NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

NOTE: IN DATE OR OTHER NUMERICAL COMPARISONS (e.g., FOR LAST JUMP AT J501 BRANCHPOINT), RESPONSES OF DK, RF, OR EMPTY (WHEN THE QUESTION WAS NOT ASKED) EVALUATE AS 0/ZERO. THEREFORE, A DATE FOR WHICH NO YEAR WAS GIVEN WILL ALWAYS BE LESS THAN ONE FOR WHICH A YEAR WAS GIVEN.

*****************************************************************************
MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
   THIS IS A NEW SPOUSE/PARTNER OF A REINTERVIEW R (Z076=0);
   THIS IS A NEVER INTERVIEWED R or NEW INTERVIEW R (Z076=5)

THIS IS A SELF INTERVIEW (A009=1);
   THIS IS A PROXY INTERVIEW (A009=(2 or 3)) or (A009 NOT 1);
   PROXY REPORTER IS SURVIVING SPOUSE (A009=2);
   PROXY REPORTER IS NON-SPOUSE (A009=3)

R IS MARRIED (X065=1);
   R IS PARTNERED (X065=3);
   R IS SINGLE (X065=6)

R HAS SAME SPOUSE/PARTNER AS R’s LAST IW (A020=1);
   R’s FORMER PARTNER IS NOW HIS/HER SPOUSE (A020=3);
   R’s FORMER SPOUSE IS NOW HIS/HER PARTNER (A020=4)

R CURRENTLY LIVES IN A NURSING HOME (A028=1)

R HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5)

R’s LAST IW MONTH (per Z092), YEAR (per Z093) = R’s LAST IW DATE

R’s AGE (per A019)

R WAS WORKING FOR SOMEONE ELSE AT R’s LAST IW (Z136=1);
   R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2)

R’s LAST IW EMPLOYER NAME (per Z091)

R’s LAST IW TITLE (per Z128)

R WAS INCLUDED IN PENSION PLAN ON JOB AT LAST IW (Z133=1)

R WAS DISABLED AT R’s LAST IW (Z122=1);
   R WAS WORKING FOR PAY AT R’s LAST IW (Z123=1);
   R WAS RETIRED AT R’s LAST IW (Z124=1)

R WAS COMPLETELY RETIRED AT R’s LAST IW (Z134=1);
   R WAS PARTLY RETIRED AT R’s LAST IW (Z134=3);
   R WAS NOT RETIRED AT R’s LAST IW (Z134=5)

R’s PAST PENSION TYPE: TYPE A: Z140=1; TYPE B: Z140=2;
   BOTH TYPES, ASK A ONLY: Z140=3; BOTH TYPES, ASK B ONLY: Z140=4

ALTERNATE WAVE VARIABLE IS SET TO ASK QUESTIONS EVERY 3rd WAVE (J731_3Wave=0):
   \( J731 = (A504_{\text{CurrWaveNdx}} - 7) \mod 3 = 0 \);
   i.e., When subtracting the Indices (per A504) for Beginning Wave (Index 7 for YrXXXX) from Current Wave (Index 7 for 2004), and the result is divided by the Wave Interval of 3, the Integer Result leaves No Remainder

ALTERNATE WAVE VARIABLE IS NOT SET TO ASK QUESTIONS (J731>0):
   \( J731 = {(A504_{\text{CurrWaveNdx}} - XX) \mod 3} > 0 \);
   i.e., Integer Result yields a Remainder

   ** IN 2010 THIS ALTERNATE WAVE FLAG IS SET TO ASK QUESTIONS **

ALTERNATE WAVE VARIABLE IS SET TO ASK QUESTIONS EVERY 4th WAVE (J732_4Wave=0):
   \( J732 = (A504_{\text{CurrWaveNdx}} - 5) \mod 4 = 0 \);
   i.e., When subtracting the Indices (per A504) for Beginning Wave (Index 5 for YrXXXX) from Current Wave (WaveIndex/WaveNdx 5 for 2000), and the
result is divided by the Wave Interval of 4, the Integer Result leaves No Remainder

ALTERNATE WAVE VARIABLE IS NOT SET TO ASK QUESTIONS (J732>0):
\{J732 = \{(A504_CurrWaveNdx – XX) MOD 4\} > 0\};
I.e., Integer Result yields a Remainder

** IN 2010 THIS ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS **

-----------------------------------------------------------

SUMMARY VARIABLES: COMPONENTS

J703: MARGINAL EMPLOYMENT IN THE CURRENT AND 2006 WAVES
(a) IN CURRENT IW R REPORTED THAT WORKED FOR \{(10 HOURS OR LESS) (J172={0-10}) and (20 WEEKS OR LESS) (J179={0-20})\}; and
(b) Z206=1, WHICH IS THE FOLLOWING: IN R’S 2006 IW \{R REPORTED \{NOT WORKING (J020/Z123=5) or \{WORKED \{(10 HOURS OR LESS) (2006-J172={0-10})\} and \{20 WEEKS OR LESS\} (2006-J179={0-20})\}\}\}.

J703=1 MEANS THAT THE VARIABLE CONDITIONS ARE TRUE; J703=0 or J703 NOT 1 MEANS NOT TRUE.

J705: NOT WORKING CURRENTLY AND IN PAST 2 WAVES
(a) R REPORTED IN CURRENT IW THAT \{IS NOT WORKING (J020=5) and IS NOT \{UNEMPLOYED or TEMPORARILY \{LAID OFF OR ON LEAVE\}\} (J005 \{NOT 2 and NOT 3\})\}; and
(b) Z211=1, WHICH IS THE FOLLOWING: R REPORTED BOTH \{IN 2004 and IN 2006\} THAT DID NOT WORK FOR PAY (J020=5).

J705=1 MEANS THAT THE VARIABLE CONDITIONS ARE TRUE; J705 NOT 1 MEANS NOT TRUE.

-----------------------------------------------------------

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.


*******************************************************************
J005

Now I'm going to ask you some questions about your current employment situation.

Are you working now, temporarily laid off, unemployed and looking for work, disabled and unable to work, retired, a homemaker, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. WORKING NOW
2. UNEMPLOYED AND LOOKING FOR WORK
3. TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE
4. DISABLED
5. RETIRED
6. HOMEMAKER
7. OTHER (SPECIFY)
8. DK
9. RF

J007 BRANCHPOINT: IF R DID NOT REPORT THAT IS UNEMPLOYED (J005 NOT 2), GO TO J010 BRANCHPOINT

J007

In what month and year did you become unemployed?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN CURRENT IW MONTH, YEAR]

J007

MONTH:


J008

YEAR

J010 BRANCHPOINT: IF R DID NOT REPORT THAT IS (TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE) (J005 NOT 3), GO TO J014 BRANCHPOINT

J010

Do you expect to go back to this job?

1. YES  5. NO  8. DK  9. RF

GO TO J014 BRANCHPOINT

J011

In what month and year did you last work on this job?
In what month and year did you become disabled?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN CURRENT IW MONTH, YEAR]

J014 MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

J015 YEAR

J017 BRANCHPOINT: IF R DID NOT REPORT THAT IS CURRENTLY RETIRED (J005 NOT 5) or IF R WAS RETIRED AT R’s LAST IW (Z124=1), GO TO J020 BRANCHPOINT
In what month and year did you retire?

**J017**

**MONTH:**

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

**YEAR**

**J020 BRANCHPOINT:** IF R IS WORKING NOW (J005=1) or IS (TEMPORARILY LAID OFF AND EXPECTS TO GO BACK TO WORK (J010=1)), ASSIGN 1 TO J020 AND GO TO J021

IF R CURRENTLY LIVES IN A NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) and DID NOT REPORT WORKING IN R’s LAST IW (Z123 NOT 1), ASSIGN 5 TO J020 AND GO TO J023 BRANCHPOINT

**J020**

Are you doing any work for pay at the present time?

1. YES  5. NO  8. DK  9. RF

**GO TO J023 BRANCHPOINT**

**J021**

[On the job you plan to go back to/On your current (main) job], do you work for someone else, are you self-employed, or what?

[IF R SAYS, ‘I RUN MY OWN BUSINESS’ CHOOSE ‘SELF-EMPLOYED’]

1. SOMEONE ELSE  2. SELF-EMPLOYED  8. DK  9. RF
J023 BRANCHPOINT: IF R IS CURRENTLY WORKING FOR SOMEONE ELSE (J021=1) and WAS WORKING FOR SOMEONE ELSE AT R’s LAST IW (Z136=1), GO TO J045

IF R DID NOT REPORT THAT IS CURRENTLY WORKING FOR SOMEONE ELSE ((J020 and J021) NOT 1) and WAS WORKING FOR SOMEONE ELSE AT R’s LAST IW (Z136=1), GO TO J063

IF R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2) and DID NOT REPORT THAT IS CURRENTLY SELF-EMPLOYED (J021 NOT 2), CONTINUE ON TO J023

OTHERWISE, GO TO JW158_2 BRANCHPOINT

---

**J023**

According to our records, in R's LAST IW MONTH (per Z092), YEAR (per Z093) you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

**J023 MONTH:**

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN
7. JUL  8. AUG  9. SEP  10. OCT  11. NOV  12. DEC
94. STILL WORKING FOR SELF (AS PRIMARY JOB)
96. STILL WORKING FOR SELF AS SECOND JOB

GO BACK TO J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (J021=2)  

GO TO J028

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW
98. DK  99. RF

GO TO JW158_2 BRANCHPOINT

---

**J024**

YEAR

DK  RF
J028 BRANCHPOINT: IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (J023 NOT 96), GO TO J031

J028

Were there any months since [R’s LAST IN MONTH, YEAR/MONTH, YEAR 2 YEARS AGO] when you were not working for pay?

1. YES 5. NO 8. DK 9. RF

GO TO JW158_2 BRANCHPOINT


**JW777_1**

Were there any months in ELIGIBLE YEAR when you were not working for pay?

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<td>1.</td>
<td>YES</td>
<td>5.</td>
<td>NO</td>
<td>8.</td>
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<tr>
<td>9.</td>
<td>RF</td>
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GO TO END OF JW777_1 LOOP

---

**JW778_1 BRANDPOINT:** IF THERE IS ONLY ONE ELIGIBLE MONTH ON MONTHLIST, ASSIGN THAT MONTH TO JW778_1 AND GO TO THE END OF JW777_1 LOOP

---

**JW778_1**

Which months in ELIGIBLE YEAR were those (when you were not working for pay)?

[IWER: CHOOSE ALL THAT APPLY]

[IWER: ONLY ELIGIBLE MONTHS WILL APPEAR ON THE LIST]

[IWER: YOU MUST ENTER MONTH(S) FOR THIS QUESTION. IF YOU HAVE GOTTEN THIS QUESTION IN ERROR, BACK UP AND CHANGE ANSWER TO PREVIOUS QUESTION TO 'NO']

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<td>FEB</td>
<td>3.</td>
<td>MAR</td>
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<td>DEC</td>
<td>13.</td>
<td>ALL</td>
<td></td>
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<tr>
<td>14.</td>
<td>ALL MONTHS DISPLAYED</td>
<td>15.</td>
<td>ALL MONTHS DISPLAYED</td>
<td>98.</td>
<td>DK</td>
<td>99.</td>
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NOTE: THE ONLY MONTHS AND YEARS PRESENTED TO THE IWER ARE THOSE BETWEEN R’s LAST IW UNTIL THE CURRENT IW DATE. CODES 13, 14, AND 15 ARE NOT SHOWN IN THE DATA AND SHOULD NOT BE USED FOR ANALYSIS PURPOSES. THEY WILL ONLY SHOW IN THE CODEFRAME AS A CONVENIENCE FOR INTERVIEWERS WHEN APPROPRIATE.

---

**JW786_1 BRANDPOINT:** IF R’s LAST IW OCCURRED IN THE PREVIOUS HRS WAVE, GO TO JW158_2 BRANDPOINT

---

***BEGINNING OF JW777_1 LOOP: QUESTIONS JW777_1 AND JW778_1 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS.***

***END OF JW777_1 LOOP: QUESTIONS JW777_1 AND JW778_1 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS. OTHERWISE, CONTINUE ON TO JW786_1 BRANDPOINT ***
JW786_1

Were there any months between [R’s LAST IW MONTH, YEAR/MONTH, YEAR 2 YEARS AGO] and January [FIRST YEAR OF PREVIOUS HRS WAVE DATA COLLECTION]
when you were not working for pay?

1. YES  5. NO  8. DK  9. RF

J031 BRANCHPOINT: GO TO JW158_2 BRANCHPOINT

J031

Were you paid a regular salary or wages?

1. YES  5. NO  8. DK  9. RF

GO TO J038

J032

How much were you paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

0  DK  RF

AMOUNT

GO TO J036  GO TO J038

J033-J035 Unfolding Sequence

Question text: Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $625, $1,500, $3,000, $6,500
RANDOM ENTRY POINTS: $1,500, $3,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J036 BRANCHPOINT: GO TO J038
J036
[IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?
PER:

<table>
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<tr>
<th>1. HOUR</th>
<th>2. WEEK</th>
<th>3. EVERY TWO WEEKS/BI-WEEKLY</th>
<th>4. MONTH</th>
<th>5. TWICE A MONTH</th>
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<tr>
<td>6. YEAR</td>
<td>7. OTHER (SPECIFY)</td>
<td>8. DK</td>
<td>9. RF</td>
<td></td>
</tr>
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</table>

J038
Did you receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

| 1. YES | 5. NO | 8. DK | 9. RF |

J039 BRANCHPOINT: IF R IS PAID A REGULAR SALARY (J031=1) and R DID NOT RECEIVE NET EARNINGS OR PROFITS (J038 NOT 1), GO TO J073 BRANCHPOINT
IF R NOT PAID REGULAR SALARY and DID NOT RECEIVE NET EARNINGS OR PROFITS (J031 and J038 NOT 1):
What did you earn the last year you worked?

OTHERWISE:
(In addition to your regular salary,) How much did you receive from net earnings or profits?

[IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:] What did you earn the last year you worked?

**DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.**

[DO NOT PROBE DK/RF]

[AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

<table>
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<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
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GO TO J043
GO TO J073

J040-J042 Unfolding Sequence

Question text: Did it amount to a total of less than $___ per year, more than $___ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $3,300, $10,000, $30,000, $75,000
RANDOM ENTRY POINTS: $10,000, $30,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J043 BRANCHPOINT: GO TO J073 BRANCHPOINT

J043

[PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR 2. WEEK 3. EVERY TWO WEEKS/BI-WEEKLY 4. MONTH 5. TWICE A MONTH

6. YEAR 7. OTHER (SPECIFY) 8. DK 9. RF

J045 BRANCHPOINT: GO TO J073 BRANCHPOINT
According to our records, in R's LAST IN MONTH, YEAR you were [working for R's LAST IN EMPLOYER NAME (per Z091)/also working for someone else].

Are you still working [there/for that same employer]?

[IWER: IF NO PREVIOUS WAVE EMPLOYER NAME APPEARS AND R HAD MORE THAN ONE JOB, WE ARE INTERESTED IN RS MAIN JOB.]

[IWER: IF THERE HAS BEEN MERGER AND ACQUISITION USE CODE 3 OR 4]

1. YES  3. YES, BUT OWNERSHIP HAS CHANGED (VOL)  4. NO, OWNERSHIP HAS CHANGED (VOL)  5. NO

GO TO J063

7. DENIES WORKING (FOR NAMED EMPLOYER)  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT
JW168_1 BRANCHPOINT: IF WE DO NOT KNOW EMPLOYER NAME FROM R’s LAST IW (Z091=EMPTY), GO TO JW158_1

JW168_1
Is the correct name of your employer R’s LAST IW EMPLOYER NAME?

1. YES
5. NO
7. DENIES WORKING AT PREVIOUS IW
8. DK
9. RF

GO TO J055
GO TO JW158_2
GO TO J055

JW158_1
IWER: READ TO ALL RESPONDENTS:
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [now/on your main job]?
What is the (company's/organization's) name?

DK
RF

COMPANY NAME

GO TO J055
IF R WORKS FOR SAME EMPLOYER AS R'S LAST IW (J045={1 or 3}) and WE KNOW EMPLOYER NAME FROM LAST IW (Z091 NOT EMPTY):
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full address of your employer.

ASK ALL Rs:
What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

NOTE: THE IWER TYPES THE FIRST LETTER IN, THEN SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.
Were there any months since [R's LAST IN MONTH, YEAR/MONTH, YEAR 2 YEARS AGO] when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J045 or JW158_1)/that employer]?

1. YES  5. NO  8. DK  9. RF

GO TO J058
JW777_2
Were there any months in ELIGIBLE YEAR when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J045 or JW158_1)/that employer]?

1. YES  5. NO  8. DK  9. RF

GO TO END OF JW777_2 LOOP

JW778_2 BRANCHPOINT: IF THERE IS ONLY ONE ELIGIBLE MONTH ON MONTHLIST, ASSIGN THAT MONTH TO JW778_2 AND GO TO THE END OF JW777_2 LOOP

JW778_2
Which months in ELIGIBLE YEAR where those (when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J045 or JW158_1)/that employer])?

[IWER: CHOOSE ALL THAT APPLY]

[IWER: ONLY ELIGIBLE MONTHS WILL APPEAR ON THE LIST]

[IWER: YOU MUST ENTER MONTH(S) FOR THIS QUESTION. IF YOU HAVE GOTTEN THIS QUESTION IN ERROR, BACK UP AND CHANGE ANSWER TO PREVIOUS QUESTION TO 'NO']

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL
14. ALL MONTHS DISPLAYED  15. ALL MONTHS DISPLAYED  98. DK  99. RF

NOTE: THE ONLY MONTHS AND YEARS PRESENTED TO THE IWER ARE THOSE BETWEEN R’s LAST IW UNTIL THE CURRENT IW DATE. CODES 13, 14, AND 15 ARE NOT SHOWN IN THE DATA AND SHOULD NOT BE USED FOR ANALYSIS PURPOSES. THEY WILL ONLY SHOW IN THE CODEFRAME AS A CONVIENIENCE FOR INTERVIEWERS WHEN APPROPRIATE.

***END OF JW777_2 LOOP: QUESTIONS JW777_2 AND JW778_2 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS. OTHERWISE, CONTINUE ON TO JW786_2 BRANCHPOINT ***
JW786_2 BRANCHPOINT: IF R’s LAST IW OCCURRED IN THE PREVIOUS HRS WAVE, GO TO J058

JW786_2
Were there any months between [R's LAST IW MONTH, YEAR/MONTH, YEAR 2 YEARS AGO] and January [FIRST YEAR OF PREVIOUS HRS WAVE DATA COLLECTION] when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J045 or JW158_1)/that employer]?

J058
IF WE DO NOT HAVE JOB TITLE FROM R's LAST IW (Z128=EMPTY):
Is your job title still the same as it was in R's LAST IW MONTH, YEAR?

OTHERWISE:
In R's LAST IW MONTH, YEAR our records indicate that your job title was R's LAST IW TITLE (per Z128).
Is this still the case?
[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R’s CURRENT JOB, ANSWER ‘YES’ HERE AND NOTE CORRECTIONS AS A COMMENT.]

1. YES 3. RETIRED & WORKING FOR SAME EMPLOYER/BUSINESS 5. NO
GO TO J166 BRANCHPOINT GO TO J063 BRANCHPOINT GO TO J166 BRANCHPOINT
7. DENIES HAVING THIS JOB TITLE AT PREVIOUS WAVE 8. DK 9. RF
GO TO J166 BRANCHPOINT

J059
What was your job title in [R's LAST IW MONTH, YEAR/YEAR 2 YEARS AGO]?
[IWER: PROBE:]
The title that your employer used?

_______________

TITLE

DK
RF
J060
What sort of work did you do?

[IWER: PROBE:]
Tell me a little more about what you did.

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

DK  RF

J061
What is your job title now?

[IWER: PROBE:]
The title that your employer uses?

________________________________________________________________________

DK  RF

TITLE

J062
What sort of work do you do now?

[IWER: PROBE:]
Tell me a little more about what you do.

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

DK  RF

J063 BRANCHPOINT: IF R DENIES HAVING PREVIOUSLY LISTED JOB TITLE AT R’s LAST IW (J058=7), GO TO J169

IF R REPORTED (IS RETIRED AND WORKING FOR SAME EMPLOYER) (J058=3) and GAVE YEAR S/HE RETIRED AT J018, GO TO J067

BRANCHPOINT
J063

IF R {WAS WORKING IN LAST IW AND NOW IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS)) (J058=3) and YEAR RETIRED WAS NOT REPORTED (J018 NOT ASKED):
In what month and year did you retire?

IF R IS NO LONGER WORKING FOR R's LAST IW EMPLOYER (J045=4 or 5):
In what month and year did you stop working there?

IF NAME OF R's LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
According to our records, you were working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.
In what month and year did you stop working there?

OTHERWISE:
According to our records, you were working for someone else when we last talked to you in R's LAST IW MONTH, YEAR.
In what month and year did you stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

J064

MONTH:
1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN
7. JUL  8. AUG  9. SEP  10. OCT  11. NOV  12. DEC

92. DENY WORKING FOR SELF

GO TO JW158_2 BRANCHPOINT

93. STILL WORKING FOR SELF AS SECOND JOB

GO TO JW158_2 BRANCHPOINT

94. STILL WORKING FOR SELF (AS PRIMARY JOB)

GO BACK TO J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (J021=2)

96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER

GO TO J067 BRANCHPOINT

97. DENIES WORKING FOR SOMEONE ELSE, OR FOR NAMED EMPLOYER, AT LAST INTERVIEW
98. DK  99. RF

GO TO JW158_2 BRANCHPOINT

NOTE: WHEN R REPORTED EARLIER THAT WAS RETIRED AND WORKING FOR THE SAME EMPLOYER (J058=3), CODE "96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER" DOES NOT APPEAR IN THIS CODEFRAME.
J067 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2) and SAID THAT IS STILL WORKING FOR PREVIOUS WAVE EMPLOYER (J063=96), GO BACK TO J021 AND CHANGE RESPONSE TO SOMEONE ELSE (J021=1)

IF R IS SELF-EMPLOYED (J021=2) and SAID THAT IS STILL WORKING FOR SELF AS PRIMARY JOB (J063=94), GO TO J166 BRANCHPOINT

IF R SAID STILL WORKING FOR LAST IW EMPLOYER (J063=96) and DID NOT REPORT THAT IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (J058 NOT 3) and R WAS ASKED J045 (J045 NOT EMPTY), GO BACK TO J045 AND CHANGE RESPONSE TO YES (J045=1)

J067

IF R WAS WORKING IN LAST IW (Z123=1) and NOW IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (J058=3)):

What were you earning, before deductions, when you retired?

OTHERWISE:

What were you earning, before deductions, when you left that employer?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]

AMOUNT

GO TO J071

GO TO J073 BRANCHPOINT

J068-J070 Unfolding Sequence

Question text: Did it amount to a total of less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $200, $800, $1,500, $3,000
RANDOM ENTRY POINTS: $800, $1,500
ENTRY POINT ASSIGNMENT: 1 OR (NOT 1) AT X502
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J071 BRANCHPOINT: GO TO J073 BRANCHPOINT
J071
[IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH

6. YEAR
7. OTHER (SPECIFY)
8. DK
9. RF

J073

BRANCHPOINT: IF R IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (J058=3), GO TO J083 BRANCHPOINT

J073

IF NOT SELF-EMPLOYED AT LAST IW (Z136=1):
Why did you leave that employer?

(Did the business close, were you laid off or let go, did you have poor health or a disability, did you leave to take care of family members, did you quit, did you retire, did ownership of your employment change, did your pension rules change, or what?)

IF SELF-EMPLOYED AT LAST IW (Z136=2):
Why did you stop working at that business?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. BUSINESS CLOSED
2. LAID OFF/LET GO
3. POOR HEALTH/DISABLED
4. FAMILY CARE
5. BETTER JOB

GO TO J080 BRANCHPOINT

GO TO J079

6. QUIT
7. RETIRED
9. OWNERSHIP CHANGED
10. PENSION RULES CHANGED

97. OTHER (SPECIFY)
98. DK
99. RF

J075 BRANCHPOINT: IF R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2), GO TO J080 BRANCHPOINT
**J075**
Did your employment situation change in some way that encouraged you to leave?

- 1. YES
- 5. NO
- 8. DK
- 9. RF

**GO TO J078**

**J076**
How did it change?

[IWER: CHOOSE ALL THAT APPLY]

- 1. SUPERVISOR ENCOURAGED DEPARTURE
- 2. COWORKER ENCOURAGED DEPARTURE
- 3. WAGES REDUCED (OR ABOUT TO BE REDUCED)
- 4. HOURS REDUCED (OR ABOUT TO BE REDUCED)
- 5. WOULD HAVE BEEN LAID OFF
- 6. NEW JOB DUTIES
- 7. NEW JOB LOCATION
- 8. BECAME ELIGIBLE FOR PENSION
- 9. EMPLOYER CHANGED HEALTH INSURANCE
- 10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER
- 97. OTHER (SPECIFY)
- 98. DK
- 99. RF

**J077**

**J078**
If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

- 1. YES
- 5. NO
- 8. DK
- 9. RF

**J079**
Did your employer experience a permanent reduction in employment between [R's LAST IW MONTH, YEAR/YEAR 2 YEARS AGO] and the time you were leaving?

[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED ‘DOWNSIZING’; ‘LAYOFFS’ CAN BE PERMANENT OR TEMPORARY.]

- 1. YES
- 5. NO
- 8. DK
- 9. RF

**J080 BRANCHPOINT: IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} {ONE MONTH OR LESS} AFTER R’s LAST IW (per {J063&J064 or J023&J024 or J017&J018}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} ((J063 or J064)=(DK or RF) or ((J023 or J024) or (J017 or J018))=(DK or RF)), GO TO J084 BRANCHPOINT**
NOTE: If date R stopped working for PW Employer is known (per J063&J064 or J023&J024 or J017&J018) and date is at least 2 months after R’s last iw, continue on to J080

J080

Were there any months between R’s LAST IW MONTH, YEAR and MONTH, YEAR R [STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)] /RETIRED (per J017&J018)] when you did not work [at this business/for this employer]?

1. YES  5. NO  8. DK  9. RF

GO TO J083 BRANCHPOINT

***BEGINNING OF JW777_3 LOOP: QUESTIONS JW777_3 AND JW778_3 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS.***

JW777_3 BRANCHPOINT: IF WE DON’T KNOW YEAR R [STOPPED WORKING FOR EMPLOYER/SELF/RETIRED] (J064 or J024 and J018= DK or RF), GO TO J083 BRANCHPOINT

IF R’s LAST IW YEAR (Z093) and YEAR R [STOPPED WORKING FOR EMPLOYER/SELF/RETIRED] (per J064/J024) ARE NOT IN ELIGIBLE YEAR RANGE (per J064/J024/J018), GO TO J083 BRANCHPOINT

IF R’s LAST IW YEAR (Z093) and YEAR R [STOPPED WORKING FOR EMPLOYER/SELF/RETIRED] (per J064/J024/J018) ARE THE SAME, GO TO JW778_3

JW777_3

Were there any months in ELIGIBLE YEAR when you did not work [at this business/for this employer]?

1. YES  5. NO  8. DK  9. RF

GO TO END OF JW777_3 LOOP

JW778_3 BRANCHPOINT: IF THERE IS ONLY ONE ELIGIBLE MONTH ON MONTHLIST, ASSIGN THAT MONTH TO JW778_3 AND GO TO THE END OF JW777_3 LOOP

JW778_3

Which months in ELIGIBLE YEAR where those (when you did not work [at this business/for this employer])?

[IWER: CHOOSE ALL THAT APPLY]

[IWER: ONLY ELIGIBLE MONTHS WILL APPEAR ON THE LIST]

[IWER: YOU MUST ENTER MONTH(S) FOR THIS QUESTION. IF YOU HAVE GOTTEN THIS QUESTION IN ERROR, BACK UP AND CHANGE ANSWER TO PREVIOUS QUESTION TO ‘NO’]
NOTE: THE ONLY MONTHS AND YEARS PRESENTED TO THE IWER ARE THOSE FROM R’s LAST IW UNTIL THE DATE R STOPPED WORKING FOR THAT EMPLOYER/BUSINESS. CODES 13, 14, AND 15 ARE NOT SHOWN IN THE DATA AND SHOULD NOT BE USED FOR ANALYSIS PURPOSES. THEY WILL ONLY SHOW IN THE CODEFRAME AS A CONVENIENCE FOR INTERVIEWERS WHEN APPROPRIATE.

***END OF JW777_3 LOOP: QUESTIONS JW777_3 AND JW778_3 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS. OTHERWISE, CONTINUE ON TO JW786_3 BRANCHPOINT***

JW786_3 BRANCHPOINT: IF THIS IS A NEW R or R’s LAST IW OCCURRED IN THE PREVIOUS HRS WAVE, GO TO J083 BRANCHPOINT

JW786_3

Were there any months between R’s LAST IW MONTH, YEAR and January [FIRST YEAR OF PREVIOUS HRS WAVE DATA COLLECTION] when you did not work [at this business/for this employer]?

1. YES  5. NO  8. DK  9. RF
*-------------------Pensions- Previous Wave--------------------
(J083-JW042_1)

J083 BRANCHPOINT: IF R DID NOT REPORT THAT IS [RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS] (J058 NOT 3) and DID NOT REPORT {NOT WORKING FOR SAME EMPLOYER- OWNERSHIP HAS CHANGED} (J045 NOT 4), GO TO J084 BRANCHPOINT

J083

Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?

1. YES  5. NO  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT

J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R’s LAST IW (Z133=1), ASSIGN 1 TO J084 AND GO TO J085

J084

IF NOT SELF-EMPLOYED AT R’s LAST IW (Z136 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for [R's LAST IW EMPLOYER NAME (per Z091)/that employer]?  

OTHERWISE:
Aside from IRAs not sponsored by your business or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

[IF R MENTIONS IRA OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:] I will ask about these later in the interview. Here, I just want to find out about other plans operated through your previous [business/employer].

1. YES  5. NO  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT
J085

IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, you reported that you were included in one or more pension plans on this job.
In how many different pension plans were you included on this job?

[IWER: ENTER ‘95’ IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans were you included on this job?

1 – 8 PLANS

0. NONE
1. ONE
2. TWO
3. THREE
4. FOUR
5. FIVE

GO TO JW158_2 BRANCHPOINT

00. NONE
1. ONE
2. TWO
3. THREE
4. FOUR
5. FIVE

GO TO JW158_2 BRANCHPOINT

J086

Is this just one plan or more than one?

1. ONE PLAN
2. TWO PLAN
3. MORE THAN ONE

GO TO JW158_2 BRANCHPOINT

95. DENIES BEING INCLUDED IN PENSION PLAN
98. DK
99. RF

GO TO JW158_2 BRANCHPOINT

GO TO JW158_2 BRANCHPOINT
NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISSES THE PENSION BLOCK.
(JW078_1 - JW077_1) IS REPLICATED IN SECTION K AND TWICE IN SECTION L AND IS KNOWN AS THE SECTION J PENSION BLOCK.

*-------------------------BEGINNING OF PENSION BLOCK-1------------------------
(JW078_1 — JW077_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W00x1 - W077, PRECEEEDED BY THE SECTION NAME, J, AND FOLLOWED BY "_1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE.

***BEGINNING OF JW078_1 LOOP: QUESTIONS JW078_1 THROUGH JW077_1 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT J085 (J085={(1-8)}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT J086 (J086={1 or 3}).***

JW078_1

IF THIS IS THE FIRST PLAN and R HAD MORE THAN ONE PLAN (J085 ≥ 2 or J086=3):
Now I have some questions about the most important of these plans.
What was the name of this plan?

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (J085 ≥ 2) or R HAD MORE THAN ONE PLAN FOR (J086=3):
Now I have some questions about the next most important of these plans.
What was the name of this plan?

IF R HAD ONLY ONE PLAN (J085 or J086)=1):
What was the name of this plan?

NAME OF PLAN
Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?

(What did your former employer call it?)

1. 401K PLAN
2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)
3. DEFINED BENEFIT PLAN
4. DEFINED CONTRIBUTION PLAN
5. 401A
6. 403B PLAN
7. 457 PLAN
8. THRIFT/SAVINGS PLAN (TSP)
9. PROFIT-SHARING PLAN
10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN
12. PORTABLE CASH OPTION PLAN
13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)
14. SEP OR SIMPLE PLAN
15. COMBINATION PLAN
16. CASH BALANCE PLAN
97. OTHER (SPECIFY)
98. DK
99. RF
JW001_1
(Could you tell me a little more about this plan?)
I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’S DISCRETION.

ASK ALL Rs:
Was your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS ‘BOTH’.]

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<tbody>
<tr>
<td>1. TYPE A PLAN</td>
<td>2. TYPE B PLAN</td>
<td>3. BOTH PLAN TYPES</td>
<td>8. DK</td>
<td>9. RF</td>
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JW085_1
Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you had on that job.

Are you very certain, somewhat certain or not certain at all that you actually had (a) [TYPE OF PLAN per JW001_1]?

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<tbody>
<tr>
<td>1. VERY CERTAIN</td>
<td>2. SOMEWHAT CERTAIN</td>
<td>3. NOT CERTAIN AT ALL</td>
<td>8. DK</td>
<td>9. RF</td>
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JW034_1
How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

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<td>0-50 YEARS</td>
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JW002_1 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (JW082_1=3 and JW001_1=1), GO TO JW097_1
IF TYPE B PLAN:
How much money was in your account when you left that [business/employer]?

OTHERWISE:
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

[IWER: IF R SAYS ‘DOES NOT HAVE AN ACCOUNT’, ENTER [-2]]
[IWER: DO NOT PROBE DK/RF]

______________________  -2  DK  RF
AMOUNT

GO TO JW097_1

JW003_1 - JW005_1 Unfolding Sequence
Question text: Did it amount to a total of less than $___, more than $___, or what?
PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3):
When you retired, what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

OTHERWISE:
When you left that [business/employer], what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

8. RECEIVING BENEFITS NOW
1. WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM
2. ROLLED OVER INTO IRA
3. LEFT IN THE PLAN
4. CONVERT TO/PURCHASED ANNUITY
5. TRANSFERRED TO NEW EMPLOYER
6. LOST BENEFITS
7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS
10. EXPECTING FUTURE BENEFITS
97. OTHER (SPECIFY)
98. DK
99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW051_1 BRANCHPOINT: IF R (WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS) (JW097_1=(1 or 6)), GO TO JW008_1 BRANCHPOINT

JW051_1
Could you have taken benefits as a cash settlement or a lump-sum payment?

1. YES
5. NO
8. DK
9. RF

JW008_1 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (JW097_1=3 ONLY) and WE KNOW HOW MUCH MONEY WAS LEFT IN THAT ACCOUNT (JW002_1 NOT EMPTY, NOT DK, and NOT RF), GO TO JW009_1
IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (JW097_1 NOT 3), GO TO JW063_1 BRANCHPOINT
JW008_1  
How much money did you leave in the old plan?  

<table>
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<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
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JW063_1 BRANCHPOINT: IF R (DID NOT RECEIVE OR IS NOT RECEIVING) {ONE OR MORE} INSTALLMENTS OR IRREGULAR PAYMENTS (JW097_1 NOT 7), GO TO JW009_1 BRANCHPOINT

JW009_1 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (JW082_1=3 and JW001_1=1), GO TO JW013_1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION PLAN (JW097_1 NOT 3) or R REPORTED DOES NOT HAVE ACCOUNT (JW002_1=-2), GO TO JW013_1 BRANCHPOINT
JW009_1
How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
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**GO TO JW013_1 BRANCHPOINT**

**JW010_1 - JW012_1 Unfolding Sequence**
Question text: Does it amount to less than $____, more than $____, or what?

**PROCEDURES: 2Up1Down, 1Up2Down**
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR (NOT 1) AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

**JW013_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (JW097_1 NOT 4) and DID NOT REPORT RECEIVING BENEFITS NOW (JW097_1 NOT 8), GO TO JW018_1 BRANCHPOINT**

**JW013_1**
How much did you (convert to/purchase as) an annuity?

<table>
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<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
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**JW014_1 BRANCHPOINT: IF R DID NOT REPORT RECEIVING BENEFITS NOW or STARTED RECEIVING BENEFITS (JW097_1 NOT 8 and JW108_1 NOT 1), GO TO JW054_1 BRANCHPOINT**

**JW108_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS (CONVERTED TO/PURCHASED AN ANNUITY) ONLY (JW097_1 NOT 4 ONLY), GO TO JW014_1 BRANCHPOINT**

**JW108_1**
Have you started receiving benefits from this annuity?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
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</table>

**JW014_1 BRANCHPOINT: IF R DID NOT REPORT RECEIVING BENEFITS NOW or STARTED RECEIVING BENEFITS (JW097_1 NOT 8 and JW108_1 NOT 1), GO TO JW054_1 BRANCHPOINT**
JW014_1
How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80  AGE

________________________________________
AGE  DK  RF

GO TO JW015_1

JW086_1
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

__________________________
1-12 NUMBER OF MONTHS

JW015_1
How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

__________________________
AMOUNT  0  DK  RF

GO TO JW049_1

JW016_1
[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

JW017_1
JW028_1
Are the benefits adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO JW049_1

JW059_1
Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF

JW049_1
If R is married/partnered (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either
you or your [husband/wife/partner] live, is it for a fixed time period,
or what?

Otherwise:
Will this payment continue as long as you live, is it for a fixed time
period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR
SPouse/PARTner LIVES  3. FOR A FIXED TIME
PERIOD

GO TO JW054_1 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

JW050_1

GO TO JW054_1 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW051_1
What is that time period?

JW051_1 UNTIL YEAR  OR  JW052_1 UNTIL AGE  OR  JW053_1 YEARS

DK  RF

JW054_1 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),
GO TO JW018_1 BRANCHPOINT
If you or your [husband/wife/partner] should die, will the pension payments change, stay the same, or what?

1. CHANGE  3. STAY THE SAME  7. OTHER (SPECIFY)  8. DK  9. RF

JW018_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED OVER INTO AN IRA (JW097_1 NOT 2), GO TO JW019_1 BRANCHPOINT

How much did the rollover amount to?

_________________
AMOUNT

DK    RF

JW019_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (JW097_1 NOT 5), GO TO JW056_1 BRANCHPOINT

How much did the transfer amount to?

_________________
AMOUNT

DK    RF

JW056_1 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM (JW097_1 NOT 1), GO TO JW035_1 BRANCHPOINT

How much money did you withdraw or how much was the lump sum or cash settlement you received?

_________________
AMOUNT

DK    RF
What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

JW035_1 BRANCHPOINT: IF THIS IS A LIVING PROXY INTERVIEW (A009={2 or 3}), GO TO JW042_1

IF R REPORTED RECEIVING BENEFITS NOW (JW097_1=8), GO TO JW096_1 BRANCHPOINT

IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM PENSION (JW097_1 NOT 10), GO TO JW042_1

At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

96 ALREADY RECEIVING BENEFITS

DK

RF

GO BACK TO JW097_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (JW097_1=8)

GO TO JW096_1 BRANCHPOINT

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]
JW036_1
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY  2. AMOUNT PER MONTH/YEAR  3. LUMP SUM  8. DK  9. RF

GO TO JW038_1  GO TO JW041_1  GO TO JW096_1

JW037_1
[IWER: ENTER PERCENT OF FINAL PAY]

PERCENT  DK  RF

GO TO JW089_1
JW038_1
[IWER: PROBE IF NECESSARY]
How much do you expect to receive?
[IWER: DO NOT PROBE DK/RF]

___________________________
AMOUNT

0        DK        RF

GO TO JW039_1               GO TO JW089_1

J133_1 - J135_1 Unfolding Sequence
Question text: Do you expect a total of less than $___ per month, more than $___ per month, or what?
PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
RANDOM ENTRY POINTS: $1,000, $2,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW039_1 BRANCHPOINT: GO TO JW089_1

JW039_1
[IWER: PROBE IF NECESSARY]
Is that per month or year?
PER:
4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

___________________________
AMOUNT

JW040_1

GO TO JW089_1

JW041_1
[IWER: ENTER LUMP SUM AMOUNT]

___________________________
AMOUNT

JW089_1 BRANCHPOINT: GO TO JW042_1
JW089_1
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR SPOUSE/PARTNER LIVES  3. FOR A FIXED TIME PERIOD

GO TO JW094_1 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

JW090_1

GO TO JW094_1 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW091_1
What is that time period?

JW091_1 UNTIL YEAR  OR  JW092_1 UNTIL AGE  OR  JW093_1 YEARS

JW094_1 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),
GO TO JW096_1 BRANCHPOINT

JW094_1
If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

1. CHANGE  3. STAY THE SAME  7. OTHER (SPECIFY)  8. DK  9. RF

JW095_1

JW096_1 BRANCHPOINT: IF R REPORTED {CURRENTLY RECEIVING BENEFITS or EXPECTS FUTURE BENEFITS FROM PENSION} and LEFT MONEY IN THE PLAN ((JW097_1=8 or 10) and JW097_1=3), CONTINUE

OTHERWISE, GO TO JW042_1

JW096_1
IF R EXPECTS TO RECEIVE FUTURE BENEFITS (JW097_1=10):
You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?

IF R IS CURRENTLY RECEIVING BENEFITS (JW097_1=8):
You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

1. NO, DIFFERENT MONEY
2. YES, THE SAME MONEY
3. YES, PARTLY THE SAME
8. DK
9. RF

JW042_1
What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30–80 AGE

95 NO AGE REQUIREMENT
DK
RF

AGE

GO TO END OF JW078_1 LOOP

JW077_1
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

***END OF JW078_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS JW078_1 THROUGH JW077_1 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J085 (J085=(2-8)) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J086 (J086=3). IF NOT, CONTINUE ON TO JW158_2 BRANCHPOINT.***

END OF PENSION BLOCK-1:
(JW078_1 — JW077_1)

JW158_2 BRANCHPOINT: IF R IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (J058=3), GO TO J166 BRANCHPOINT

IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) and DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J955 BRANCHPOINT

IF R DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J955 BRANCHPOINT

IF IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J166 BRANCHPOINT
*-----------------------------Currently Employed-----------------------------

*--------BEGINNING OF EMPLOYER INFORMATION BLOCK-2: CURRENT EMPLOYER--------
(JW158_2 — JW165_2)

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS
(JW158_2 THROUGH J165_2) IS A REPLICATE OF AN EARLIER SERIES IN SECTION J
(JW158_1 —J053) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

JW158_2
IWER: READ TO ALL RESPONDENTS:
We would like to obtain complete information about fringe benefits for
employees like you. In order to do this, we need the full name and
address of your employer.

For whom do you work [now/on your main job]?
What is the (company's/organization's) name?

______________________________
COMPANY

DK RF

GO TO J166
BRANCHPOINT
What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

---

ADDRESS 1

[GO TO J161_2]

---

ADDRESS

[GO TO J166 BRANCHPOINT]

---

CITY

[GO TO J166 BRANCHPOINT]

---

STATE

[OTHER (SPECIFY)]

[GO TO J166 BRANCHPOINT]

---

ZIP

---

PHONE NUMBER

END OF EMPLOYER INFORMATION BLOCK-2------------------

(JW158_2 — JW165_2)
J166 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J166

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J167 BRANCHPOINT

*----------------Current Employment Details----------------*

NOTE: QUESTIONS J166 THROUGH J170 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER SINCE R’s LAST IW, OR FOR WHOM IT IS UNCERTAIN, (J045 NOT {1 or 3}) IS ASKED THIS SERIES, WHILE ONE WHO CHANGED A JOB TITLE, OR IT IS UNCERTAIN, (J058 {NOT 1 or NOT 3}) IS ASKED ALL BUT J166.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4TH WAVE THEREAFTER (E.G., IN 2016). THESE Rs WILL NOT BE ASKED IN 2010.

J166

IF NOT SELF-EMPLOYED (J021 NOT 2):
What kind of business or industry do you work in — that is, what do they make or do at the place where you work?

IF SELF-EMPLOYED (J021=2):
Now I have a few questions about your current business.
What industry do you work in? That is, what does your company do or make?

(What industry do you typically work in?)

__________

INDUSTRY

J167 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J168

IF R {DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J167

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J172

J167

What is the official title of your job?
(The title that your employer uses.)

__________

TITLE

J168

What sort of work do you do?
(Tell me a little more about what you do.)
J169
In what month and year did you start doing this kind of work. Please include work for previous employers?
[IWER: MONTH ENTERED MUST BE BEFORE OR IN CURRENT IW MONTH, YEAR]

J169
MONTH:
1. JAN 2. FEB 3. MAR 4. APR 5. MAY 6. JUN 7. JUL

J170
YEAR

J172
How many hours a week do you usually work [on this job/in this business]?

HOURS
GO TO J176

J173 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J179
**J173**
Do you work [the same/this] number of hours nearly every week, or do the hours you work vary a lot from week to week?

**IF VARIATION IS DUE TO NOT WORKING AT ALL IN SOME WEEKS, PROBE:**
These questions are about times when you are working.

1. SAME EACH WEEK/THIS NUMBER
2. VARY A LOT
8. DK
9. RF

GO TO J176

**J174**
How many hours would you work in a week with relatively long hours?

________________________
HOURS

**J175**
How many hours in a relatively short week?

________________________
HOURS

**J176**
How many weeks of paid vacation do you get each year?

[IWER: ENTER 996 IF R MENTIONS THAT SICK AND VACATION TIME ARE COMBINED]

________________________
AMOUNT

0
996
DK
RF

GO TO J177
ASSIGN 6 TO J177
AND GO TO J179
GO TO J179
J951
(How many weeks of paid time off do you get each year?)

[ ] 0   [ ] DK   [ ] RF

AMOUNT

ASSIGN 6 TO J952 AND GO TO J179

J952
PER TIME UNIT:

1. DAYS   2. WEEKS   4. MONTHS   6. NONE

7. OTHER (SPECIFY)   8. DK   9. RF

J953

J177 BRANCHPOINT: GO TO J179

J177
PER TIME UNIT:

1. DAYS   2. WEEKS   4. MONTHS   6. NONE

7. OTHER (SPECIFY)   8. DK   9. RF

J178

J179
READ SLOWLY:

IF R {HAD ZERO WEEKS OF VACATION and WAS ASKED} (J167={0 and NOT EMPTY): How many weeks a year do you usually work on this job?

OTHERWISE:
Counting paid vacations as weeks of work, how many weeks a year do you usually work on this job?

[IWER: COUNT PAID SICK TIME AS WORK TIME]

[IWER: THIS REFERS TO USUAL WEEKS OF WORK ON R’S CURRENT MAIN JOB]

[ ] 0   [ ] DK   [ ] RF

WEEKS

J180 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J183 BRANCHPOINT

NOTE: J703, MARGINAL EMPLOYMENT IN THE CURRENT AND 2006 WAVES, IS A SUMMARY VARIABLE COMPOSED OF THE FOLLOWING CONDITIONS:
(a) IN CURRENT IW R REPORTED THAT WORKED FOR \((10 \text{ HOURS OR LESS})\) \((J172=(0-10))\) and \((20 \text{ WEEKS OR LESS})\) \((J179=(0-20))\); and

(b) \(Z206=1\), WHICH IS THE FOLLOWING: IN R’S 2006 IW \(\{R\ \text{REPORTED \ (NOT \ WORKING) \ (J020/Z123=5)}\) or \(\{\text{WORKED \ ((10 \text{ HOURS OR LESS}) \ (2006-J172=(0-10)})\} \text{ and } \{20 \text{ WEEKS OR LESS} \ (2006-J179=(0-20)))\}\}}\).

\(J703=1\) MEANS THAT THE VARIABLE CONDITIONS ARE TRUE.

**J180**

In the last 12 months, did you miss any days from work because of your health?

1. YES  5. NO  8. DK  9. RF

**GO TO J183 BRANCHPOINT**

**J181**

About how many days did you miss?

____________________

DK  RF

DAYS

**J183 BRANCHPOINT:** IF R IS CURRENTLY SELF-EMPLOYED \((J021=2)\), GO TO J187

IF R \{REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD \{MARGINAL EMPLOYMENT OR NONE\}\} \((J703=1)\), GO TO J205

IF SICK AND VACATION TIME ARE COMBINED \((J176=996)\), GO TO J184

IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW \((J045 \text{ NOT } \{1 \text{ or } 3\})\) or R \{DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER\} AS AT LAST IW \((J058=\{\text{NOT } 1 \text{ and NOT } 3\})\), CONTINUE ON TO J183

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS \((J732>0)\), GO TO J205

**NOTE:** QUESTIONS J183 THROUGH J186 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R’S LAST IW, OR FOR WHOM IT IS UNCERTAIN, \(\{(J045 \text{ NOT } \{1 \text{ or } 3\})\) or \(\{J058 \text{ NOT } 1 \text{ and NOT } 3\})\) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’S LAST IW WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4\textsuperscript{th} WAVE THEREAFTER (E.G., IN 2016). **THESE Rs WILL NOT BE ASKED IN 2010.**

**J183**

How many days of paid sick leave at full pay do you earn each year?

[IWER: IF R SAYS SICK LEAVE IS ACCRUED IN HOURS, PROBE: HOW MANY DAYS PER YEAR WOULD THAT BE? IF LESS THAN 1 DAY PER YEAR, ENTER ‘0’]

____________________

995  NO SET NUMBER  DK  RF

DAYS
J184
Does your employer provide coverage for long-term disability on this job?

1. YES  5. NO  8. DK  9. RF

J185
On your job, do you make decisions about the pay and promotion of others?

1. YES  5. NO  8. DK  9. RF

GO TO J205

J186
For how many people do you make pay and promotion decisions?

NUMBER OF PEOPLE

DK  RF

GO TO J205

J187
Are you paid a regular salary or wages?

1. YES  5. NO  8. DK  9. RF

GO TO J194
J188
How much are you paid before taxes and other deductions?
[IWER: DO NOT PROBE DK/RF]
[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]
[IWER: USE ZERO FOR NONE]

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**GO TO J192**
**GO TO J194**

J189-J191 Unfolding Sequence
Question text: Does it amount to less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $15,000, $30,000, $75,000
RANDOM ENTRY POINTS: $15,000, $30,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X508
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J192 BRANCHPOINT: GO TO J194

J192 PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J194
Do you receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

1. YES  5. NO  8. DK  9. RF

J195 BRANCHPOINT: IF R IS PAID A REGULAR SALARY (J187=1) and R DID NOT RECEIVE NET EARNINGS OR PROFITS (J194 NOT 1), GO TO J201
J195
IF R NOT PAID REGULAR SALARY and DID NOT RECEIVE NET EARNINGS OR PROFITS (J187 and J194 NOT 1):
What did you earn last year?

IF R IS PAID REGULAR {SALARY OR WAGES} (J187=1):
In addition to your regular salary, how much do you receive from net earnings or profits?

OTHERWISE:
How much do you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]
What did you earn the last year you worked?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: USE ZERO FOR NONE]

AMOUNT

GO TO J199

GO TO J201

J196-J198 Unfolding Sequence

Question text: Does it amount to less than $___ per year, more than $___ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $3,000, $10,000, $25,000, $65,000
RANDOM ENTRY POINTS: $10,000, $25,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J199 BRANCHPOINT: GO TO J201

J199
PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J201
Including yourself, how many people work in this business?

DK  RF
J202 BRANCHPOINT: IF (R HAS SAME SPOUSE/PARTNER AS R’s LAST IW (A020=1) or R’s FORMER PARTNER IS NOW HIS/HER SPOUSE (A020=3) and R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2)) or R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3) or ONLY ONE PERSON WORKS IN THE BUSINESS (J201=1), GO TO J248

J202
Does your [husband/wife/partner] do any work for the business?

1. YES  5. NO  8. DK  9. RF

GO TO J248

J203
How many hours a week does [he/she] usually work for the business?

DK  RF

NUMBER OF HOURS

J204
Is [he/she] paid a regular salary?

1. YES  5. NO  8. DK  9. RF

GO TO J248

J205
Are you salaried on this job, paid by the hour, or what?

1. SALARIED  2. HOURLY  3. PIECEWORK/COMMISSION

GO TO J216  GO TO J225

7. OTHER/COMBINATION  8. DK  9. RF

GO TO J229 BRANCHPOINT  GO TO J230  GO TO J234 BRANCHPOINT

J206
How much is your salary, before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF RESPONDENT IS A TEACHER, RECORD ANNUAL SALARY]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]
J207-J209 Unfolding Sequence

Question text: Does it amount to less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $25,000, $50,000, $100,000
RANDOM ENTRY POINTS: $25,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J210 BRANCHPOINT: GO TO J212 BRANCHPOINT

J210

[IWER: PROBE IF NECESSARY]
Is that per hour, week, month, or year?
PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J212 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT
J212
If you were to work more hours than usual during some weeks, would you get paid for those extra hours, get time off later, or get no compensation for the extra hours?

[IWER: IF TWO DIFFERENT RATES ARE GIVEN (E.G., DEPENDING ON DAY OF WEEK) PROBE FOR MOST FREQUENTLY USED RATE]

1. EXTRA PAY
2. TIME OFF
3. NO COMPENSATION
6. DON'T WORK OVER TIME (VOL)
8. DK
9. RF

GO TO J234 BRANCHPOINT

J213
About how much would you make per hour for those extra hours?

1. TIME AND HALF
2. DOUBLE TIME
3. STRAIGHT TIME OR REGULAR WAGE
4. PER HOUR AMOUNT
7. OTHER (SPECIFY)

J215 BRANCHPOINT: IF R’S OVERTIME RATE WAS NOT A PER HOUR AMOUNT (J213 NOT 4), GO TO J234 BRANCHPOINT

J215
[IWER: PROBE IF NECESSARY]
How much would you make per hour for each extra hour?

[IWER: BE SURE TO ENTER .00 FOR CENTS IF THERE ARE NONE]

AMOUNT

DK
RF

GO TO J234 BRANCHPOINT
J216
What is your hourly wage rate for regular work time?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]


AMOUNT

GO TO J220
BRANCHPOINT

J217-J219 Unfolding Sequence
Question text: Does it amount to less than $___ per hour, more than $___ per hour, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $7.00, $11.00, $16.00, $26.00
RANDOM ENTRY POINTS: $11.00, $16.00
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J220 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT
IF R DID NOT REPORT HOURLY WAGE RATE (J216 ={DK or RF}), GO TO J223

J220
What is your hourly wage rate for overtime?

[IWER: IF TWO DIFFERENT RATES ARE GIVEN (E.G., DEPENDING ON DAY OF WEEK) PROBE FOR MOST FREQUENTLY USED RATE]

1. TIME AND A HALF
2. DOUBLE TIME
3. STRAIGHT TIME OR REGULAR WAGE
4. AMOUNT PER HOUR

GO TO J223

5. GET TIME OFF
6. DON'T WORK OVERTIME
7. OTHER (SPECIFY)

GO TO J223
GO TO J234
BRANCHPOINT
GO TO J223
J222
(What is your hourly wage rate for overtime?)

[IWER: BE SURE TO ADD .00 FOR CENTS IF THERE ARE NONE]

________________________
0  DK  RF

AMOUNT PER HOUR

J223
During the last year, in how many weeks did you work some overtime?

________________________
0  DK  RF

WEEKS

GO TO J234 BRANCHPOINT

J224
Are you required to work overtime, or is it pretty much up to you?

1. REQUIRED  2. SOMETIMES REQUIRED  3. UP TO REQUIRED  8. DK  9. RF

GO TO J234 BRANCHPOINT

J225
What does that amount to, before taxes and other deductions, in an average week or month?

________________________
0  DK  RF

AMOUNT

GO TO J234 BRANCHPOINT

J226
[IWER: PROBE IF NECESSARY]

Is that per week or month?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J227
J228 Branchpoint: If R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 Branchpoint

J228
If you worked an extra hour, how much would you earn for that?
[IWER: BE SURE TO ADD .00 FOR CENTS IF THERE ARE NONE]

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<td>0 NO EXTRA PAY OR TIME OFF</td>
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| DK | RF |

J229 Branchpoint: If "OTHER/COMBINATION" was not selected for how R was paid (J205 not 7), GO TO J234 Branchpoint

J229
How are you paid?

| HOW PAID |

| DK | RF |

J230
How much are you paid before taxes and other deductions?
[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

| AMOUNT |

| 0 | DK | RF |

| GO TO J234 BRANCHPOINT |

J231
[IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?

PER:

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<th>1. HOUR</th>
<th>2. WEEK</th>
<th>3. EVERY TWO WEEKS/Bi-WEEKLY</th>
<th>4. MONTH</th>
<th>5. TWICE A MONTH</th>
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<tr>
<td>6. YEAR</td>
<td>7. OTHER (SPECIFY)</td>
<td>8. DK</td>
<td>9. RF</td>
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</table>

J232
J233 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD (MARGINAL EMPLOYMENT OR NONE)} (J703=1), GO TO J244 BRANCHPOINT

If you worked an extra hour, how much would you earn for that hour? [IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

- 0 NO EXTRA PAY OR TIME OFF
- 99995 GET TIME OFF INSTEAD
- DK
- RF

J234 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD (MARGINAL EMPLOYMENT OR NONE)} (J703=1), GO TO J244 BRANCHPOINT

[Not counting overtime hours, could/Could] you reduce the number of paid hours in your regular work schedule?

- 1. YES
- 5. NO
- 8. DK
- 9. RF

J235 BRANCHPOINT: IF R IS ABLE TO REDUCE WORK HOURS (J234=1) and R WORKS {30 OR MORE} HOURS PER WEEK (J172 \geq 30), GO TO J940

IF R IS ABLE TO REDUCE WORK HOURS (J234=1) and R DID NOT REPORT WORKING AT LEAST 30 HOURS PER WEEK (J172 \lt 30 or =(DK or RF)), GO TO J241

Would you like to do so even if your earnings were reduced in the same proportion?

- 1. YES
- 5. NO
- 8. DK
- 9. RF

GO TO J241
J236
How many hours would you like to work each week?

NUMBER OF HOURS

DK  RF

GO TO J241

J940
If you wanted to work half time on this job, would your employer allow you to do that?

1. YES  3. ONLY TEMPORARILY  5. NO  8. DK  9. RF

GO TO J241

J941
If you reduced to half time, would your pay be cut in proportion to your reduction in hours, would it be cut more than that, or would it be cut less than that?

1. CUT IN PROPORTION  2. CUT MORE  3. CUT LESS  8. DK  9. RF

J942
If you reduced to half time, would your health insurance benefits be reduced, eliminated, or kept the same?

1. REDUCED  2. ELIMINATED  3. KEPT THE SAME  6. DOESN'T HAVE HEALTH INSURANCE BENEFITS  8. DK  9. RF

J943
(If you reduced to half time,) would your eligibility for pension benefits be affected?

1. YES  5. NO  6. DOESN'T HAVE PENSION BENEFITS  8. DK  9. RF
J241
Could you increase the number of paid hours in your regular work schedule?

1. YES  5. NO  8. DK  9. RF

GO TO J244 BRANCHPOINT

J242
Would you like to do so if your earnings were increased in the same proportion?

1. YES  5. NO  8. DK  9. RF

GO TO J244 BRANCHPOINT

J243
How many hours would you like to work each week?

NUMBER OF HOURS

J244 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J244

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J263 BRANCHPOINT

NOTE: QUESTIONS J244 THROUGH J255 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER, OR FOR WHOM IT IS UNCERTAIN, SINCE R’s LAST IW (J045 NOT {1 or 3}) IS ASKED THIS SERIES. IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4TH WAVE THEREAFTER (E.G., IN 2016). THESE Rs WILL NOT BE ASKED IN 2010.

J244
About how many employees work for this company or organization at the location where you work?

[IWER: DO NOT PROBE DK/RF]

NUMBER OF EMPLOYEES

GO TO J246

J245
Is it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?
About how many employees work for this company or organization \textbf{at all locations}?

[IWER: DO NOT PROBE DK/RF]

[IWER: ENTER '-2' IF ONLY ONE LOCATION]

[IWER: IF R ASKS, EMPLOYEES WORKING AT HOME SHOULD BE INCLUDED.]

\begin{center}
\begin{tabular}{c}
\textbf{NUMBER OF EMPLOYEES} \\
\hline
\textbf{DK} & \textbf{RF} \\
\hline
\end{tabular}
\end{center}

\textbf{GO TO J248}
In what month and year did you start working for [this business/this employer]?

IF THIS IS A REINTERVIEW R (Z076=1):
[IWER: IF DATE GIVEN IS BEFORE R’s LAST IW MONTH, YEAR, PLEASE VERIFY WITH R]

IF R IS NOT SELF-EMPLOYED (J021 NOT 2):
[IWER: IF R WORKED MORE THAN ONCE FOR THIS EMPLOYER, ASK ABOUT FIRST HIRE]

J248
MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL


J249
YEAR

J252 BRANCHPOINT: IF THIS IS NOT A REINTERVIEW R (Z076 NOT 1), GO TO J257 BRANCHPOINT

IF WE DON’T KNOW YEAR WHEN R {STOPPED LAST JOB or STARTED CURRENT JOB} ({J064 or J249}={DK or RF or EMPTY}), GO TO J257 BRANCHPOINT

IF YEAR R STOPPED WORKING FOR PREVIOUS EMPLOYER WAS {SAME OR BEFORE} YEAR R STARTED WORKING FOR CURRENT EMPLOYER (J064 ≤ J249), GO TO J257 BRANCHPOINT

You told us that you started working for your current [business/employer] in YEAR BEGIN CURRENT EMPLOYER (per J249) and left your previous job in YEAR LEAVE PREVIOUS EMPLOYER per {J064 or J024}.

Is that correct?

1. YES  5. NO  8. DK  9. RF

GO TO J257 BRANCHPOINT
J253 Did you leave your previous employer in YEAR LEAVE PREVIOUS EMPLOYER per {J064 or J024}.

1. YES  5. NO  8. DK  9. RF

GO TO J255

J254 In what year did you stop working at your previous job?

________

YEARS

9998. DK  9999. RF

J255 Did you start your current job in YEAR BEGIN CURRENT EMPLOYER (per J249)?

1. YES  5. NO  8. DK  9. RF

GO TO J257 BRANCHPOINT

GO TO J257 BRANCHPOINT

J256 In what year did you start working at your current job?

________

YEARS

9998. DK  9999. RF

J257 BRANCHPOINT: IF THIS IS REINTERVIEW R (Z076=1), GO TO J260 BRANCHPOINT
J257
What was your starting pay, before taxes and other deductions?

<table>
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<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GO TO J260 BRANCHPOINT

J258
[IWER: PROBE IF NECESSARY]
Was that per hour, week, month or year?

PER:

<table>
<thead>
<tr>
<th>1. HOUR</th>
<th>2. WEEK</th>
<th>3. EVERY TWO WEEKS/BI-WEEKLY</th>
<th>4. MONTH</th>
<th>5. TWICE A MONTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. YEAR</td>
<td>7. OTHER (SPECIFY)</td>
<td>8. DK</td>
<td>9. RF</td>
<td></td>
</tr>
</tbody>
</table>

J260 BRANCHPOINT: IF R (REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}) (J703=1), GO TO J848 (AFTER J266)

IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3) and R DID NOT REPORT THAT CURRENT WORK IS BEING CREDITED TO SAME PENSION PLAN AS AT R’s LAST IW (J083=(5 or DK or RF)), GO TO J848 (AFTER J266)

IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3) and R’s CURRENT WORK IS BEING CREDITED TO SAME PENSION PLAN AS AT R’s LAST IW (J083=1), GO TO J848 (AFTER J266)

IF R WORKS FOR SAME EMPLOYER AS R’S LAST IW (J045={1 or 3}), GO TO J263 BRANCHPOINT

IF R IS NOT REINTERVIEW R, GO TO J263 BRANCHPOINT

IF R STARTED WORKING FOR CURRENT EMPLOYER LESS THAN 2 MONTHS FROM {MONTH and YEAR} OF CURRENT IW (per J248&J256 or J248&J249) or WE DON’T KNOW {MONTH or YEAR} WHEN R STARTED CURRENT JOB (J248 or J249)=(DK or RF or EMPTY)), GO TO J263 BRANCHPOINT
J260

Were there any months since [MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/R's LAST IW MONTH, YEAR/in the last two years] when you did not work for this [business/employer]?

1. YES  5. NO  8. DK  9. RF

GO TO J263 BRANCHPOINT
***BEGINNING OF JW777_4 LOOP: QUESTIONS JW777_4 AND JW778_4 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS.***

JW777_4

Were there any months in ELIGIBLE YEAR when you did not work for this [business/employer]?

1. YES  5. NO  8. DK  9. RF

GO TO END OF JW777_4 LOOP

JW778_4

Which months in ELIGIBLE YEAR were those (when you did not work for this [business/employer])?

[IWER: CHOOSE ALL THAT APPLY]

[IWER: ONLY ELIGIBLE MONTHS WILL APPEAR ON THE LIST]

[IWER: YOU MUST ENTER MONTH(S) FOR THIS QUESTION. IF YOU HAVE GOTTEN THIS QUESTION IN ERROR, BACK UP AND CHANGE ANSWER TO PREVIOUS QUESTION TO 'NO']

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL


14. ALL MONTHS DISPLAYED  15. ALL MONTHS DISPLAYED  98. DK  99. RF

NOTE: THE ONLY MONTHS AND YEARS PRESENTED TO THE IWER ARE THOSE FROM R’s LAST IW UNTIL THE DATE R STOPPED WORKING FOR THAT EMPLOYER/BUSINESS. CODES 13, 14, AND 15 ARE NOT SHOWN IN THE DATA AND SHOULD NOT BE USED FOR ANALYSIS PURPOSES. THEY WILL ONLY SHOW IN THE CODEFRAME AS A CONVENIENCE FOR INTERVIEWERS WHEN APPROPRIATE.

***END OF JW777_4 LOOP: QUESTIONS JW777_4 AND JW778_4 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS. OTHERWISE, CONTINUE***

JW786_4

BRANCHPOINT: IF {YEAR R STARTED CURRENT JOB} (per J256/J249) IS THE SAME or AFTER THE PREVIOUS HRS WAVE, GO TO J263 BRANCHPOINT
Were there any months between [MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/R's LAST IW MONTH, YEAR/in the last two years] and January [FIRST YEAR OF PREVIOUS HRS WAVE DATA COLLECTION] when you did not work for this [business/employer]?

1. YES  5. NO  8. DK  9. RF

J263 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J848 (AFTER J266)

IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J848 (AFTER J266)

J263
Has your employer experienced a permanent reduction in employment since [MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/R's LAST IW MONTH, YEAR/YEAR 2 YEARS AGO]? [IWER: CODE ‘DOWNSIZING’ AND PERMANENT LAYOFFS AS YES; CODE TEMPORARY LAYOFFS AS NO]

1. YES  5. NO  8. DK  9. RF

GO TO J720 BRANCHPOINT

J264
Has this downsizing affected workers whose jobs are similar to yours?

1. YES  5. NO  8. DK  9. RF

J720 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J720

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J731>0), GO TO J266 BRANCHPOINT

NOTE: QUESTIONS J720 AND J721 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER, OR FOR WHOM IT IS UNCERTAIN, SINCE R’s LAST IW (J045 NOT (1 or 3)) IS ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW WILL BE ASKED THIS SERIES IN 2010, AND EVERY 3rd WAVE THEREAFTER (E.G., IN 2012); THEY WERE LAST ASKED IN 2006.

J720
Are you employed by the government at the federal, state, or local level?

This includes teachers and other service workers who are on the payrolls of local government, school districts, and other agencies of state and local government.

1. YES  5. NO  8. DK  9. RF
J721

Would that be the federal, state, or local government?

1. FEDERAL  2. STATE  3. LOCAL (COUNTY, CITY, TOWNSHIP)

J266 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R (DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER) AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J266.

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J848 (AFTER J266).

NOTE: J266 IS ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R’s LAST IW, OR FOR WHOM IT IS UNCERTAIN, ({J045 NOT {1 or 3}) or {J058 {NOT 1 and NOT 3}}) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4TH WAVE THEREAFTER (E.G., IN 2016). THESE Rs WILL NOT BE ASKED IN 2010.

J266

Are you covered by a union or employee-association contract?

1. YES  5. NO  8. DK  9. RF
J848

IF NOT SELF-EMPLOYED (J021 NOT 2):
Now I’d like to ask about pension or retirement plans on your job, sponsored by your employer or union. This includes not only basic pension or retirement plans, but also tax-deferred plans like thrift, savings, 401K, deferred profit-sharing, or stock ownership plans.

Does your firm or union offer you a retirement plan or tax-deferred savings plan as part of your benefits package?

IF SELF-EMPLOYED (J021=2):
Now I’d like to ask about pension or retirement plans on your job.

Aside from IRAs not sponsored by your business or KEOGH plans, does your business offer you a retirement plan or tax-deferred saving plan as part of your benefits package?

[IWER: IF R MENTIONS IRA NOT SPONSORED BY EMPLOYER OR KEOGH PLANS OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:]
We will ask about these later in the interview. Here, I just want to find out about other plans operated through your [business/employer].

1. YES  5. NO  8. DK  9. RF

GO TO J325
BRANCHPOINT

GO TO J955
BRANCHPOINT

J849

Are you participating in the plan?

1. YES  5. NO  8. DK  9. RF

GO TO J855
BRANCHPOINT

GO TO J955
BRANCHPOINT
J325 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J955 BRANCHPOINT

IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J955 BRANCHPOINT

IF R WORKS FOR SAME EMPLOYER AS AT R’s LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER’S PENSION PLAN AT R’s LAST IW (Z133=1), GO TO J854

IF R REPORTED IS NOT PARTICIPATING IN THE PLAN (J849={5 or 8}), GO TO J326

J325

Does your employer offer any (such) retirement plans?

1. YES  5. NO  8. DK  9. RF  

GO TO J955 BRANCHPOINT

J326

Are you eligible to be included in any of these plans?

1. YES  5. NO  6. ELIGIBLE IF WORKED FULL-TIME  8. DK  9. RF  

GO TO J330 BRANCHPOINT  

GO TO J955 BRANCHPOINT

J850

In some firms workers who want to participate in their pension plan have to sign up for the plan. In other firms workers are automatically enrolled and if they don't want to participate they have to withdraw from the plan.

Which is it with your employer?

1. HAVE TO SIGN UP  3. AUTOMATICALLY ENROLLED  8. DK  9. RF

J851

Do you have a pension account at your firm that you are not currently contributing to?

1. YES  5. NO  8. DK  9. RF

J852

What is the name of the plan that you would have been most likely to participate in?
NAME OF PLAN

J328

Do the plans that your employer offers include a 401K plan, a Supplemental Retirement Account (SRA), a defined benefit plan, a defined contribution plan, or what?

(What does your employer call it?)

[IWER: CHOOSE ALL THAT APPLY]

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS, DO NOT INCLUDE THIS AS A PLAN.]

<table>
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<td>16. CASH BALANCE PLAN</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
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J908 BRANCHPOINT: IF OTHER (SPECIFY) NOT CHOSEN (J328 NOT 97), GO TO J327

J908

(What type of plan is this? What does your employer call it?)

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS, THIS IS NOT A PENSION PLAN. GO BACK TO J328 AND UNCHECK OTHER-SPECIFY]
(Could you tell me a little more about the retirement plan(s) offered by your employer?)

What kind of plans are these?

(In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.)

Are these plans of Type A, Type B, or both?

**DEFINITION:** 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS. TYPE A PLANS ARE OFTEN CALLED “DEFINED BENEFIT” PLANS. TYPE B PLANS ARE OFTEN CALLED “DEFINED CONTRIBUTION.”

1. TYPE A (FORMULA) 2. TYPE B (ACCOUNT) 3. BOTH A & B 8. DK 9. RF

**J330 BRANCHPOINT:** IF R IS ELIGIBLE TO BE INCLUDED IN JOB’S RETIREMENT PLANS (J326=1) and {IT IS TYPE A PLAN or DK or RF (J327={1 or DK or RF})}, GO TO J331

IF R IS NOT ELIGIBLE TO BE INCLUDED IN JOB’S RETIREMENT PLANS (J326=5), GO TO J332

IF R IS ELIGIBLE TO BE INCLUDED IN JOB’S RETIREMENT PLANS ONLY IF WORKS FULL-TIME (J326=6), GO TO J955 BRANCHPOINT

J330

Does your employer make contributions to any such plans?

1. YES 5. NO 8. DK 9. RF

J331

Do you intend to participate in any such plan at some time in the future?

1. YES 5. NO 8. DK 9. RF

GO TO J955 BRANCHPOINT

J332

Will you be eligible if you continue to work for this employer?

1. YES 3. DEPENDS (SPECIFY) 5. NO 8. DK 9. RF

J333

GO TO J955 BRANCHPOINT

J334

How many more years will you have to work for this employer before you will be included in a pension plan?
*------------------Pension Types and Benefits------------------
(J854-J902)

J854
According to our records, in R's LAST IN MONTH, YEAR you reported one or more retirement plans through your current [employment/business].

Was your plan terminated, are you not contributing to your plan, are you not accumulating more benefits or years of service, are our records inaccurate, or what?

1. PLAN TERMINATED
2. NOT CONTRIBUTING
3. NOT ACCUMULATING MORE BENEFITS OR YEARS OF SERVICE
4. RECORD INACCURATE

GO BACK TO J325

7. OTHER (SPECIFY)

J906

GO TO J955 BRANCHPOINT

J888
Do you still expect to receive benefits from any plan through your current employment?

1. YES
2. NO
3. DK
4. RF

GO TO J335

GO TO J955 BRANCHPOINT
J855 BRANCHPOINT: IF R WORKS FOR SAME EMPLOYER AS AT R’s LAST IW (J045=(1 or 3)) and WAS INCLUDED IN THAT EMPLOYER’S PENSION PLAN AT R’s LAST IW (Z133=1), CONTINUE ON TO J855

IF R IS SELF-EMPLOYED NOW (J021=2) and WAS AT R’s LAST IW (Z136=2) and HAD A PENSION PLAN THEN (Z133=1) and HAD THIS SAME JOB AT LAST IW ((START DATE FOR CURRENT JOB per J248&J256 or J248&J249) ≤ {R’s LAST IW (MONTH (per Z092) and YEAR (per Z093))}) and WE KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ((J248 or J249) NOT (DK or RF or EMPTY)), CONTINUE ON TO J855

OTHERWISE, GO TO J335

J855
Has a new pension been offered to you by your firm since [R's LAST IW MONTH, YEAR/YEAR 2 YEARS AGO]?

1. YES  5. NO  8. DK  9. RF

GO TO J335

J856
Are you participating in that plan?

1. YES  5. NO  8. DK  9. RF

J335
IF R IS PARTICIPATING IN NEW PLAN (J856=1):
Altogether, in how many different plans are you included on this job?

IF R EXPECTING TO RECEIVE BENEFITS (J888=1):
From how many of this employer’s plans do you expect to receive benefits?

OTHERWISE:
In how many different plans are you included on this job?

NUMBER OF PLANS
0  DK  RF

GO TO BEGINNING OF J858 LOOP

GO TO J336
GO TO J955 BRANCHPOINT

J336 BRANCHPOINT: IF R REPORTED ZERO NUMBER OF PLANS (J335=0), GO BACK TO PENSION COVERAGE QUESTION (J849) AND CHANGE IT TO "5. NO"
J336

Is this just one plan or more than one?

1. ONE PLAN  3. MORE THAN ONE  8. DK  9. RF

GO TO J955 BRANCHPOINT

***BEGINNING OF CURRENT PENSION BLOCK***

(J858 — J819)

***BEGINNING OF J858 LOOP: QUESTIONS J858 THROUGH J819 (BEFORE J431 BRANCHPOINT) ARE ASKED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT J335 (J335 ≥ 1) or FOR UP TO TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J336 (J336) or FOR ONE PLAN IF R SAID DIDN’T KNOW AT J336 (J336=8).***

J858

IF THIS IS THE FIRST PLAN and R HAS MORE THAN ONE PLAN (J335 ≥ 2 or J336=3):
Now I have some questions about the most important of these plans.

What is the name of this plan?

IF THIS IS THE {SECOND, THIRD OR FOURTH} PLAN (J335 ≥ 2) or R HAS MORE THAN ONE PLAN (J336=3):
Now I have some questions about the next most important of these plans.

What is the name of this plan?

IF R HAS ONLY ONE PLAN ((J335 or J336)=1):
What is the name of this plan?

NAME OF PLAN
### J393

Is it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?

(What does your employer call it?)

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THE MOST IMPORTANT PLAN RATHER THAN COMBINING THEM AS ‘COMBINATION PLAN’.]

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS SELECT CODE 96. NOT A PENSION]

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<td>98. DK</td>
<td>99. RF</td>
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GO TO END OF J858 LOOP

### J890 BRANCHPOINT: IF OTHER (SPECIFY) NOT CHOSEN (J393 NOT 97), GO TO J338

### J890

(What type of plan is this? What does your employer call it?)

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS, THIS IS NOT A PENSION PLAN. GO BACK TO J393 AND SELECT CODE 96. NOT A PENSION]

DK RF

SPECIFY
J338

(Could you tell me a little more about this plan?)

I would like to know what type of plan this is.

READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

ASK ALL Rs:
Is your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS ‘BOTH’.

DEFINITION: 401-K, 403-B, 457, ESOP, SRA, (TSP) THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, SEP/SIMPLE, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS. TYPE A PLANS ARE OFTEN CALLED “DEFINED BENEFIT” PLANS. TYPE B PLANS ARE OFTEN CALLED “DEFINED CONTRIBUTION.”

J863

Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you have.

Are you very certain, somewhat certain or not certain at all that your plan is (a) [TYPE OF PLAN per J338]?

1. VERY CERTAIN  2. SOMEWHAT CERTAIN  3. NOT CERTAIN AT ALL  8. DK  9. RF
J864 BRANCHPOINT: IF NO PENSION PRELOADED (Z133 NOT 1), GO TO J352

IF R REPORTED STILL EXPECT TO RECEIVE BENEFITS (J888=1), GO TO J352

IF J864 WAS ASKED BEFORE AND ANSWER WAS YES, GO TO J945

IF R WORKS FOR SAME EMPLOYER AS AT R’s LAST IW (J045={1 or 3}) and R IS INCLUDED IN EMPLOYER’s NEW PLAN (J856=1) and HAS MORE THAN ONE PLAN (J335>1 or J336=3), CONTINUE ON TO J864

IF R IS SELF-EMPLOYED NOW (J021=2) and WAS AT R’s LAST IW (Z136=2) and HAD THIS SAME JOB AT LAST IW ((START DATE FOR CURRENT JOB per J248&J256 or J248&J249) ≤ (R’s LAST IW MONTH (per Z092) and YEAR (per Z093))) and WE KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ((J248 or J249) NOT (DK or RF or EMPTY)) and R IS INCLUDED IN EMPLOYER’s NEW PLAN (J856=1) and HAS MORE THAN ONE PLAN (J335>1 or J336=3), CONTINUE ON TO J864

OTHERWISE, GO TO J945 BRANCHPOINT

J864

Is this the new pension plan that was offered to you by your employer (business)?

1. YES  5. NO  8. DK  9. RF

GO TO J352

J945 BRANCHPOINT: IF R WORKS FOR SAME EMPLOYER AS AT R’s LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER’S PENSION PLAN AT R’s LAST IW (Z133=1) and PARTICIPATING IN PENSION PLAN (J849=1), CONTINUE ON TO J945

OTHERWISE, GO TO J352

J945

Have the rules that govern [that plan/your [NAME OF PLAN per (J393)]]'s benefits or the age you can receive them changed since [R’s LAST IW MONTH, YEAR/2 YEARS AGO]?  

NOTE: FOR THE FILL, USE THE PLAN NAME FROM (J393) IF THE PLAN NAME AND PLAN TYPE (J338) ARE IN AGREEMENT. USE "plan" IF THEY ARE CONTRADICTORY.

1. YES  5. NO  7. DENIES BEING COVERED BY THE PLAN  8. DK  9. RF

GO TO J352  GO TO END OF J858 LOOP  GO TO J352  GO TO END OF J858 LOOP

J866

How did the rules change? Was your plan terminated, did the firm freeze the benefits from that plan, have you not contributed to the plan (since [R’s LAST IW MONTH, YEAR/2 YEARS AGO]), or what?
In what month and year did your employer close/terminate/freeze this plan?

[JWER: MONTH ENTERED MUST BE BEFORE OR IN CURRENT IW MONTH, YEAR]

**J922**

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<th>MONTH:</th>
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<td>1. JAN</td>
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<td>12. DEC</td>
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<tr>
<td>98. DK</td>
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<tr>
<td>99. RF</td>
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</table>

**J923**

DK RF

**J924**

Branchpoint: If R reported frozen benefits (J866=2), continue otherwise, go to J925

Are you still accumulating benefits or years of service with this frozen plan?

| 1. YES | 5. NO | 8. DK | 9. RF |

**J925**

Did your employer offer a new replacement plan or enhance an existing plan?

| 1. YES | 5. NO | 8. DK | 9. RF |
J867
IF FROZEN BENEFITS (J866=2):
Do you still expect to receive benefits either from the frozen plan or from its replacement?

OTHERWISE:
Do you still expect to receive benefits from that plan?

1. YES  5. NO  8. DK  9. RF

GO TO END OF J858 LOOP

J352
For how many years altogether have you been included in this plan?
[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

0-50
YEARS IN PLAN

J820 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J353

IF R WORKS FOR SAME EMPLOYER AS AT R’s LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER’S PENSION PLAN AT R’s LAST IW (Z133=1) and J864 NE 1, GO TO J353

J820
When you became eligible to participate in the company’s retirement or pension plans, were you given a choice of whether to participate or were you enrolled automatically?

1. HAD CHOICE TO PARTICIPATE  2. WAS ENROLLED AUTOMATICALLY  8. DK  9. RF
J353

At what age do you expect to start receiving benefits from [that plan/your [NAME OF PLAN per (J393)]]?

[IWer: If R mentions age and some number of months, e.g., 59 and ½, enter age here and go to the next screen to enter months]

Note: For the fill, use the plan name from (J393) if the plan name and plan type (J338) are in agreement. Use "plan" if they are contradictory.

agy

40-94 AGE

GO TO J354

GO TO J357

GO TO J367

BRANCHPOINT

J903

[IWer: If R reports age and months, enter number of months]

[IWer: If R did not mention months, press [enter] to continue]

1-12 NUMBER OF MONTHS

GO TO J926

J354

[IWer: Enter number of years until benefits start]

DK

RF

0-40 YEARS

GO TO J367

BRANCHPOINT

J926

Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.

Once you become eligible to receive benefits, can you take some or all of your benefits as a one time cash payment?

1. YES

5. NO

8. DK

9. RF

GO TO J928
J927
(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

Can you take all of your benefits as a one time cash payment or only some?

1. ALL  5. ONLY SOME  8. DK  9. RF

J928
(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

Once you become eligible to receive benefits, can you keep some money in your account to take out whenever you want?

1. YES  5. NO  8. DK  9. RF

J929
(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

(Once you become eligible to receive benefits,) can you receive regular payments for as long as you live?

1. YES  5. NO  8. DK  9. RF

GO TO J931
BRANCHPOINT

J930
(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

(Once you become eligible to receive benefits,) can you receive regular payments for a fixed period of time?

1. YES  5. NO  8. DK  9. RF
J931 ASSIGNMENT

THE FOLLOWING CODES ARE ASSIGNED TO J931 BASED ON R's RESPONSES TO J926- J930.

1. ONE TIME (LUMP SUM) PAYMENT: IF R REPORTED CAN TAKE SOME OR ALL OF BENEFITS AS ONE TIME CASH BENEFITS ONLY J926=1 and J928=(5 or DK or RF) and J929=(5 or DK or RF) and J930=(5 or DK or RF)

2. KEEP MONEY IN ACCOUNT TO TAKE OUT LATER: IF R REPORTED CAN KEEP MONEY IN ACCOUNT ONLY J928=1 and J926=(5 or DK or RF) and J929=(5 or DK or RF) and J930=(5 or DK or RF)

3. REGULAR PAYMENTS (MONTHLY OR ANNUALLY): IF R REPORTED CAN RECEIVE REGULAR PAYMENTS ONLY (J929=1 or J930=1) and J926=(5 or DK or RF) and J928=(5 or DK or RF)

J931 BRANCHPOINT: IF ONLY ONE 'YES' SELECTED IN J926, J928, J929 and J930, ASSIGN J931 AS SPECIFIED ABOVE, AND GO TO J947 BRANCHPOINT

IF 'YES' NOT SELECTED IN ANY OF J926, J928, J929 or J930, GO TO J947

J931 Which of these options do you plan to take advantage of -- [one time (lump sum) payment, keep money in account to take out later, regular payments (monthly or annually)/one time (lump sum) payment/keep money in account to take out later/ regular payments (monthly or annually)]?

[IWER: CHOOSE ALL THAT APPLY]

1. ONE TIME (LUMP SUM) PAYMENT

2. KEEP MONEY IN ACCOUNT TO TAKE OUT LATER

3. REGULAR PAYMENTS (MONTHLY OR ANNUALLY)

8. DK

9. RF

GO TO J367 BRANCHPOINT
J947 BRANCHPOINT: IF R PLANS TO TAKE REGULAR PAYMENTS or R DOESN’T KNOW HOW THEY PLAN TO TAKE PAYMENTS (J931=3 or 8), CONTINUE

OTHERWISE, GO TO J363 BRANCHPOINT

J947

IF DK SELECTED IN J931 or IF ‘YES’ NOT SELECTED IN ANY OF (J926, J928, J929 or J930) or IF J929=1 ONLY (THAT IS, J929=1 and J926 NOT 1 and J928 NOT 1):
Assuming you take it all as a regular payment, how much do you expect to take as regular payments, either as a percent of your pay at retirement or as an amount per month or year?

OTHERWISE:
For the part that you take as a regular payment, how much do you expect to take as regular payments, either as a percent of your pay at retirement or as an amount per month or year?

[IWER: IF R HAS TROUBLE PROJECTING AN AMOUNT OR PERCENT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]
Taking all of that into consideration, what do you EXPECT to receive?

<table>
<thead>
<tr>
<th>1. PERCENT OF PAY</th>
<th>2. AMOUNT PER MONTH/YEAR</th>
<th>8. DK</th>
<th>9. RF</th>
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<tr>
<td>GO TO J357</td>
<td>GO TO J358</td>
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</table>
J356

(What percent of your final pay at retirement is that?)

[IWER: IF R HAS TROUBLE PROJECTING A PERCENT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]
Taking all of that into consideration, what do you EXPECT to receive?

[IWER: ENTER PERCENT OF FINAL PAY AT RETIREMENT]

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>PERCENT</th>
<th>DK</th>
<th>RF</th>
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GO TO J944 BRANCHPOINT (AFTER J362)

J789-J791 Unfolding Sequence

Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 20%, 40%, 60%, 80%
RANDOM ENTRY POINTS: 40%, 60%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J357 BRANCHPOINT: GO TO J944 BRANCHPOINT (AFTER J362)
IF R IS ALREADY RECEIVING BENEFITS (J353=97):
How much are you receiving?

OTHERWISE:
How much do you expect or plan to receive?

[IWER: IF R HAS TROUBLE PROJECTING AN AMOUNT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]
Taking all of that into consideration, what do you EXPECT to receive?

[IWER: DO NOT PROBE DK/RF]

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<th>RF</th>
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GO TO J361

J358-J360 Unfolding Sequence

Question text: Do you expect (does it amount to) less than $___ per year, more than $___ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $6,000, $12,000, $24,000, $36,000
RANDOM ENTRY POINTS: $12,000, $24,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J361 BRANCHPOINT: GO TO J944 BRANCHPOINT (AFTER J362)

J361

[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

<table>
<thead>
<tr>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>7. OTHER (SPECIFY)</th>
<th>8. DK</th>
<th>9. RF</th>
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J944 BRANCHPOINT: IF R REPORTED CAN NOT RECEIVE BENEFITS AS LONG AS S/HE LIVES (J929=5), GO TO J936
J944
IF R RECEIVING BENEFITS NOW (J353=97):
Do you expect to receive pension benefits from [that plan/your [NAME OF PLAN per (J393)]] for as long as you live?

OTHERWISE:
When you become eligible to receive benefits, do you expect to receive pension benefits from [[that plan/your [NAME OF PLAN per (J393)]] for as long as you live?

1. YES  5. NO  8. DK  9. RF

GO TO J363 BRANCHPOINT

GO TO J363 BRANCHPOINT

J936
For how many years do you expect to receive benefits?

YEARS

DK  RF

J363 BRANCHPOINT: IF R PLANS TO TAKE ONE TIME PAYMENT (J931=1), CONTINUE OTHERWISE, GO TO J932 BRANCHPOINT

J363
How much do you plan to take as a one-time payment?

AMOUNT

DK  RF

GO TO J932 BRANCHPOINT

J364-J366 Unfolding Sequence
Question text: Do you expect less than $____, more than $____, or what?

PROCEDURES: 1Up1Down 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR (NOT 1) AT X502
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
J932 BRANCHPOINT: IF R PLANS TO KEEP MONEY IN ACCOUNT (J931=2), CONTINUE
OTHERWISE, GO TO J367 BRANCHPOINT

J932

How much do you expect to leave in the account to take out later?

__________ AMOUNT

GO TO J367 BRANCHPOINT

J933-J935 Unfolding Sequence

Question text: Do you expect less than $___, more than $____, or what?

PROCEDURES: 1Up1Down 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT XXXX
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J367 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE B/DEFINED CONTRIBUTION PLAN
(J393={1 or 2 or 4-14} AND 338=2), GO TO J946 BRANCHPOINT

J367

(Most pension plans have a normal retirement age at which full benefits — sometimes called unreduced benefits — can be received. Some plans allow retirement at an earlier age, usually with reduced benefits. And in many plans, normal retirement age depends on years of service.)

What is the earliest age at which you would be eligible to receive full or unreduced pension benefits from [that plan/your [NAME OF PLAN per {J393}]]?

[IWER: IF R REPORTS THAT S/HE IS ALREADY ELIGIBLE, ASK:]
What is the earliest age at which you would have been eligible (to receive full or unreduced pension benefits from [that plan/your [NAME OF PLAN per {J393}]]?)

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

__________ AGE

GO TO J390

GO TO J383
J904
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]
[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

J368 BRANCHPOINT: IF AGE R IS ELIGIBLE FOR BENEFITS (per J367) IS SAME AS AGE R EXPECTS BENEFITS (per J353), GO TO J946 BRANCHPOINT

J368
How much would these full or unreduced benefits be, either as a percent of your pay at retirement, or as an amount per month or year?

[IWER: IF R HAS TROUBLE PROJECTING AN AMOUNT OR PERCENT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]
Taking all of that into consideration, what do you EXPECT to receive?

[IWER: IF R IS RETIRED, ASK:] How much would these full or unreduced benefits have been, either as a percent of your pay at retirement, or as an amount per month or year?

1. PERCENT  2. AMOUNT PER MONTH/YEAR  3. LUMP SUM  8. DK  9. RF

GO TO J370   GO TO J376  GO TO J370
BRANCHPOINT
(What percent of your final pay at retirement is that?)

[IWER: IF R HAS TROUBLE PROJECTING A PERCENT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]

Taking all of that into consideration, what do you EXPECT to receive?

[IWER: ENTER PERCENT OF FINAL PAY AT RETIREMENT]

[IWER: DO NOT PROBE DK/RF]

__________________________ DK RF

GO TO J946 BRANCHPOINT

J792-J794 Unfolding Sequence
Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 35%, 50%, 65%, 80%
RANDOM ENTRY POINTS: 50%, 65%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J370 BRANCHPOINT: IF R DOESN'T KNOW WHAT EXPECTS FOR BENEFITS (J368=8), ASSIGN DK TO J370 AND GO TO J371

IF R REFUSED TO SAY WHAT EXPECTS FOR BENEFITS (J368=9), ASSIGN RF TO J370 AND GO TO J371

OTHERWISE, GO TO J946 BRANCHPOINT
J370

[IWER: PROBE IF NECESSARY]

How much would you receive?

[IWER: IF R HAS TROUBLE PROJECTING AN AMOUNT DUE TO RECENT LOSSES IN VALUE OF PENSION, PROBE:]
Taking all of that into consideration, what do you EXPECT to receive?

[IWER: IF R IS RETIRED, ASK:]
How much would you have received (if you retired then)?

[IWER: DO NOT PROBE DK/RF]

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<tr>
<td>GO TO J374</td>
<td>GO TO J946 BRANCHPOINT</td>
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J371-J373 Unfolding Sequence

Question text: Would it be a total of less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $12,500, $25,000, $40,000
RANDOM ENTRY POINTS: $12,500, $25,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J374 BRANCHPOINT: GO TO J946 BRANCHPOINT

J374

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

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<th>4. MONTH</th>
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<th>7. OTHER (SPECIFY)</th>
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<th>9. RF</th>
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<td>GO TO J946 BRANCHPOINT</td>
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</table>
J376

ENTER LUMP SUM AMOUNT:

| DK | RF |

AMOUNT

J946 BRANCHPOINT: IF R REPORTED DON’T KNOW or REFUSE TO AGE EXPECTING BENEFITS (J353= 8 or 9) or WAS ALREADY ASKED J946 FOR ANOTHER PLAN,
GO TO J383

IF R REPORTED RECEIVING BENEFITS NOW (J353=97), GO TO J383

J946

If you were to continue working the same number of hours [at this business/with this employer] until [AGE EXPECTS TO RECEIVE BENEFITS (per J353)/age 65/you retire], how much do you expect your salary or annual earnings to be [at age AGE EXPECTS TO RECEIVE BENEFITS (per J353)/at age 65/when you retire]?

[IWER: DO NOT PROBE DK/RF]

| 0 | DK | RF |

GO TO J381

GO TO J383

J381 BRANCHPOINT: GO TO J383

J381

[IWER: PROBE IF NECESSARY]

Would that be per week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J382
J383
What is the earliest age at which you could leave this [business/employer] and start to receive pension benefits from [that plan/your [NAME OF PLAN per (J393)]]?

[IWER: IF R REPORTS THAT S/HE IS ALREADY ELIGIBLE, ASK:] What is the earliest age at which you could have done so (that is, started to receive benefits from [that plan/your [NAME OF PLAN per (J393)]]?  

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

95  ANY TIME; NO AGE REQUIREMENT; ANY AGE
35-80  AGE

GO TO J390

J905
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]  
[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12  NUMBER OF MONTHS

J384 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE B/DEFINED CONTRIBUTION PLAN (J393={1 or 2 or 4-14} and 338=2), GO TO J390

IF EARLIEST AGE R COULD (LEAVE JOB AND RECEIVE PENSION BENEFITS) (per J383) IS SAME AS (EARLIEST AGE R COULD RECEIVE FULL PENSION (per J367) or AGE R EXPECTS TO RECEIVE BENEFITS (per J353)), GO TO J390

J384
By how much would your pension benefits be reduced from full benefits if you left this job at EARLIEST AGE TO RECEIVE PENSION (per J383)?

[IWER: IF R IS RETIRED, ASK:] By how much would your pension benefits have been reduced from full benefits if you had left this job at EARLIEST AGE TO RECEIVE PENSION (per J383)?

[IWER: IF R MENTIONS PERCENT OF SALARY, PROBE FOR PERCENT OF BENEFITS]

1. PERCENT OF BENEFITS
2. AMOUNT PER MONTH/YEAR
3. LUMP SUM
6. BENEFITS WOULD NOT BE REDUCED
8. DK
9. RF

GO TO J386  GO TO J389  GO TO J390

J385 BRANCHPOINT: IF R DOESN'T KNOW HOW MUCH PENSION WOULD BE REDUCED (J384=8), ASSIGN DK TO J385 AND GO TO J795

IF R REFUSED TO SAY HOW MUCH PENSION WOULD BE REDUCED (J384=9), ASSIGN RF TO J385 AND GO TO J795
J385
ENTER PERCENT BY WHICH FULL BENEFITS ARE REDUCED:

[IWER: IF R MENTIONS PERCENT OF SALARY, PROBE FOR PERCENT OF BENEFITS]
[IWER: DO NOT PROBE DK/RF]

PERCENT

DK
RF

GO TO J390

J795-J797 Unfolding Sequence
Question text: Would your benefits be reduced by less than ___ percent,
more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 15%, 30%, 50%, 65%
RANDOM ENTRY POINTS: 30%, 50%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J386 BRANCHPOINT: GO TO J390
J386

[IWER: PROBE IF NECESSARY]
How much would your benefits be reduced?

[IWER: DO NOT PROBE DK/RF]

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<td>DK</td>
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<td>RF</td>
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GO TO J387  GO TO J390

J798-J800 Unfolding Sequence

Question text: Would your benefits be reduced by less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $2,500, $5,000, $10,000, $15,000
RANDOM ENTRY POINTS: $5000, $10000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J387 BRANCHPOINT: GO TO J390

J387

[IWER: PROBE IF NECESSARY]
Was that per month or year?

PER:

<table>
<thead>
<tr>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>7. OTHER (SPECIFY)</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
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</table>

J388

J389 BRANCHPOINT: GO TO J390

J389

ENTER LUMP SUM AMOUNT:

<table>
<thead>
<tr>
<th>AMOUNT</th>
</tr>
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<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>
### J390
Does the amount of your pension from [that plan/your [NAME OF PLAN per (J393)]] depend on Social Security benefits, in that receiving Social Security benefits reduces your pension benefits?

1. YES  
5. NO  
8. DK  
9. RF

**GO TO J801**

### J391
When does this change take place: automatically at age 62, automatically at age 65, once you start receiving Social Security benefits, or at some other time?

1. AT AGE 62  
2. AT AGE 65  
3. WHEN SOCIAL SECURITY BENEFITS BEGIN  
4. AT SOME OTHER TIME  
8. DK  
9. RF

### J801
Do you receive a quarterly report indicating how much money is in your account?

1. YES  
5. NO  
8. DK  
9. RF

### J881 BRANCHPOINT: IF R REPORTED NOT CONTRIBUTING (J854=2), GO TO J878 BRANCHPOINT

### J881
Do you make any contributions toward [that plan/your [NAME OF PLAN per (J393)]]?

1. YES  
5. NO  
8. DK  
9. RF

**GO TO J878 BRANCHPOINT**

### J404
How much do you currently contribute to this plan, either as a percent of your pay or as an amount of money per month or year?

[IWER: INCLUDE CONTRIBUTIONS THROUGH SALARY DEDUCTIONS, UNION DUES OR OTHER SOURCES]

1. PERCENT OF PAY  
2. AMOUNT OF MONEY PER MONTH/YEAR  
8. DK  
9. RF

**GO TO J406**
J405 BRANCHPOINT: IF R DOESN'T KNOW HOW MUCH S/HE CONTRIBUTES TO THIS PLAN (J404=8), ASSIGN DK TO J405 AND GO TO J809

IF R REFUSED TO SAY HOW MUCH S/HE CONTRIBUTES (J404=9), ASSIGN RF TO J405 AND GO TO J809

J405

(What percent of your pay do you contribute?)

R CONTRIBUTION - PERCENT:

[IWER: ENTER PERCENT OF PAY]

[IWER: DO NOT PROBE DK/RF]

PERCENT

DK    RF

GO TO J878

BRANCHPOINT

J809-J811 Unfolding Sequence

Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 3%, 6%, 9%, 12%
RANDOM ENTRY POINTS: 6%, 9%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J406 BRANCHPOINT: GO TO J878 BRANCHPOINT
R CONTRIBUTION – AMOUNT:
How much do you contribute?

[IWER: DO NOT PROBE DK/RF]

---

J407-J409 Unfolding Sequence
Question text: Does it amount to less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $750, $2,000, $5,000, $10,000
RANDOM ENTRY POINTS: $2,000, $5,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

---

J410 BRANCHPOINT: GO TO J878 BRANCHPOINT

---

J411

[PROBE IF NECESSARY]

Is that per hour, week, every two weeks, per month or per year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

---

J878 BRANCHPOINT: IF R’S PLAN WAS CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN ((J393=3) and J338=1) or ((J338=1) and J863=1), GO TO J431 BRANCHPOINT

IF PLAN TERMINATED (J854=1), GO TO J413

---

Does your [business/employer] make any contributions to [that plan/your [NAME OF PLAN per {J393}]]?

1. YES  5. NO  8. DK  9. RF

---

J937 BRANCHPOINT: IF R DOES NOT CONTRIBUTE (J881 NOT 1), GO TO J396
J937
If you were to reduce your own contribution, would your employer’s contribution also be reduced?

1. YES  5. NO  8. DK  9. RF

GO TO J396

J938
IF R IS NOT CONTRIBUTING (J881 NOT 1):
If you were to start to contribute and contributed a sufficient amount, would your [business/employer] begin contributing to this plan?

OTHERWISE:
If you increased your contribution, would your [business/employer] begin contributing to this plan?

1. YES  5. NO  8. DK  9. RF

GO TO J413

J396
How much does your [business/employer] actually contribute, as a percent of your pay, or as an amount of money per month or year?

1. PERCENT OF PAY  2. AMOUNT OF MONEY PER MONTH/YEAR  3. PERCENT MATCH RATE  8. DK  9. RF

GO TO J398  GO TO J894

J397 BRANCHPOINT: IF R DOESN’T KNOW HOW MUCH BUSINESS/EMPLOYER CONTRIBUTES TO THIS PLAN (J396=8), ASSIGN DK TO J397 AND GO TO J806

IF R REFUSED TO SAY HOW MUCH BUSINESS/EMPLOYER CONTRIBUTES (J396=9), ASSIGN RF TO J397 AND GO TO J806
(What percent of your pay does your employer contribute?)

EMPLOYER CONTRIBUTION – PERCENT

[IWER: ENTER PERCENT OF PAY]
[IWER: DO NOT PROBE DK/RF]

[IWER: IF R RESPONDS WITH A PERCENT OF PAY GREATER THAN 20, PROBE] "We are not asking about your employer’s match rate. Please tell us the percent of your pay or the dollar amount that your employer is contributing."

PERCENT

GO TO J413

J806-J808 Unfolding Sequence
Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 3%, 6%, 9%, 12%
RANDOM ENTRY POINTS: 6%, 9%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J398 BRANCHPOINT: GO TO J413

J398

EMPLOYER CONTRIBUTION – AMOUNT

[IWER: ENTER EMPLOYER CONTRIBUTED AMOUNT]
[IWER: DO NOT PROBE DK/RF]

AMOUNT

GO TO J402
GO TO J413

J399-J401 Unfolding Sequence
Question text: Does it amount to less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $1,500, $5,000, $10,000, $30,000
RANDOM ENTRY POINTS: $5,000, $10,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J402 BRANCHPOINT: GO TO J413

J402
[IWER: PROBE IF NECESSARY]
Is that per hour, week, every two weeks, per month or per year?
PER:
1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH
6. YEAR
7. OTHER (SPECIFY)
8. DK
9. RF

J894 BRANCHPOINT: GO TO J413

J894
EMPLOYER CONTRIBUTION – PERCENT MATCH RATE
[IWER: ENTER PERCENT MATCH RATE]
[IWER: DO NOT PROBE DK/RF]

PERCENT

GO TO J413

J895-J897 Unfolding Sequence
Question text: Is it less than ___ percent, more than ___ percent, or what?
PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 35%, 50%, 65%, 80%
RANDOM ENTRY POINTS: 50%, 65%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
J413
IF TYPE B PLAN:
How much money is in your account at present?

OTHERWISE:
For that part of your plan where money is accumulated in an account, how much is in the account at present?

[IWER: IF R SAYS ‘DOES NOT HAVE AN ACCOUNT’, ENTER [-2]]
[IWER: DO NOT PROBE DK/RF]

-2  DK  RF

AMOUNT

GO TO J417
GO TO END OF J858 LOOP

J414-J416 Unfolding Sequence
Question text: Does it amount to less than $____ , more than $____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J417
Are you able to choose how any of the money in [that plan/your [NAME OF PLAN per {J393}]] is invested?

1. YES  3. ONLY OWN SHARE  5. NO  8. DK  9. RF

GO TO J812

J939
Are you able to choose how all of the money in this plan is invested, some of it, or a little of it?

J812
About what percent of this account is invested in stocks?

[IWER: DO NOT PROBE DK/RF]

__________

PERCENT

DK  RF

GO TO J660
BRANCHPOINT

J813-J815 Unfolding Sequence
Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 20%, 40%, 60%, 80%
RANDOM ENTRY POINTS: 40%, 60%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J660 BRANCHPOINT: IF R HAS NO CHOICE IN HOW MONEY IS INVESTED(J417=5), GO TO J664 BRANCHPOINT

J660
Have you changed your investment allocations [since R’s LAST IW YEAR/in the last two years]?

1. YES  5. NO  8. DK  9. RF

GO TO J662  GO TO J664
BRANCHPOINT

J661
Have you ever changed your investment allocations?

1. YES  5. NO  8. DK  9. RF

GO TO J664 BRANCHPOINT
J662 (Tag#=J419.3)
How have you changed the allocation?
Have you changed it to more stocks, less stocks, or what?

1. MORE STOCKS 3. LESS STOCKS 7. OTHER (SPECIFY) 8. DK 9. RF

J663 (Tag#=J419.4)

J664 BRANCHPOINT: IF R DOES NOT HAVE ANY MONEY INVESTED IN STOCKS (J812=0), GO TO END OF J858 LOOP
IF R IS A GOVERNMENT EMPLOYEE ({J720 or Z244}=1), GO TO END OF J858 LOOP

J664 (Tag#=J419.5)
Is any money in this account invested in your [business/employer]’s stock?

1. YES 5. NO 7. NOT APPLICABLE (E.G., NON-PROFIT) 8. DK 9. RF

GO TO END OF J858 LOOP

J816
About what percent of the total funds in this account is in your employer’s stock?

[IWER: DO NOT PROBE DK/RF]

PERCENT

DK RF

GO TO END OF J858 LOOP

J817-J819 Unfolding Sequence
Question text: Is it less than ___ percent, more than ___ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: 20%, 40%, 60%, 80%
RANDOM ENTRY POINTS: 40%, 60%
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
***END OF J858 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS J858 THROUGH J819 (BEFORE J431 BRANCHPOINT) FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J335 (J335=>1) or FOR UP TO TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J336 (J336=3). IF NOT, CONTINUE ON TO J431 BRANCHPOINT or FOR UP TO ONE PLAN IF R SAID DIDN’T KNOW AT J336 (J336=8).***

***END OF CURRENT PENSION BLOCK***

(J858 — J819)

J431 BRANCHPOINT: IF {R HAS FEWER THAN 5 PLANS or R SAID DOESN’T KNOW HOW MANY PLANS} (J335 {< 5 or DK}), GO TO J432

J431
Altogether how much do you have in your account balance(s) for any other pension plans or savings plan(s) from this job?

| AMOUNT |
| DK | RF |

J432
Other than the plans you already told us about, does your [business/employer] offer any other kind of tax-deferred savings, 401K, or other such account plans for which you are eligible?

Please do not include IRA or KEOGH plans that are not sponsored by your [business/employer].

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>GO TO J955 BRANCHPOINT</td>
</tr>
</tbody>
</table>
J883

Is it a 401K, a Supplemental Retirement Account (SRA), a defined benefit, a defined contribution, or what?

(What does your employer call it?)

[IWER: CHOOSE ALL THAT APPLY]

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS, DO NOT INCLUDE THIS AS A PLAN.]

<table>
<thead>
<tr>
<th>1. 401K PLAN</th>
<th>2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)</th>
<th>3. DEFINED BENEFIT PLAN</th>
<th>4. DEFINED CONTRIBUTION PLAN</th>
<th>5. 401A</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. 403B PLAN</td>
<td>7. 457 PLAN</td>
<td>8. THRIFT/SAVINGS PLAN (TSP)</td>
<td>9. PROFIT-SHARING PLAN</td>
<td>10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)</td>
</tr>
<tr>
<td>11. MONEY PURCHASE PLAN</td>
<td>12. PORTABLE CASH OPTION PLAN</td>
<td>13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)</td>
<td>14. SEP OR SIMPLE PLAN</td>
<td></td>
</tr>
<tr>
<td>15. COMBINATION PLAN</td>
<td>16. CASH BALANCE PLAN</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
</tbody>
</table>

J902 BRANCHPOINT: IF OTHER (SPECIFY) NOT CHOSEN (J883 NOT 97), GO TO J955 BRANCHPOINT

J902

(What type of plan is this? What does your employer call it?)

[IWER: IF R MENTIONS A BENEFIT PLAN THAT PROVIDES MEDICAL, DISABILITY, OR “FLEXIBLE SPENDING” BENEFITS, THIS IS NOT A PENSION PLAN. GO BACK TO J883 AND UNCHECK OTHER-SPECIFY]

SPECIFY

DK RF

J955 BRANCHPOINT: IF THIS IS A NOT A REINTERVIEW R (Z076 NOT 1), GO TO J478 (AFTER JW072_1)

IF R DID NOT REPORT (EXPECTING FUTURE BENEFITS OR LEFT AN ACCOUNT TO ACCUMULATE) AT ANY PREVIOUS IW (Z140=EMPTY), GO TO JW066_1 BRANCHPOINT
**Dormant Pension Status**

(J955-JW096_5)

J955

Now we'd like to ask about pension plans from former jobs that you have told us about in previous interviews. According to our records, you were previously expecting future benefits from:

**IF R HAS ONLY ONE PLAN WITH THIS EMPLOYER:**

a pension plan or a pension account at a job where you worked

[[from/starting in] approximately JOB START YEAR (per Z142) until approximately JOB END YEAR (per Z143)/in the past]

Is this correct?

**IF R HAS MORE THAN ONE PLAN WITH SAME EMPLOYER:**

[# OF PLANS] pension plans or pension accounts at a job where you worked

[[from/starting in] approximately JOB START YEAR (per Z142) until approximately JOB END YEAR (per Z143)/in the past]

Is this correct?

[IWER: AS R CONFIRMS EACH PLAN OR ACCOUNT, PLEASE SELECT THE PLAN IN THE ANSWER PANES BELOW. IF R DISPUTES HAVING THE PLAN, DO NOT SELECT IT. ONCE THIS CONFIRMATION IS COMPLETE, YOU WILL BE ASKED FOLLOW-UP QUESTIONS ONLY FOR SELECTED PLANS]
(You mentioned that you had one or more pension plans that we did not have in our records.) How many other plans do you have?

[IWER: RECORD THE NUMBER OF ADDITIONAL PLANS]

[IWER: OUR RECORDS FROM PRIOR INTERVIEWS INCLUDED THE FOLLOWING JOBS WITH PENSION PLAN(S):]

<table>
<thead>
<tr>
<th>DORMANT PLAN(S)</th>
<th>[DISPLAYED BY BLAISE FROM PRELOAD]</th>
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<tbody>
<tr>
<td>1. THROUGH 4. DORMANT PENSION PLAN(S)</td>
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<tr>
<td>[ROWS PROVIDED BY BLAISE AS NECESSARY]</td>
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<thead>
<tr>
<th># OF PLANS</th>
<th>DK</th>
<th>RF</th>
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</thead>
</table>

***BEGINNING OF JW082_5 LOOP***

***BEGINNING OF JW082_5 LOOP: QUESTIONS (JW082_5 THROUGH JW096_5) ARE REPEATED FOR UP TO FOUR PENSIONS ACCUMULATED AT PREVIOUS INTERVIEWS.***

JW082_5

IF R HAS ONLY ONE PLAN PRELOADED WITH THIS EMPLOYER:
I would like to know what has happened with the plan (from [ EMPLOYER NAME/the job] where you worked [from/starting/until] approximately [in/ JOB START YEAR (per Z142)/ until JOB END YEAR (per Z143)]). First, what kind of plan was this?

IF THIS IS THE FIRST PLAN:
I would like to know what has happened with (the plan/the most important of those pension plans) (from [ EMPLOYER NAME/the job] where you worked [from/starting/until] approximately [in/ JOB START YEAR (per Z142)/ until JOB END YEAR (per Z143)]). First, what kind of plan was this?

OTHERWISE:
I would like to know what has happened with (the plan/the (next) most important of those pension plans) (from [ EMPLOYER NAME/the job] where you worked [from/starting/until] approximately [in/ JOB START YEAR (per Z142)/ until JOB END YEAR (per Z143)]). First, what kind of plan was this?

ASK ALL:
(Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?)

1. 401K PLAN
2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)
3. DEFINED BENEFIT PLAN
4. DEFINED CONTRIBUTION PLAN
5. 401A

6. 403B PLAN
7. 457 PLAN
8. THRIFT/SAVINGS PLAN (TSP)
9. PROFIT-SHARING PLAN
10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)

11. MONEY PURCHASE PLAN
12. PORTABLE CASH OPTION PLAN
13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)
14. SEP OR SIMPLE PLAN

15. COMBINATION PLAN
16. CASH BALANCE PLAN
97. OTHER (SPECIFY)
98. DK
99. RF

JW083_5
JW097_5

What happened to your benefits or pension account from that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

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<tbody>
<tr>
<td>8. RECEIVING BENEFITS NOW</td>
<td>1. WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM</td>
<td>2. ROLLED OVER INTO IRA</td>
</tr>
<tr>
<td>4. CONVERT TO/PURCHASED ANNUITY</td>
<td>5. TRANSFERRED TO NEW EMPLOYER</td>
<td>6. LOST BENEFITS</td>
</tr>
<tr>
<td>7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS</td>
<td>10. STILL EXPECTING REGULAR BENEFITS IN THE FUTURE</td>
<td>97. OTHER (SPECIFY)</td>
</tr>
<tr>
<td>98. DK</td>
<td>99. RF</td>
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NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW065_5 BRANCHPOINT: IF R {WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS} (JW097_5={1 or 6}), GO TO JW063_5 BRANCHPOINT

JW065_5

Could you have taken benefits as a cash settlement or a lump-sum payment?

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<tbody>
<tr>
<td>1. YES</td>
<td>5. NO</td>
</tr>
<tr>
<td>8. DK</td>
<td>9. RF</td>
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</tbody>
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JW063_5 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} {INSTALLMENTS OR IRREGULAR PAYMENTS} (JW097_5 NOT 7), GO TO JW009_5 BRANCHPOINT

JW063_5

How much money in total did you receive in installments or irregular payments in the last calendar year?

______________________________

AMOUNT

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<tbody>
<tr>
<td>DK</td>
<td>RF</td>
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</table>
JW009_5 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION PLAN (JW097_5 NOT 3), GO TO JW013_5 BRANCHPOINT

JW009_5
How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
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<tbody>
<tr>
<td>DK</td>
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<tr>
<td>RF</td>
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</tbody>
</table>

GO TO JW013_5 BRANCHPOINT

JW010_5 - JW012_5 Unfolding Sequence
Question text: Does it amount to less than $___ , more than $___ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW013_5 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (JW097_5 NOT 4) and DID NOT REPORT RECEIVING BENEFITS NOW (JW097_5 NOT 8), GO TO JW099_5 BRANCHPOINT

IF R REPORTED RECEIVING BENEFITS NOW (JW097_5=8) and DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (JW097_5 NOT 4), GO TO JW014_5

JW013_5
How much did you (convert to/purchase as) an annuity?

<table>
<thead>
<tr>
<th>AMOUNT</th>
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<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
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</table>

JW108_5 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS {CONVERTED TO/PURCHASED AN ANNUITY} ONLY (JW097_5 NOT 4 ONLY), GO TO JW014_5 BRANCHPOINT
JW108_5
Have you started receiving benefits from this annuity?

1. YES  5. NO  8. DK  9. RF

JW014_5 BRANCHPOINT: IF R DID NOT REPORT RECEIVING BENEFITS NOW or STARTED RECEIVING BENEFITS (JW097_5 NOT 8 and JW108_5 NOT 1), GO TO JW054_5 BRANCHPOINT

JW014_5
How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80  AGE

______________________

DGK  RF

AGE

GO TO JW015_5

JW086_5
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS
JW015_5
How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

AMOUNT

0  DK  RF

GO TO JW049_5

JW016_5
[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

JW017_5

JW028_5
Are the benefits adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO JW049_5

JW059_5
Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF
JW049_5
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO JW054_5 BRANCHPOINT

7. OTHER (SPECIFY)  
8. DK  
9. RF

JW050_5

GO TO JW054_5 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW051_5
What is that time period?

JW051_5  OR  JW052_5  OR  JW053_5
UNTIL YEAR  UNTIL AGE  YEARS

JW054_5 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO JW099_5 BRANCHPOINT

JW054_5
If you or your [husband/wife/partner] should die, will the pension payments change, stay the same, or what?

1. CHANGE  
3. STAY THE SAME  
7. OTHER (SPECIFY)  
8. DK  
9. RF

JW055_5
JW099_5 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED OVER INTO AN IRA (JW097_5 NOT 2), GO TO JW100_5 BRANCHPOINT

In what year did you roll over it over?

__________________________  DK  RF

YEAR

JW018_5
How much did the rollover amount to?

__________________________  DK  RF

AMOUNT

JW100_5 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (JW097_5 NOT 5), GO TO JW056_5 BRANCHPOINT

In what year did you transfer the money?

__________________________  DK  RF

YEAR

JW019_5
How much did the transfer amount to?

__________________________  DK  RF

AMOUNT

JW056_5 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM (JW097_5 NOT 1), GO TO JW035_5 BRANCHPOINT

How much money did you withdraw or how much was the lump sum or cash settlement you received?

__________________________  DK  RF

AMOUNT
What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

96  ALREADY RECEIVING BENEFITS  DK  RF

AGE

GO BACK TO JW097_5 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (JW097_5=8)

GO TO JW096_5 BRANCHPOINT

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS
JW036_5
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY  2. AMOUNT PER MONTH/YEAR  3. LUMP SUM  8. DK  9. RF

GO TO JW038_5  GO TO JW041_5  GO TO JW096_5
BRANCHPOINT

JW037_5
[IWER: ENTER PERCENT OF FINAL PAY]

PERCENT

DK  RF

GO TO JW089_5

JW038_5
[IWER: PROBE IF NECESSARY]

How much do you expect to receive?
[IWER: DO NOT PROBE DK/RF]

AMOUNT

0  DK  RF

GO TO JW039_5  GO TO JW089_5

J133_5 - J135_5  Unfolding Sequence
Question text: Do you expect a total of less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
RANDOM ENTRY POINTS: $1,000, $2,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

GO TO JW089_5

[IWER: ENTER LUMP SUM AMOUNT]

AMOUNT

GO TO JW089_5

IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES  2. AS LONG AS R OR SPOUSE/PARTNER LIVES  3. FOR A FIXED TIME PERIOD

GO TO JW094_5 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

GO TO JW094_5 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.
What is that time period?

**OR**

JW091_5 UNTIL YEAR

**OR**

JW092_5 UNTIL AGE

**OR**

JW093_5 YEARS

**DK**

**RF**

JW094_5 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),

GO TO JW096_5 BRANCHPOINT

If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

**1. CHANGE**  **3. STAY THE SAME**  **7. OTHER (SPECIFY)**  **8. DK**  **9. RF**

JW095_5

JW096_5 BRANCHPOINT: IF R REPORTED (CURRENTLY RECEIVING BENEFITS or EXPECTS FUTURE BENEFITS FROM PENSION) and LEFT MONEY IN THE PLAN ((JW097_5=8 or 10) and JW097_5=3), CONTINUE

OTHERWISE, GO TO END OF JW082_5 LOOP

IF R EXPECTS TO RECEIVE FUTURE BENEFITS (JW097_5=10):

You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?

IF R IS CURRENTLY RECEIVING BENEFITS (JW097_5=8):

You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

**1. NO, DIFFERENT MONEY**  **2. YES, THE SAME MONEY**  **3. YES, PARTLY THE SAME**  **8. DK**  **9. RF**

***END OF JW082_5 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS (JW082_5 THROUGH JW096_5) FOR UP TO FOUR PENSION PLANS. IF NOT, OR ALREADY HAS BEEN ASKED ABOUT FOUR PENSIONS, CONTINUE ON TO JW066_1 BRANCHPOINT.***

***END OF JW082_5 LOOP***
JW066_1 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5), GO TO J478 (AFTER JW073_1)

IF R’s AGE GREATER THAN 70.5 (per A019), GO TO J478 (AFTER JW073_1)

**NOTE:** J705, NOT WORKING CURRENTLY AND IN PAST 2 WAVES, IS A SUMMARY VARIABLE COMPOSED OF THE FOLLOWING CONDITIONS:
(a) R REPORTED IN CURRENT IW THAT (IS NOT WORKING (J020=5) and IS NOT (UNEMPLOYED or TEMPORARILY (LAID OFF OR ON LEAVE)) (J005 (NOT 2 and NOT 3))); and
(b) Z211=1, WHICH IS THE FOLLOWING: R REPORTED BOTH (IN 2004 and IN 2006) THAT DID NOT WORK FOR PAY (J020=5).

J705=1 MEANS THAT THE VARIABLE CONDITIONS ARE TRUE.

***BEGINNING OF OTHER PENSION BLOCK-1***
(JW066_1 — JW073_1)

JW066_1
READ SLOWLY:
(Now we’d like to talk about pensions from former employers.)

Do you have any (other) pension plans from former jobs from which you are not currently receiving any income payments and that you have not already told me about?

1. YES  2. [VOL] YES, TWO OR MORE  5. NO  8. DK  9. RF

GO TO J478 (AFTER JW073_1)

JW069_1
The next few questions relate to retirement plans from other current or former jobs from which you are not currently receiving any income payments, and that you have not already told me about.

Thinking first about the type of plans that accumulate a balance, what is the sum of the balance in all the remaining pension plans where you expect to receive benefits in the future?

[IWER: IF R SAYS ‘DOES NOT HAVE ANY ACCOUNT(S)’, ENTER [-2]]

-2 DK RF

AMOUNT

GO TO JW067_1

JW070_1
Could you withdraw the money from [these accounts/this account] if you wanted to?
[IWER: IF R REPORTED COULD WITHDRAW NOW OR AT SOME FUTURE DATE, CHOOSE A RELEVANT ANSWER—(YES, ALL or YES, SOME)]

1. YES, ALL  
2. YES, SOME  
5. NO  
8. DK  
9. RF  

GO TO JW067_1

JW071_1

Do you plan to withdraw money from [this account/these accounts] in the future, do you plan to convert money in [this account/these accounts] to a regular monthly annuity payment, do you plan to roll the [account/accounts] over to an IRA, or what?

[IWER: CHOOSE ALL THAT APPLY]

1. WITHDRAW MONEY  
2. CONVERT TO ANNUITY  
3. ROLL OVER TO IRA  

7. OTHER (SPECIFY)  
8. DK  
9. RF

JW072_1
[IWER: READ SLOWLY]

Now thinking about the type of plans that give regular payments, and considering only retirement plans from all other current or former jobs from which you are not currently receiving any income payments, how much do you expect to receive in total from all such plans per month or per year?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R SAYS ‘DOES NOT HAVE ANY PLANS OF THIS TYPE’, ENTER [-2]]

<table>
<thead>
<tr>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
</tr>
<tr>
<td>-2</td>
</tr>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO J478 (AFTER JW073_1)

END OF OTHER PENSION BLOCK-1
(JW066_1 — JW073_1)
*------------------------Social Security-------------------------
(J478-J845)

J478
Do you currently receive any income from Social Security?

1. YES  5. NO  8. DK  9. RF

GO TO J501 BRANCHPOINT  GO TO J501 BRANCHPOINT

J479
Do you expect to receive Social Security benefits at some time in the future?

1. YES  5. NO  8. DK  9. RF

GO TO J501 BRANCHPOINT

J719
Do you expect to get them based on your own work record only, from a current, previous or late spouse’s work record only, or both?

1. R’S OWN RECORD ONLY  2. SPOUSE’S RECORD ONLY  3. BOTH RECORDS  8. DK  9. RF

J480
At what age do you expect to start collecting these benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30-96 AGE

AGE

DK  RF

GO TO J487 BRANCHPOINT

J910
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS
J481
If you start collecting Social Security benefits then, about how much do you expect the payments to be in today's dollars?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

0  DK  RF

GO TO J485

J482-J484 Unfolding Sequence
Question text: Do you expect it to be less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $250, $500, $750, $1,750
RANDOM ENTRY POINTS: $500, $750
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J485 BRANCHPOINT: GO TO J487 BRANCHPOINT

J485
PER:

2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  6. YEAR

7. OTHER (SPECIFY)  95. LUMP SUM  98. DK  99. RF

J486

J487 BRANCHPOINT: IF THIS IS A PROXY (A009 NOT 1), GO TO J501 BRANCHPOINT

IF R's AGE IS GREATER THAN 62 (A019>62), GO TO J493 BRANCHPOINT

IF R EXPECTS TO START COLLECTING BENEFITS AT AGE 62 (J480=62), GO TO J493 BRANCHPOINT
IF R’s AGE IS LESS THAN 62:

R IS NOT RETIRED and NOT A HOMEMAKER (J005 NOT 5 and NOT 6):
If you were to retire at age 62 and collect benefits, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits at age 62, what do you think your Social Security benefits would be in today’s dollars?

IF R’s AGE IS 62:

R IS NOT RETIRED and NOT A HOMEMAKER (J005 NOT 5 and NOT 6):
If you were to retire now and collect benefits, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits now, what do you think your Social Security benefits would be in today’s dollars?

[IWER: DO NOT PROBE DK/RF]

If you were to retire at age 62 and collect benefits, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits at age 62, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits now, what do you think your Social Security benefits would be in today’s dollars?

[IWER: DO NOT PROBE DK/RF]
J493 BRANCHPOINT: IF (R’s AGE IS GREATER THAN SOCIAL SECURITY NORMAL RETIREMENT AGE) (A019 > SSNRA), GO TO J501 BRANCHPOINT

IF R EXPECTS TO START COLLECTING BENEFITS AT SOCIAL SECURITY NORMAL RETIREMENT AGE (J480 = SSNRA), GO TO J501 BRANCHPOINT

<table>
<thead>
<tr>
<th>YEAR OF BIRTH</th>
<th>SS RETIREMENT AGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1937 or earlier</td>
<td>65</td>
</tr>
<tr>
<td>1938</td>
<td>65 and 2 months</td>
</tr>
<tr>
<td>1939</td>
<td>65 and 4 months</td>
</tr>
<tr>
<td>1940</td>
<td>65 and 6 months</td>
</tr>
<tr>
<td>1941</td>
<td>65 and 8 months</td>
</tr>
<tr>
<td>1942</td>
<td>65 and 10 months</td>
</tr>
<tr>
<td>1943–1954</td>
<td>66</td>
</tr>
<tr>
<td>1955</td>
<td>66 and 2 months</td>
</tr>
<tr>
<td>1956</td>
<td>66 and 4 months</td>
</tr>
<tr>
<td>1957</td>
<td>66 and 6 months</td>
</tr>
<tr>
<td>1958</td>
<td>66 and 8 months</td>
</tr>
<tr>
<td>1959</td>
<td>66 and 10 months</td>
</tr>
<tr>
<td>1960 and later</td>
<td>67</td>
</tr>
</tbody>
</table>
IF R’s AGE IS LESS THAN SOCIAL SECURITY NORMAL RETIREMENT AGE (A019 < SSNRA):

R IS NOT RETIRED and NOT A HOMEMAKER (J005 NOT 5 and NOT 6):
If you were to retire at your Social Security normal retirement age and collect benefits, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits at your Social Security normal retirement age, what do you think your Social Security benefits would be in today’s dollars?

IF R’s AGE IS SOCIAL SECURITY NORMAL RETIREMENT AGE (A019=SSNRA):

R IS NOT RETIRED and NOT A HOMEMAKER (J005 NOT 5 and NOT 6):
If you were to retire now and collect benefits, what do you think your Social Security benefits would be in today’s dollars?

IF R IS RETIRED or A HOMEMAKER (J005=5 or 6):
If you were to start collecting benefits now, what do you think your Social Security benefits would be in today’s dollars?

[IWER: DO NOT PROBE DK/RF]

```
AMOUNT

0    DK    RF

GO TO J497

GO TO J501

BRANCHPOINT

J494-J496 Unfolding Sequence
Question text: Do you expect it to be less than $___ per month, more than $___ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $600, $900, $1,200, $1,500
RANDOM ENTRY POINTS: $900, $1,200
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
```

J497 BRANCHPOINT: GO TO J501 BRANCHPOINT

```
J497

PER:

2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J498
```
J501 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) and DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J578

IF R REPORTED (IN CURRENT IW and IN THE LAST TWO WAVES) THAT WAS NOT WORKING (J705=1), GO TO J578

IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD (MARGINAL EMPLOYMENT OR NONE)} (J703=1), GO TO J578

IF THIS IS A PROXY INTERVIEW and R IS CURRENTLY WORKING (J020=1), GO TO J505 BRANCHPOINT

IF THIS IS A PROXY INTERVIEW and R IS NOT CURRENTLY WORKING (J020 NOT 1), GO TO J596 BRANCHPOINT

IF R IS NOT CURRENTLY WORKING (J020 NOT 1), GO TO J517

IF R IS CURRENTLY SELF-EMPLOYED (J021=2) and R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2) and ({{START DATE FOR CURRENT JOB per J248&J256 or J248&J249} ≤ R’s LAST IW MONTH, YEAR} or WE DON’T KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ({{J248 or J249}={DK or RF or EMPTY}}), GO TO J505 BRANCHPOINT

IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R {DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J501

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J505 BRANCHPOINT
NOTE: QUESTIONS J501 THROUGH J676 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R’s LAST IW, OR FOR WHOM IT IS UNCERTAIN, ({J045 NOT (1 or 3)}) OR ({J058 {NOT 1 and 3}}) IS ALSO ASKED THIS SERIES. IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW AND HAVE THE SAME TITLE WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4TH WAVE THEREAFTER (E.G., IN 2016). THESE Rs WILL NOT BE ASKED IN 2010.

J501
Now we have some questions about your work experience.

Counting high school graduation as 12 years, about how many years of school would you say are needed to do your job reasonably well?

NUMBER OF YEARS

GO TO J505

J502
(For someone with this much formal schooling,) About how much experience do you think it would take to become good at your job?

AMOUNT OF EXPERIENCE

GO TO J676
GO TO J505

J503
UNIT:

1. HOURS  2. WEEKS  4. MONTHS  6. YEARS

7. OTHER (SPECIFY)  8. DK  9. RF

J504

J676 (Tag#=J504.1)
Is your current job covered by FICA where social security taxes are paid?
(Do you pay social security taxes?)

1. YES  5. NO  8. DK  9. RF

J505 BRANCHPOINT: IF THIS IS A PROXY INTERVIEW and R IS CURRENTLY WORKING (J020=1), GO TO J553
NOTE: THIS BRANCHPOINT IS INTENDED TO JUMP OUT THOSE WHO JUMPED TO J676 UNDER IDENTICAL CONDITIONS AT THE 501 BRANCHPOINT

J505
Sometimes people look for a different job even when they are currently working. Are you currently looking for another job?

1. YES  5. NO  8. DK  9. RF

GO TO J514

J506
Are you looking for jobs only in the area where you live, or are you considering jobs that would require you to move?

1. JOBS IN THIS AREA  2. JOBS REQUIRING A MOVE  3. BOTH/EITHER ONE  8. DK  9. RF

J507
Are you looking for part-time or full-time work?

1. PART-TIME  2. FULL-TIME  3. EITHER KIND  8. DK  9. RF

J508
Are you looking for the same kind of work you’re doing now, or something different?

1. SAME  2. EITHER/BOTH  3. DIFFERENT  8. DK  9. RF

GO TO J510

J509
How would it be different?

DK  RF

________________________________________
J510  
During the past four weeks, what have you been doing to find another job?

[IWER: DO NOT READ CATEGORIES. CHOOSE ALL THAT APPLY.]

1. CHECKED WITH PUBLIC EMPLOYMENT AGENCY
2. CHECKED WITH PRIVATE EMPLOYMENT AGENCY
3. VISITED EMPLOYERS DIRECTLY
4. TELEPHONED OR WROTE EMPLOYERS DIRECTLY
5. ASKED FRIENDS OR RELATIVES
6. PLACED OR ANSWERED ADS
7. READ ADS
8. DIDN’T DO ANYTHING SPECIFIC
97. OTHER (SPECIFY)  
98. DK  
99. RF

J511

J512 BRANCHPOINT: IF R DID NOT VISIT EMPLOYERS DIRECTLY (J510 NOT 3), GO TO J513 BRANCHPOINT

J512
How many employers did you visit?

NUMBER OF EMPLOYERS

J513 BRANCHPOINT: IF R DID NOT (TELEPHONE OR WRITE) EMPLOYERS DIRECTLY (J510 NOT 4), GO TO J514 BRANCHPOINT

J513
How many employers did you call or write?

NUMBER OF EMPLOYERS

J514 BRANCHPOINT: IF R WAS ASKED WHAT S/HE WAS DOING TO FIND ANOTHER JOB AT J510, GO TO J517 BRANCHPOINT

J514
If you found out about another job like the one you have now, would you look into it?

1. YES  
2. PROBABLY  
3. MAYBE  
4. PROBABLY NOT

5. NO  
7. OTHER (SPECIFY)  
8. DK  
9. RF

J515

J517 BRANCHPOINT: GO TO J537
J517
Have you been doing anything to find work during the last four weeks?

1. YES  5. NO  8. DK  9. RF

GO TO J527

J518
Are you looking for part-time or full-time work?

1. PART-TIME  2. FULL-TIME  3. EITHER KIND  8. DK  9. RF

J519
Are you looking for the same kind of work you did before, or something different?

1. SAME  2. DIFFERENT  3. EITHER OR BOTH  8. DK  9. RF

GO TO J521

GO TO J521

J520
How would it be different?

------------------------------------------------------------------------
DK  RF

------------------------------------------------------------------------
If you were to be offered work like that, how high would the wage or salary have to be for you to take it?

[IWER: IF R SAYS ‘NO AMOUNT’, ENTER [-2]]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]

---

J521

0 -2 DK RF

---

J522 BRANCHPOINT: GO TO J524

---

J522

Is that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

---

J523

---

J842-J844 Unfolding Sequence

Question text: Would it amount to less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: $12,000, $16,000, $20,000, $32,000

RANDOM ENTRY POINTS: $16,000, $20,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
J524
What are all of the things you have done to find work during the last four weeks?

[IWER: DO NOT READ CATEGORIES. CHOOSE ALL THAT APPLY.]

1. READ ADS
2. ATTEND SCHOOL OR RECEIVED OTHER TRAINING
3. CHECKED WITH PUBLIC EMPLOYMENT AGENCY
4. CHECK WITH PRIVATE EMPLOYMENT AGENCY
5. VISITED EMPLOYERS DIRECTLY
6. TELEPHONED OR WROTE EMPLOYERS DIRECTLY
7. ASKED FRIENDS OR RELATIVES
8. PLACED OR ANSWERED ADS
96. DIDN'T DO ANYTHING SPECIFIC
97. OTHER (SPECIFY)
98. DK
99. RF

GO TO J535

NOTE: CODE "96. DIDN'T DO ANYTHING SPECIFIC" CANNOT BE SELECTED WITH ANY OTHER CODE.

J526 BRANCHPOINT: IF R (DID NOT VISIT and DID NOT TELEPHONE) ANY EMPLOYERS DIRECTLY (J524 (NOT 5 and NOT 6)), GO TO J578

J526
How many employers did you [visit/call or write/visit, call, or write]?
Do you currently want a job, either full-time or part-time?

1. YES  3. DEPENDS  5. NO  6. CAN’T WORK  8. DK  9. RF

GO TO J529  GO TO J578  GO TO J529

Would you prefer part-time or full-time?

1. PART-TIME  2. FULL-TIME  3. EITHER  8. DK  9. RF
If someone offered you a regular job now, how high would the wage or salary have to be for you to take it?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R SAYS ‘NO AMOUNT’, ENTER [-2]]

[IWER: IF R SAYS ‘UNABLE TO WORK’, ENTER [-3]]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT

| 0 | -2 | -3 | DK | RF |

GO TO J533  GO TO J535  GO TO J578

J530-J532 Unfolding Sequence

Question text: Would it amount to less than $___ per year, more than $___ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $12,000, $16,000, $20,000, $32,000
RANDOM ENTRY POINTS: $16,000, $20,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J533 BRANCHPOINT: GO TO J535

J533

[IWER: PROBE IF NECESSARY]

Is that per hour, week, month, or year?

PER:

| 1. HOUR | 2. WEEK | 3. EVERY TWO WEEKS/BI-WEEKLY | 4. MONTH | 5. TWICE A MONTH |
| 6. YEAR | 7. OTHER (SPECIFY) | 8. DK | 9. RF |

J534
What is the main reason you were not looking for work during the last four weeks?

[IWER: DO NOT READ CATEGORIES]

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<tbody>
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<td>1. BELIEVES NOTH</td>
<td>2. DOESN’T BELIEVE CAN FIND WORK</td>
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<td>3. DOESN’T HAVE NEEDED SKILLS/EXPERIENCE</td>
<td>4. BELIEVES EMPLOYERS THINK TOO OLD</td>
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<td>5. BELIEVES EMPLOYERS WON’T HIRE OLDER WOMEN OR MINORITIES</td>
<td>6. POOR HEALTH, DISABILITY</td>
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<td>7. FAMILY RESPONSIBILITIES /CHILD RESPONSIBILITIES</td>
<td>8. TRANSPORTATION PROBLEMS</td>
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<td>9. R DOESN’T WANT A JOB</td>
<td>95. NO PARTICULAR REASON</td>
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<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
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<td>99. RF</td>
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J537 BRANCHPOINT: GO TO J578

I’ll read some statements that are true for some people’s jobs but not for other people’s jobs. Thinking of your job, please tell me how often these statements are true.

1. CONTINUE

J538 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT (1 or 3)) or R (DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER) AS AT LAST IW (J058=(NOT 1 and NOT 3)), CONTINUE ON TO J538

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J544
NOTE: QUESTIONS J538 THROUGH J543 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R’s LAST IW, OR FOR WHOM IS UNCERTAIN, ((J045 NOT (1 or 3)) or (J058 (NOT 1 and NOT 3))) IS ALSO ASKED THIS SERIES.
IN ADDITION, ALL OTHER REINTERVIEW Rs WHO HAVE THE SAME JOB TITLE AS AT R’s LAST IW WERE LAST ASKED THIS SERIES IN 2008, AND WILL BE ASKED THIS SERIES EVERY 4TH WAVE THEREAFTER (E.G., IN 2016). THESE Rs WILL NOT BE ASKED IN 2010.

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<td>(My job requires) lifting heavy loads.</td>
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<td>(My job requires) stooping, kneeling, or crouching.</td>
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<td>(My job requires) good eyesight.</td>
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</table>
(My job requires) intense concentration or attention.
(Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)

J543
(My job requires) skill in dealing with other people.
(Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)

J544
IF R WAS READ J538 (J538 NOT Empty):
(My job requires) me to work with computers. (Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)

OTHERWISE:
Thinking of your job, please tell me how often this statement is true.

My job requires me to work with computers. Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?


4. NONE OR ALMOST NONE OF THE TIME  8. DK  9. RF
Here are some (more) statements that are true for some people’s jobs but not for others. (Again) Thinking of your job, (this time) please indicate how much you agree or disagree with each statement.

<table>
<thead>
<tr>
<th></th>
<th>1. STRONGLY AGREE</th>
<th>2. AGREE</th>
<th>3. DISAGREE</th>
<th>4. STRONGLY DISAGREE</th>
<th>5. DOES NOT APPLY</th>
<th>8. DK</th>
<th>9. RF</th>
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</thead>
<tbody>
<tr>
<td>J546</td>
<td>My job requires me to do more difficult things than it used to. Do you strongly agree, agree, disagree or strongly disagree with that statement?</td>
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<td>J547</td>
<td>My job involves a lot of stress. Do you strongly agree, agree, disagree or strongly disagree with that statement?</td>
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J548 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J550

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<th>1. STRONGLY AGREE</th>
<th>2. AGREE</th>
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</table>
J551 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J552

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<th>1. STRONGLY AGREE</th>
<th>2. AGREE</th>
<th>3. DISAGREE</th>
<th>4. STRONGLY DISAGREE</th>
<th>5. DOES NOT APPLY</th>
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<td>My employer would let older workers move to a less demanding job with less pay if they wanted to. (Do you strongly agree, agree, disagree or strongly disagree with that statement?)</td>
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<td>I really enjoy going to work. (Do you strongly agree, agree, disagree or strongly disagree with that statement?)</td>
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J553

Now I have some questions about any other paid job you may have.

Are you doing any other work for pay now, such as (a/another) business of your own, a second job or the military reserves?

1. YES  5. NO  8. DK  9. RF

GO TO J564

J554

Is this your own business, a second job, the military reserves, or what?

[IWER: CHOOSE ALL THAT APPLY]

1. OWN BUSINESS  2. SECOND JOB  3. MILITARY RESERVES  7. OTHER (SPECIFY)  8. DK  9. RF

J555
J556
How many hours a week do you usually work on [this other job/these other jobs]?

__________
HOURS

J557
Counting paid vacations as weeks of work, how many weeks a year do you usually work on (this other job/these other jobs)?

[IWER: THIS REFERS TO USUAL WEEKS OF WORK ON RS SECOND/OTHER JOB(S)]

__________
WEEKS
About how much do you earn before taxes from [this other job/these other jobs]?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

---

J559-J561 Unfolding Sequence
   Question text: Does it amount to less than $____ per year, more than $____ per year, or what?

   PROCEDURES: 2Up1Down, 1Up2Down
   BREAKPOINTS: $5,000, $10,000, $20,000, $30,000
   RANDOM ENTRY POINTS: $10,000, $20,000
   ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
   ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

---

J562 BRANCHPOINT: GO TO J564

---

J562

[IWER: PROBE IF NECESSARY]
Is that per hour, week, month, year, or what?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J563
*----------------Retirement Plans/Retirement Satisfaction----------------
(J564-J594)

J564
Now I have a few questions about work and retirement.

On your main job, what is the usual retirement age for people who work with you or have the same kind of job?

[95 NO USUAL AGE] [96 ANSWER IN YEARS OF SERVICE] [DK] [RF]

GO TO J566 BRANCHPOINT

J565
ENTER USUAL NUMBER OF YEARS OF SERVICE:

[DK] [RF]

GO TO J566 BRANCHPOINT

J566
Now I want to ask about your retirement plans.

Do you plan to stop working altogether or reduce work hours at a particular date or age, have you not given it much thought, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL FOLLOW-UP QUESTIONS.]

1. STOP WORK ALTOGETHER
2. NEVER STOP WORK
3. NOT GIVEN MUCH THOUGHT
4. NO CURRENT PLANS, CONTINUE AS IS
5. REDUCE WORK HOURS
6. CHANGE KIND OF WORK
7. WORK FOR MYSELF
8. WORK UNTIL MY HEALTH FAILS
97. OTHER (SPECIFY)

J567

J568 BRANCHPOINT: IF R PLANS TO STOP WORKING ALTOGETHER (J566=1), CONTINUE ON TO J568

OTHERWISE, GO TO J570 BRANCHPOINT

J568
At what age do you plan to stop working?

25-94 YEARS OF AGE
J569
ENTER YEAR PLANNING TO STOP WORKING:

YORK-2056
YEAR PLANNING TO STOP WORKING

GO TO J572 BRANCHPOINT

J570 BRANCHPOINT: IF R SAID (HAD NOT GIVEN MUCH THOUGHT or HAD NO CURRENT) RETIREMENT PLANS (J566=(3 or 4)), CONTINUE ON TO J570
OTHERWISE, GO TO J572 BRANCHPOINT

J570
At what age do you think you will stop working?

25-94 YEARS OF AGE

GO TO J572 BRANCHPOINT

J571
ENTER YEAR THINKING TO STOP WORKING:

2008-2056
YEAR PLANNING TO STOP WORKING
**J572 BRANCHPOINT:** IF R DID NOT SAY S/HE PLANS TO REDUCE WORK HOURS  
(J566 NOT 5), GO TO J574 BRANCHPOINT

**J572**  
At what age do you plan to start working fewer hours?  
25−94 YEARS OF AGE

<table>
<thead>
<tr>
<th>YEARS OF AGE</th>
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<tbody>
<tr>
<td>95 NEVER</td>
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<tr>
<td>96 YEAR GIVEN</td>
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<td>DK</td>
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<td>RF</td>
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</table>

GO TO J574 BRANCHPOINT

**J573**  
Enter year planning to start working fewer hours:  

<table>
<thead>
<tr>
<th>YEAR PLANNING TO START WORKING FEWER HOURS</th>
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<tbody>
<tr>
<td>2008-2056</td>
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</table>

**J574 BRANCHPOINT:** IF R DID NOT SAY S/HE PLANS TO CHANGE KIND OF WORK  
(J566 NOT 6), GO TO J576 BRANCHPOINT

**J574**  
At what age do you plan to change the kind of work you do?  
25−94 YEARS OF AGE

<table>
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<tr>
<th>YEARS OF AGE</th>
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<tbody>
<tr>
<td>95 NEVER</td>
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<tr>
<td>96 YEAR GIVEN</td>
</tr>
<tr>
<td>DK</td>
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<tr>
<td>RF</td>
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</tbody>
</table>

GO TO J576 BRANCHPOINT

**J575**  
Enter year planning to change work:  

<table>
<thead>
<tr>
<th>YEAR PLANNING TO CHANGE WORK</th>
</tr>
</thead>
<tbody>
<tr>
<td>2008-2056</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>
J576 BRANCHPOINT: IF R DOES NOT PLAN TO WORK FOR HIM/HERSELF (J566 NOT 7), GO TO J578

J576
At what age do you plan to start working for yourself?
25-94 YEARS OF AGE

95 NEVER 96 YEAR GIVEN DK RF

YEARS OF AGE
GO TO J578

GO TO J578

J577
ENTER YEAR PLANNING TO START SELF-EMPLOYMENT:

2008-2056 YEAR STARTING SELF EMPLOYMENT

DK RF

J578
We are interested in what people think about retirement, whether they themselves are retired or not.

At this time do you consider yourself partly retired, completely retired, or not retired at all?

1. COMPLETELY RETIRED  3. PARTLY RETIRED  5. NOT RETIRED AT ALL

GO TO J709 (AFTER J581)

7. QUESTION NOT RELEVANT TO R, DOESN’T WORK FOR PAY OR IS HOMEMAKER, ETC.

8. DK 9. RF

GO TO J596 BRANCHPOINT
J580 BRANCHPOINT: IF R IS COMPLETELY RETIRED NOW (J578=1) and (WAS COMPLETELY RETIRED AT R’s LAST IW (Z134=1) or (R WAS RETIRED and WE DON’T KNOW WHETHER IT WAS COMPLETELY (Z124=1 and (Z134 = EMPTY)))), GO TO J709 (AFTER J581)

IF R IS PARTLY RETIRED (J578=3) and WAS PARTLY RETIRED AT R’s LAST IW (Z134=3), GO TO J709 (AFTER J581)

IF R GAVE YEAR S/HE RETIRED AT J018, GO TO J709 (AFTER J581)

J580
In what month and year did you [partly/completely] retire?
[IWER: MONTH ENTERED MUST BE BEFORE CURRENT IW MONTH, YEAR]
J580
MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

J581
YEAR

J709 (Tag#=J581.1)
IF R IS PARTLY RETIRED OR IS NOT RETIRED (J578={3 or 5}):
How much have you thought about retirement — a lot, some, a little, or hardly at all?

IF R IS COMPLETELY RETIRED (J578=1):
Before you retired, how much did you think about retirement — a lot, some, a little, or hardly at all?

J583 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) and DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J650 (J ASSIST)

IF R REPORTED (IN CURRENT IW and IN THE LAST TWO WAVES) THAT WAS NOT WORKING (J705=1) and R IS NOT COMPLETELY RETIRED (J578 NOT 1), GO TO J650 (J ASSIST)

IF R REPORTED (IN CURRENT IW and IN THE LAST TWO WAVES) THAT WAS NOT WORKING (J705=1), GO TO J584 BRANCHPOINT

IF R REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}) (J703=1), GO TO J612 BRANCHPOINT

IF R IS NOT RETIRED AT ALL (J578=5), GO TO J589 BRANCHPOINT

IF R IS PARTLY RETIRED (J578=3) and {WAS PARTLY RETIRED AT R’S LAST IW (Z134=3) or {R WAS RETIRED and WE DON’T KNOW WHETHER IT WAS PARTLY (Z124=1 and {Z134 = EMPTY}}}), GO TO J589 BRANCHPOINT

IF R IS COMPLETELY RETIRED NOW (J578=1) and {WAS COMPLETELY RETIRED AT R’S LAST IW (Z134=1) or {R WAS RETIRED and WE DON’T KNOW WHETHER IT WAS COMPLETELY (Z124=1 and {Z134 = EMPTY}}}), GO TO J584

J583

Thinking back to the time you [partly/completely] retired, was that something you wanted to do or something you felt you were forced into?

1. WANTED TO DO 2. FORCED INTO 3. PART WANTED, PART FORCED 8. DK 9. RF

J584 BRANCHPOINT: IF R IS NOT COMPLETELY RETIRED (J578 NOT 1), GO TO J589 BRANCHPOINT

J584

All in all, would you say that your retirement has turned out to be very satisfying, moderately satisfying, or not at all satisfying?

1. VERY SATISFYING 2. MODERATELY SATISFYING 3. NOT AT ALL SATISFYING 8. DK 9. RF

J585 BRANCHPOINT: IF R REPORTED (IN CURRENT IW and IN THE LAST TWO WAVES) THAT WAS NOT WORKING (J705=1), GO TO J650 (J ASSIST)
J585
Thinking about your retirement years compared to the years just before you retired, would you say the retirement years have been better, about the same, or not as good?

1. BETTER  3. ABOUT  5. NOT  6. RETIRED LESS
THE SAME  AS GOOD  THAN 1 YEAR AGO.  THAN 1 YEAR AGO.

J586 BRANCHPOINT: IF R WAS COMPLETELY RETIRED AT R’s LAST IW (Z134=1) or (R WAS RETIRED and WE DON’T KNOW WHETHER IT WAS COMPLETELY (Z124=1 and (Z134 = EMPTY))), GO TO J596 BRANCHPOINT

J586
I’m going to read you a list of reasons why some people retire. Please tell me whether, for you, these were very important reasons for retirement, moderately important, somewhat important, or not important at all.

1. CONTINUE  DK  RF
<table>
<thead>
<tr>
<th>J588</th>
<th>1. VERY IMPORTANT</th>
<th>2. MODERATELY IMPORTANT</th>
<th>3. SOMEWHAT IMPORTANT</th>
<th>4. NOT IMPORTANT AT ALL</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>J588_1</td>
<td>Poor health.</td>
<td></td>
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<tr>
<td></td>
<td>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</td>
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<tr>
<td>J588_2</td>
<td>Wanted to do other things.</td>
<td></td>
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<tr>
<td></td>
<td>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</td>
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<tr>
<td>J588_3</td>
<td>Didn’t like the work.</td>
<td></td>
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<td>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</td>
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<tr>
<td>J588_4</td>
<td>Wanted to spend more time with my family.</td>
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<td>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</td>
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J589

Now I’m going to read you a list of things that some people say are good about retirement.

IF R IS COMPLETELY RETIRED (J578=1):
For each one, please tell me if, during your retirement, it has been very important, moderately important, somewhat important, or not important at all.

OTHERWISE:
For each one, please tell me if, for you, they are very important, moderately important, somewhat important, or not important at all.

1. CONTINUE  DK  RF
<table>
<thead>
<tr>
<th>J591_1</th>
<th>Being your own boss. ([Has this been/Is this] very important, moderately important, somewhat important, or not important at all?)</th>
</tr>
</thead>
<tbody>
<tr>
<td>J591_2</td>
<td>Being able to take it easy. ([Has this been/Is this] very important, moderately important, somewhat important, or not important at all?)</td>
</tr>
<tr>
<td>J591_3</td>
<td>Having the chance to travel. ([Has this been/Is this] very important, moderately important, somewhat important, or not important at all?)</td>
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</tbody>
</table>
Now for things that some people say are bad about retirement.

IF R IS COMPLETELY RETIRED (J578=1):
Please tell me if, during your retirement, they have bothered you a lot, somewhat, a little, or not at all.

OTHERWISE:
Please tell me if they worry you a lot, somewhat, a little, or not at all.

| 1. CONTINUE | DK | RF |

NOTE: EACH OF THE THREE WORRIES IN THE TABLE BELOW HAS THE FOLLOWING RETIREMENT CONDITIONS WITH QUESTION FORMS.

IF R IS COMPLETELY RETIRED (J578=1):
Does this bother you a lot, somewhat, a little, or not at all?

IF R IS PARTLY RETIRED (J578=2):
Does this worry you a lot, somewhat, a little, or not at all?

OTHERWISE (J578 (NOT 1 and NOT 2)):
Does this worry you a lot, somewhat, a little, or not at all?

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<td>Not doing anything productive or useful. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)</td>
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<tr>
<td>Illness or disability. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)</td>
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<tbody>
<tr>
<td>Not having enough income to get by. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)</td>
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</table>
J596 BRANCHPOINT: IF THIS IS A NEW INTERVIEW R (Z076 NOT 1), GO TO J650 (J ASSIST)

IF R IS STILL WORKING FOR LAST IW EMPLOYER (J045={1 or 3}), GO TO J612 BRANCHPOINT

IF R WAS WORKING FOR SOMEONE ELSE LAST IW (Z136=1) and DID NOT GIVE A (MONTH AND YEAR) THAT S/HE STOPPED WORKING FOR THAT EMPLOYER (J063 and J064)={DK or RF), GO TO J612 BRANCHPOINT

IF R WAS WORKING FOR SOMEONE ELSE LAST IW (Z136=1) and STARTED WORKING AT CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) LESS THAN 2 MONTHS FROM WHEN S/HE STOPPED WORKING FOR LAST IW EMPLOYER (per J063&J064), GO TO J612 BRANCHPOINT

IF R WAS SELF-EMPLOYED AT LAST IW (Z136=2) and DID NOT GIVE (MONTH AND YEAR) THAT S/HE STOPPED WORKING AT THAT BUSINESS (J023&J024)={DK or RF}, GO TO J612 BRANCHPOINT

IF R WAS SELF-EMPLOYED AT LAST IW (Z136=2) and IS CURRENTLY SELF-EMPLOYED (J021=2) and (MONTH AND YEAR) STARTED WORKING FOR CURRENT BUSINESS (per J248&J256 or J248&J249) IS SAME AS OR BEFORE (MONTH (per Z092) AND YEAR (per Z093)) OF LAST IW or WE DON’T KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ((J248 or J249)={DK or RF or EMPTY})), GO TO J612 BRANCHPOINT

IF (MONTH AND YEAR) R STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) IS ON OR BEFORE (MONTH AND YEAR) OF R’s LAST IW or (WE DON’T KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ((J248 or J249)={DK or RF or EMPTY})) and R IS WORKING NOW (J020 NOT 5), GO TO J612 BRANCHPOINT

IF R WAS SELF-EMPLOYED AT LAST IW (Z136=2) and (MONTH AND YEAR) R STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) IS LESS THAN 2 MONTHS FROM (MONTH AND YEAR) S/HE STOPPED WORKING FOR SELF (per J023&J024), GO TO J612 BRANCHPOINT

IF R IS CURRENTLY WORKING (J020=1) and DID NOT GIVE (MONTH AND YEAR) S/HE STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249), GO TO J612 BRANCHPOINT
J596

IF R IS CURRENTLY WORKING (J020=1):
Between ((MONTH, YEAR [R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]/RETIRED (per J017&J018))/R’s LAST IW MONTH, YEAR) and [MONTH, YEAR STARTED WORKING FOR CURRENT [EMPLOYER]/SELF] (per J248&J256 or J248&J249)) did you do any work for pay?

OTHERWISE:
Since ((MONTH, YEAR [R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]/RETIRED (per J017&J018))/R’s LAST IW MONTH, YEAR) did you do any work for pay?

1. YES  5. NO  8. DK  9. RF

GO TO J612 BRANCHPOINT

***BEGINNING OF JW777_5 LOOP: QUESTIONS JW777_5 AND JW778_5 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS.***

JW777_5 BRANCHPOINT: IF YEARS R REPORTED WORKED FOR PAY (per J596) ARE NOT IN ELIGIBLE YEAR RANGE, GO TO JW786_5 BRANCHPOINT

IF THE FIRST AND LAST YEARS IN RANGE ARE THE SAME (per J596), GO TO JW778_5 BRANCHPOINT

JW777_5

Were there any months in ELIGIBLE YEAR when you did any work for pay?

1. YES  5. NO  8. DK  9. RF

GO TO END OF JW777_5 LOOP
JW778_5 BRANCHPOINT: IF THERE IS ONLY ONE ELIGIBLE MONTH ON MONTHLIST, ASSIGN THAT MONTH TO JW778_5 AND GO TO THE END OF JW777_5 LOOP

JW778_5

Which months in ELIGIBLE YEAR where those (when you did any work for pay)?

[IWER: CHOOSE ALL THAT APPLY]

[IWER: ONLY ELIGIBLE MONTHS WILL APPEAR ON THE LIST]

[IWER: YOU MUST ENTER MONTH(S) FOR THIS QUESTION. IF YOU HAVE GOTTEN THIS QUESTION IN ERROR, BACK UP AND CHANGE ANSWER TO PREVIOUS QUESTION TO 'NO']

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL


14. ALL MONTHS DISPLAYED  15. ALL MONTHS DISPLAYED  98. DK  99. RF

NOTE: THE ONLY MONTHS AND YEARS PRESENTED TO THE IWER ARE THOSE FROM R’s LAST IW UNTIL THE DATE R STOPPED WORKING FOR THAT EMPLOYER/BUSINESS. CODES 13, 14, AND 15 ARE NOT SHOWN IN THE DATA AND SHOULD NOT BE USED FOR ANALYSIS PURPOSES. THEY WILL ONLY SHOW IN THE CODEFRAME AS A CONVENIENCE FOR INTERVIEWERS WHEN APPROPRIATE.

***END OF JW777_5 LOOP: QUESTIONS JW777_5 AND JW778_5 ARE REPEATED FOR UP TO FOUR ELIGIBLE YEARS. OTHERWISE, CONTINUE***

JW786_5 BRANCHPOINT: IF YEAR R STOPPED WORKING/RETIRED IS THE SAME YEAR or AFTER THE PREVIOUS HRS WAVE YEAR, GO TO J599

JW786_5

Were there any months between MONTH, YEAR R [STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]/RETIRED (per J017&J018)]/R’s LAST IW MONTH, YEAR] and January [FIRST YEAR OF PREVIOUS HRS WAVE DATA COLLECTION] when you did any work for pay?

1. YES  5. NO  8. DK  9. RF
During these months, how many hours per week did you usually work?

HOURS

On average, how much were you earning before deductions during these months?

AMOUNT

Was that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF
J606
Counting yourself as an employer if you were self employed, for how many employers did you work during those months?

1. ONE  2. TWO OR MORE  8. DK  9. RF

GO TO J612 BRANCHPOINT

J607
Why did you stop working at that job? (Did the business close, were you laid off or let go, did you leave to take care of family members or what?)

[IWER: CHOOSE ALL THAT APPLY]

1. BUSINESS CLOSED  2. LAID OFF/LET GO  3. POOR HEALTH/DISABLED  4. FAMILY CARE  5. BETTER JOB

GO TO J612 BRANCHPOINT

6. QUIT  7. RETIRED  97. OTHER (SPECIFY)

98. DK  99. RF

J608

J609
Did your employment situation change in some way that encouraged you to leave?

1. YES  5. NO  8. DK  9. RF

GO TO J612 BRANCHPOINT
J610
How did it change?

[IWER: CHOOSE ALL THAT APPLY]

1. SUPERVISOR ENCOURAGED DEPARTURE
2. COWORKER ENCOURAGED DEPARTURE
3. WAGES REDUCED (OR ABOUT TO BE REDUCED)
4. HOURS REDUCED (OR ABOUT TO BE REDUCED)
5. WOULD HAVE BEEN LAID OFF
6. NEW JOB DUTIES
7. NEW JOB LOCATION
8. BECAME ELIGIBLE FOR PENSION
9. EMPLOYER CHANGED HEALTH INSURANCE
10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER
97. OTHER (SPECIFY)
98. DK
99. RF

J612 BRANCHPOINT: IF R DID NOT REPORT THAT IS CURRENTLY WORKING (J020={5 or DK or RF}) and DID NOT REPORT AT R’s LAST IW THAT WAS WORKING FOR PAY (Z123 NOT 1) and DID NOT REPORT THAT HAS WORKED FOR PAY BETWEEN {LEAVING LAST IW EMPLOYER AND {THE PRESENT OR START OF CURRENT EMPLOYMENT}} (J596={5 or DK or RF}), GO TO J650 (J ASSIST)

IF R IS NOT WORKING (J020=5) and STOPPED WORKING MORE THAN A YEAR AGO (per {J063&J064 or J023&J024 or J017&J018}) and R DID NOT SAY THAT WORKED SINCE STOPPED (J596=(5 or 8 or 9)), GO TO J613 BRANCHPOINT

J612
Counting paid vacations as weeks of work, how many weeks did you work in the last 12 months?

[IWER: COUNT PAID SICK TIME AS WORK TIME]

[IWER: THIS REFERS TO WEEKS WORKED IN LAST 12 MONTHS ON CURRENT JOB]

______ WEEKS
**Early Out Retirement Incentives**

**J613**

Employers sometimes encourage older workers to leave a firm at a particular time by offering a special financial incentive, like a cash bonus or improved pension benefits. These are often called ‘early retirement windows.’

Have you been offered such an early retirement window at any time since R’s LAST IW MONTH, YEAR?

1. YES  5. NO  8. DK  9. RF

GO TO J650 (J ASSIST)

**J614**

How many of these special incentive offers have you received [since R’s LAST IW MONTH, YEAR/in the last two years]?

0  DK  RF

SPECIAL INCENTIVES

GO TO J650 (J ASSIST)
### J616

In what month and year was the (first/last) special incentive offered?

[IWER: MONTH ENTERED MUST BE BETWEEN [NOV. YEAR 2 YEARS AGO/REINTERVIEW R’s LAST IW MONTH, YEAR] AND CURRENT IW MONTH, YEAR]

**J616**
- **MONTH:**
  - 1. JAN
  - 2. FEB
  - 3. MAR
  - 4. APR
  - 5. MAY
  - 6. JUN
  - 7. JUL
  - 8. AUG
  - 9. SEP
  - 10. OCT
  - 11. NOV
  - 12. DEC
  - 98. DK
  - 99. RF

**J617**

**J619 BRANCHPOINT:** IF R IS STILL WORKING FOR LAST IW EMPLOYER (J045=(1 or 3)), GO TO J621

### J619

Which employer were you working for at the time?

[IWER: SELECT EMPLOYER FROM LIST BELOW]

[IWER: IF EMPLOYER NOT LISTED, SELECT OTHER, SPECIFY AND ENTER FULL NAME OF EMPLOYER ON NEXT SCREEN]

1. **LAST WAVE EMPLOYER NAME** (per Z091)
2. **CURRENT EMPLOYER NAME** (per JW158)
7. **OTHER (SPECIFY)**
8. DK
9. RF

**J620**
J621
Was the special incentive a cash bonus, improved pension benefits or what?

[IWER: CHOOSE ALL THAT APPLY]

1. CASH BONUS
2. IMPROVED PENSION BENEFITS
3. PERMANENT MEDICAL INSURANCE
4. TEMPORARY MEDICAL INSURANCE
5. TEMPORARY CASH BENEFIT
6. ADDITIONAL YEARS OF SERVICE CREDITED
7. OTHER (SPECIFY)
8. DK
9. RF

J623 BRANCHPOINT: IF R’s OFFER DID NOT INCLUDE A CASH BONUS (J621 NOT 1), GO TO J627 BRANCHPOINT

J623
How much did the cash bonus offer amount to?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

GO TO J627 BRANCHPOINT

J624-J626 Unfolding Sequence
Question text: Did it amount to less than $____ , more than $____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $15,000, $25,000, $50,000, $75,000
RANDOM ENTRY POINTS: $25,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J627 BRANCHPOINT: IF R’s OFFER DID NOT INCLUDE IMPROVED PENSION BENEFIT (J621 NOT 2), GO TO J633 BRANCHPOINT
J627
How much did the extra pension benefit offer amount to?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GO TO J631

GO TO J633

J628-J630 unfolding sequence
Question text: Did it amount to less than $____, more than $____, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $3,000, $5,000, $12,000, $36,000
RANDOM ENTRY POINTS: $5,000, $12,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J631 BRANCHPOINT: GO TO J633 BRANCHPOINT

J631
[IWER: PROBE IF NECESSARY]
Was that per week, month, year, or a lump sum?

PER:

<table>
<thead>
<tr>
<th>2. WEEK</th>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>95. LUMP SUM</th>
</tr>
</thead>
</table>

97. OTHER (SPECIFY) 98. DK 99. RF

J632

J633 BRANCHPOINT: IF R’s OFFER DID NOT INCLUDE TEMPORARY MEDICAL INSURANCE (J621 NOT 4), GO TO J636 BRANCHPOINT
J633
Until what age was the temporary medical insurance offered?

95 NUMBER OF YEARS GIVEN

96 ANSWERED YEAR

DK

RF

GO TO J636 BRANCHPOINT

J634
[ IWER: PROBE IF NECESSARY ]
For how many years was the temporary medical insurance offered?

YEARS

DK

RF

GO TO J636 BRANCHPOINT

J635
[ IWER: PROBE IF NECESSARY ]
Until which year was the temporary medical insurance offered?

YEAR

DK

RF

J636 BRANCHPOINT: IF R’s OFFER DID NOT INCLUDE TEMPORARY CASH BENEFITS (J621 NOT 5), GO TO J642 BRANCHPOINT
J636
How much did the temporary cash benefits offer amount to?

 AMOUNT

0  DK  RF

GO TO J639

J637
[IWER: PROBE IF NECESSARY]
Was that per week, month year, or a lump sum?

PER:

2. WEEK  4. MONTH  6. YEAR  95. LUMP SUM

97. OTHER (SPECIFY)  98. DK  99. RF

J638

J639
Until what age was the temporary cash benefit offered?

 AGE

95 NUMBER OF YEARS GIVEN  96 ANSWERED YEAR  DK  RF

GO TO J642 BRANCHPOINT  GO TO J641  GO TO J642 BRANCHPOINT

J640
[IWER: PROBE IF NECESSARY]
For how many years was the temporary cash benefit offered?

 YEARS

DK  RF

GO TO J642 BRANCHPOINT

J641
[IWER: PROBE IF NECESSARY]
Until which year was the temporary cash benefit offered?

 YEAR

DK  RF

J642 BRANCHPOINT: IF R’s OFFER DID NOT INCLUDE ADDITIONAL YEARS OF SERVICE CREDIT (J621 NOT 6), GO TO J643 BRANCHPOINT
J642
How many years of service credit were you offered?

YEARS

DK  RF

J643
IF R MENTIONS OTHER INCENTIVES ONLY (J621=7 ONLY):
Altogether, how much did the incentive offer amount to?

OTHERWISE:
(Not including [the cash bonus, /(and) improved pension benefits, /(and) permanent medical insurance, /(and) temporary medical insurance, /(and) temporary cash benefit, /(and) additional years of service credited,])
what was the value of the other part of the (first/second) incentive offer?

NOTE: "and" IS INSERTED BETWEEN THE LAST ITEM ON THE LIST AND ITS PREDECESSOR.

AMOUNT

DK  RF

NOTE: ALL TYPES OF INCENTIVES SELECTED AT J621 ARE INCLUDED IN THE QUESTION TEXT.

J644
Did you accept the incentive and leave that employer?

1. YES  5. NO  8. DK  9. RF

GO TO J646

J645
Was the special incentive important in your decision, or would you probably have left at about that time anyway?

1. IMPORTANT IN R’s DECISION  5. R WOULD HAVE LEFT ANYWAY  8. DK  9. RF

GO TO END OF J616 LOOP (AFTER J646)

J646
If you had been offered twice as much (to leave) at that time, would you have done so?

1. YES  5. NO  8. DK  9. RF
J650

J ASSIST
IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN
SECTION J — EMPLOYMENT?

1. NEVER    2. A FEW TIMES    3. MOST OR ALL
        OF THE TIME 4. THE SECTION WAS DONE
        BY A PROXY REPORTER

GO TO SECTION K