HEALTH AND RETIREMENT STUDY
2010 Core
Final, Version 5.0
December 2014

Data Description and Usage
# TABLE OF CONTENTS

| TABLE OF CONTENTS ........................................................... III |
| 1. OVERVIEW ................................................................... 1 |
| 1A. The Sample Interviewed in 2010 ............................................. 1 |
| 1B. 2010 Questionnaire Sections ............................................. 2 |
| 1C. Levels of Files ................................................................ 3 |
| 1C1. Household Level Files .................................................. 3 |
| 1C2. Respondent Level Files .................................................. 3 |
| 1C3. Sibling Level File .......................................................... 3 |
| 1C4. Household Member and Child Level Files ................. 3 |
| 1C5. Helper Level File .......................................................... 3 |
| 1C6. Transfer-to-Child-Level File ........................................... 4 |
| 1C7. Transfer-from-Child-Level-File ...................................... 4 |
| 2. FILE NAMING CONVENTIONS ................................................ 4 |
| 3. DATA FILES .................................................................... 4 |
| 4. IDENTIFICATION VARIABLES ................................................. 6 |
| 4A. Primary Identification Variables ........................................... 6 |
| 4A1. HHID - Household Identification Number ....................... 6 |
| 4A2. MSUBHH - 2010 Sub-household Identifier ..................... 6 |
| 4A3. PN - Person Number ..................................................... 6 |
| 4A4. OPN - Other Person Number ........................................... 6 |
| 4B. Primary Identification Variables for Datasets at Each of the Seven Levels ........................................................................ 6 |
| 4C. Secondary Identification Variables ....................................... 7 |
| 4D. Datasets Including MSUBHH and OPN as Primary Identifiers .................................................................................. 8 |
| 5. DISTRIBUTION FILES AND DIRECTORY STRUCTURE .................. 8 |
| 5A. Distribution Files ............................................................ 8 |
| 5B. Directory Structure .......................................................... 8 |
| 6. PROGRAM STATEMENTS ....................................................... 9 |
| 6A. Using the Files with SAS ................................................... 9 |
| 6B. Using the Files with SPSS .................................................. 9 |
| 6C. Using the Files with STATA ............................................... 10 |
| 7. DOCUMENTATION ............................................................ 10 |
| 7A. Codebook .................................................................. 10 |
| 7A1. Variable Names ...................................................... 10 |
| 7A2. Other Types of Documentation .......................................... 12 |
| 7B. Master Codes ............................................................... 12 |
| 8. ADDITIONAL NOTES ........................................................ 13 |
| 8A. Households with No Family or Financial Respondents ........ 13 |
| 8B. Unfolding Bracket Variables and Imputations ............... 13 |
| 8C. Modules for the 2010 Data Collection ......................... 15 |
| 8D. Explanation of the Difference between Tracker and 2008 Final Core Data .................................................................. 15 |
| 8E. Changes in Data because of Respondent Comments ........ 16 |
| 8F. Changes to 2010 Core, Version 5.0 ...................................... 16 |
| 8G. Enhanced Face-to-Face Sample Design and Content .......... 17 |
| 8H. Errata .................................................................... 18 |
| 8I. Summary of Data Model (DM) Changes during 2010 Production ............................................................................. 19 |
| 8J. New Questions in HRS 2010 .............................................. 21 |
9. OBTAINING THE DATA ................................. 22

  9A. REGISTRATION AND DOWNLOADING THE DATA ........................................ 22
  9B. CONDITIONS OF USE ................................................. 23
  9C. PUBLICATIONS BASED ON DATA ............................................ 23

10. IF YOU NEED TO KNOW MORE .................................................................. 23

  10A. HRS INTERNET SITE ..................................................... 23
  10B. CONTACT INFORMATION: .................................................. 24

A. EXAMPLES OF SUB-HOUSEHOLD AND RESPONDENT PERSON NUMBER AND OTHER PERSON NUMBER ASSIGNMENTS.................................................. I

  A1. MARRIED COUPLE STAYS MARRIED .............................................. I
  A2. COUPLE DIVORCES ................................................................ I
  A3. ONE OR BOTH RESPONDENTS DIE ................................................ II
  A4. SINGLE RESPONDENT MARRIES ................................................... III
  A5. COUPLE DIVORCES, ONE RESPONDENT REMARRIES AND DIVORCES ........ III
  A6. COUPLE DIVORCES AND MARRIES AGAIN ..................................... IV
  A8. COUPLE WITH CHILDREN AND SIBLINGS DIVORCES ....................... V
  A9. COUPLE DIVORCES, ONE RESPONDENT REMARRIES, BOTH SPLIT-OFF HOUSEHOLDS HAVE NEW MEMBERS . VI

APPENDIX B .................................................................. VII

B. MASTER CODES ............................................................. VII

  B1. HEALTH CONDITIONS - CATEGORICAL ........................................ VII
  B2. HEALTH CONDITIONS - ALPHABETICAL LIST .................................. XI

C. OCCUPATION CODES ................................................................ XXI

D. INDUSTRY CODES .................................................................. XXXII

E. STATE AND COUNTRY CODES.................................................. XXXVIII

  E1. STATES ............................................................................ XXXVIII
  E2. OTHER U.S. ........................................................................ XXXIX
  E3. OTHER COUNTRIES ................................................................ XXXIX
Data Description and Usage

1. Overview

The 2010 HRS Core (Final, Version 5.0) data release consists of data obtained as part of the Health and Retirement Study (HRS), a national longitudinal study of the economic, health, marital, and family status, as well as public and private support systems, of older Americans. The National Institute on Aging provided funding (NIH U01 AG09740), with supplemental support from the Social Security Administration. The Institute for Social Research (ISR) Survey Research Center (SRC) at the University of Michigan conducted the survey.

By receiving the data, which have been freely provided, you agree to use them for research and statistical purposes only and make no effort to identify the respondents. In addition, you agree to send us a copy of any publications you produce based on the data. See Obtaining the Data for additional details.

1A. The Sample Interviewed in 2010

The data collection period for the 2010 interview was March 2010 through November 2011. The HRS sample is comprised of six sub-samples (HRS, AHEAD, CODA, WAR BABY, EARLY BABY BOOMER and MIDDLE BABY BOOMER).

The first sub-sample, the HRS sub-sample, consists of people who were born 1931 through 1941 and were household residents of the conterminous U.S. in the spring 1992, and their spouses or partners at the time of the initial interview in 1992 or at the time of any subsequent interview. The HRS sub-sample was interviewed in 1992 and every two years thereafter.

The AHEAD sub-sample consists of people who were born in 1923 or earlier, were household residents of the conterminous U.S. in the spring 1992, and were still household residents at the time of their first interview in 1993 or 1994, and their spouses or partners at the time of the initial interview or at the time of any subsequent interview. The AHEAD sub-sample was interviewed in 1993-94, 1995-96, 1998 and every two years thereafter.

The War Baby (WB) sub-sample consists of people who were born in 1942 through 1947, were household residents of the conterminous U.S. in the spring 1992, who, at that time, did not have a spouse or partner born before 1924 or between 1931 and 1941, and were still household residents at the time of the first interview in 1998, and their spouses or partners at the time of the initial interview or at the time of any subsequent interview. The War Baby sub-sample was interviewed in 1998 and every two years thereafter.

The Children of the Depression (CODA) sub-sample consists of people who were born in 1924 through 1930, were household residents of the conterminous U.S. when first interviewed in 1998, and who, at that time, did not have a spouse or partner who was born before 1924 or between 1931 and 1947, and their spouses or partners at the time of the initial interview or at the time of any subsequent interview. The Children of the Depression sub-sample was interviewed in 1998 and every two years thereafter.

The Early Baby Boomer (EBB) sub-sample consists of people who were born in 1948 through 1953, were household residents of the U.S. when first interviewed in 2004, and who, at that time, did not have a spouse or partner who was born before 1948, and their spouses or partners at the time of the initial interview...
or at the time of any subsequent interview. The Early Baby Boomer sub-sample was interviewed in 2004 and every two years thereafter.

The Mid Baby Boomer (MBB) sub-sample consists of people who were born between 1954 and 1959, were household residents of the U.S. when first interviewed in 2010/2011, and who, at that time, did not have a spouse or partner who was born before 1954, along with their spouses or partners at the time of the initial interview or at the time of any subsequent interview. The Middle Baby Boomer sub-sample was interviewed in 2010/2011 and every two years thereafter.

The EBB and MBB sub-samples were supplemented in the 2010 wave with a sample of individuals residing in areas with 10% or higher concentrations of Black and/or Hispanic populations in order to boost the size of the minority samples in those cohorts. This supplemental sample is referred to as the minority oversample. The variable "MOS" flags respondents who are in this subsample (1=in minority oversample).

Original sample members are those selected as described above and their spouses or partners at the time of the initial interview in 1992 (HRS), 1993 (AHEAD), 1998 (CODA or WB), 2004 (EBB) or 2010/2011 (MBB). For more details about the sample, see our Web site.

1B. 2010 Questionnaire Sections

<table>
<thead>
<tr>
<th>2010 Section</th>
<th>Content</th>
</tr>
</thead>
<tbody>
<tr>
<td>PR</td>
<td>Preload</td>
</tr>
<tr>
<td>A</td>
<td>Coverscreen</td>
</tr>
<tr>
<td>B</td>
<td>Demographics</td>
</tr>
<tr>
<td>C</td>
<td>Physical Health</td>
</tr>
<tr>
<td>D</td>
<td>Cognition</td>
</tr>
<tr>
<td>E</td>
<td>Family Structure</td>
</tr>
<tr>
<td>F</td>
<td>Parents, Siblings and Transfers</td>
</tr>
<tr>
<td>G</td>
<td>Functional Limitations and Helpers</td>
</tr>
<tr>
<td>H</td>
<td>Housing</td>
</tr>
<tr>
<td>I</td>
<td>Physical Measures</td>
</tr>
<tr>
<td>J</td>
<td>Employment</td>
</tr>
<tr>
<td>K</td>
<td>Last Job</td>
</tr>
<tr>
<td>L</td>
<td>Job History</td>
</tr>
<tr>
<td>M</td>
<td>Disability</td>
</tr>
<tr>
<td>N</td>
<td>Health Services and Insurance</td>
</tr>
<tr>
<td>P</td>
<td>Expectations</td>
</tr>
<tr>
<td>Q</td>
<td>Assets and Income</td>
</tr>
<tr>
<td>R</td>
<td>Asset Change</td>
</tr>
<tr>
<td>S</td>
<td>Widowhood and Divorce</td>
</tr>
<tr>
<td>T</td>
<td>Wills and Life Insurance</td>
</tr>
<tr>
<td>U</td>
<td>Asset Reconciliation</td>
</tr>
<tr>
<td>V</td>
<td>Modules</td>
</tr>
<tr>
<td>W</td>
<td>Event History, Internet Use and Social Security</td>
</tr>
<tr>
<td>RC</td>
<td>Repeat Cognition</td>
</tr>
<tr>
<td>Y</td>
<td>Time Calculations</td>
</tr>
<tr>
<td>IO</td>
<td>Interviewer Observations</td>
</tr>
<tr>
<td>LB</td>
<td>Leave-Behind Questionnaires</td>
</tr>
<tr>
<td>TN</td>
<td>Thumbnails</td>
</tr>
</tbody>
</table>
1C. Levels of Files

In the 2010 data collection instrument, most questions were asked of all respondents. Some questions were asked about the household. For two-respondent households, household level questions were asked of one respondent who was designated as the financial respondent, family respondent, or coverscreen respondent (the first respondent interviewed) on behalf of the entire household.

In addition to the familiar household-level and respondent-level files, the 2010 HRS Core (Final, Version 5.0), contains files at five other levels: household-member-and-child, sibling, helper, transfer-to-child and transfer-from-child.

1C1. Household Level Files

Household-level files contain questions that were asked about the household of a designated coverscreen, financial, or family respondent. A coverscreen respondent answered family questions (section A) on behalf of the entire household; the coverscreen respondent may or may not be the family respondent. A family respondent answered family questions (section E) on behalf of the entire household, and a financial respondent answered household-level financial questions (sections H, Q, R and U) on behalf of the entire household. The household-level files contain one record for each household in which at least one interview was obtained in 2010.

1C2. Respondent Level Files

Respondent-level files contain questions that were asked of all respondents about themselves (or asked of a proxy about the respondent if the respondent was not able to give an interview). The files contain one record for each respondent or proxy who gave an interview in 2010.

1C3. Sibling Level File

The sibling file consists of characteristics of the respondent’s siblings. If a respondent had at least one living parent, he/she was asked a variety of questions about his/her siblings (Section F). The sibling file contains one record for each sibling of a respondent. Each respondent reports on his/her own parents and siblings. Sibling data are also stored in the preload section, H10PR_SB.

1C4. Household Member and Child Level Files

These files contain characteristics about household members and children. The information can come from Section A (coverscreen) or the family respondent in Section E and also in the preload section.

1C5. Helper Level File

This file contains information provided by each respondent about helpers. A helper may be a person or organization that was reported by the respondent as providing help with ADLs or IADLs. The file contains one record for each helper. If a child helped both respondents in a two respondent household, the helper file will contain two records – one of mother’s report of the child’s helping her and one for father’s report of the child’s helping him.
1C6. Transfer-to-Child-Level File
This file contains information provided by the family respondent about transfers of money to a child or grandchild. The file contains one record for each transfer to a child or grandchild.

1C7. Transfer-from-Child-Level-File
This file contains information provided by the family respondent about transfers of money from children or grandchildren. The file contains one record for each transfer from a child or grandchild.

2. File Naming Conventions
Files are named beginning with “H10” for HRS 2010, followed by a letter (or two) designating the questionnaire section. A separator, “_” and then one or two letters designating the level, follows the section letter designator.

- H for household-level
- R for respondent-level
- MC for household-member-and-child-level
- SB for sibling-level
- HP for helper-level
- TC for transfer-to-child-level
- FC for transfer-from-child-level

For example, the file H10A_R includes variables from section A (coverscreen) at the respondent level, whereas, H10A_H contains variables from section A (coverscreen) at the household level.

The following extensions are used for the six different types of files that are distributed.

- .DA for data files,
- .SAS for SAS program statements,
- .SPS for SPSS program statements,
- .DO for STATA DO statements,
- .DCT for STATA dictionary statements, and
- .TXT for codebook files.

One of each of these file types is provided for each of the 39 data files for the 2010 HRS Core (Final, Version 5.0) data release. For example,

- H10A_R.DA contains respondent data from section A,
- H10A_R.SAS contains corresponding SAS program statements,
- H10A_R.SPS contains corresponding SPSS program statements,
- H10A_R.DO contains corresponding STATA DO statements,
- H10A_R.DCT contains corresponding STATA dictionary statements, and
- H10A_R.TXT contains the ASCII codebook.

3. Data Files
The 2010 HRS Core (Final, Version 5.0) data are distributed in 39 data files. The files are listed below along with the number of cases (N), number of variables (NV), and the primary identifiers (IDS). The records in the data files are sorted in order by these primary identifiers.
The 2010 HRS Core data are provided in ASCII format, with fixed-length records. Use associated SAS, SPSS or STATA program statements to read the data into the analysis package of your choice.

Household level files, IDS=HHID MSUBHH

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10PR_H</td>
<td>15280</td>
<td>44</td>
</tr>
<tr>
<td>H10A_H</td>
<td>15280</td>
<td>42</td>
</tr>
<tr>
<td>H10E_H</td>
<td>15280</td>
<td>123</td>
</tr>
<tr>
<td>H10H_H</td>
<td>15280</td>
<td>231</td>
</tr>
<tr>
<td>H10Q_H</td>
<td>15280</td>
<td>728</td>
</tr>
<tr>
<td>H10R_H</td>
<td>15280</td>
<td>114</td>
</tr>
<tr>
<td>H10U_H</td>
<td>15280</td>
<td>246</td>
</tr>
<tr>
<td>H10IO_H</td>
<td>15280</td>
<td>74</td>
</tr>
</tbody>
</table>

Respondent level files, IDS=HHID PN

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10PR_R</td>
<td>22034</td>
<td>166</td>
</tr>
<tr>
<td>H10A_R</td>
<td>22034</td>
<td>32</td>
</tr>
<tr>
<td>H10B_R</td>
<td>22034</td>
<td>184</td>
</tr>
<tr>
<td>H10C_R</td>
<td>22034</td>
<td>252</td>
</tr>
<tr>
<td>H10D_R</td>
<td>22034</td>
<td>203</td>
</tr>
<tr>
<td>H10RC_R</td>
<td>22034</td>
<td>103</td>
</tr>
<tr>
<td>H10F_R</td>
<td>22034</td>
<td>199</td>
</tr>
<tr>
<td>H10G_R</td>
<td>22034</td>
<td>143</td>
</tr>
<tr>
<td>H10I_R</td>
<td>22034</td>
<td>187</td>
</tr>
<tr>
<td>H10IO_R</td>
<td>22034</td>
<td>123</td>
</tr>
<tr>
<td>H10J_R</td>
<td>22034</td>
<td>1577</td>
</tr>
<tr>
<td>H10K_R</td>
<td>22034</td>
<td>261</td>
</tr>
<tr>
<td>H10L_R</td>
<td>22034</td>
<td>452</td>
</tr>
<tr>
<td>H10LB_R</td>
<td>22034</td>
<td>399</td>
</tr>
<tr>
<td>H10M1_R</td>
<td>22034</td>
<td>269</td>
</tr>
<tr>
<td>H10M2_R</td>
<td>22034</td>
<td>475</td>
</tr>
<tr>
<td>H10N_R</td>
<td>22034</td>
<td>345</td>
</tr>
<tr>
<td>H10P_R</td>
<td>22034</td>
<td>53</td>
</tr>
<tr>
<td>H10S_R</td>
<td>22034</td>
<td>111</td>
</tr>
<tr>
<td>H10T_R</td>
<td>22034</td>
<td>85</td>
</tr>
<tr>
<td>H10TN_R</td>
<td>22034</td>
<td>15</td>
</tr>
<tr>
<td>H10V_R</td>
<td>22034</td>
<td>247</td>
</tr>
<tr>
<td>H10W_R</td>
<td>22034</td>
<td>47</td>
</tr>
<tr>
<td>H10Y_R</td>
<td>22034</td>
<td>87</td>
</tr>
</tbody>
</table>

Household member and child level files IDS=HHID MSUBHH OPN

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10PR_MC</td>
<td>83453</td>
<td>26</td>
</tr>
<tr>
<td>H10E_MC</td>
<td>79040</td>
<td>27</td>
</tr>
</tbody>
</table>

Sibling level files IDS=HHID PN OPN

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10PR_SB</td>
<td>25507</td>
<td>19</td>
</tr>
<tr>
<td>H10F_SB</td>
<td>25507</td>
<td>28</td>
</tr>
</tbody>
</table>

Transfer-to-child-level-file IDS=HHID MSUBHH

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10E_TC</td>
<td>7741</td>
<td>23</td>
</tr>
</tbody>
</table>

Transfer-from-child-level-file IDS=HHID MSUBHH

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10E_FC</td>
<td>1023</td>
<td>24</td>
</tr>
</tbody>
</table>

Helper level file IDS=HHID PN OPN

<table>
<thead>
<tr>
<th>File</th>
<th>N</th>
<th>NV</th>
</tr>
</thead>
<tbody>
<tr>
<td>H10G_HP</td>
<td>6735</td>
<td>30</td>
</tr>
</tbody>
</table>
At a later date, household and respondent level weight variables for the 2010 sample will be available in the HRS cross-wave Tracker file.

4. Identification Variables
Identification variables for HRS 2010 are stored in character format.

4A. Primary Identification Variables
Several variables, HHID, MSUBHH, PN, OPN, are used in various combinations to uniquely identify the seven different level datasets that comprise this data release.

4A1. HHID – Household Identification Number
In the initial wave of data collection (in 1992 for the HRS sub-sample, in 1993 for the AHEAD sub-sample, 1998 for the WB and CODA sub-samples, 2004 for the EBB sub-sample, and 2010/2011 for the MBB sub-sample), each sample household was assigned a Household Identifier. HHID is stable across waves of data collection and uniquely identifies the original household and any households derived from that household in subsequent waves of data collection. HHID has six-digits.

4A2. MSUBHH – 2010 Sub-household Identifier
In combination with HHID, MSUBHH uniquely identifies a household at the time of the 2010 data collection. Sub-household identifiers can be different at each wave. MSUBHH has one-digit. For more information, see Examples of Sub-Household and Respondent Person Number and Other Person Number Assignments.

4A3. PN – Person Number
In combination with HHID, PN uniquely identifies a respondent or respondent’s spouse or partner. PNs are unique within an original household (HHID). The PN assigned to a particular respondent does not change across waves. PN has three-digits.

4A4. OPN – Other Person Number.
In the 2010 data collection HHID, MSUBHH and OPN uniquely identify another person in the household member and child files; HHID, PN, and OPN uniquely identify another person in the helper or sibling files. OPN has three-digits.

4B. Primary Identification Variables for Datasets at Each of the Seven Levels
Two identifiers uniquely identify records in the
  o respondent-level datasets:
    1) HHID    HOUSEHOLD IDENTIFICATION NUMBER
    2) PN      RESPONDENT PERSON IDENTIFICATION NUMBER

Two identifiers uniquely identify records in the
  o household-level datasets:
1) HHID HOUSEHOLD IDENTIFICATION NUMBER
2) MSUBHH 2010 SUB HOUSEHOLD IDENTIFICATION NUMBER

Three identifiers uniquely identify records in the
  o helper-level datasets:
  1) HHID HOUSEHOLD IDENTIFICATION NUMBER
  2) PN RESPONDENT PERSON IDENTIFICATION NUMBER
  3) OPN OTHER PERSON NUMBER

Three identifiers uniquely identify records in the
  o household-member-and-child-level:
  1) HHID HOUSEHOLD IDENTIFICATION NUMBER
  2) MSUBHH 2010 SUB HOUSEHOLD IDENTIFICATION NUMBER
  3) OPN OTHER PERSON NUMBER

Three identifiers uniquely identify records in the
  o sibling-level datasets:
  1) HHID HOUSEHOLD IDENTIFICATION NUMBER
  2) PN RESPONDENT PERSON IDENTIFICATION NUMBER
  3) OPN OTHER PERSON NUMBER

Three identifiers uniquely identify records in the
  o transfer-to-child-level, and
  o transfer-from-child-level datasets:
  1) HHID HOUSEHOLD IDENTIFICATION NUMBER
  2) MSUBHH 2010 SUB HOUSEHOLD IDENTIFICATION NUMBER
  3) OPN OTHER PERSON NUMBER

4C. Secondary Identification Variables

In addition to the primary identification variables that uniquely identify
records in a dataset, secondary identification variables that allow links to
other datasets are provided. Two sets of secondary identification variables are
provided. They are listed below.

Secondary Identification Variables for
  o respondent-level,
  o sibling level and
  o helper-level datasets:

  MSUBHH 2010 SUB HOUSEHOLD IDENTIFICATION NUMBER
  LSUBHH 2008 SUB HOUSEHOLD IDENTIFICATION NUMBER
  MPN_SP 2010 SPOUSE/PARTNER PERSON NUMBER
  MCSR 2010 WHETHER COVERSHEET RESPONDENT
  MFAMR 2010 WHETHER FAMILY RESPONDENT
  MFINR 2010 WHETHER FINANCIAL RESPONDENT

Secondary Identification Variables for
  o household level,
  o household member or child level,
  o transfer-from-child-level and
  o transfer-to-child-level:

  MSUBHH 2010 SUB HOUSEHOLD IDENTIFICATION NUMBER
  LSUBHH 2008 SUB HOUSEHOLD IDENTIFICATION NUMBER
  MPN_CS PERSON NUMBER OF COVER SCREEN RESPONDENT
4D. Datasets Including MSUBHH and OPN as Primary Identifiers

When working with datasets including MSUBHH and OPN as primary identifiers -- household-member-and-child-level, it is essential to use MSUBHH to distinguish other persons.

Sometimes records with the same HHID and OPN will be separate reports about the same person. For example, Couple with Children Divorces, the record where HHID is 089012, MSUBHH is 1, and OPN is 101 is the report about the child from the mother, and the record where HHID is 089012, MSUBHH is 2, and OPN is 101 is the report about the same child from the father.

At other times records with the same HHID and OPN will be reports about different persons. For example, a couple divorces, one respondent remarries, and both split-off households have new members. When a couple divorces and both split-off households have new members, the record where HHID is 901231, MSUBHH is 2, and OPN is 151 is for the ex-wife’s mother, while the record where HHID is 901231, MSUBHH is 1, and OPN is 151 is for the ex-husband’s new stepchild.

5. Distribution Files and Directory Structure

5A. Distribution Files

The files are packaged for download from our Web site in two different ways – as one large .zip file that contains six smaller .zip files, one .pdf file, and one .txt file, or the eight smaller files available individually for separate download. The combined file is H10core.zip.

The individual .zip files for separate download are:

- **Data file**
  - H10da.zip contains data files.

- **Program statement files**
  - H10sas.zip contains SAS data descriptors.
  - H10sps.zip contains SPSS data descriptors.
  - H10sta.zip contains STATA data descriptors.

- **Documentation files**
  - H10cb.zip contains the codebook.
  - H10qn.zip contains the questionnaire.
  - H10dd.pdf – this document.

5B. Directory Structure

While a particular setup is not required for using HRS files, we have traditionally suggested a directory structure. By using this directory structure, you will not have to change the path name in your data descriptor...
files. If you use a different structure, just change the directory references in the program statement files.

<table>
<thead>
<tr>
<th>Directory</th>
<th>Contents</th>
</tr>
</thead>
<tbody>
<tr>
<td>c:\hrs2010</td>
<td>Files downloaded from Web site</td>
</tr>
<tr>
<td>c:\hrs2010\codebook</td>
<td>Unzipped files from H10cb.zip</td>
</tr>
<tr>
<td>c:\hrs2010\data</td>
<td>Unzipped files from H10da.zip</td>
</tr>
<tr>
<td>c:\hrs2010\qnaire</td>
<td>Unzipped files from H10qn.zip</td>
</tr>
<tr>
<td>c:\hrs2010\sas</td>
<td>Unzipped files from H10sas.zip</td>
</tr>
<tr>
<td>c:\hrs2010\spss</td>
<td>Unzipped files from H10sp.s.zip</td>
</tr>
<tr>
<td>c:\hrs2010\STATA</td>
<td>Unzipped files from H10sta.zip</td>
</tr>
</tbody>
</table>

Decompress the selected .zip files into the appropriate subdirectories. You will need about 450 MB of free space on your storage device to store the 39 .DA files.

6. Program Statements

Each data file comes with associated SPSS, SAS, or STATA program statements to read the data. Files containing SPSS statements are named with .SPS extension, those with SAS statements with a .SAS extension, and those with STATA statements with .DO and .DCT extensions.

The statement files are named beginning with the same prefix as the corresponding data file. For example, SAS statements in the file H10A_R.SAS go with the H10A_R.DA data file.

6A. Using the Files with SAS

To create a SAS system file for a particular dataset, two file types must be present for that dataset -- .SAS program statement files and .DA data files.

To create a SAS system file, load the *.SAS file into the SAS Program Editor. If the *.SAS file is located in "c:\hrs2010\sas" and the data file is located in "c:\hrs2010\data", you can run the file as is. A SAS system file (*.SD2 or *.SAS7BDAT) will be saved to directory "c:\hrs2010\sas".

If the files are not located in the specified directories, you will need to edit the *.SAS file to reflect the proper path names prior to running the file.

6B. Using the Files with SPSS

To create an SPSS system file for a particular dataset, two file types must be present for that dataset -- .SPS program statement files and .DA data files.

To create an SPSS system file, open the *.SPS file in SPSS as an SPSS Syntax File. If the *.SPS file is located in "c:\hrs2010\spss" and the data file is located in "c:\hrs2010\data", you can run the file as is. An SPSS system file (*.SAV) will be saved to directory "c:\hrs2010\spss".
If the files are not located in the specified directories, you will need to edit the *.SPS file to reflect the proper path names prior to running the file.

6C. Using the Files with STATA

To use STATA with a particular dataset, the following three file types must be present for that dataset -- .DCT files, .DO files, and .DA data files.

Files with the suffix .DA contain the raw data for STATA to read. Files with the suffix .DCT are STATA dictionaries used by STATA to describe the data. Files with the suffix .DO are short STATA programs ("do files") which you may use to read in the data. Load the .DO file into STATA and then submit it.

If the *.DO and *.DCT files are located in "c:\hrs2010\STATA" and the data file is located in "c:\hrs2010\data", you can run the .DO file as is.

If the files are not located in these directories, you must edit the *.DO and *.DCT files to reflect the proper path names before you run the files.

Note that the variable names provided in the .DCT files are uppercase. If you prefer lower case variable names, you may wish to convert the .DCT files to lower case prior to use. You may do this by reading the .DCT file into a text or word processing program and changing the case. For instance in Microsoft Word, Edit, Select All, Format, Change Case, lowercase.

7. Documentation

There are several types of documentation available for use with the 2010 HRS Core (Final, Version 5.0) data release. These include a codebook and the 2008 box-and-arrow questionnaire. In addition, 2010 variables have been added to the Online Concordance.

7A. Codebook

The HRS 2010 Codebook is provided as a series of 39 ASCII text files, as well as a file containing all sections. There is a codebook file corresponding to each data file. Each variable has its own codebook entry. The format of the codebook is, for the most part, consistent with all previous releases.

7A1. Variable Names

Variable names begin with a letter designating the wave of data collection (M for 2010), followed by the section letter, and numbers after the section letter. For example, MC001 where M=2010, C=section C (physical health), and 001 is the variable number. Variables from the preload section of the instrument will have either MX or MZ as prefix letters. The X indicates a variable that is updated by data collected in later sections of the questionnaire, whereas the Z indicates preloaded data that were not changed by subsequent answers to questions. For example:

MX007_R   RESP FAM/FIN TYPE – UPDATED
M2077_R   PREV WAVE R PIN/FAM TYPE
MX007_R indicates that the preloaded Financial or Family respondent was changed, or updated, later in the instrument from what had been preloaded (or assigned) prior to the start of the 2010 interview.

7A1a. Multiple-response and Looped Variables

There are two types of variables with multiple mention indicators. First are simple multiple mentions and second are multiple mentions within loops.

Simple multiple mention variables take the form: (wave prefix) + (section letter) + (variable number) + (mention number). For example, MN219M1 through MN219M5 are 2010 variables from section N with one through 5 mentions.

Variable names for multiple mentions to questions within a loop take the form: (wave prefix) + (section letter) + (variable number) + (underscore) + (loop iteration) + (letter designating mention number). For example, MN049_1M1, is a 2010 variable from section N, variable number 049 in the first iteration of the loop, and the first mention.

Simple loop variables (not a multiple mention) have an underscore (_ ) in their name and a suffix that designates the loop, e.g., MN025_1.

For variables that have a “W” right after the section designator, the variable names are slightly different. Variable names for multiple mentions to questions within a W-loop take the form: (wave prefix) + (section letter) + (“W”) + (variable number) + (letter designating loop iteration) + (mention number). For example, MKW097A1 is a 2010 variable from section K, variable number 097 in the first iteration of the loop, and the first mention. Other non-multiple mention variables within this type of loop are named with the letter designating the loop iteration. For example, MKW002A is variable number 002 in the first iteration of the loop.

Null multiple mention variables and variables from null loops beyond the first mention or first loop are not included in the data. It is generally the case that one null multiple mention and one null loop were retained.

7Alb. Masked Variables

To protect the confidentiality of the information that respondents provide, a number of variables have been masked or are simply not included in the Final release public dataset. Some of these variables may be made available to analysts as restricted data. See our Web site for details.

Names, addresses, days of birth, information on geographical relocation and similar variables are not included in publicly released files.

Geographical locations are recoded to a level no more detailed than U.S. Census Region and Division. Data on the highest educational degree earned have been further grouped together to increase cell sizes. The 2000 U.S. Census codes have been used to code the occupation and industry data in 2010. At a future date, the HRS 2010 occupation and industry variables will be coded using the 2010 U.S. census codes and released to the public.

The names of variables that were masked for confidentiality end in the capital letter "M"; for example, variable MX026M (1ST ADDRESS STATE – MASKED) and variable MB024M (FATHER USUAL OCCUPATION – MASKED).
7A1c. Other Specify Questions, Comments, and Open Ends

“Other Specify” and “Open End”, or questions that are answered with text (e.g., vocabulary words, industry and occupation), are not included in Final data releases. Similarly, the Final release data also include comments made by respondents in the course of the interview. For the Final release, HRS staff reviewed these comments for selected questions, and the coded answer was changed if it was determined that the comment changed the substance of the recorded answer.

7A2. Other Types of Documentation

In addition to this document and the codebook, three additional types of documentation are available.

7A2a. Overview of HRS Public Data Files for Cross-sectional and Longitudinal Analysis

This is a thorough document that provides a description of structure and content for all HRS public data files and a detailed explanation for handling the longitudinal nature of the survey. It is located here: http://hrsonline.isr.umich.edu/sitedocs/dmgt/OverviewofHRSPublicData.pdf

7A2b. Box and Arrow Questionnaire

The research community has referred to the type of documentation that describes the questions asked in the interview as a “questionnaire”. Since the 2010 HRS data were collected using a CAI program, a traditional hard-copy questionnaire was not produced as part of the data collection phase. Therefore, in order to document question sequencing, we have provided a current-wave version of the traditional box and arrow questionnaire.

7A2c. Cross-Wave Tracker File

The cross-wave tracker file contains basic demographic information, interview status, and if, when and how an interview was conducted during a specific data collection period. The cross-wave tracker file also contains weight variables. The tracker file contains one record for every person who was ever eligible to be interviewed in any wave. Please see the cross-wave tracker data description for a more detailed description.

7B. Master Codes

A master code file contains detailed codeframes used in several sections of the codebook. The master codes include health conditions, alphabetical list of health conditions, occupation codes, industry codes, and state and country codeframes. The master codes appear in Appendix B.
8. Additional Notes

The following are miscellaneous additional notes regarding the 2010 HRS Core (Final, Version 5.0). If we become aware of additional issues, they will be posted on our Web site in the Data Alerts section.

8A. Households with No Family or Financial Respondents

As noted earlier in this document, the data collection design was to have asked most questions of all respondents, some questions of just a designated coverscreen, or family, or financial respondent on behalf of the household. However, occasionally that is not what happened. For some households we did not obtain an interview from a family or financial respondent. There were 113 households that had no family respondent; 73 households had no financial respondent. There are no missing coverscreen respondents in this wave. The household records for these households contain null values for the missing information. Households missing a family or financial respondent can be identified, respectively, by values of “Blank. No family/financial respondent” (in the household record) in the following variables:

- MPN_FAM - 2010 FAMILY RESP PERSON NUMBER
- MPN_FIN - 2010 FINANCIAL RESP PERSON NUMBER

8B. Unfolding Bracket Variables and Imputations

Typically, a series of unfolding bracket questions followed a lead-in question asking for an amount. If an actual amount was not given, a series of “unfolding” questions were asked. The manner in which the unfolding questions were programmed (Blaise) is different for the 2002 through 2010 data compared to the CAI (SurveyCraft) software used for 1993 through 2000. This change was transparent to the respondents, since exactly the same questions were asked with the new software as would have been asked with the old software; but it did have an implication for the data that were actually stored and also for the data that are released.

Instead of storing the response to each unfolding question, three summary variables were generated: the minimum and maximum values for the amount, given the answers to the unfolding questions, and if the last answer a respondent gave in an unfolding sequence was either “Don’t Know” or “Refused,” what that answer was. In 2002, if the Respondent said “more than” to the unfolding question with the highest value, then the maximum value was stored as ten times that value. However, in 2004 and 2010, if the Respondent said “more than” to the unfolding question with the highest value, then the maximum value was stored as 99999996. For most analysts, those three variables (and in particular, the minimum and maximum of the possible range) will be sufficient for analyses. For any analyst who needs the more detailed information, it should be noted that the three variables, combined with the information about the unfolding questions provided in the box-and-arrow and codebook, are sufficient to allow the analyst to reconstruct the sequence of questions asked of any respondent, and the answers to each of those questions in many of the unfolding sequences.

For other sequences -- those in which respondents were randomly assigned to one of three "entry" points for the first unfolding question -- the analyst will also need to take into account a fourth variable (located in the preload sections) that specifies the entry point for each respondent. The following
example shows the preload variable (MZ041) and the unfolding sequence that uses the random entry point from MZ041.

Example Random Entry Assignment Variable from Preload:

Preload Variable from the data file H10pr_h:

MZ041    PREASSIGNED UNFOLD RANDOM VALUE - SELF EMPLOYMENT
Section: PR Level: Household Type: Numeric Width: 1
Decimals: 0 Ref: Preload_HH.X041_UnfSEmpInc_V

UNFOLD ASSIGN - SELF EMPLOYMENT INCOME

User Note - A preload error caused New Cohort Households to miss an assignment for this variable

3649           1.  RANDOM ASSIGNMENT1
3568           2.  RANDOM ASSIGNMENT2
3537           3.  RANDOM ASSIGNMENT3

Unfolding Series from section Q that uses MZ041 to assign respondents an entry point:

MQ016    R INCOME FROM SELF EMPLOYMENT - MIN
Section: Q Level: Household Type: Numeric Width: 6
Decimals: 0 Ref: SecQ.RIncome.Q016_

Unfolding Procedure: 3Up, 2Up1Down, 1Up2Down (Min)
Did it amount to ...
Breakpoints: 5000/10000/25000/100000
RANDOM ENTRY POINT ASSIGNMENT [1 ($5,000)] or [2 ($10,000)] or [{NOT 1 and NOT 2} ($25,000)] AT LZ041

User Note: Due to a programming error, 58 new cohort HH's did not receive this unfolding sequence as expected.

112           0.  Value of Breakpoint
9       5000.  Value of Breakpoint
12       5001.  Value of Breakpoint
7       10000.  Value of Breakpoint
17       10001.  Value of Breakpoint
5       25000.  Value of Breakpoint
17       25001.  Value of Breakpoint
2       100001.  Value of Breakpoint
10573      Blank.  INAP (Inapplicable); Partial Interview

MQ017    R INCOME FROM SELF EMPLOYMENT - MAX
Section: Q Level: Household Type: Numeric Width: 8
Decimals: 0 Ref: SecQ.RIncome.Q017_

*
There are 10 modules for 2010 HRS. The module data can be found in the file H10V_R. Topical areas of the modules include:

Module 1: Possessions and Relocation
Module 2: Health Literacy
Module 3: Illness Perceptions and Alzheimer’s Disease
Module 4: Elder Disaster Preparedness and Disability
Module 5: Personality
Module 6: Pain
Module 7: Credit Cards
Module 8: Financial Sophistication and Investment Decision Making
Module 9: Proxy Reports of Spouse’s Health and Cognition
Module 10: Altruism

There are some areas where the Tracker File and the 2008 Final release data are different. Below is a list of these situations.

LSUBHH - The tracker file assigns a '9' for all new spouses in 2008 for the previous wave’s SUBHH number. The 2008 Final Release data, however, lists the SUBHH number of the household that the new spouse married into, since the new spouse will 'inherit' many of the relationships from that household.

LPN_SP - Even if a spouse did not give an interview in 2008, a spouse PN (LPN_SP) will exist in the core data. Additionally, for a non-original R’s new spouse, we assign a spouse PN (LPN_SP) and track the spouse's influence on the household with that number, even though we will never interview that person. In contrast, the tracker file will not reference these spouses.
Family and Financial R Assignments - As we keep a record of a non-interviewed spouse in the core data, we also release their assigned role in the household (i.e. family or financial R) with a listing for LPN_FIN, and LPN_FAM in the household sections. The tracker file will simply list the assignments of the people interviewed. Therefore, for example, the 2008 Core Final data has a blank line in Section H for a household where '020' was the non-interviewed financial R. The tracker file would show the same household as not having a financial R.

Marital Status - It is important to note that marital status, as it was assigned in the 2008 data (LB063) may be different from the marital status variable (xMARST) in the Tracker file. See the Cross-wave Tracker File Data Description for a more complete explanation of how marital status was assigned and the criteria used to reclassify respondents. The marital status variable in the Tracker file was constructed by looking at several different sources of data, whereas the marital status variable in the 2008 Core Final data was not changed using outside sources, in order to preserve the flow through the instrument for respondents.

8E. Changes in Data because of Respondent Comments

The interviewer recorded comments the respondent made during the course of the interview. HRS staff reviewed these comments for selected questions (e.g., other specify, amount and per questions). The original answer was changed if it was determined that the comment changed the substance of the recorded answer. Occasionally additional codes were added to an existing code frame. The text of added codeframes appears in the codebook in sentence case. Double reporting of income or assets in Section Q was corrected wherever possible.

When a change was made as a result of the comment review process, inconsistencies with subsequent variables may result. A limited number of changes for consistency were made to immediately subsequent variables within the section. No consistency changes were made to variables in other sections. If any comment change affected a subsequent branchpoint, the branchpoint was not changed.

8F. Changes to 2010 Core, Version 5.0

SAQ Eligibility:
The SAQ eligibility indicator has been updated to reflect changes in SAQ eligibility rules across waves. There are now two indicators. The first indicator (MLBRTYPE) identifies aspects of the respondent and/or interview that affect eligibility (enhanced face-to-face status, mode of interview, proxy and nursing home status). This indicator can be used to identify a comparable SAQ sample across all waves. The second indicator (MLBELIG) is a simple binary variable that identifies whether or not the respondent was eligible for the SAQ in that wave, given the eligibility rules that were in operation at the time.

The SAQ completion indicator (MLBCOMP) is very similar to the previous version. The SAQ is considered to be complete if one or more substantive variable contains non-missing data. One change from the previous version is that respondents who were not eligible for the SAQ but who completed it anyway are now assigned a completion status on the LBCOMP variable. In the past they were assigned missing on this variable. The number of such cases is very small.

More information on the SAQ eligibility rules and methodology is provided in the SAQ documentation report at this link: http://hrsonline.isr.umich.edu/sitedocs/userg/HRS2006-2010SAQdoc.pdf
8G. Enhanced Face-to-Face Sample Design and Content

In 2006, HRS initiated what is referred to as an Enhanced Face-to-Face Interview. In addition to the core interview, the Enhanced Face-to-Face Interview includes a set of physical performance measures, collection of biomarkers, and a Leave-Behind Questionnaire on psychosocial topics. A random one-half of households were pre-selected for the enhanced face-to-face interview in 2006, with the other half of the sample selected for 2008, and the design is repeated in each subsequent wave. New cohort HH’s for 2010 were randomly assigned into one of the two groups. Half will receive the Enhanced Face-to-Face interview in 2010. In coupled households, both members of the couple are selected. Selected respondents who completed a self (as opposed to proxy) interview, in-person (at least through Section I – the physical measures and biomarkers section), and who were non-institutionalized at the time of the interview were eligible for the physical measures and biomarkers components. This same group of respondents, plus those for whom an in-person proxy interview was completed, were also eligible for the Leave-Behind Questionnaire.

Psychosocial Leave-Behind Questionnaire (Section LB_R)

In 2004, HRS added a new feature for data collection in the form of self-administered questionnaires that were left with respondents upon the completion of an in-person Core Interview, referred to as the Leave-Behind Questionnaire. The purpose of the Leave-Behind Questionnaire is to collect additional information from respondents without adding to the interview length. In 2010, as in 2006 and 2008, the Leave-Behind Questionnaire was incorporated into the Enhanced Face-to-Face Interview. The Leave-Behind Questionnaire administered in 2010 is called the Participant Lifestyle Questionnaire, and it includes questions on participation in general activities, relationships with others, and views on their life in general as well as specific aspects of their life.

Physical Performance Measures and Biomarkers (Section I_R)

Blood pressure
Breathing test (peak flow)
Grip strength
Timed walk (8 ft.)
Balance tests (semi-tandem, side-by-side, full tandem)
Height
Weight
Waist circumference
Saliva (for which DNA was extracted and stored)
Dry blood spots (analyzed for Hemoglobin A1c, total cholesterol and HDL cholesterol)

With the exception of the timed walk, which was administered only to respondents 65 years of age or older, the physical measures and biomarkers were conducted on the full enhanced face-to-face sample.

Three separate consents were obtained to cover: 1) all of the physical measures, plus blood pressure; 2) saliva sample; and 3) dry blood spots. The physical measures booklet, which the interviewers used to administer the physical measures and biomarkers, is available on the HRS website (http://hrsonline.isr.umich.edu/modules/meta/2006/core/qnaire/online/44hr06BioMa
rker.pdf). The booklet contains the consent forms, as well as instructions and protocols for all of the measurements.

8H. Errata

For HHID = 525892: Due to a programming error, neither respondent was assigned as a Financial or Family respondent. As a result there will be no HH level data for HHID 525892 after the coverscreen.

For HHID = 130259 and PN = 010: The respondent was not able to do the interview in English or in Spanish and an interpreter was used for several sections of the interview. These sections are noted in the ‘assist’ variables at the end of each section.

Ask Rules and BLANK/INAP/MISSING data: In the 2010 Final, Version 5.0 codebook the rules governing questions (who gets asked) and the universe specifications for the Blank, Inap or Missing data category are NOT provided. Please consult the HRS Box-and-Arrow questionnaire.

Three records were removed because they did not meet the sample selection criteria. The three records are: 9203370010, 9203370020 and 9020540010.

MA023 PREVIOUS WAVE SP/P ALIVE has been corrected. If a Respondent reported at MA020 that they had the same spouse and their spouse was alive, they were coded a 3=Yes, assigned from MA020 on the variable MA023.

Corrections were made to three masked variables in the H10F_R data file including:
- MF036M STATE PARENTS LIVE - MASKED
- MF052M STATE MOTHER LIVE - MASKED
- MF068M STATE FATHER LIVE - MASKED

Corrections were made to one variable: MH153M STATE OF SECOND HOME - MASKED in the H10H_H data file.

Corrections were made to one variable: MS052M WHICH STATE DID H/W/P DIE - MASKED in the H10S_R data file.

Several variables in Section N_R were corrected. A programming error caused the data to be stored in temporary variables as opposed to the permanent or released variables. The data have been recovered and written into the permanent (released) variables. These corrected variables include:

- MN049_1A PRIV PLAN HI- WHO COVERED- 1- 1
- MN049_1B PRIV PLAN HI- WHO COVERED- 1- 2
- MN049_1C PRIV PLAN HI- WHO COVERED- 1- 3
- MN049_1D PRIV PLAN HI- WHO COVERED- 1- 4
- MN049_1E PRIV PLAN HI- WHO COVERED- 1- 5
- MN049_2A PRIV PLAN HI- WHO COVERED- 2- 1
- MN049_2B PRIV PLAN HI- WHO COVERED- 2- 2
- MN049_2C PRIV PLAN HI- WHO COVERED- 2- 3
- MN049_3A PRIV PLAN HI- WHO COVERED- 3- 1
- MN049_3B PRIV PLAN HI- WHO COVERED- 3- 2
8I. Summary of Data Model (DM) Changes during 2010 Production

During the field period, changes to the instrument need to be made in order to correct errors in the programming or to improve the quality of the data. The following is a summary of these changes. In all of the data files there is a variable called, LVDATE, which tells what version of the data model was used to collect a given interview.

DM2 Changes - 3-23-2010
B061 - Correction to skip over B061 when remarried
D154 - Change wording to match ADAMS (What is the day of the week?)
D194 - Change to the QxQ for D194_Animals to correct a contradiction currently stated between the IWER instruction on the screen and the QxQ. The QxQ for D194 should be changed from: Responses will be recorded by pressing the "Enter" key every time the R says a name/word that fits the category (animals). Do NOT press [Enter] for things said that are not animals, or if the R repeats an animal they already named. To: Press ENTER for EVERY response given, whether or not you think a response is correct. Do, however, try to keep a mental count of INCORRECT responses (which include duplicate responses and responses that do not fit the category “animals.”

V900_LeaveBehind - changed qtext and QxQ to reflect correct R payment amounts

V000_ModuleIntro(Mod9) - Corrected skip where not everyone who should have gotten module 9 were getting it. ALL PROXIES, no matter what their module assignment should get module 9 as well as all self interviews who have been assigned to module 9.

DM3 Changes - 4-21-2010
Apart from making a small number of changes to background Blaise variables (adding keep statements, correcting descriptors, etc.) and correcting a few typos in both English and Spanish, here are the notable changes in DM3:

Section D Number Series - Changes made to some items in the Section D 201-240 series to avoid any chance of conflict with publishing rights for these tests.

J487 (Amt Future SS Benefits) - Iwers are entering very large amounts here (a million and over). Added consistency checks that are activated by values greater than top ranges:
Monthly > $5000
Weekly > $1250
Biweekly > $2500
Year > $60,000

V360 Module 8 (Reasons for not investing in stock market) - Added two new codes:
4. Investing in the stock market is too expensive
5. Investing in stock market is too complicated

DM4 Changes 5-6-2010
A028 RInNHHome: We have determined that there will be a few exceptions for which we want to interview a new cohort R who lives in a NH. This will most often be in coupled HHs, where the age eligible R lives at home and the spouse in a nursing home.

As a result, we need to change the hard error on A028 for new cohort Rs to a soft error (or signal) that the IWER is able to suppress.

Also, change the wording in the error message to read as follows:

"This is a new cohort respondent who has been reported as living in a nursing home. We typically do not interview new cohort Rs who are institutionalized. If you are uncertain about whether this R is eligible to be interviewed, contact your TL before proceeding. If the R is eligible to be interviewed, suppress this message and continue with the interview."

Section D Number Series – Changes made to some items in the Section D 201-240 series to avoid any chance of conflict with publishing rights for these tests.

D201_G1 should be 23 26 30 35 _ - Correct Response: 41
D204_A1 should be 6 7 __ 9 - Correct Response: 8
D205_B1 should be 6 __ 4 3 - Correct Response: 5
D206_C1 should be 5 8 11 _ - Correct Response: 14
D212 should say 17 16 14 10 _ - Correct response: 2 or 3
D213_M1 should be 20 26 38 62 - Correct Response: 17
D214_N1 should be 5 _ 11 19 35 - Correct Response: 7
D223_I2 should be 1 3 9 _ - Correct Response: 27
D224_A2 should be 4 5 6 _ - Correct Response: 7
D225_B2 should be 5 4 3 _ - Correct Response: 2
D226_C2 should be 11 _ 15 17 - Correct Response: 13
D227_D2 should be 7 10 13 _ - Correct Response: 16
D231 should say 18 17 _ 12 8 - Correct response: 15
D234_N2 should be _ 18 24 36 60 - Correct Response: 15

N124_ - Corrected skip so when R was in a NH previous wave (X008=1) and has been continuously since (N116=996), N124 (When R Moved to NH) should be skipped.

DM5 Changes – 5-2-2010
D193 Animalsanswers – added carriage returns and diamonds to the interviewer instruction.

D213_ - changed '52' to '62'

DM6 Changes – 6-8-2010
A008ASex_A – assignment to R Grid correction

DM7 Changes – 7-14-2010

Added an Interviewer instruction at A020 for New Cohort cases from screener mail survey coming into Main as Married. "IWER: If R is still coupled with the named person, but is partnered, rather than married, enter '4'. Probe "No" responses as needed to make this determination."
Table 1. New Questions in HRS 2010

<table>
<thead>
<tr>
<th>Section</th>
<th>Instrument</th>
<th>Question Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>A - Coverscreen</td>
<td>Core</td>
<td>A212, A213</td>
</tr>
<tr>
<td>B - Demographics</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>C - Health</td>
<td>Core</td>
<td>C157-C170; C271-C273</td>
</tr>
<tr>
<td>D - Cognition</td>
<td>Core</td>
<td>D130-D139 N070 (brought back from an earlier wave), V435-V441, D200-D235</td>
</tr>
<tr>
<td>E - Children and Transfers</td>
<td>Core</td>
<td>E169-E171</td>
</tr>
<tr>
<td>F - Parents and Siblings</td>
<td>Core</td>
<td>F228</td>
</tr>
<tr>
<td>G - Functional limitations and helpers</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>H - Housing</td>
<td>Core</td>
<td>H203 &amp; H204</td>
</tr>
<tr>
<td>I - Physical Measures and Biomarkers</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>J - Employment</td>
<td>Core</td>
<td>J951/J952, W777, W778 and W786 added to replace month list questions; J029, J056, J081, J261, J597 and Q404, J955 added new intro question to the past pension sequence, reinstating J493- J498 which were dropped in 2008.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>* Dropping the J434-J470 past pension loop and replacing it with the JW097 former job loop that was added in 2008. This was done to bring the past pension loop into alignment with revisions made last wave to current and former job pension sequences.</td>
</tr>
<tr>
<td>K - Last Job</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>L - Job History</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>M - Work Disability</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>N - Health Insurance and Service Utilization</td>
<td>Core</td>
<td>N265-6, N260-1, N067-N070 (brought back from an earlier wave), N263-4, N267-N271</td>
</tr>
<tr>
<td>P - Expectations</td>
<td>Core</td>
<td>P166-P170, P014-P015 (broug back from an earlier wave), P175-P178, P179, P180</td>
</tr>
<tr>
<td>Q - Income and Assets</td>
<td>Core</td>
<td>Q524-Q531, Q532-added to replace Q401 and Q402 in the new month list question loop</td>
</tr>
<tr>
<td>R - Capital Gains</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>S - Widowhood</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>T - Wills and Life Insurance</td>
<td>Core</td>
<td>No new questions</td>
</tr>
<tr>
<td>U - Asset Reconciliation</td>
<td>Core</td>
<td>No new questions</td>
</tr>
</tbody>
</table>
Table 2. Revised Questions in HRS 2010

<table>
<thead>
<tr>
<th>Section</th>
<th>Instrument</th>
<th>Question Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Household screening interview</td>
<td></td>
<td>X954a, X969a, X969b, X977</td>
</tr>
<tr>
<td>Main interview:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>A - Coverscreen</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>B - Demographics</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>C - Health</td>
<td>Core</td>
<td>C114, C134, C210</td>
</tr>
<tr>
<td>D - Cognition</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>E - Children and Transfers</td>
<td>Core</td>
<td>E146</td>
</tr>
<tr>
<td>F - Parents and Siblings</td>
<td>Core</td>
<td>F029/F045/F061</td>
</tr>
<tr>
<td>G - Functional limitations and helpers</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>H - Housing</td>
<td>Core</td>
<td>H101, H139-H144</td>
</tr>
<tr>
<td>I - Physical Measures and Biomarkers</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>J - Employment</td>
<td>Core</td>
<td>J039, JW082_5 and JW097_5 revised wording in past pension versions of this q.</td>
</tr>
<tr>
<td>K - Last Job</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>L - Job History</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>M - Work Disability</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>N - Health Insurance and Service Utilization</td>
<td>Core</td>
<td>N009, N020, N422, N428, N429, N023, N147, N189, N202</td>
</tr>
<tr>
<td>P - Expectations</td>
<td>Core</td>
<td>No changes</td>
</tr>
<tr>
<td>Q - Income and Assets</td>
<td>Core</td>
<td>Q034, Q165</td>
</tr>
<tr>
<td>R - Capital Gains</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>S - Widowhood</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>T - Wills and Life Insurance</td>
<td>Exit</td>
<td>No text revisions</td>
</tr>
<tr>
<td>U - Asset Reconciliation</td>
<td>Core</td>
<td>U001</td>
</tr>
<tr>
<td>V - Pension Doc Request</td>
<td>Core</td>
<td>No text revisions</td>
</tr>
<tr>
<td>W - Social Security permission, Internet</td>
<td>Core</td>
<td>W353 (added intro phrase)</td>
</tr>
</tbody>
</table>

9. Obtaining the Data

9A. Registration and Downloading the Data

HRS data are available for free to researchers and analysts at the HRS Web site. In order to obtain public release data, you must first register at our Web site. Once you have completed the registration process, your username and password will be sent to you via e-mail. Your username and password are required to download any data files.
By registering all users, we are able to document for our sponsors the size and diversity of our user community allowing us to continue to collect these important data. Registered users receive user support, information related to errors in the data, future releases, workshops, and publication lists. The information you provide will not be used for any commercial use, and will not be redistributed to third parties.

9B. Conditions of Use

By registering, you agree to the Conditions of Use governing access to Health and Retirement public release data. You must agree to:

- not attempt to identify respondents
- not transfer data to third parties except as specified
- not share your username and password
- include specified citations in work based on HRS data
- provide information to us about publications based on HRS data
- report apparent errors in the HRS data or documentation files
- notify us of changes in your contact information

For more information concerning privacy issues and conditions of use, please read “Conditions of Use for Public Data Files” and “Privacy and Security Notice” at the Public File Download Area of the HRS Web site.

9C. Publications Based on Data

As part of the data registration process, you agree to include specified citations and to inform HRS of any papers, publications, or presentations based on HRS data. Please send a copy of any publications you produce based on HRS data, with a bibliographical reference, if appropriate, to the address below.

Health and Retirement Study
Attn: Papers and Publications
The Institute for Social Research, Room 3050
P.O. Box 1248
Ann Arbor, MI (USA) 48106-1248

Alternately, you may contact us by e-mail at hrsquestions@umich.edu with “Attn: Papers and Publications” in the subject line.

10. If You Need to Know More

This document is intended to serve as a brief overview and to provide guidelines to using the 2010 HRS Core (Final, Version 5.0) data. If you have questions or concerns that are not adequately covered here or on our Web site, or if you have any comments, please contact us. We will do our best to provide answers.

10A. HRS Internet Site

Health and Retirement Study public release data and additional information about the study are available on the Internet. To access the data and other relevant information, point your Web browser to the HRS Web site.
10B. Contact Information:

If you need to contact us, you may do so by one of the methods listed below.

Internet: Help Desk at our Web site

E-mail: hrsquestions@umich.edu

Postal service:
Health and Retirement Study
The Institute for Social Research, Room 3050
The University of Michigan
P.O. Box 1248
Ann Arbor, MI 48106-1248

FAX: (734) 647-1186
Appendix A

A. Examples of Sub-Household and Respondent Person Number and Other Person Number Assignments

In the first year of data collection, all households, consisting of either a single respondent or of two married or partnered respondents, were assigned a SUBHH of 0.

In subsequent waves, a SUBHH of 0 indicates that the original household has not split due to divorce or separation of spouses or partners, although one member of a couple may have died or a single respondent may have become married or partnered.

A value of 1 or 2 indicates a household in which the original couple split, divorced or separated. One of the original couple is assigned a SUBHH of 1; the other is assigned a SUBHH of 2.

A value of 5 or 6 indicates a previously split household split a second time. One of the couple from a SUBHH 1 or 2 retains a SUBHH of 1 or 2; the other is assigned a SUBHH 5 or 6.

A value of 7 indicates respondents from split household reunited\(^1\).

It is important to understand these assignments when you merge records from different waves of the study.

A1. Married Couple Stays Married

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 012345 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

At the time of the second cross-section the two respondents are still married, and each retains their HHID of 012345 and their SUBHH of 0 and his and her PN of 010 and 020, respectively.

**Time 1**

Household records
   HHID=012345 ASUBHH=0

Respondent records
   HHID=012345 PN=010 ASUBHH=0
   HHID=012345 PN=020 ASUBHH=0

**Time 2**

Household records
   HHID=012345 CSUBHH=0

Respondent records
   HHID=012345 PN=010 CSUBHH=0
   HHID=012345 PN=020 CSUBHH=0

\(^1\)In addition, a SUBHH of 3 or 4 indicates the “household” of a deceased respondent who is considered to be in a household of his or her own. These values do not occur in these files because all records in these files are from living respondents.
A2. Couple Divorces

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 023456 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

By the time of the second cross-section, the couple has divorced. Both respondents retain the HHID of 023456, but one is assigned a SUBHH of 1 and the other is assigned a SUBHH of 2. Each original respondent retains his and her PN of 010 and 020, respectively.

Time 1
Household records
  HHID=023456 ASUBHH=0
Respondent records
  HHID=023456 PN=010 ASUBHH=0
  HHID=023456 PN=020 ASUBHH=0

Time 2
Household records
  HHID=023456 CSUBHH=1
  HHID=023456 CSUBHH=2
Respondent records
  HHID=023456 PN=010 CSUBHH=1
  HHID=023456 PN=020 CSUBHH=2

A3. One or Both Respondents Die

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 034567 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

One respondent dies before the next wave. At the next wave, both respondents retain their HHID of 034567. The living respondent retains her SUBHH of 0; the deceased respondent is assigned a SUBHH of 3. (If both respondents die, one would be assigned a SUBHH of 3 and the other would be assigned a SUBHH of 4.) Each original respondent retains his and her PN of 010 and 020, respectively.

Time 1
Household records
  HHID=034567 ASUBHH=0
Respondent records
  HHID=034567 PN=010 ASUBHH=0
  HHID=034567 PN=020 ASUBHH=0

Time 2
Household records
  HHID=034567 CSUBHH=0
  HHID=034567 CSUBHH=3 (in exit interview)
Respondent records
  HHID=034567 PN=010 CSUBHH=3 (in exit interview)
  HHID=034567 PN=020 CSUBHH=0
**A4. Single Respondent Marries**

A respondent who has never been married is in the first cross-section. The respondent is assigned a HHID of 045678 and a SUBHH of 0 and a PN of 010.

At the time of the second cross-section, the respondent has married. Both the respondent and her new spouse are assigned a HHID of 045678 and a SUBHH of 0 because the household was not divided. The original respondent retains her PN of 010. Her new spouse is assigned PN of 011.

**Time 1**

Household records

```
HHID=045678 ASUBHH=0
```

Respondent records

```
HHID=045678 PN=010 ASUBHH=0
```

**Time 2**

Household records

```
HHID=045678 CSUBHH=0
```

Respondent records

```
HHID=045678 PN=010 CSUBHH=0
HHID=045678 PN=011 CSUBHH=0
```

**A5. Couple Divorces, One Respondent Remarries and Divorces**

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 056789 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

By the time of the second cross-section, the couple has divorced and he has remarried. Both original respondents retain the HHID of 056789, but she is assigned a SUBHH of 1 and he is assigned a SUBHH of 2. His new spouse is also assigned the HHID of 056789 and the SUBHH of 2. Each original respondent retains his and her PN of 010 and 020, respectively. His new spouse is assigned PN of 011.

By the time of the third cross-section, that new couple has gotten divorced. All respondents retain the HHID of 056789. The original sample member ex-wife has the SUBHH of 1. The original sample member ex-husband has a SUBHH of 2, and the non-original sample member, his second ex-wife, is assigned the SUBHH of 5. Each original respondent retains his and her PN of 010 and 020, respectively. His second ex-wife retains her PN of 011.

**Time 1**

Household records

```
HHID=056789 ASUBHH=0
```

Respondent records

```
HHID=056789 PN=010 ASUBHH=0
```

**Time 2**

Household records

```
HHID=056789 CSUBHH=1
HHID=056789 CSUBHH=2
```

Respondent records

```
HHID=056789 PN=010 CSUBHH=2
HHID=056789 PN=011 CSUBHH=2
HHID=056789 PN=020 CSUBHH=1
```
A6. Couple Divorces and Marries Again

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 067890 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

By the time of the second cross-section, the couple has divorced. Both respondents retain the HHID of 067890, but one is assigned a SUBHH of 1 and the other is assigned a SUBHH of 2. Each original respondent retains his and her PN of 010 and 020, respectively.

By the time of the third cross-section, the respondents have remarried each other. Both are assigned the HHID of 067890 and the SUBHH of 7. Each original respondent retains his and her PN of 010 and 020, respectively.

A7. Married Couple with Children and Siblings

At the time of the first cross-section, sample household with a HHID of 078901 contains two respondents assigned PNs of 010 and 020, respectively. Associated with the household are three children with OPNs of 101, 102, and 103, and two siblings with OPNs of 051 and 052. All seven persons will keep those same PNs and OPNs across time. A friend who lives with the respondents is assigned an OPN of 080. The friend will keep her OPN of 080 across time only if she is a household member at each wave.
**Time 1**

Household records  
HHID=078901 ASUBHH=0

Respondent records  
HHID=078901 PN=010 ASUBHH=0  
HHID=078901 PN=020 ASUBHH=0

Household member/child records  
HHID=078901 ASUBHH=0 OPN=101 (child)  
HHID=078901 ASUBHH=0 OPN=102 (child)  
HHID=078901 ASUBHH=0 OPN=103 (child)  
HHID=078901 ASUBHH=0 OPN=051 (sibling)  
HHID=078901 ASUBHH=0 OPN=052 (sibling)  
HHID=078901 ASUBHH=0 OPN=080 (friend)

**A8. Couple with Children and Siblings Divorces**

At the time of the first cross-section, sample household with a HHID of 089012 contains two respondents assigned PNs of 010 and 020, respectively. Associated with the household are two children with OPNs of 010 and 020, respectively. The household contains three siblings, her two brothers with OPNs of 051 and 052 and her sister with an OPN of 061. A friend who lives with the respondents is assigned an OPN of 080. By the time of the second cross-section, the couple has divorced. Both respondents retain the HHID of 089012, but he is assigned a SUBHH of 2 and she is assigned a SUBHH of 1. Each original respondent retains his and her PN of 010 and 020, respectively.

The two children appear both in their father’s SUBHH 2 and also in their mother’s SUBHH 1 with their respective OPNs, 010, and 020. The three siblings appear in the SUBHH of their respective siblings and maintain their respective OPNs. The ex-wife’s brothers appear as part of her SUBHH 1 with their OPNs of 051 and 052, respectively. The ex-husband’s sister appears as part of his SUBHH 2 with her OPN of 061. The friend has moved out and does not appear in either household.

**Time 1**

Household records  
HHID=089012 ASUBHH=0

Respondent records  
HHID=089012 PN=010 ASUBHH=0  
HHID=089012 PN=020 ASUBHH=0

Household member/child records  
HHID=089012 ASUBHH=0 OPN=101 (child)  
HHID=089012 ASUBHH=0 OPN=102 (child)  
HHID=089012 ASUBHH=0 OPN=051 (her brother)  
HHID=089012 ASUBHH=0 OPN=052 (her brother)  
HHID=089012 ASUBHH=0 OPN=061 (his sister)  
HHID=089012 ASUBHH=0 OPN=080 (friend)

**Time 2**

Household records  
HHID=089012 CSUBHH=1  
HHID=089012 CSUBHH=2

Respondent records  
HHID=089012 PN=010 CSUBHH=2  
HHID=089012 PN=020 CSUBHH=1
A9. Couple Divorces, One Respondent Remarries, Both Split-off Households Have New Members

Two respondents in a sample household are married at the time of the first cross-section. Each respondent is assigned a HHID of 090123 and a SUBHH of 0. One respondent has a PN of 010, the other a PN of 020.

By the time of the second cross-section, the couple has divorced. She has moved in with her mother. He has married a woman with two children. At the second cross-section, both original respondents retain the HHID of 090123, but he is assigned a SUBHH of 1 and she is assigned a SUBHH of 2. Each original respondent retains his and her PN of 010 and 020, respectively. His new spouse and new stepchildren are assigned the HHID of 090123 and the SUBHH of 1. His new spouse is assigned a PN of 011. His new stepchildren are assigned OPNs of 151 and 152. Her mother is assigned the HHID of 090123 and the SUBHH of 2 and an OPN of 151.

**Time 1**

**Household records**
- HHID=090123 ASUBHH=0

**Respondent records**
- HHID=090123 PN=010 ASUBHH=0
- HHID=090123 PN=020 ASUBHH=0

**Time 2**

**Household records**
- HHID=090123 CSUBHH=1
- HHID=090123 CSUBHH=2

**Respondent records**
- HHID=090123 PN=010 CSUBHH=1
- HHID=090123 PN=011 CSUBHH=1 (new wife)
- HHID=090123 PN=020 CSUBHH=2

**Household member/child records**
- HHID=090123 CSUBHH=1 OPN=151 (his stepchild)
- HHID=090123 CSUBHH=1 OPN=152 (his stepchild)
- HHID=090123 CSUBHH=2 OPN=151 (her mom)
APPENDIX B

B. Master Codes

Included in this file are the "Master Codes" for HRS. Other areas of the codebook often refer to these lists, as they are too long to replicate at each variable that uses the codes.

The "Master Codes" in order of appearance are:

Health Conditions – Categorical
Health Conditions - Alphabetical List
Occupation Codes
Industry Codes
State and Country Codes

B1. Health Conditions – Categorical

NOTE: If necessary, use individual "Other" categories if system or type of condition is clear; otherwise, code 997.

Cancers and tumors; skin conditions
101. Cancer--any site or type; leukemia; Hodgkin's disease; melanomas; non-Hodgkin's lymphoma; tumors if specified as malignant; lymphoma; metastasis; angiosarcoma; carcinoma; myeloma/multiple myeloma; myelodysplastic syndrome
102. Tumors, cysts or growths (except 101); polyps; osteomyelitis; pre-cancer; neuma; benign tumors; mole removal; warts; subdural hygroma
103. Skin conditions--any mention except cancer (101) or tumor (102); dermatitis; eczema; rashes; Paget's disease; skin ulcers; bedsores; bullous pemphigoid; hair loss; pemphigus; subrreic karatosis; rosacea; alopecia; psoriasis; burns (also use code 194 if available)

Musculoskeletal system and connective tissue
111. Arthritis; rheumatism; bursitis; ankylosing spondylitis; Reiter’s syndrome; rheumatica; osteoarthritis
112. Back/neck/spine problems: chronic stiffness, deformity or pain; disc problems; scoliosis; spina bifida; bad back; spinal stenosis; back/neck injuries (also use code 194 if available); back/neck sprain (also use code 194 if available)
113. Stiffness, deformity, numbness or chronic pain in foot, leg, arm or hand, shoulder or rotator cuff; bad knee/hip problems; hip/knee replacement; plantar fasciitis; drop foot; leg cramps; tennis elbow; Dupuytren’s contracture; sprain of limb (also use code 194 if available)
114. Missing legs, feet, arms, hands, or fingers (from amputation or congenital deformity)
115. Paralysis--any mention (including from polio)
116. Hernias; hiatal hernia; rectocele; cystocele
117. Muscular dystrophy; mitochondrial myopathy
118. Fibromyalgia; fibromyositis; fibrosis; myofascial pain syndrome
119. Other musculoskeletal or connective tissue problems; lupus; osteoporosis; pinched nerve (location not specified); sciatica/sciatic nerve problem; carpal tunnel syndrome; costochondritis; polymyositis; scleroderma; chromosome leak into the muscles; bone spurs (location not specified); cellulites; tendonitis (location not specified); temporomandibular joint syndrome (TMJ); trigeminal neuralgia; CREST syndrome/limited scleroderma;
polymyalgia/polymyalgia rheumatica; avascular necrosis/osteonecrosis, neural muscular myositis, bone disease, myalgia, myositis, osteopenia; adhesions (location not specified); neuritis; skull bone injuries (also use code 194 if available); vascular necrosis; broken or damaged bones (location not specified) from injuries/accidents (also use code 194 if available); pulled muscle (location not specified - also use code 194 if available); strain (location not specified - also use code 194 if available); tendon damage (location not specified - also use code 194 if available); sprain (location not specified - also use code 194 if available); post-polio syndrome (without mention of paralysis); loss of calcium

Heart, circulatory and blood conditions
121. Heart problems: heart attack (coronary) or failure; arteriosclerosis; heart aneurysms; heart deformities/congenital heart deformities; angina; bad heart; congestive heart disease; cardiomyopathy; atrial fibrillation; myocardial infarction (MI); multiple infarction; myocarditis, endocarditis; myocardial ischemia; heart murmurs; heart valve blockage; heart valve prolapse; heart valve replacement; arterial blockage; hardening of arteries; heart bypass surgery; mitral valve prolapse; myocardial ischemia; rheumatic heart disease
122. High blood pressure / hypertension (HTN); uncontrollable blood pressure; blood pressure problems, not specified high or low
123. Stroke; cerebral hemorrhage or accident; hematoma (if related to brain); transient ischemic attack (TIA);
124. Blood disorders: blood disease, anemia; aplastic anemia; hypoplastic anemia; hemophilia; polycythemia vera; bad blood; toxemia; cold agglutinin disease; triglycerides or high triglycerides; toxoplasmosis; blood transfusion; sickle cell trait; bone marrow failure; factor VIII/factor VIII deficiency/elevated factor VIII; thrombocytopenia; hemochromatosis;
129. Other circulatory problems; phlebitis, clots/blood clots, embolisms; varicose veins; hemorrhoids; low blood pressure; giant cell arteritis; hematoma (if not related to brain or location not specified); gangrene; vascular disease; pulmonary thrombosis; pulmonary embolism; Raynaud’s disease; APLS Hughes syndrome; aneurysm (when not in heart or location not specified); elasticity of arteries; calcification of limbs; lymphoedema; claudication, pulmonary hypertension; rheumatic fever; occult cerebral vascular malformation; bleeding (location not specified); blockage in lung; Rendu-Osler-Weber disease/syndrome

Respiratory system conditions
131. Allergies; hay fever; sinusitis; sinus problems; sinus headaches; tonsillitis; celiac disease/sprue (gluten intolerance); hives
132. Asthma
133. Bronchitis; pneumonia; acute upper respiratory problems; Legionnaire’s disease; fungus in chest; respiratory pulmonary disease
134. Emphysema; chronic obstructive pulmonary disease (COPD); smoking related lung problems
139. Other respiratory/breathing problems; tuberculosis; sarcoidosis; ventilatory insufficiency; pulmonary idiopathic fibrosis; respiratory failure, NFS; asbestos in lungs; black lung; pleurisy

Endocrine, metabolic and nutritional conditions
141. Diabetes; pre-diabetic; high blood sugar
142. Thyroid trouble; goiter; hyperthyroidism; Grave’s disease; Hashimoto’s disease
143. Cystic fibrosis
144. Nutritional problems; weight problems; eating disorders; high cholesterol; hypercholesterolemia; obesity; iron deficiency; overweight; vitamin deficiency

145. Sugar problems, NFS; erratic blood sugar

149. Other endocrine/metabolic problems; pancreatitis; pituitary problems; gland problems; Addison's disease; gout, nephrotic syndrome; primary adrenal insufficient; parathyroid problems; endocrinology syndrome; amyloidosis; hemochromatosis; hypoglycemia; low blood sugar; loss of calcium; alpha-1 antitrypsin deficiency; acromegaly; hormone problems; hyperpituitarism; hypoglycemia

**Digestive system (stomach, liver, gallbladder, kidney, bladder)**

151. Stomach and intestinal conditions: acid reflux, reflux disease, heartburn, stomach reflux, GERD, Barrett’s esophagus, ulcers (stomach, peptic, duodenal or not further specified); colitis; ulcerative colitis; gastritis; diverticulosis; diverticulitis; appendicitis; Crohn's disease; intestinal adhesions; abdominal adhesions; colitis; silicates disease; stomach pains, ileostomy, dumping syndrome; gastroneritis; Irritable Bowel Syndrome; diarrhea; bowel incontinence; appendectomy; enteritis; ileitis; peritonitis; stomach removal

152. Liver conditions: cirrhosis; hepatitis; benign hepatic hypertrophy; encephalopathy (caused by liver problems or cause not specified); jaundice

153. Kidney conditions: kidney stones; kidney failure (including dialysis); nephritis

154. Gallbladder conditions; blockage of bile ducts; gallstones; gallbladder removal

155. Bladder conditions (except 156); urinary infections; interstitial cystitis, urosepsis

156. Urinary incontinence; urinary loss/leakage; problems with bladder control

157. Spleen conditions; enlarged spleen

159. Other digestive system problems; internal bleeding; hemorrhage; esophagus torn, ruptured or bleeding; swallowing difficulty; feeding tube

**Neurological and sensory conditions**

161. Blindness or vision problems: glaucoma; cataracts; detached/torn retina; macular degeneration; uveitis; ocular myasthenia gravis; conjunctivitis; eye conditions, NFS

162. Deafness; hearing loss or other ear conditions; tinnitus; vestibular disorder; Meniere’s disease

163. Multiple sclerosis; cerebral palsy; epilepsy; Parkinson's; amyotrophic lateral sclerosis (ALS) or Lou Gehrig’s disease); seizures; neuropathy; peripheral neuropathy

164. Speech conditions--any mention; congenital speech defects; stuttering; laryngectomy; speech impediment

165. Mental retardation; mental impairment; learning disabilities; Down syndrome; dyslexia

169. Other neurological/sensory problems; headaches; migraines; dizziness; blackouts; brain damage, NFS (also use code 194 if available); meningitis; arachnoiditis; Bell’s palsy; Charcot-Marie-Tooth disease; Guillain-Barre syndrome; medial nerve palsy; myasthenia gravis/myasthenia (non-ocular); myokymia; reflex sympathetic dystrophy (RSD); restless legs syndrome (RLS); Sjogren’s syndrome; spasmodic torticollis; balance problems; closed head injury; coma; paralyzed diaphragm; facial neuralgia; hydrocephalus; inclusive body myositis; spinalcerebellar ataxia; benign/familiar tremors, vertigo; upper motor neuron/motoneuron problems, progressive supranuclear palsy (PSP); thoracic outlet syndrome; dysautonomia; shaky hands; spinal cord damage from injuries/accident (also use code 194 if available)
### Reproductive system and prostate conditions

171. Pregnancy and childbirth problems; miscarriage; hemorrhaging or complications from birth of child; episiotomy; RH factor
172. Infertility; sterilization; vasectomy; tubal ligation
173. Prostate conditions; PSA/high PSA
179. Other problems of reproductive system; hysterectomy; ovarian problems; PMS; menopause; dysmenorrhea; endometriosis; painful menstrual periods; female problems; gynecological problems, NFS; removal of reproductive organs (excluding cancer and tumors)

### Emotional and psychological conditions

181. Alcoholism
182. Drug abuse/addiction/dependence
183. Other severe psychological conditions: (chronic) depression; schizophrenia; mania; paranoia; autism; psychosis; agoraphobia; bipolar disorder (manic-depression); post-traumatic stress disorder (PTSD)
189. Other emotional and psychological problems; mental problems, NFS; nerves; nervous breakdown; stress; stress related problems; night wandering; panic attacks; anxiety; behavioral problems; insomnia; attention deficit disorder (ADD)

### Miscellaneous

191. Alzheimer's disease; senility, dementia; multi-infarct dementia; memory loss; mixed cognitive disease
192. Dental and gum conditions--any mention
193. Acute infectious diseases; flu; colds; fever; mumps; Dengue fever; Lyme disease; infection, staph infection; methicillin-resistant staphylococcus aureus (MRSA); Epstein-Barr virus; mononucleosis; influenza; measles; rubella; strep throat; virus, NFS; septic shock; toxic shock; sepsis; shingles; polio (without mention of paralysis)
194. Injuries and traumas: broken bones; fractures; pulled muscles; strains; tendon damage; burns, lacerations; concussion; side effects/conditions due to surgery, side effects due to medication; graft-versus host disease LOW PRIORITY--code resulting chronic or ongoing conditions if possible
195. Sleep disorders; sleep apnea; narcolepsy; catapalzy
196. Immune system disorders; human immunodeficiency virus (HIV) positive; acquired immunodeficiency syndrome (AIDS); AIDS related complex (ARC)

### Cause of death

601. Suicide
602. Murder
603. Killed in war/combat
604. Motor vehicle accident - incl. as pedestrian
605. Industrial/work related accident
606. Sporting accident
607. Other accidental death; accidental death - NA type of accident
608. Still born; died at birth or infancy - NFS

### Why Admitted

701. No other care arrangements available
702. Deteriorating health; health condition not specified
703. To recover/rehab; for injury/surgery
Other symptoms
595. Old age; everything wore out; bedridden; infirmity; natural causes; failure to thrive in older adults
596. Lack of energy/strength; (chronic) fatigue, NFS; don’t have get up and go
597. Edema; retaining water; retaining fluids; swelling (location not specified)
996. None, no health condition(s) mentioned
997. Other health condition
998. DK (Don't Know); NA (Not Ascertained)
999. RF (Refused)

151. Abdominal adhesions
151. Acid Reflux
196. Acquired immunodeficiency syndrome (AIDS)
149. Acromegaly
193. Acute infectious diseases
133. Acute upper respiratory diseases/problems
189. ADD (attention deficit disorder)
149. Addison's disease
119. Adhesions (location not specified)
183. Agoraphobia
196. AIDS (acquired immunodeficiency syndrome)
196. AIDS related complex (ARC)
181. Alcoholism
131. Allergies
103. Alopecia
149. Alpha-1 antitrypsin deficiency
163. ALS
191. Alzheimer's disease
114. Amputation of limbs
149. Amyloidosis
163. Amyotrophic lateral sclerosis
124. Anemia
121. Aneurysm, heart
129. Aneurysm (when not in heart or location not specified)
121. Angina
101. Angiosarcoma
111. Ankylosing spondylitis
183. Anxiety
124. Aplastic anemia
129. APLS Hughes syndrome
151. Appendectomy
151. Appendicitis
169. Arachnoiditis
196. ARC (AIDS related complex)
121. Arterial blockage
121. Arteries, hardening
129. Arteries, elasticity
121. Arteriosclerosis
111. Arthritis
139. Asbestos (in lungs)
132. Asthma
121. Atrial fibrillation
165. Attention deficit disorder (ADD)
183. Autism
119. Avascular necrosis / osteonecrosis
112. Back injuries (also use code 194 if available)
112. Back, bad
169. Balance problems
151. Barrett’s esophagus
103. Bed sores
595. Bedridden
189. Behavioral problems
169. Bell’s palsy
152. Benign hepatic hypertrophy
102. Benign tumors
169. Benign tremors
154. Bile duct, blockage of
183. Bipolar disorder
183. Bipolar depression
171. Birth of child
139. Black lung
169. Blackouts
156. Bladder control problems
155. Bladder conditions (excluding 156)
159. Bleeding (location not specified)
159. Bleeding (of the digestive tract)
161. Blindness
129. Blockage in lung
154. Blockage of bile ducts
129. Blood clots
124. Blood disease
124. Blood disorders, specific
124. Blood disorders, NFS
122. Blood pressure problems (not specified high or low)
145. Blood sugar, erratic
124. Blood transfusion
119. Bone disease
124. Bone marrow failure
119. Bone spurs (location not specified)
119. Bones, broken/damaged (location not specified) from injuries/accidents
        (also use code 194 if available)
151. Bowel incontinence
151. Bowel, irritable
129. Brain aneurism
169. Brain damage, NFS (also use code 194 if available)
139. Breathing problems
133. Bronchitis
103. Bullous pemphigoid
103. Burns (also use code 194 if available)
111. Bursitis
121. Bypass surgery
129. Calcification of limbs
101. Cancer
101. Carcinoma
121. Cardiomyopathy
119. Carpal tunnel syndrome
195. Cataplexy
161. Cataracts
131. Celiac disease / celiac sprue (gluten intolerance)
119. Cellulitis
123. Cerebral hemorrhage
163. Cerebral palsy
169. Charcot-Marie-Tooth disease
171. Childbirth/pregnancy problems
171. Childbirth, hemorrhaging from
171. Childbirth, complications from
171. Childbirth
144. Cholesterol, high
119. Chromosome leak into the muscles
134. Chronic obstructive pulmonary disease (COPD)
596. Chronic fatigue
129. Circulation problems
129. Circulatory system, other specific
152. Cirrhosis
129. Claudication
169. Closed head injury
129. Clots
124. Cold agglutin disease
193. Colds
151. Colitis
151. Colostomy
169. Coma
194. Concussions
194. Conditions/side effects due to surgery
164. Congenital speech defects
121. Congestive heart disease
161. Conjunctivitis
134. COPD (Chronic obstructive pulmonary disease)
121. Coronary
119. Costochondritis
119. CREST syndrome/limited scleroderma
151. Crohn's disease
143. Cystic fibrosis
155. Cystitis
116. Cystocele
102. Cysts
162. Deafness
114. Deformation of limbs
112. Deformation of back, neck, or spine
191. Dementia
193. Dengue fever
192. Dental conditions
183. Depression (chronic)
103. Dermatitis
161. Detached retina
141. Diabetes
153. Dialysis
151. Diarrhea
112. Disc, slipped
112. Disc, worn
151. Diverticulosis
169. Dizziness
165. Down syndrome
113. Drop foot
182. Drug addiction/abuse
182. Drug dependence
151. Dumping syndrome
113. Dupuytren's contracture
169. Dysautonomia
165. Dyslexia
179. Dysmenorrhea
162. Ear conditions, all
144. Eating disorders
103. Eczema
597. Edema
129. Elasticity of arteries
124. Elevated factor VIII
129. Embolisms
134. Emphysema
152. Encephalopathy caused by liver problems
121. Endocarditis
149. Endocrinology syndrome
179. Endometriosis
596. Energy (low)
157. Enlarged spleen
151. Enteritis
163. Epilepsy
171. Episiotomy
193. Epstein-Barr virus
145. Erratic blood sugar
159. Esophagus torn, ruptured, bleeding
161. Eye conditions, NFS
169. Familiar tremors
596. Fatigue (chronic); lack of energy/strength
159. Feeding tube
159. Female problems
193. Fever
118. Fibromyalgia
118. Fibromyositis
118. Fibrositis
193. Flu
133. Fungus in chest
154. Gallbladder, removal
154. Gallbladder conditions
154. Gallstones
129. Gangrene
151. Gastritis
151. Gastroenteritis
151. GERD (gastroesophageal reflux disease)
129. Giant cell arteritis
149. Gland problem
161. Glaucoma
131. Gluten intolerance
142. Goiter
149. Gout
194. Graft-versus host disease
142. Grave’s disease
102. Growths
169. Guillain-Barre syndrome
192. Gum conditions
179. Gynecological problems, NFS
103. Hair loss
121. Hardening of arteries
142. Hashimoto’s disease
131. Hay fever
169. Headaches
162. Hearing, loss of
121. Heart aneurysm
121. Heart attack
121. Heart bypass surgery
121. Heart condition, NFS
121. Heart deformities, congenital
121. Heart failure
121. Heart murmurs
121. Heart valve replacement
121. Heart valve blockage
121. Heart valve prolapse
151. Heartburn
129. Hematoma, if not related to brain (or location not specified)
123. Hematoma, if related to brain
124. Hemochromatosis
124. Hemophilia
159. Hemorrhage
129. Hemorrhoids
152. Hepatitis
116. Hernia, hiatal
116. Hiatal hernia
141. High blood sugar
122. High blood pressure
144. High cholesterol
124. High triglycerides
113. Hip problem/replacement
196. HIV (human immunodeficiency virus) positive
131. Hives
101. Hodgkin’s disease
149. Hormone problems
122. HTN (hypertension / high blood pressure)
196. Human immunodeficiency virus (HIV) positive
169. Hydrocephalus
144. Hypercholesterolemia
149. Hyperpituitarism
122. Hypertension / high blood pressure (HTN)
142. Hyperthyroidism
149. Hypoglycemia
124. Hypoplastic anemia
179. Hysterectomy
139. Idiopathic pulmonary fibrosis
151. Ileitis
151. Ileostomy
196. Immune system disorders
169. Inclusive body myositis
193. Infection (location not specified)
172. Infertility
595. Infirmity
193. Influenza
194. Injuries, accidental
189. Insomnia
159. Internal bleeding
159. Internal bleeding (of the digestive tract)
155. Interstitial cystitis
151. Intestinal adhesions
151. Intestinal conditions
151. Irritable bowel conditions
144. Iron deficiency
152. Jaundice
153. Kidney failure (including dialysis)
153. Kidney removal
153. Kidney stones
113. Knee, bad/problem/replacement
194. Lacerations
164. Laryngectomy
165. Learning disabilities
113. Leg cramps
133. Legionnaire’s disease
101. Leukemia
152. Liver conditions
119. Loss of calcium
163. Lou Gehrig’s disease
149. Low blood sugar
596. Low strength
134. Lung problems (smoking related)
129. Lung, blockage in
119. Lupus
193. Lyme disease
129. Lymphoedema
101. Lymphoma
161. Macular degeneration
101. Malignant tumors
183. Mania
183. Manic depression
193. Measles
169. Medial nerve palsy
194. Medication, side effects from
101. Melanoma, removal
101. Melanoma
191. Memory loss
162. Meniere's disease
169. Meningitis
179. Menopause
179. Menstrual periods, painful
189. Mental health problem, vague
165. Mental impairment
189. Mental problems
165. Mental retardation
101. Metastasis
193. Methicillin-resistant staphylococcus aureus (MRSA)
121. MI (myocardial infarction)
169. Migraines
171. Miscarriage
117. Mitochondrial dystrophy
117. Mitochondrial myopathy
121. Mitral valve prolapse
191. Mixed cognitive disease
102. Mole removal
193. Mononucleosis
169. Motor neuron or motoneuron problems
193. MRSA (methicillin-resistant staphylococcus aureus)
191. Multi-infarct dementia
121. Multiple infarctions
101. Multiple myeloma
163. Multiple sclerosis
193. Mumps
117. Muscular dystrophy
119. Myalgia
169. Myasthenia
169. Myasthenia gravis
101. Myelodysplastic syndrome
101. Myeloma / multiple myeloma
121. Myocarditis
121. Myocardial infarction (MI)
121. Myocardial ischemia
118. Myofascial pain syndrome
169. Myokymia
119. Myositis
195. Narcolepsy
595. Natural causes
112. Neck injuries (also use code 194 if available)
153. Nephritis
149. Nephrotic syndrome
119. Nerve, pinched (location not specified)
189. Nerves, bad
189. Nervous breakdown
189. Nervous condition
119. Neural muscular myositis
119. Neuritis
102. Neuroma
163. Neuropathy
189. Night wandering
101. Non-Hodgkin’s lymphoma
996. None
144. Nutritional problems
144. Obesity
129. Occult cerebral vascular malformation
161. Ocular myasthenia gravis
595. Old age
111. Osteoarthritis
102. Osteomyelitis
119. Osteopenia
119. Osteoporosis
997. Other health condition
179. Ovarian problems
144. Overweight
103. Paget's disease
149. Pancreatitis
189. Panic attacks
115. Paralysis
169. Paralyzed diaphragm
183. Paranoia
149. Parathyroid problems
163. Parkinson's disease
103. Pemphigus
163. Peripheral neuropathy
151. Peritonitis
129. Phlebitis
119. Pinched nerve (location not specified)
149. Pituitary problems
113. Plantar fasciitis
139. Pleurisy
179. PMS (premenstrual syndrome)
133. Pneumonia
193. Polio (without mention of paralysis)
124. Polycythemia vera
124. Polycythemia
119. Polymyalgia
119. Polymyalgia rheumatica
119. Polymyositis
102. Polyps
119. Post-polio syndrome (without mention of paralysis)
183. Post-traumatic stress disorder (PTSD)
102. Pre-cancer
141. Pre-diabetic
171. Pregnancy/childbirth problems
179. Premenstrual syndrome (PMS)
149. Primary adrenal insufficient
169. Progressive supranuclear palsy (PSP)
173. Prostate conditions
173. PSA, high PSA
103. Psoriasis
169. PSP (progressive supranuclear palsy)
183. Psychological conditions
183. Psychosis
183. PTSD (post-traumatic stress disorder)
119. Pulled muscle; location not specified (also use code 194 if available)
129. Pulmonary thrombosis
129. Pulmonary hypertension
129. Pulmonary embolism
139. Pulmonary idiopathic fibrosis
103. Rash, skin
129. Raynaud’s disease
116. Rectocele
169. Reflex sympathetic dystrophy (RSD)
151. Reflux disease
111. Reiter’s Syndrome
129. Rendu-Osler-Weber disease/syndrome
179. Reproductive organs, removal of (excluding cancer, tumors)
139. Respiratory failure, NFS
133. Respiratory pulmonary disease
133. Respiratory diseases, acute upper
169. Restless legs syndrome (RLS)
597. Retaining water/retaining fluids
161. Retina, torn
161. Retina, detached
171. RH factor
111. Rheumatism
111. Rheumatica
121. Rheumatic heart disease
129. Rheumatic fever
169. RLS (restless legs syndrome)
103. Rosacea
113. Rotator cuff problems
169. RSD (reflex sympathetic dystrophy)
193. Rubella
139. Sarcoidosis
183. Schizophrenia
119. Sciatica/sciatic nerve problem
119. Scleroderma
112. Scoliosis
103. Seborrheic keratosis
163. Seizures
191. Senility
193. Sepsis
193. Septic shock
183. Severe psychological conditions
169. Shaky hands
169. Shingles
113. Shoulder problems
124. Sickle cell trait
124. Sickle cell anemia
194. Side effects from medication
194. Side effects/conditions due to surgery
151. Silicat(es disease
131. Sinus headaches
131. Sinus problems
131. Sinusitis
169. Sjogren’s syndrome
103. Skin conditions
103. Skin ulcers
119. Skull bone injuries (also use code 194 if available)
194. Skull fracture
195. Sleep apnea
134. Smoking related lung problems
169. Spasmodic torticollis
164. Speech conditions, all
164. Speech impediment
112. Spina bifida
169. Spinal cerebellar ataxia
169. Spinal cord damage, from injuries/accident (also use code 194 if available)
112. Spinal stenosis
157. Spleen conditions
157. Spleen, enlarged
119. Sprain; location not specified (also use code 194 if available)
112. Sprained back/neck (also use code 194 if available)
113. Sprained limb (also use code 194 if available)
131. Sprue / celiac sprue (gluten intolerance)
193. Staph infection
172. Sterilization
608. Stillbirth
151. Stomach conditions
151. Stomach pains
151. Stomach reflux
151. Stomach, removal
119. Strain; location not specified (also use code 194 if available)
596. Strength (low)
193. Strep throat
189. Stress/stress related problems
123. Stroke
164. Stuttering
102. Subdural hygroma
103. Seborrheic keratosis
145. Sugar problems; NFS
159. Swallowing difficulty
597. Swelling, (location not specified)
119. Temporomandibular joint syndrome (TMJ)
119. Tendon damage; location not specified (also use code 194 if available)
119. Tendonitis (location not specified)
113. Tennis elbow
169. Thoracic outlet syndrome
124. Thrombocytopenia
142. Thyroid problems
123. TIA (transient ischemic attack)
162. Tinnitus
119. TMJ (temporomandibular joint syndrome)
131. Tonsillitis
124. Toxemia
193. Toxic shock
124. Toxoplasmosis
123. Transient ischemic attack (TIA)
119. Trigeminal neuralgia
124. Triglycerides / high triglycerides
172. Tubal ligation
139. Tuberculosis
193. Tubal ligation
172. Tubal ligation
101. Tumor, malignant (or cancerous)
102. Tumor, NA whether malignant or benign
102. Tumors, benign
151. Ulcerative colitis
151. Ulcers (not further specified)
103. Ulcers, skin
151. Ulcers, stomach (peptic or duodenal)
122. Uncontrollable blood pressure
169. Upper motor neuron/motoneuron problems
155. Urinary infections
156. Urinary incontinence/loss/leakage
155. Urosepsis
161. Uveitis
129. Varicose veins
129. Vascular disease
119. Vascular necrosis
172. Vasectomy
139. Ventilatory insufficiency
169. Vertigo
162. Vestibular disorder
193. Virus, NFS
161. Vision problems, NFS
144. Vitamin deficiency
102. Warts
144. Weight problems
C. Occupation Codes

Equivalent numeric codes follow the alphabetic codes. Either code may be used, depending on the processing method. The abbreviation "pt" means "part" and "n.e.c." means "not elsewhere classified."

**MGR Management Occupations**

001. Chief Executives  
002. General and Operations Managers  
003. Legislators  
004. Advertising and Promotions Managers  
005. Marketing and Sales Managers  
006. Public Relations Managers  
010. Administrative Services Managers  
011. Computer and Information Systems Managers  
012. Financial Managers  
013. Human Resources Managers  
014. Industrial Production Managers  
015. Purchasing Managers  
016. Transportation, Storage, and Distribution Managers  
020. Farm, Ranch, and Other Agricultural Managers  
021. Farmers and Ranchers  
022. Constructions Managers  
023. Education Administrators  
030. Engineering Managers  
031. Food Service Managers  
032. Funeral Directors  
033. Gaming Managers  
034. Lodging Managers  
035. Medical and Health Services Managers  
036. Natural Science Managers  
040. Postmasters and Mail Superintendents  
041. Property, Real Estate, and Community Association Managers  
042. Social and Community Service Managers  
043. Managers, All Other

**BUS Business Operations Specialists**

050. Agents and Business Managers of Artists, Performers, and Athletes  
051. Purchasing Agents and Buyers, Farm Products  
052. Wholesale and Retail Buyers, Except Farm Products  
053. Purchasing Agents, Except Wholesale, Retail, and Farm Products  
054. Claims Adjusters, Appraisers, Examiners, and Investigators  
056. Compliance Officers, Except Agriculture, Construction, Health and Safety, and Transportation  
060. Cost Estimators  
062. Human Resources, Training, and Labor Relations Specialists  
070. Logisticians  
071. Management Analysts  
072. Meeting and Convention Planners  
073. Other Business Operations Specialists

**FIN Financial Specialists**

080. Accountants and Auditors  
081. Appraisers and Assessors of Real Estate
082. Budget Analysts
083. Credit Analysts
084. Financial Analysts
085. Personal Financial Advisors
086. Insurance Underwriters
090. Financial Examiners
091. Loan Counselors and Officers
093. Tax Examiners, Collectors, and Revenue Agents
094. Tax Preparers
095. Financial Specialists, All Other

CMM Computer and Mathematical Occupations
100. Computer Scientists and Systems Analysts
101. Computer Programmers
102. Computer Software Engineers
104. Computer Support Specialists
106. Database Administrators
110. Network and Computer Systems Administrators
111. Network Systems and Data Communications Analysts
120. Actuaries
121. Mathematicians
122. Operations Research Analysts
123. Statisticians
124. Miscellaneous Mathematical Scientists and Technicians

ENG Architecture and Engineering Occupations
130. Architects, Except Naval
131. Surveyors, Cartographers, and Photogrammetrists
132. Aerospace Engineers
133. Agricultural Engineers
134. Biomedical Engineers
135. Chemical Engineers
136. Civil Engineers
140. Computer Hardware Engineers
141. Electrical and Electronics Engineers
142. Environmental Engineers
143. Industrial Engineers, including Health and Safety
144. Marine Engineers and Naval Architects
145. Materials Engineers
146. Mechanical Engineers
150. Mining and Geological Engineers, Including Mining Safety Engineers
151. Nuclear Engineers
152. Petroleum Engineers
153. Engineers, All Other
154. Drafters
155. Engineering Technicians, Except Drafters
156. Surveying and Mapping Technicians

SCI Life, Physical, and Social Science Occupations
160. Agricultural and Food Scientists
161. Biological Scientists
164. Conservation Scientists and Foresters
165. Medical Scientists
170. Astronomers and Physicists
171. Atmospheric and Space Scientists
172. Chemists and Materials Scientists
174. Environmental Scientists and Geoscientists
176. Physical Scientists, All Other
180. Economists
181. Market and Survey Researchers
182. Psychologists
183. Sociologists
184. Urban and Regional Planners
186. Miscellaneous Social Scientists and Related Workers
190. Agricultural and Food Science Technicians
191. Biological Technicians
192. Chemical Technicians
193. Geological and Petroleum Technicians
194. Nuclear Technicians
196. Other Life, Physical, and Social Science Technicians

CMS Community and Social Services Occupations
200. Counselors
201. Social Workers
202. Miscellaneous Community and Social Service Specialists
204. Clergy
205. Directors, Religious Activities and Education
206. Religious Workers, All Other

LGL Legal Occupations
210. Lawyers
211. Judges, Magistrates, and Other Judicial Workers
214. Paralegals and Legal Assistants
215. Miscellaneous Legal Support Workers

EDU Education, Training, and Library Occupations
220. Postsecondary Teachers
230. Preschool and Kindergarten Teachers
231. Elementary and Middle School Teachers
232. Secondary School Teachers
233. Special Education Teachers
234. Other Teachers and Instructors
240. Archivists, Curators, and Museum Technicians
243. Librarians
244. Library Technicians
253. Teacher Assistants
255. Other Education, Training, and Library Workers

ENT Arts, Design, Entertainment, Sports, and Media Occupations
260. Artists and Related Workers
263. Designers
270. Actors
271. Producers and Directors
272. Athletes, Coaches, Umpires, and Related Workers
274. Dancers and Choreographers
275. Musicians, Singers, and Related Workers
276. Entertainers and Performers, Sports and Related Workers, All Other
280. Announcers
281. News Analysts, Reporters and Correspondents
282. Public Relations Specialists
283. Editors
284. Technical Writers
285. Writers and Authors
286. Miscellaneous Media and Communication Workers
290. Broadcast and Sound Engineering Technicians and Radio Operators
291. Photographers
292. Television, Video, and Motion Picture Camera Operators and Editors
296. Media and Communication Equipment Workers, All Other

MED Healthcare Practitioners and Technical Occupations
300. Chiropractors
301. Dentists
303. Dieticians and Nutritionists
304. Optometrists
305. Pharmacists
306. Physicians and Surgeons
311. Physician Assistants
312. Podiatrists
313. Registered Nurses
314. Audiologists
315. Occupational Therapists
316. Physical Therapists
320. Radiation Therapists
321. Recreational Therapists
322. Respiratory Therapists
323. Speech-Language Pathologists
324. Therapists, All Other
325. Veterinarians
326. Health Diagnosing and Treating Practitioners, All Other
330. Clinical Laboratory Technologists and Technicians
331. Dental Hygienists
332. Diagnostic Related Technologists and Technicians
340. Emergency Medical Technicians and Paramedics
341. Health Diagnosing and Treating Practitioner Support Technicians
350. Licensed Practical and Licensed Vocational Nurses
351. Medical Records and Health Information Technicians
352. Opticians, Dispensing
353. Miscellaneous Health Technologists and Technicians
354. Other Healthcare Practitioners and Technical Occupations

HLS Healthcare Support Occupations
360. Nursing, Psychiatric, and Home Health Aides
361. Occupational Therapist Assistants and Aides
362. Physical Therapist Assistants and Aides
363. Massage Therapists
364. Dental Assistants
365. Medical Assistants and Other Healthcare Support Occupations

PRT Protective Service Occupations
370. First-Line Supervisors/Managers of Correctional Officers
371. First-Line Supervisors/Managers of Police and Detectives
372. First-Line Supervisors/Managers of Fire Fighting and Prevention Workers
373. Supervisors, Protective Service Workers, All Other
374. Fire Fighters
375. Fire Inspectors
380. Bailiffs, Correctional Officers, and Jailers
382. Detectives and Criminal Investigators
383. Fish and Game Wardens
384. Parking Enforcement Workers
385. Police and Sheriff's Patrol Officers
386. Transit and Railroad Police
390. Animal Control Workers
391. Private Detectives and Investigators
392. Security Guards and Gaming Surveillance Officers
394. Crossing Guards
395. Lifeguards and Other Protective Service Workers

EAT Food Preparation and Serving Occupations
400. Chefs and Head Cooks
401. First-Line Supervisors/Managers of Food Preparation and Serving Workers
402. Cooks
403. Food Preparation Workers
404. Bartenders
405. Combined Food Preparation and Serving Workers, Including Fast Food
406. Counter Attendant, Cafeteria, Food Concession, and Coffee Shop
411. Waiters and Waitresses
412. Food Servers, Non-restaurant
413. Dining Room and Cafeteria Attendants and Bartender Helpers
414. Dishwashers
415. Host and Hostesses, Restaurant, Lounge, and Coffee Shop
416. Food Preparation and Serving Related Workers, All Other

CLN Building and Grounds Cleaning and Maintenance Occupations
420. First-Line Supervisors/Managers of Housekeeping and Janitorial Workers
421. First-Line Supervisors/Managers of Landscaping, Lawn Service, and Grounds
keeping Workers
422. Janitors and Building Cleaners
423. Maids and Housekeeping Cleaners
424. Pest Control Workers
425. Grounds Maintenance Workers

PRS Personal Care and Service Occupations
430. First-Line Supervisors/Managers of Gaming Workers
432. First-Line Supervisors/Managers of Personal Service Workers
434. Animal Trainers
435. Nonfarm Animal Caretakers
440. Gaming Services Workers
441. Motion Picture Projectionists
442. Ushers, Lobby Attendants, and Ticket Takers
443. Miscellaneous Entertainment Attendants and Related Workers
446. Funeral Service Workers
450. Barbers
451. Hairdressers, Hairstylists, and Cosmetologists
452. Miscellaneous Personal Appearance Workers
453. Baggage Porters, Bellhops, and Concierges
454. Tour and Travel Guides
455. Transportation Attendants
460. Child Care Workers
461. Personal and Home Care Aides
462. Recreation and Fitness Workers
464. Residential Advisors
465. Personal Care and Service Workers, All Other
SAL Sales Occupations
470. First-Line Supervisors/Managers of Retail Sales Workers
471. First-Line Supervisors/Managers of Non-Retail Sales
472. Cashiers
474. Counter and Rental Clerks
475. Parts Salespersons
476. Retail Salespersons
480. Advertising Sales Agents
481. Insurance Sales Agents
482. Securities, Commodities, and Financial Services Sales Agents
483. Travel Agents
484. Sales Representatives, Services, All Other
485. Sales Representatives, Wholesale and Manufacturing
490. Models, Demonstrators, and Product Promoters
492. Real Estate Brokers and Sales Agents
493. Sales Engineers
494. Telemarketers
495. Door-to-Door Sales Workers, News and Street Vendors, and Related Workers
496. Sales and Related Workers, All Other

OFF Office and Administrative Support Occupations
500. First-Line Supervisors/Managers of Office and Administrative Support Workers
501. Switchboard Operators, Including Answering Service
502. Telephone Operators
503. Communications Equipment Operators, All Other
510. Bill and Account Collectors
511. Billing and Posting Clerks and Machine Operators
512. Bookkeeping, Accounting, and Auditing Clerks
513. Gaming Cage Workers
514. Payroll and Timekeeping Clerks
515. Procurement Clerks
516. Tellers
520. Brokerage Clerks
521. Correspondence Clerks
522. Court, Municipal, and License Clerks
523. Credit Authorizers, Checkers, and Clerks
524. Customer Service Representatives
525. Eligibility Interviewers, Government Programs
526. File Clerks
530. Hotel, Motel, and Resort Desk Clerks
531. Interviewers, Except Eligibility and Loan
532. Library Assistants, Clerical
533. Loan Interviewers and Clerks
534. New Account Clerks
535. Order Clerks
536. Human Resources Assistants, Except Payroll and Timekeeping
540. Receptionists and Information Clerks
541. Reservation and Transportation Ticket Agents and Travel Clerks
542. Information and Record Clerks, All Other
550. Cargo and Freight Agents
551. Couriers and Messengers
552. Dispatchers
553. Meter Readers, Utilities
554. Postal Service Clerks
555. Postal Service Mail Carriers
556. Postal Service Mail Sorters, Processors, and Processing Machine Operators
560. Production, Planning, and Expediting Clerks
561. Shipping, Receiving, and Traffic Clerks
562. Stock Clerks and Order Fillers
563. Weighers, Measurers, Checkers, and Samplers, Recordkeeping
570. Secretaries and Administrative Assistants
580. Computer Operators
581. Data Entry Keyers
582. Word Processors and Typists
583. Desktop Publishers
584. Insurance Claims and Policy Processing Clerks
585. Mail Clerks and Mail Machine Operators, Except Postal Service
586. Office Clerks, General
587. Office Machine Operators, Except Computer
590. Proofreaders and Copy Markers
592. Statistical Assistants
593. Office and Administrative Support Workers, All Other

FFF Farming, Fishing, and Forestry Occupations
600. First-Line Supervisors/Managers/Contractors of Farming, Fishing, and Forestry Workers
601. Agricultural Inspectors
602. Animal Breeders
604. Graders and Sorters, Agricultural Products
605. Other Agricultural Workers
610. Fishers and Related Fishing Workers
611. Hunters and Trappers
612. Forest and Conservation Workers
613. Logging Worker

CON Construction Trades
620. First-Line Supervisors/Managers of Construction Trades and Extraction Workers
621. Boilermakers
622. Brickmasons, Blockmasons, and Stonemasons
623. Carpenters
624. Carpet, Floor, and Tile Installers and Finishers
625. Cement Masons, Concrete Finishers, and Terrazzo Workers
626. Construction Laborers
630. Paving, Surfacing, and Tamping Equipment Operators
631. Pile-Driven Operators
632. Operating Engineers and Other Construction Equipment Operators
633. Drywall Installers, Ceiling Tile Installers, and Tapers
635. Electricians
636. Glaziers
640. Insulation Workers
642. Painters, Construction and Maintenance
643. Paperhangers
644. Pipelayers, Plumbers, Pipefitters, and Steamfitters
646. Plasterers and Stucco Masons
650. Reinforcing Iron and Rebar Workers
651. Roofers
652. Sheet Metal Workers
653. Structural Iron and Steel Workers
660. Helpers, Construction Trades
666. Construction and Building Inspectors
670. Elevator Installers and Repairers
671. Fence Erectors
<table>
<thead>
<tr>
<th>Code</th>
<th>Occupation</th>
</tr>
</thead>
<tbody>
<tr>
<td>672</td>
<td>Hazardous Materials Removal Workers</td>
</tr>
<tr>
<td>673</td>
<td>Highway Maintenance Workers</td>
</tr>
<tr>
<td>674</td>
<td>Rail-Track Laying and Maintenance Equipment Operators</td>
</tr>
<tr>
<td>675</td>
<td>Septic Tank Servicers and Sewer Pipe Cleaners</td>
</tr>
<tr>
<td>676</td>
<td>Miscellaneous Construction and Related Workers</td>
</tr>
<tr>
<td></td>
<td><strong>EXT Extraction Workers</strong></td>
</tr>
<tr>
<td>680</td>
<td>Derrick, Rotary Drill, and Service Unit Operators, Oil, Gas, and Mining</td>
</tr>
<tr>
<td>682</td>
<td>Earth Drillers, Except Oil and Gas</td>
</tr>
<tr>
<td>683</td>
<td>Explosives Workers, Ordnance Handling Experts, and Blasters</td>
</tr>
<tr>
<td>684</td>
<td>Mining Machine Operators</td>
</tr>
<tr>
<td>691</td>
<td>Roof Bolters, Mining</td>
</tr>
<tr>
<td>692</td>
<td>Roustabouts, Oil and Gas</td>
</tr>
<tr>
<td>693</td>
<td>Helpers--Extraction Workers</td>
</tr>
<tr>
<td>694</td>
<td>Other Extraction Workers</td>
</tr>
<tr>
<td></td>
<td><strong>RPR Installation, Maintenance, and Repair Workers</strong></td>
</tr>
<tr>
<td>700</td>
<td>First-Line Supervisors/Managers of Mechanics, Installers, and Repairers</td>
</tr>
<tr>
<td>701</td>
<td>Computer, Automated Teller, and Office Machine Repairers</td>
</tr>
<tr>
<td>702</td>
<td>Radio and Telecommunications Equipment Installers and Repairers</td>
</tr>
<tr>
<td>703</td>
<td>Avionics Technicians</td>
</tr>
<tr>
<td>704</td>
<td>Electric Motor, Power Tool, and Related Repairers</td>
</tr>
<tr>
<td>705</td>
<td>Electrical and Electronics Installers and Repairers, Transportation</td>
</tr>
<tr>
<td></td>
<td>Equipment</td>
</tr>
<tr>
<td>710</td>
<td>Electrical and Electronics Repairers, Industrial and Utility</td>
</tr>
<tr>
<td>711</td>
<td>Electronic Equipment Installers and Repairers, Motor Vehicles</td>
</tr>
<tr>
<td>712</td>
<td>Electronic Home Entertainment Equipment Installers and Repairers</td>
</tr>
<tr>
<td>713</td>
<td>Security and Fire Alarm Systems Installers</td>
</tr>
<tr>
<td>714</td>
<td>Aircraft Mechanics and Service Technicians</td>
</tr>
<tr>
<td>715</td>
<td>Automotive Body and Related Repairers</td>
</tr>
<tr>
<td>716</td>
<td>Automotive Glass Installers and Repairers</td>
</tr>
<tr>
<td>720</td>
<td>Automotive Service Technicians and Mechanics</td>
</tr>
<tr>
<td>721</td>
<td>Bus and Truck Mechanics and Diesel Engine Specialists</td>
</tr>
<tr>
<td>722</td>
<td>Heavy Vehicle and Mobile Equipment Service Technicians and Mechanics</td>
</tr>
<tr>
<td>724</td>
<td>Small Engine Mechanics</td>
</tr>
<tr>
<td>726</td>
<td>Miscellaneous Vehicle and Mobile Equipment Mechanics, Installers, and</td>
</tr>
<tr>
<td></td>
<td>Repairers</td>
</tr>
<tr>
<td>730</td>
<td>Control and Valve Installers and Repairers</td>
</tr>
<tr>
<td>731</td>
<td>Heating, Air Conditioning, and Refrigeration Mechanics and Installers</td>
</tr>
<tr>
<td>732</td>
<td>Home Appliance Repairers</td>
</tr>
<tr>
<td>733</td>
<td>Industrial and Refractory Machinery Mechanics</td>
</tr>
<tr>
<td>734</td>
<td>Maintenance and Repair Workers, General</td>
</tr>
<tr>
<td>735</td>
<td>Maintenance Workers, Machinery</td>
</tr>
<tr>
<td>736</td>
<td>Millwrights</td>
</tr>
<tr>
<td>741</td>
<td>Electrical Power-Line Installers and Repairers</td>
</tr>
<tr>
<td>742</td>
<td>Telecommunications Line Installers and Repairers</td>
</tr>
<tr>
<td>743</td>
<td>Precision Instrument and Equipment Repairers</td>
</tr>
<tr>
<td>751</td>
<td>Coin, Vending, and Amusement Machine Servicers and Repairers</td>
</tr>
<tr>
<td>754</td>
<td>Locksmiths and Safe Repairers</td>
</tr>
<tr>
<td>755</td>
<td>Manufactured Building and Mobile Home Installers</td>
</tr>
<tr>
<td>756</td>
<td>Riggers</td>
</tr>
<tr>
<td>760</td>
<td>Signal and Track Switch Repairers</td>
</tr>
<tr>
<td>761</td>
<td>Helpers--Installation, Maintenance, and Repair Workers</td>
</tr>
<tr>
<td>762</td>
<td>Other Installation, Maintenance, and Repair Workers</td>
</tr>
</tbody>
</table>
### PRD Production Occupations

<table>
<thead>
<tr>
<th>Code</th>
<th>Occupation Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>770.</td>
<td>First-Line Supervisors/Managers of Production and Operating Workers</td>
</tr>
<tr>
<td>771.</td>
<td>Aircraft Structure, Surfaces, Rigging, and Systems Assemblers</td>
</tr>
<tr>
<td>772.</td>
<td>Electrical, Electronics, and Electromechanical Assemblers</td>
</tr>
<tr>
<td>773.</td>
<td>Engine and Other Machine Assemblers</td>
</tr>
<tr>
<td>774.</td>
<td>Structural Metal Fabricators and Fitters</td>
</tr>
<tr>
<td>775.</td>
<td>Miscellaneous Assemblers and Fabricators</td>
</tr>
<tr>
<td>780.</td>
<td>Bakers</td>
</tr>
<tr>
<td>781.</td>
<td>Butchers and Other Meat, Poultry, and Fish Processing Workers</td>
</tr>
<tr>
<td>783.</td>
<td>Food and Tobacco Roasting, Baking, and Drying Machine Operators and Tenders</td>
</tr>
<tr>
<td>784.</td>
<td>Food Batchmakers</td>
</tr>
<tr>
<td>785.</td>
<td>Food Cooking Machine Operators and Tenders</td>
</tr>
<tr>
<td>786.</td>
<td>Computer Control Programmers and Operators</td>
</tr>
<tr>
<td>787.</td>
<td>Extruding and Drawing Machine Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>788.</td>
<td>Forging Machine Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>789.</td>
<td>Rolling Machine Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>791.</td>
<td>Drilling and Boring Machine Tool Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>792.</td>
<td>Grindering, Lapping, Polishing, and Buffing Machine Tool Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>793.</td>
<td>Lathe and Turning Machine Tool Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>795.</td>
<td>Machinists</td>
</tr>
<tr>
<td>800.</td>
<td>Tool and Die Makers</td>
</tr>
<tr>
<td>801.</td>
<td>Welding, Soldering, and Brazing Workers</td>
</tr>
<tr>
<td>803.</td>
<td>Lay-Out Workers, Metal and Plastic</td>
</tr>
<tr>
<td>804.</td>
<td>Textile Cutting Machine Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
<tr>
<td>805.</td>
<td>Textile Knitting and Weaving Machine Setters, Operators, and Tenders, Metal and Plastic</td>
</tr>
</tbody>
</table>
844. Fabric and Apparel Patternmakers
845. Upholsterers
846. Textile, Apparel, and Furnishings Workers, All Other
850. Cabinetmakers and Bench Carpenters
851. Furniture Finishers
852. Model Makers and Patternmakers, Wood
854. Woodworking Machine Setters, Operators, and Tenders, Except Sawing
855. Woodworkers, All Other
860. Power Plant Operators, Distributors, and Dispatchers
861. Stationary Engineers and Boiler Operators
862. Water and Liquid Waste Treatment Plant and System Operators
863. Miscellaneous Plant and System Operators
864. Chemical Processing Machine Setters, Operators, and Tenders
865. Crushing, Grinding, Polishing, Mixing, and Blending Workers
871. Cutting Workers
872. Extruding, Forming, Pressing, and Compacting Machine Setters, Operators, and Tenders
873. Furnace, Kiln, Oven, Drier, and Kettle Operators and Tenders
874. Inspectors, Testers, Sorters, Samplers, and Weighers
875. Jewelers and Precious Stone and Metal Workers
876. Medical, Dental, and Ophthalmic Laboratory Technicians
880. Packaging and Filling Machine Operators and Tenders
881. Painting Workers
883. Photographic Process Workers and Processing Machine Operators
884. Semiconductor Processors
885. Cementing and Gluing Machine Operators and Tenders
886. Cleaning, Washing, and Metal Pickling Equipment Operators and Tenders
890. Cooling and Freezing Equipment Operators and Tenders
891. Etchers and Engravers
892. Molders, Shapers, and Casters, Except Metal and Plastic
894. Tire Builders
895. Helpers--Production Workers
896. Production Workers, All Other

TRN Transportation and Material Moving Occupations
900. Supervisors, Transportation and Material Moving Workers
903. Aircraft Pilots and Flight Engineers
904. Air Traffic Controllers and Airfield Operations Specialists
911. Ambulance Drivers and Attendants, Except Emergency Medical Technicians
912. Bus Drivers
913. Driver/Sales Workers and Truck Drivers
914. Taxi Drivers and Chauffeurs
915. Motor Vehicle Operators, All Other
920. Locomotive Engineers and Operators
923. Railroad Brake, Signal, and Switch Operators
924. Railroad Conductors and Yardmasters
926. Subway, Streetcar, and Other Rail Transportation Workers
930. Sailors and Marine Oilers
931. Ship and Boat Captains and Operators
933. Ship Engineers
934. Bridge and Lock Tenders
935. Parking Lot Attendants
936. Service Station Attendants
941. Transportation Inspectors
942. Other Transportation Workers
950. Conveyor Operators and Tenders
951. Crane and Tower Operators
952. Dredge, Excavating, and Loading Machine Operators
956. Hoist and Winch Operators
960. Industrial Truck and Tractor Operators
961. Cleaners of Vehicles and Equipment
962. Laborers and Freight, Stock, and Material Movers, Hand
963. Machine Feeders and Off bearers
964. Packers and Packagers, Hand
965. Pumping Station Operators
972. Refuse and Recyclable Material Collectors
973. Shuttle Car Operators
974. Tank Car, Truck, and Ship Loaders
975. Material Moving Workers, All Other

MIL Military Specific Occupations
980. Military Officer Special and Tactical Operations Leaders/Managers
981. First-Line Enlisted Military Supervisors/Managers
982. Military Enlisted Tactical Ops and Air/Weapons Specialists and Crew members
983. Military, Rank Not Specified (Census only)
999. DK; NA; Don’t know; Not ascertained

Source: U.S. Census Bureau, Demographic Surveys Division,
Created: August 7 2001
D. Industry Codes

Equivalent numeric codes follow the alphabetic codes. Either code may be used, depending on the processing method. Abbreviation "pt" means "part" and "n.e.c." means "not elsewhere classified."

AGRICULTURE, FORESTRY, FISHING, AND HUNTING
017. Crop production
018. Animal production
019. Forestry except logging
027. Logging
028. Fishing, hunting, and trapping
029. Support activities for agriculture and forestry

MINING
037. Oil and gas extraction
038. Coal mining
039. Metal ore mining
047. Nonmetallic mineral mining and quarrying
048. Not specified type of mining
049. Support activities for mining

UTILITIES
057. Electric power generation transmission and distribution
058. Natural gas distribution
059. Electric and gas and other combinations
067. Water, steam, air-conditioning, and irrigation systems
068. Sewage treatment facilities
069. Not specified utilities

CONSTRUCTION
077. Construction

MANUFACTURING
107. Animal food, grain, and oilseed milling
108. Sugar and confectionery products
109. Fruit and vegetable preserving and specialty food Manufacturing
117. Dairy product manufacturing
118. Animal slaughtering and processing
119. Retail bakeries
127. Bakeries except retail
128. Seafood and other miscellaneous foods n.e.c.
129. Not specified food industries
137. Beverage manufacturing
139. Tobacco manufacturing
147. Fiber, yarn, and thread mills
148. Fabric mills, except knitting
149. Textile and fabric finishing and coating mills
157. Carpets and rugs manufacturing
159. Textile product mills except carpets and rugs
167. Knitting mills
168. Cut and sew apparel manufacturing
169. Apparel accessories and other apparel manufacturing
177. Footwear manufacturing
179. Leather tanning and products, except footwear manufacturing
187. Pulp, paper, and paperboard mills
188. Paperboard containers and boxes
189. Miscellaneous paper and pulp products
199. Printing and related support activities
207. Petroleum refining
209. Miscellaneous petroleum and coal products
217. Resin, synthetic rubber and fibers, and filaments manufacturing
218. Agricultural chemical manufacturing
219. Pharmaceutical and medicine manufacturing
227. Paint, coating, and adhesives manufacturing
228. Soap, cleaning compound, and cosmetic manufacturing
229. Industrial and miscellaneous chemicals
237. Plastics product manufacturing
238. Tire manufacturing
239. Rubber products, except tires, manufacturing
247. Pottery, ceramics, and related products manufacturing
248. Glass and glass product manufacturing
257. Cement, concrete, lime, and gypsum product manufacturing
259. Miscellaneous nonmetallic mineral product manufacturing
267. Iron and steel mills and steel product manufacturing
268. Aluminum production and processing
269. Nonferrous metal, except aluminum, production and processing
277. Foundries
278. Metal forgings and stampings
279. Cutlery and hand tool manufacturing
287. Structural metals and tank and shipping container manufacturing
288. Machine shops; turned product; screw nut and bolt manufacturing
289. Coating, engraving, heat treating and allied activities
297. Ordnance
298. Miscellaneous fabricated metal products manufacturing
299. Not specified metal industries
307. Agricultural implement manufacturing
308. Construction mining and oil field machinery manufacturing
309. Commercial and service industry machinery manufacturing
317. Metalworking machinery manufacturing
318. Engines, turbines, and power transmission equipment manufacturing
319. Machinery manufacturing, n.e.c.
329. Not specified machinery manufacturing
336. Computer and peripheral equipment manufacturing
337. Communications, audio, and video equipment manufacturing
338. Navigation, measuring, electrometrical, and control instruments manufacturing
339. Electronic component and product manufacturing, n.e.c.
347. Household appliance manufacturing
349. Electrical machinery, equipment, and supplies manufacturing, n.e.c.
357. Motor vehicles and motor vehicle equipment manufacturing
358. Aircraft and parts manufacturing
359. Aerospace product and parts manufacturing
367. Railroad rolling stock manufacturing
368. Ship and boat building
369. Other transportation equipment manufacturing
377. Sawmills and wood preservation
378. Veneer, plywood, and engineered wood product manufacturing
379. Prefabricated wood buildings and mobile homes manufacturing
387. Miscellaneous wood product manufacturing
389. Furniture and fixtures
396. Medical equipment and supplies manufacturing
397. Toys, amusement, and sporting goods manufacturing
398. Miscellaneous manufacturing, n.e.c.
399. Not specified manufacturing industries

WHOLESALE TRADE
407. Motor vehicles, parts and supplies wholesalers
408. Furniture and home furnishing wholesalers
409. Lumber and other construction materials wholesalers
417. Professional and commercial equipment and supplies Wholesalers
418. Metals and minerals, except petroleum, wholesalers
419. Electrical goods wholesalers
426. Hardware, plumbing and heating equipment, and supplies wholesalers
427. Machinery, equipment, and supplies wholesalers
428. Recyclable material wholesalers
429. Miscellaneous durable goods wholesalers
437. Paper and paper product wholesalers
438. Drugs, sundries, and chemical and allied product Wholesalers
439. Apparel, fabrics, and notions wholesalers
447. Groceries and related product wholesalers
448. Farm product raw material wholesalers
449. Petroleum and petroleum product wholesalers
456. Alcoholic beverage wholesalers
457. Farm supplies wholesalers
458. Miscellaneous nondurable goods wholesalers
459. Not specified wholesale trade

RETAIL TRADE
467. Automobile dealers
468. Other motor vehicle dealers
469. Auto parts, accessories, and tire stores
477. Furniture and home furnishings stores
478. Household appliance stores
479. Radio, TV, and computer stores
487. Building material and supplies dealers
488. Hardware stores
489. Lawn and garden equipment and supplies stores
497. Grocery stores
498. Specialty food stores
499. Beer, wine, and liquor stores
507. Pharmacies and drug stores
508. Health and personal care, except drug, stores
509. Gasoline stations
517. Clothing and accessories, except shoe, stores
518. Shoe stores
519. Jewelry, luggage, and leather goods stores
527. Sporting goods, camera, and hobby and toy stores
528. Sewing, needlework and piece goods stores
529. Music stores
537. Book stores and news dealers
538. Department stores
539. Miscellaneous general merchandise stores
547. Retail florists
548. Office supplies and stationary stores
549. Used merchandise stores
557. Gift, novelty, and souvenir shops
558. Miscellaneous retail stores
559. Electronic shopping and mail-order houses
<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>567</td>
<td>Vending machine operators</td>
</tr>
<tr>
<td>568</td>
<td>Fuel dealers</td>
</tr>
<tr>
<td>569</td>
<td>Other direct selling establishments</td>
</tr>
<tr>
<td>579</td>
<td>Not specified retail trade</td>
</tr>
</tbody>
</table>

**TRANSPORTATION AND WAREHOUSING**

<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>607</td>
<td>Air transportation</td>
</tr>
<tr>
<td>608</td>
<td>Rail transportation</td>
</tr>
<tr>
<td>609</td>
<td>Water transportation</td>
</tr>
<tr>
<td>617</td>
<td>Truck transportation</td>
</tr>
<tr>
<td>618</td>
<td>Bus service and urban transit</td>
</tr>
<tr>
<td>619</td>
<td>Taxi and limousine service</td>
</tr>
<tr>
<td>627</td>
<td>Pipeline transportation</td>
</tr>
<tr>
<td>628</td>
<td>Scenic and sightseeing transportation</td>
</tr>
<tr>
<td>629</td>
<td>Services incidental to transportation</td>
</tr>
<tr>
<td>637</td>
<td>Postal Service</td>
</tr>
<tr>
<td>638</td>
<td>Courier and messengers</td>
</tr>
<tr>
<td>639</td>
<td>Warehousing and storage</td>
</tr>
</tbody>
</table>

**INFORMATION**

<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>647</td>
<td>Newspaper publishers</td>
</tr>
<tr>
<td>648</td>
<td>Publishing except newspapers and software</td>
</tr>
<tr>
<td>649</td>
<td>Software publishing</td>
</tr>
<tr>
<td>657</td>
<td>Motion pictures and video industries</td>
</tr>
<tr>
<td>659</td>
<td>Sound recording industries</td>
</tr>
<tr>
<td>667</td>
<td>Radio and television broadcasting and cable</td>
</tr>
<tr>
<td>668</td>
<td>Wired telecommunications carriers</td>
</tr>
<tr>
<td>669</td>
<td>Other telecommunication services</td>
</tr>
<tr>
<td>677</td>
<td>Libraries and archives</td>
</tr>
<tr>
<td>678</td>
<td>Other information services</td>
</tr>
<tr>
<td>679</td>
<td>Data processing services</td>
</tr>
</tbody>
</table>

**FINANCE AND INSURANCE**

<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>687</td>
<td>Banking and related activities</td>
</tr>
<tr>
<td>688</td>
<td>Savings institutions, including credit unions</td>
</tr>
<tr>
<td>689</td>
<td>Non-depository credit and related activities</td>
</tr>
<tr>
<td>697</td>
<td>Securities, commodities, funds, trusts, and other financial investments</td>
</tr>
<tr>
<td>698</td>
<td>Insurance carriers and related activities</td>
</tr>
</tbody>
</table>

**REAL ESTATE AND RENTAL AND LEASING**

<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>707</td>
<td>Real estate</td>
</tr>
<tr>
<td>717</td>
<td>Video tape and disk rental</td>
</tr>
<tr>
<td>718</td>
<td>Other consumer goods rental</td>
</tr>
<tr>
<td>719</td>
<td>Commercial, industrial, and other intangible assets rental and leasing</td>
</tr>
</tbody>
</table>

**PROFESSIONAL, SCIENTIFIC, AND TECHNICAL SERVICES**

<table>
<thead>
<tr>
<th>Code</th>
<th>Industry Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>727</td>
<td>Legal services</td>
</tr>
<tr>
<td>728</td>
<td>Accounting, tax preparation, bookkeeping and payroll services</td>
</tr>
<tr>
<td>729</td>
<td>Architectural, engineering, and related services</td>
</tr>
<tr>
<td>737</td>
<td>Specialized design services</td>
</tr>
<tr>
<td>738</td>
<td>Computer systems design and related services</td>
</tr>
<tr>
<td>739</td>
<td>Management, scientific and technical consulting services</td>
</tr>
<tr>
<td>746</td>
<td>Scientific research and development services</td>
</tr>
<tr>
<td>747</td>
<td>Advertising and related services</td>
</tr>
<tr>
<td>748</td>
<td>Veterinary services</td>
</tr>
<tr>
<td>749</td>
<td>Other professional, scientific and technical services</td>
</tr>
</tbody>
</table>
MANAGEMENT, ADMINISTRATIVE AND SUPPORT, AND WASTE MANAGEMENT SERVICES
757. Management of companies and enterprises
758. Employment services
759. Business support services
767. Travel arrangement and reservation services
768. Investigation and security services
769. Services to buildings and dwellings
777. Landscaping services
778. Other administrative and other support services
779. Waste management and remediation services

EDUCATIONAL SERVICES
786. Elementary and secondary schools
787. Colleges and universities, including junior colleges
788. Business, technical, and trade schools and training
789. Other schools, instruction, and educational services

HEALTH CARE AND SOCIAL ASSISTANCE
796. Offices of physicians
798. Offices of dentists
799. Office of chiropractors
807. Offices of optometrists
808. Offices of other health practitioners
809. Outpatient care centers
817. Home health care services
818. Other health care services
819. Hospitals
827. Nursing care facilities
829. Residential care facilities, without nursing
837. Individual and family services
838. Community food and housing, and emergency services
839. Vocational rehabilitation services
847. Child day care services

ARTS, ENTERTAINMENT, AND RECREATION
856. Independent artists, performing arts, spectator sports, and related industries
857. Museums, art galleries, historical sites, and similar Institutions
858. Bowling centers
859. Other amusement, gambling, and recreation industries

ACCOMODATIONS AND FOOD SERVICES
866. Traveler accommodation
867. Recreational vehicle parks and camps, and rooming and boarding houses
868. Restaurants and other food services
869. Drinking places, alcoholic beverages

OTHER SERVICES (EXCEPT PUBLIC ADMINISTRATION)
877. Automotive repair and maintenance
878. Car washes
879. Electronic and precision equipment repair and maintenance
887. Commercial and industrial machinery and equipment repair and maintenance
888. Personal and household goods repair and maintenance
889. Footwear and leather goods repair
897. Barber shops

December 2014, Version 5.0
898. Beauty salons
899. Nail salons and other personal care services
907. Dry cleaning and laundry services
908. Funeral homes, cemeteries and crematories
909. Other personal services
916. Religious organizations
917. Civic, social, advocacy organizations, and grantmaking and giving services
918. Labor unions
919. Business, professional, political, and similar Organizations
929. Private households

PUBLIC ADMINISTRATION AND ACTIVE DUTY MILITARY
937. Executive offices and legislative bodies
938. Public finance activities
939. Other general government and support
947. Justice, public order, and safety activities
948. Administration of human resource programs
949. Administration of environmental quality and housing programs
957. Administration of economic programs and space research
959. National security and international affairs
967. U.S. Army
968. U.S. Air Force
969. U.S. Navy
977. U.S. Marines
978. U.S. Coast Guard
979. U.S. Armed Forces, branch not specified
987. Military Reserves or National Guard
999. DK; NA; Don’t know; Not ascertained
E. State and Country Codes

E1. STATES

01. Alaska (AK)
02. Alabama (AL)
53. American Samoa, Guam
03. Arizona (AZ)
04. Arkansas (AR)
05. California (CA)
06. Colorado (CO)
07. Connecticut (CT)
08. Delaware (DE)
51. Dist. of Columbia (DC)
09. Florida (FL)
10. Georgia (GA)
53. Guam (GU)
11. Hawaii (HI)
12. Idaho (ID)
13. Illinois (IL)
14. Indiana (IN)
15. Iowa (IA)
16. Kansas (KS)
17. Kentucky (KY)
18. Louisiana (LA)
19. Maine (ME)
20. Maryland (MD)
21. Massachusetts (MA)
22. Michigan (MI)
23. Minnesota (MN)
24. Mississippi (MS)
25. Missouri (MO)
26. Montana (MT)
27. Nebraska (NE)
28. Nevada (NV)
29. New Hampshire (NH)
30. New Jersey (NJ)
31. New Mexico (NM)
32. New York (NY)
33. North Carolina (NC)
34. North Dakota (ND)
35. Ohio (OH)
36. Oklahoma (OK)
37. Oregon (OR)
38. Pennsylvania (PA)
52. Puerto Rico (PR)
39. Rhode Island (RI)
40. South Carolina (SC)
41. South Dakota (SD)
42. Tennessee (TN)
43. Texas (TX)
44. Utah (UT)
45. Vermont (VT)
53. (U.S.) Virgin Islands
46. Virginia (VA)
47. Washington (WA)
51. Washington, D.C. (DC)
48. West Virginia (WV)
49. Wisconsin (WI)
50. Wyoming (WY)

E2. OTHER U.S.
51. District of Columbia; Washington, D.C.
52. Puerto Rico
53. Guam, American Samoa, U.S. Virgin Islands, Panama Canal Zone
59. USA, NA which state

E3. OTHER COUNTRIES
97. Other Country

98. DK
99. NA