NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
   THIS IS A NEW INTERVIEW R (Z076=5)

R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2})

R IS MARRIED (X065=1);
   R IS PARTNERED (X065=3);
   R IS SINGLE (X065=6)

R IS WORKING FOR PAY ((J005 or J020)=1)
   R IS NOT CURRENTLY WORKING (J020=5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.
K003 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION L
IF R IS WORKING NOW FOR PAY (J005 or J020=1), GO TO SECTION L

K003
Have you ever worked for pay for more than a few months?

1. YES  5. NO  8. DK  9. RF

GO TO K038 (K ASSIST)

K004
When did you last work for pay?

OR

K004 YEAR  K005 YEARS AGO

K006 BRANCHPOINT: IF R LAST WORKED FOR PAY MORE THAN 2 YEARS AGO (per K004 or K005), GO TO K007 BRANCHPOINT

K006
In what month was that?

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

K007 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K022

K007
The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?

[IWER: IF R SAYS, "I Ran MY Own BUSINESS" ENTER "SELF-EMPLOYED"]

1. SOMEONE ELSE  2. SELF-EMPLOYED  8. DK  9. RF
K008
IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
What kind of business or industry did you work in — that is, what did
dey make or do at the place where you worked?

IF R WAS SELF-EMPLOYED (K007=2):
What industry did you work in? That is, what did your company do or
make?
(What industry did you typically work in?)

__________    DK    RF
INDUSTRY

K009 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA}
COHORT (X023={1 or 2}), GO TO K010

K009
What was the official title of your job?
(The title that your employer used?)

__________    DK    RF
TITLE

K010
What sort of work did you do?
(Tell me a little more about what you did.)

__________    DK    RF
TYPE OF WORK

K011
How many hours a week did you usually work [for that employer/in that
business]?

__________    DK    RF
HOURS PER WEEK

K012 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA}
COHORT (X023={1 or 2}), GO TO K014
K012
Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

1. YES  5. NO  8. DK  9. RF

GO TO K014

K013
Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

1. YES  5. NO  8. DK  9. RF

K014
IWER: READ SLOWLY:
Counting paid vacations as weeks of work, how many weeks a year did you usually work?

DK  RF

NUMBER OF WEEKS

K039 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K015

K039
Were you salaried on that job, paid by the hour, or what?

1. SALARIED  2. HOURLY  3. PIECEWORK/COMMISSION

7. OTHER/COMBINATION  8. DK  9. RF
K015
IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
How much were you earning, before deductions, when you left that
employer?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]

IF R WAS SELF-EMPLOYED (K007=2):
How much were you earning, before deductions, when you stopped working
for the business?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

<table>
<thead>
<tr>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
</tr>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO K018 BRANCHPOINT

K016
PER:

<table>
<thead>
<tr>
<th>1. HOUR</th>
<th>2. WEEK</th>
<th>3. EVERY TWO WEEKS/BI-WEEKLY</th>
<th>4. MONTH</th>
<th>5. TWICE A MONTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. YEAR</td>
<td>7. OTHER (SPECIFY)</td>
<td>8. DK</td>
<td>9. RF</td>
<td></td>
</tr>
</tbody>
</table>

K018 BRANCHPOINT: IF R IS IN (AHEAD or CODA) COHORT (X023=(1 or 2)), GO TO K022
IF R WAS SELF-EMPLOYED (K007=2), GO TO K020

K018
About how many employees worked for that company or organization at the
location where you worked?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>NUMBER OF EMPLOYEES</th>
</tr>
</thead>
<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO K020
K019
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5  2. 5 TO 14  3. 15 TO 24  4. 25 TO 99
5. 100 TO 499  6. 500 OR MORE  8. DK  9. RF

K020
About how many employees worked for that company or organization at all locations?

[IWER: DO NOT PROBE DK/RF]

IF R WAS SELF-EMPLOYED (K007=2):
[IWER: ENTER ‘-2’ IF ONLY ONE LOCATION]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
[IWER: THE NUMBER MUST BE AT LEAST AS LARGE AS K018]

NUMBER OF EMPLOYEES

GO TO K022

K021
Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5  2. 5 TO 14  3. 15 TO 24  4. 25 TO 99
5. 100 TO 499  6. 500 OR MORE  8. DK  9. RF

K022
When did you start working for [that employer/yourself]?

OR

K022  K023  K024
YEAR  YEARS AGO  AT AGE

OR

DK  RF

K025 BRANCHPOINT: IF (R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED) (per K004 or K005), GO TO K038 (K ASSIST)
K025
How much were you earning, before taxes and other deductions, when you started?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT

GO TO K028

K026
PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

K028 BRANCHPOINT: IF R IS IN (AHEAD or CODA) COHORT (X023={1 or 2}),
GO TO K031

IF R WAS SELF-EMPLOYED (K007=2), GO TO K029

K028
Were you covered on that job by a union or employee-association contract?

1. YES  5. NO  8. DK  9. RF
K029

IF R WAS SELF-EMPLOYED (K007=2):
Why did you stop working at this business?

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]
(Did the business close, were you laid off or let go, did you have poor health or a disability, did you leave to take care of family members, did you quit, did you retire, did ownership of your employment change, did your pension rules change, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

<table>
<thead>
<tr>
<th>1. BUSINESS CLOSED</th>
<th>2. LAID OFF/LET GO</th>
<th>3. POOR HEALTH/DISABLED</th>
<th>4. FAMILY CARE</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. QUIT</td>
<td>7. RETIRED</td>
<td>9. OWNERSHIP CHANGED</td>
<td></td>
</tr>
<tr>
<td>10. PENSION RULES CHANGED</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
</tbody>
</table>

K031

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for that employer.

IF R WAS SELF-EMPLOYED (K007=2):
Aside from IRAs not sponsored by your business or Keogh plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for yourself?

[IF R MENTIONS IRA OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:]
I will ask about these later in the interview. Here, I just want to find out about other plans operated through your previous [business/employer].

| 1. YES | 5. NO | 8. DK | 9. RF |

K032 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K038 (K ASSIST)

IF R DID NOT REPORT THAT WAS INCLUDED IN PENSION PLAN (K031={5 or DK or RF}), GO TO K035
K032

In how many different pension plans were you included on this job?

1 — 8 PLANS

0. NONE
1. ONE
2. TWO
3. THREE
4. FOUR
5. FIVE

GO TO K035
GO TO BEGINNING OF KW078_2 LOOP

6. SIX
7. SEVEN
8. EIGHT
95. DENIES BEING INCLUDED IN PENSION PLAN
98. DK
99. RF

GO TO BEGINNING OF KW078_2 LOOP
GO TO K035
GO TO K035

K033

Is this just one plan or more than one?

1. ONE PLAN
3. MORE THAN ONE
8. DK
9. RF

GO TO K035
BEGINNING OF PENSION BLOCK-2
(KW078_2 — KW077_2)

NOTE: THE FOLLOWING PENSION LOOP (KW078_2) IS A REPLICATE OF THAT APPEARING IN
SECTION J (JW078_1 THROUGH JW077_1), WITH THE ADDITION OF QUESTIONS W029
& W034. THE "_2" AFTER EACH LABEL INDICATES THAT THIS IS THE SECOND TIME
THIS BLOCK IN THE QUESTIONNAIRE.

***BEGINNING OF KW078_2 LOOP: QUESTIONS KW078_2 THROUGH KW077_2 ARE REPEATED
FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT K032
(K032={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT
K033(K033={1 or 3}).***

KW078_2

IF THIS IS THE FIRST PLAN and R HAD MORE THAN ONE PLAN (K032 ≥ 2 or
K033=3):
Now I have some questions about the most important of these plans.

What was the name of this plan?

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN (K032 ≥2) or R HAD MORE THAT
ONE PLAN K033=3):
Now I have some questions about the next most important of these plans.

What was the name of this plan?

IF R HAD ONLY ONE PLAN ((K032 or K033)=1):

What was the name of this plan?

__________________________
NAME OF PLAN

[DK] [RF]
Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?

(What did your former employer call it?)

1. 401K PLAN  
2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)  
3. DEFINED BENEFIT PLAN  
4. DEFINED CONTRIBUTION PLAN  
5. 401A

6. 403B PLAN  
7. 457 PLAN  
8. THRIFT/SAVINGS PLAN (TSP)  
9. PROFIT-SHARING PLAN  
10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)

11. MONEY PURCHASE PLAN  
12. PORTABLE CASH OPTION PLAN  
13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)  
14. SEP OR SIMPLE PLAN

15. COMBINATION PLAN  
16. CASH BALANCE PLAN

97. OTHER (SPECIFY)  
98. DK  
99. RF
(Could you tell me a little more about this plan?)

I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

ASK ALL Rs:
Was your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS ‘BOTH’.]

DEFINITION: 401-K, 403-B, 457, ESOP, SRA, (TSP) THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, SEP/SIMPLE, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED ‘DEFINED BENEFIT’ PLANS.
TYPE B PLANS ARE OFTEN CALLED ‘DEFINED CONTRIBUTION’.

1. TYPE A PLAN
2. TYPE B PLAN
3. BOTH PLAN TYPES
8. DK
9. RF

GO TO KW002_2
GO TO KW078_2 LOOP

Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you had on that job.

Are you very certain, somewhat certain or not certain at all that you actually had (a) [TYPE OF PLAN per KW001_2]?

1. VERY CERTAIN
2. SOMewhat CERTAIN
3. NOT CERTAIN AT ALL
8. DK
9. RF
KW034_2
How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

0-50 YEARS

KW002_2 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN
({KW082_2=3 and KW001_2}=1) GO TO KW097_2

KW002_2
IF TYPE B PLAN:
How much money was in your account when you left that [business/employer]?

OTHERWISE:
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

[IWER: IF R SAYS ‘DOES NOT HAVE AN ACCOUNT’, ENTER [-2]]

[IWER: DO NOT PROBE DK/RF]

-2  DK  RF

AMOUNT

GO TO KW097_2

KW003_2 – KW005_2 Unfolding Sequence
Question text: Did it amount to a total of less than $____ , more than $____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND
When you left that [business/employer], what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

8. RECEIVING BENEFITS NOW
1. WITHDRAW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM
2. ROLLED OVER INTO IRA
3. LEFT IN THE PLAN
4. CONVERT TO/PURCHASED ANNUITY
6. LOST BENEFITS
7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS
97. OTHER (SPECIFY)
98. DK
99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW088_2 BRANCHPOINT: IF R DID NOT LEAVE MONEY IN THE PLAN (KW097_2 NOT 3), GO TO KW065_2 BRANCHPOINT

KW088_2
IF MORE THAN ONE OPTION WAS SELECTED IN KW097_2:
For the part of the money that you left in the plan,

ALL Rs: [do/Do] you expect to receive benefits from this plan in the form of regular monthly or annual payments at some time in the future?

1. YES
5. NO
8. DK
9. RF

KW065_2 BRANCHPOINT: IF R [WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS] (KW097_2=(1 or 6)), GO TO KW008_2 BRANCHPOINT
KW065_2
Were you allowed to receive benefits as a cash settlement or a lump-sum payment?

1. YES 5. NO 8. DK 9. RF

KW008_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3), GO TO KW063_2 BRANCHPOINT

KW008_2
How much money did you leave in the old plan?

____________________ 
AMOUNT

DK RF

KW063_2 BRANCHPOINT: IF R (DID NOT RECEIVE OR IS NOT RECEIVING) (ONE OR MORE) (INSTALLMENTS OR IRREGULAR PAYMENTS) (KW097_2 NOT 7), GO TO KW009_2 BRANCHPOINT

KW063_2
How much money in total did you receive in installments or irregular payments in the last calendar year?

____________________ 
AMOUNT

KW009_2 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (KW082_2=3 and KW001_2=1), GO TO KW013_2 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (KW097_2 NOT 3) or R REPORTED DOES NOT HAVE ACCOUNT (KW002_2=-2), GO TO KW013_2 BRANCHPOINT
KW009_2
How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

__________________________
AMOUNT
DK RF

GO TO KW013_2 BRANCHPOINT

KW010_2 - KW012_2 Unfolding Sequence

Question text: Does it amount to less than $___, more than $___, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW013_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS
CONVERTED TO/PURCHASED AN ANNUITY (KW097_2 NOT 4) and DID
NOT REPORT RECEIVING BENEFITS NOW (KW097_2 NOT 8), GO TO
KW018_2 BRANCHPOINT

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8) and DID NOT
REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED
AN ANNUITY (KW097_2 NOT 4), GO TO KW014_2

KW013_2
How much did you (convert to/purchase as) an annuity?

__________________________
AMOUNT

KW014_2
How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½,
ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80 AGE

__________________________
AGE
DK RF

GO TO KW015_2

KW086_2
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]
KW015_2
How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]  

0  DK  RF

AMOUNT

GO TO KW049_2  
(AFTER KW017_2)

KW016_2
[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

KW028_2
Are the benefits adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO KW049_2

KW059_2
Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO KW049_2 BRANCHPOINT

7. OTHER (SPECIFY)
8. DK
9. RF

GO TO KW049_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

What is that time period?

KW051_2

KW051_2 OR KW052_2 OR KW053_2

U N T I L YEAR U N T I L AGE Y E A R S

KW054_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),
GO TO KW054_2 BRANCHPOINT

If you or your [husband/wife/partner] should die, will the pension payments change, stay the same, or what?

1. CHANGE
3. STAY THE SAME
7. OTHER (SPECIFY)
8. DK
9. RF

KW055_2

KW018_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED OVER INTO AN IRA (KW097_2 NOT 2), GO TO KW018_2 BRANCHPOINT
KW018_2
How much did the rollover amount to?

________________________          DK  RF
AMOUNT

KW056_2 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM (KW097_2 NOT 1), GO TO KW035_2
BRANCHPOINT

KW056_2
How much money did you withdraw or how much was the lump sum or cash settlement you received?

________________________          DK  RF
AMOUNT

KW057_2
What did you do with the money?

1. BOUGHT DURABLES
2. SPENT IT
3. SAVED/INVESTED
4. PAID OFF DEBT

5. ROLLED INTO IRA
7. OTHER (SPECIFY)
8. DK
9. RF

KW035_2 BRANCHPOINT: IF THIS IS NOT A SELF INTERVIEW (A009 NOT 1), GO TO KW042_2

IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (KW088_2 NOT 1), GO TO KW042_2

IF R REPORTED RECEIVING BENEFITS NOW (KW097_2=8), GO TO KW096_2 BRANCHPOINT
At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

96 ALREADY RECEIVING BENEFITS

DK

RF

GO BACK TO KW097_2 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (KW097_2=8)

GO TO KW096_2 BRANCHPOINT

[KW098_2]

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 # OF MONTHS

About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY

2. AMOUNT PER MONTH/YEAR

3. LUMP SUM

8. DK

9. RF

GO TO KW038_2

GO TO KW041_2

GO TO KW096_2 BRANCHPOINT

[KW037_2]

[IWER: ENTER PERCENT OF FINAL PAY]

PERCENT

DK

RF

GO TO KW089_2
How much do you expect to receive?

[JWER: DO NOT PROBE DK/RF]

0  DK  RF

AMOUNT

GO TO KW039_2  KW089_2

Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

GO TO KW089_2

[JWER: ENTER LUMP SUM AMOUNT]
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO KW094_2 BRANCHPOINT

7. OTHER (SPECIFY)  8. DK  9. RF

GO TO KW094_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

What is that time period?

KW091_2

KW091_2 UNTIL YEAR  KW092_2 UNTIL AGE  KW093_2 YEARS

KW094_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 (NOT 1 and NOT 3)),
GO TO KW096_2 BRANCHPOINT

If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

1. CHANGE  3. STAY THE SAME  7. OTHER (SPECIFY)  8. DK  9. RF

KW096_2 BRANCHPOINT: IF R REPORTED {EXPECTS FUTURE BENEFITS FROM PENSION or CURRENTLY RECEIVING BENEFITS} and LEFT MONEY IN THE PLAN ((KW088_2=1 or KW097_2=8) and KW097_2=3), CONTINUE

OTHERWISE, GO TO KW042_2

IF R EXPECTS TO RECEIVE FUTURE BENEFITS (KW088_2=1):
You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?

IF R IS CURRENTLY RECEIVING BENEFITS (KW097_2=8):
You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

1. NO, DIFFERENT MONEY
2. YES, THE SAME MONEY
3. YES, PARTLY THE SAME
8. DK
9. RF

KW042_2
What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30–80 AGE

95 NO AGE REQUIREMENT
DK
RF

AGE

GO TO END OF LOOP (AFTER KW077_2)

KW077_2
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1–12 NUMBER OF MONTHS

***END OF KW078_2 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS KW078_2 THROUGH KW077_2 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K032 (K032={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K033 (K033=3). IF NOT, CONTINUE ON TO K035.***

END OF PENSION BLOCK-2: (KW078_2 — KW077_2)
K035
Over the last 10 years, since YEAR TEN YEARS AGO, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

1. YES  5. NO  8. DK  9. RF

GO TO KW158_3 BRANCHPOINT

K036
How many times have you been on temporary layoff or unemployed and looking for work?

DK  RF

NUMBER OF TIMES

KW158_3 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2), GO TO K038 (K ASSIST)

BEGINNING OF EMPLOYER INFORMATION BLOCK-3:
(KW158_3 — KW165_3)

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (KW158_3 THROUGH KW165_3) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW158_1 — JW165_1) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

KW158_3
IWER: READ TO ALL RESPONDENTS:
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

For whom did you work on that job?
What is the (company's/organization's) name?

DK  RF

COMPANY NAME

GO TO K038 (K ASSIST)
What is the address of the place where you worked?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

ADDRESS 1

ADDRESS 2

CITY

97. OTHER COUNTRY (SPECIFY)

98. DK

99. RF

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

ZIP

PHONE NUMBER

END OF EMPLOYER INFORMATION BLOCK-3:
(KW158_3 — KW165_3)

K038
K ASSIST
IWER:
HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN
### SECTION K — LAST JOB?

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<tr>
<td>1. NEVER</td>
<td>2. A FEW TIMES</td>
<td>3. MOST OR ALL OF THE TIME</td>
<td>4. THE SECTION WAS DONE BY A PROXY REPORTER</td>
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**GO TO SECTION L**