK TO KW097_2 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (KW097_2=8)

GO TO KW096_2 BRANCHPOINT

KW098_2
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

KW036_2
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY
2. AMOUNT PER MONTH/YEAR
3. LUMP SUM
8. DK
9. RF

GO TO KW038_2
GO TO KW041_2
GO TO KW096_2 BRANCHPOINT

KW037_2
[IWER: ENTER PERCENT OF FINAL PAY]

PERCENT

DK
RF

GO TO KW089_2

KW038_2
[IWER: PROBE IF NECESSARY]
How much do you expect to receive?
J133_2 - J135_2 Unfolding Sequence

Question text: Do you expect a total of less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
RANDOM ENTRY POINTS: $1,000, $2,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW039_2 BRANCHPOINT: GO TO KW089_2

KW039_2

[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

KW040_2

GO TO KW089_2

KW041_2

[IWER: ENTER LUMP SUM AMOUNT]

AMOUNT

DK  RF

KW089_2 BRANCHPOINT: GO TO KW042_2
IF R IS MARRIED/PARTNERED (X065={1 or 3}):  
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR SPOUSE/PARTNER LIVES  3. FOR A FIXED TIME PERIOD

GO TO KW094_2 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

GO TO KW094_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

What is that time period?

<table>
<thead>
<tr>
<th>OR</th>
<th>OR</th>
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<tbody>
<tr>
<td>KW091_2 UNTIL YEAR</td>
<td>KW092_2 UNTIL AGE</td>
</tr>
</tbody>
</table>

KW094_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO KW096_2 BRANCHPOINT

If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

1. CHANGE  3. STAY THE SAME  7. OTHER (SPECIFY)  8. DK  9. RF

KW096_2 BRANCHPOINT: IF R REPORTED {CURRENTLY RECEIVING BENEFITS or EXPECTS FUTURE BENEFITS FROM PENSION} and LEFT MONEY IN THE PLAN ((KW097_2=8 or 10) and KW097_2=3), CONTINUE

OTHERWISE, GO TO KW042_2

IF R EXPECTS TO RECEIVE FUTURE BENEFITS (KW097_2=10):
You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?
IF R IS CURRENTLY RECEIVING BENEFITS (KW097_2=8):
You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

1. NO, DIFFERENT MONEY  
2. YES, THE SAME MONEY  
3. YES, PARTLY THE SAME  
8. DK  
9. RF

KW042_2
What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30–80 AGE

---------

AGE

95 NO AGE REQUIREMENT  
DK  
RF

GO TO END OF LOOP (AFTER KW077_2)

KW077_2
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]
[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

---------

1-12 NUMBER OF MONTHS

***END OF KW078_2 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS KW078_2 THROUGH KW077_2 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K032 (K032={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K033 (K033=3). IF NOT, CONTINUE ON TO K035.***

END OF PENSION BLOCK-2: (KW078_2 — KW077_2)
K035
Over the last 10 years, since YEAR TEN YEARS AGO, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

1. YES  5. NO  8. DK  9. RF

GO TO KW158_3 BRANCHPOINT

K036
How many times have you been on temporary layoff or unemployed and looking for work?

NUMBER OF TIMES  

DK  RF

KW158_3 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2), GO TO K038 (K ASSIST)
BEGINNING OF \textbf{EMPLOYER INFORMATION BLOCK-3}: \\
(KW158_3 — KW165_3)

\textbf{NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS} \\
(KW158_3 THROUGH KW165_3) \textbf{IS A REPLICATE OF THAT APPEARING IN SECTION J} \\
(JW158_1 — JW165_1) AND \textbf{IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.}

KW158_3
\textbf{IWER: READ TO ALL RESPONDENTS:}
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

For whom did you work on that job?
What is the (company's/organization's) name?

\begin{center}
\textbf{COMPANY NAME}
\end{center}

\begin{center}
\begin{tabular}{|c|c|}
\hline
\textbf{DK} & \textbf{RF} \\
\hline
\textbf{GO TO K038} & (K\ ASSIST) \\
\hline
\end{tabular}
\end{center}

KW159_3
\textbf{What is the address of the place where you worked?}

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

\begin{center}
\textbf{ADDRESS 1}
\end{center}

\begin{center}
\begin{tabular}{|c|c|}
\hline
\textbf{DK} & \textbf{RF} \\
\hline
\textbf{GO TO KW161_3} & \textbf{GO TO K038} (K\ ASSIST) \\
\hline
\end{tabular}
\end{center}

KW160_3
\textbf{ADDRESS 2}

\begin{center}
\begin{tabular}{|c|c|}
\hline
\textbf{DK} & \textbf{RF} \\
\hline
\end{tabular}
\end{center}

KW161_3
\textbf{CITY}

\begin{center}
\begin{tabular}{|c|c|}
\hline
\textbf{DK} & \textbf{RF} \\
\hline
\textbf{GO TO K038} & (K\ ASSIST) \\
\hline
\end{tabular}
\end{center}
NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

END OF EMPLOYER INFORMATION BLOCK-3:
(KW158_3 — KW165_3)

K038
K ASSIST
IWER:
HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION K — LAST JOB?

1. NEVER  2. A FEW TIMES  3. MOST OR ALL OF THE TIME  4. THE SECTION WAS DONE BY A PROXY REPORTER

GO TO SECTION L