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**NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX,  
THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.**

**NOTE: IN DATE OR OTHER NUMERICAL COMPARISONS (e.g., FOR LAST JUMP AT J501  
BRANCHPOINT), RESPONSES OF DK, RF, OR EMPTY (WHEN THE QUESTION WAS NOT  
ASKED) EVALUATE AS 0/ZERO. THEREFORE, A DATE FOR WHICH NO YEAR WAS GIVEN  
WILL ALWAYS BE LESS THAN ONE FOR WHICH A YEAR WAS GIVEN.**

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**MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES \***

THIS IS A **REINTERVIEW R** (Z076=1);  
THIS IS A **NEW SPOUSE/PARTNER** OF A REINTERVIEW R (Z076=0);  
THIS IS A **NEVER INTERVIEWED R** or **NEW INTERVIEW R** (Z076=5)

THIS IS A **SELF INTERVIEW** (A009=1);  
THIS IS A **PROXY INTERVIEW** (A009={2 or 3}) or (A009 NOT 1);  
**PROXY REPORTER IS SURVIVING SPOUSE** (A009=2);  
**PROXY REPORTER IS NON-SPOUSE** (A009=3)

R IS **MARRIED** (X065=1);  
R IS **PARTNERED** (X065=3);  
R IS **SINGLE** (X065=6)

R HAS **SAME SPOUSE/PARTNER** AS R's LAST IW (A020=1);  
R's FORMER **PARTNER IS NOW HIS/HER SPOUSE** (A020=3);  
R's FORMER **SPOUSE IS NOW HIS/HER PARTNER** (A020=4)

R CURRENTLY LIVES IN A **NURSING HOME** (A028=1)

R HAS **NO OTHER RESIDENCE** OUTSIDE NURSING HOME (A070=5)

R's **LAST IW MONTH** (per Z092), **YEAR** (per Z093) = R's **LAST IW DATE**

R's **AGE** (per A019)

R WAS **WORKING FOR SOMEONE ELSE AT R's LAST IW** (Z136=1);  
R WAS **SELF-EMPLOYED AT R's LAST IW** (Z136=2)

R's **LAST IW EMPLOYER NAME** (per Z091)

R's **LAST IW TITLE** (per Z128)

R WAS **INCLUDED IN PENSION PLAN ON JOB AT LAST IW** (Z133=1)

R WAS **DISABLED AT R's LAST IW** (Z122=1);  
R WAS **WORKING FOR PAY AT R's LAST IW** (Z123=1);  
R WAS **RETIRED AT R's LAST IW** (Z124=1)

R WAS **COMPLETELY RETIRED AT R's LAST IW** (Z134=1);  
R WAS **PARTLY RETIRED AT R's LAST IW** (Z134=3);  
R WAS **NOT RETIRED AT R's LAST IW** (Z134=5)

R's **PAST PENSION TYPE**: TYPE A: Z140=1; TYPE B: Z140=2;  
BOTH TYPES, ASK A ONLY: Z140=3; BOTH TYPES, ASK B ONLY: Z140=4

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**ALTERNATE WAVE VARIABLE IS SET TO ASK QUESTIONS EVERY 3<sup>RD</sup> WAVE** (J731\_3Wave=0):  
{J731 = (A504\_CurrWaveNdx - 7) MOD 3} = 0};  
I.e., When subtracting the Indices (per A504) for Beginning Wave (Index 7  
for YrXXXX) from Current Wave (Index 7 for 2004), and the result is

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divided by the Wave Interval of 3, the Integer Result leaves No Remainder

**ALTERNATE WAVE VARIABLE IS NOT SET TO ASK QUESTIONS (J731 >0):**

{J731 = {(A504\_CurrWaveNdx - XX) MOD 3} > 0};

I.e., Integer Result yields a Remainder

**\*\* IN 2008 THIS ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS \*\***

**ALTERNATE WAVE VARIABLE IS SET TO ASK QUESTIONS EVERY 4<sup>TH</sup> WAVE (J732\_4Wave=0):**

{J732 = (A504\_CurrWaveNdx - 5) MOD 4} = 0};

I.e., When subtracting the Indices (per A504) for Beginning Wave (Index 5 for YrXXXX) from Current Wave (WaveIndex/WaveNdx 5 for 2000), and the result is divided by the Wave Interval of 4, the Integer Result leaves No Remainder

**ALTERNATE WAVE VARIABLE IS NOT SET TO ASK QUESTIONS (J732 >0):**

{J732 = {(A504\_CurrWaveNdx - XX) MOD 4} > 0};

I.e., Integer Result yields a Remainder

**\*\* IN 2008 THIS ALTERNATE WAVE FLAG IS SET TO ASK QUESTIONS \*\***

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**SUMMARY VARIABLES: COMPONENTS**

**J703: MARGINAL EMPLOYMENT IN THE CURRENT AND 2006 WAVES**

(a) IN CURRENT IW R REPORTED THAT WORKED FOR {{10 HOURS OR LESS}

(J172={0-10} and {20 WEEKS OR LESS} (J179={0-20})); and

(b) Z206=1, WHICH IS THE FOLLOWING: IN R'S 2006 IW {R REPORTED {NOT WORKING (J020/Z123=5) or {WORKED {{10 HOURS OR LESS} (2006-J172={0-10}) and {20 WEEKS OR LESS} (2006-J179={0-20})}}}}.

**J703=1** MEANS THAT THE VARIABLE CONDITIONS ARE TRUE; J703=0 or J703 NOT 1 MEANS NOT TRUE.

**J705: NOT WORKING CURRENTLY AND IN PAST 2 WAVES**

(a) R REPORTED IN CURRENT IW THAT {IS NOT WORKING (J020=5) and IS NOT {UNEMPLOYED or TEMPORARILY {LAID OFF OR ON LEAVE}} (J005 {NOT 2 and NOT 3})}; and

(b) Z211=1, WHICH IS THE FOLLOWING: R REPORTED BOTH {IN 2004 and IN 2006} THAT DID NOT WORK FOR PAY (J020=5).

**J705=1** MEANS THAT THE VARIABLE CONDITIONS ARE TRUE; J705 NOT 1 MEANS NOT TRUE.

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\* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.

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J005

Now I'm going to ask you some questions about your current employment situation.

Are you working now, temporarily laid off, unemployed and looking for work, disabled and unable to work, retired, a homemaker, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. WORKING NOW	2. UNEMPLOYED AND LOOKING FOR WORK	3. TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE	4. DISABLED	
5. RETIRED	6. HOMEMAKER	7. OTHER (SPECIFY) _____ J006	8. DK	9. RF

J007 BRANCHPOINT: IF R DID NOT REPORT THAT IS UNEMPLOYED (J005 NOT 2), GO TO J010 BRANCHPOINT

J007

In what month and year did you become unemployed?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN *CURRENT IW MONTH*, YEAR]

J007  
MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF
			DK	RF		

\_\_\_\_\_  
J008  
YEAR

J010 BRANCHPOINT: IF R DID NOT REPORT THAT IS {TEMPORARILY LAID OFF, ON SICK OR OTHER LEAVE} (J005 NOT 3), GO TO J014 BRANCHPOINT

J010

Do you expect to go back to this job?

1. YES	5. NO	8. DK	9. RF
GO TO J014 BRANCHPOINT			

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J011

In what month and year did you last work on this job?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN *CURRENT IW MONTH, YEAR*]

J011

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_ 

DK	RF
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J012

YEAR

J014 BRANCHPOINT: IF R DID NOT REPORT THAT IS CURRENTLY DISABLED (J005 NOT 4) or IF R WAS DISABLED AT R's LAST IW (Z122=1), GO TO J017 BRANCHPOINT

J014

In what month and year did you become disabled?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN *CURRENT IW MONTH, YEAR*]

J014

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_ 

DK	RF
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J015

YEAR

J017 BRANCHPOINT: IF R DID NOT REPORT THAT IS CURRENTLY RETIRED (J005 NOT 5) or IF R WAS RETIRED AT R's LAST IW (Z124=1), GO TO J020 BRANCHPOINT

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J017

In what month and year did you retire?

J017

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

DK	RF
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J018

YEAR

J020 BRANCHPOINT: IF R IS WORKING NOW (J005=1) *or* IS {TEMPORARILY LAID OFF AND EXPECTS TO GO BACK TO WORK (J010=1)}, ASSIGN 1 TO J020 AND GO TO J021

IF R CURRENTLY LIVES IN A NURSING HOME (A028=1) *and* HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) *and* DID NOT REPORT WORKING IN R's LAST IW (Z123 NOT 1), ASSIGN 5 TO J020 AND GO TO J023 BRANCHPOINT

J020

Are you doing any work for pay at the present time?

1. YES	5. NO	8. DK	9. RF
GO TO J023 BRANCHPOINT			

J021

[On the job you plan to go back to/On your current (main) job], do you work for someone else, are you self-employed, or what?

[IWER: IF R SAYS, 'I RUN MY OWN BUSINESS' CHOOSE 'SELF-EMPLOYED']

1. SOMEONE ELSE	2. SELF-EMPLOYED	8. DK	9. RF
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J023 BRANCHPOINT: IF R IS CURRENTLY WORKING FOR SOMEONE ELSE (J021=1) *and* WAS WORKING FOR SOMEONE ELSE AT R's LAST IW (Z136=1), GO TO J045

IF R DID NOT REPORT THAT IS CURRENTLY WORKING FOR SOMEONE ELSE ({J020 *and* J021} NOT 1) *and* WAS WORKING FOR SOMEONE ELSE AT R's LAST IW (Z136=1), GO TO J063

IF R WAS SELF-EMPLOYED AT R's LAST IW (Z136=2) *and* DID NOT REPORT THAT IS CURRENTLY SELF-EMPLOYED (J021 NOT 2), CONTINUE ON TO J023

OTHERWISE, GO TO JW158\_2 BRANCHPOINT

J023

According to our records, in R's LAST IW MONTH (per Z092), YEAR (per Z093) you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

J023

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN
7. JUL	8. AUG	9. SEP	10. OCT	11. NOV	12. DEC
94. STILL WORKING FOR SELF (AS PRIMARY JOB)			96. STILL WORKING FOR SELF AS SECOND JOB		
GO BACK TO J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (J021=2)			GO TO J028		
97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW			98. DK	99. RF	
GO TO JW158_2 BRANCHPOINT					

DK	RF
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J024

YEAR

J028 BRANCHPOINT: IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (J023 NOT 96), GO TO J031

J028

Were there any months since [*CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR*] when you were not working for pay?

1. YES	5. NO	8. DK	9. RF
GO TO JW158_2 BRANCHPOINT			

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J029

Which months were those?

[R's PREV WAVE DATE: MONTH (per Z092), YEAR (per Z093)]

NOTE: MONTH AND YEAR OF R's LAST IW ARE DISPLAYED TO IWER.

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2006	MONTHS IN 2007	MONTHS IN 2008	OTHER YEARS
(1) JAN 06	(14) JAN 07	(27) JAN 08	(41) ALL OF 2006 (AFTER PREV IW MO)
(2) FEB 06	(15) FEB 07	(28) FEB 08	(42) ALL OF 2005 (AFTER PREV IW MO)
(3) MAR 06	(16) MAR 07	(29) MAR 08	(43) ALL OF 2005
(4) APR 06	(17) APR 07	(30) APR 08	(44) ALL OF 2004 (AFTER PREV IW MO)
(5) MAY 06	(18) MAY 07	(31) MAY 08	(45) ALL OF 2004
(6) JUN 06	(19) JUN 07	(32) JUN 08	(46) ALL OF 2003 (AFTER PREV IW MO)
(7) JUL 06	(20) JUL 07	(33) JUL 08	(47) ALL OF 2003
(8) AUG 06	(21) AUG 07	(34) AUG 08	(48) ALL OF 2002 (AFTER PREV IW MO)
(9) SEP 06	(22) SEP 07	(35) SEP 08	(49) ALL OF 2002
(10) OCT 06	(23) OCT 07	(36) OCT 08	(50) ALL OF 2001 (AFTER PREV IW MO)
(11) NOV 06	(24) NOV 07	(37) NOV 08	(51) ALL OF 2001
(12) DEC 06	(25) DEC 07	(38) DEC 08	(52) ALL OF 2000 (AFTER PREV IW MO)
(13) ALL OF 2006	(26) ALL OF 2007	(39) ALL OF 2008 TO DATE	(53) ALL OF 2000
		(40) ALL OF 2008	(54) ALL OF 1999 (AFTER PREV IW MO)
			(55) ALL OF 1999
			(56) ALL OF 1998 (AFTER PREV IW MO)
			(57) ALL OF 1998
			(58) ALL OF 1997 (AFTER PREV IW MO)
			(59) ALL OF 1997
			(60) ALL OF 1996 (AFTER PREV IW MO)
			(61) ALL OF 1996
			(62) ALL OF 1995 (AFTER PREV IW MO)
			(63) ALL OF 1995
			(64) ALL OF 1994 (AFTER PREV IW MO)
			(65) ALL OF 1994
			(66) ALL OF 1993 (AFTER PREV IW MO)
			(67) ALL OF 1993
			(68) ALL OF 1992 (AFTER PREV IW MO)
			(69) OTHER (SPECIFY) [J030]
			(98) DK



*NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.*

J031 BRANCHPOINT: GO TO JW158\_2 BRANCHPOINT

J031

Were you paid a regular salary or wages?

1. YES

5. NO

8. DK

9. RF

GO TO J038

J032

How much were you paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

	0	DK	RF
AMOUNT			
GO TO J036	GO TO J038		

J033-J035 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$625, **\$1,500**, **\$3,000**, \$6,500  
 RANDOM ENTRY POINTS: \$1,500, \$3,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J036 BRANCHPOINT: GO TO J038

J036

[IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF
	J037			

J038

Did you receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

1. YES	5. NO	8. DK	9. RF
GO TO J073 BRANCHPOINT			

J039

(In addition to your regular salary,) How much did you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]  
What did you earn the last year you worked?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

[IWER: DO NOT PROBE DK/RF]

[IWER: AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

	0	DK	RF
AMOUNT			
GO TO J043	GO TO J073 BRANCHPOINT		

J040-J042 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$3,300, **\$10,000**, **\$30,000**, \$75,000  
 RANDOM ENTRY POINTS: \$10,000, \$30,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J043 BRANCHPOINT: GO TO J073 BRANCHPOINT

J043

[IWER: PROBE IF NECESSARY]  
Was that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J044	8. DK	9. RF	

J045 BRANCHPOINT: GO TO J073 BRANCHPOINT

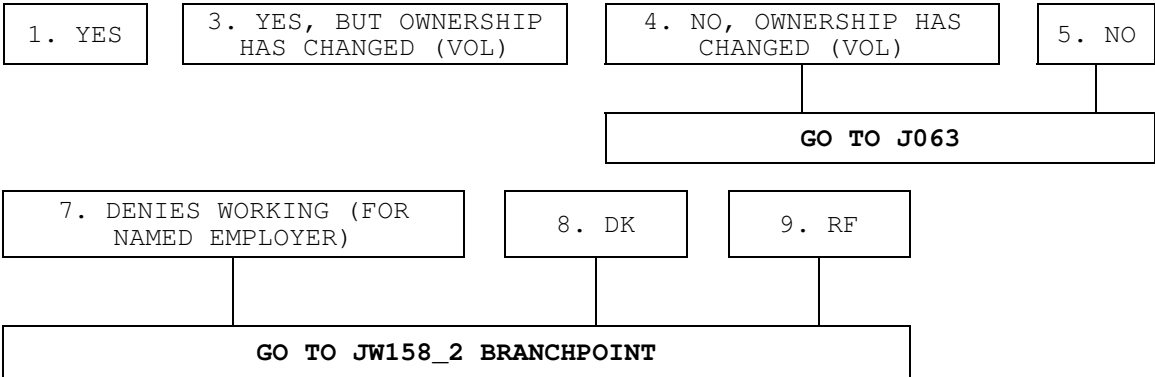
J045

According to our records, in R's LAST IW MONTH, YEAR you were [working for R's LAST IW EMPLOYER NAME (per Z091)/also working for someone else].

Are you still working there?

[IWER: IF NO PREVIOUS WAVE EMPLOYER NAME APPEARS AND R HAD MORE THAN ONE JOB, WE ARE INTERESTED IN RS MAIN JOB.]

[IWER: IF THERE HAS BEEN MERGER AND ACQUISITION USE CODE 3 OR 4]



BEGINNING OF **EMPLOYER INFORMATION BLOCK-1:**

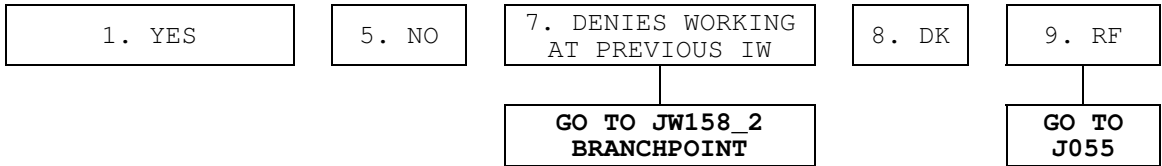
(JW168\_1 — JW165\_1)

THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (JW168\_1 THROUGH JW165\_1) IS REPLICATED FURTHER ON IN SECTION J (JW158\_2 - JW165\_2) AND ALSO IN SECTION K (KW158\_3 - KW165\_3) AND SECTION L (LW158\_4 - LW165\_4) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK. QUESTION NUMBERS WITHIN THE EMPLOYER NAME BLOCK ARE W158 - W165, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY "\_1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE. QUESTION JW168 OCCURS ONLY IN THIS FIRST OCCURRENCE OF THE EMPLOYER INFORMATION BLOCK, BELOW.

JW168\_1 BRANCHPOINT: IF WE DO NOT KNOW EMPLOYER NAME FROM R'S LAST IW (Z091=EMPTY), GO TO JW158\_1

JW168\_1

Is the correct name of your employer R'S LAST IW EMPLOYER NAME?



JW158\_1 BRANCHPOINT: IF R SAID NAME OF LAST IW'S EMPLOYER {IS INCORRECT or DON'T KNOW} (JW168\_1={5 or 8}), CONTINUE ON TO J158\_1

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J731>0), GO TO J055

NOTE: QUESTIONS J158\_1 THROUGH J165\_1 ARE ALWAYS ASKED OF REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R'S LAST IW (J045={1 or 3}), BUT FOR WHOM WE DO NOT KNOW THE EMPLOYER'S NAME (Z091=EMPTY), OR IF S/HE REPORTS THAT THE NAME WE HAVE FOR HIS/HER LAST IW EMPLOYER IS INCORRECT OR S/HE DOESN'T KNOW THE NAME (JW168\_1={5 or 8}).

IN ADDITION, ALL OTHER REINTERVIEW R'S WHO WORK FOR THE SAME EMPLOYER AS AT R'S LAST IW WILL BE ASKED THIS SERIES IN 2010 AND EVERY 3<sup>RD</sup> WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2004. **THESE Rs WILL NOT BE ASKED IN 2008.**

JW158\_1

IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [now/on your main job]?  
What is the (company's/organization's) name?

\_\_\_\_\_

COMPANY NAME

DK	RF
GO TO J055	

JW159\_1

IF R WORKS FOR SAME EMPLOYER AS R'S LAST IW (J045={1 or 3}) and WE KNOW EMPLOYER NAME FROM LAST IW (Z091 NOT EMPTY):  
 We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full address of your employer.

ASK ALL Rs:

What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]

I will need the mailing address, city, state, and zip code.

	DK	RF
ADDRESS 1	GO TO JW161_1	GO TO J055

	DK	RF
JW160_1 ADDRESS 2		

	DK	RF
JW161_1 CITY		GO TO J055

	97. OTHER (SPECIFY) _____ JW163_1 OTHER COUNTRY	98. DK	99. RF
JW162_1 STATE			GO TO J055

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

	DK	RF
JW164_1 ZIP		

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

DK

RF

JW165\_1  
PHONE NUMBER

END OF **EMPLOYER INFORMATION BLOCK-1:**

(JW168\_1 — JW165\_1)

J055

Were there any months since [*CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR*] when you did not work for [*R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J045)/that employer*]?

1. YES

5. NO

8. DK

9. RF

GO TO J058 BRANCHPOINT



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J056

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2006	MONTHS IN 2007	MONTHS IN 2008	OTHER YEARS
(1) JAN 06	(14) JAN 07	(27) JAN 08	(41) ALL OF 2006 (AFTER PREV IW MO)
(2) FEB 06	(15) FEB 07	(28) FEB 08	(42) ALL OF 2005 (AFTER PREV IW MO)
(3) MAR 06	(16) MAR 07	(29) MAR 08	(43) ALL OF 2005
(4) APR 06	(17) APR 07	(30) APR 08	(44) ALL OF 2004 (AFTER PREV IW MO)
(5) MAY 06	(18) MAY 07	(31) MAY 08	(45) ALL OF 2004
(6) JUN 06	(19) JUN 07	(32) JUN 08	(46) ALL OF 2003 (AFTER PREV IW MO)
(7) JUL 06	(20) JUL 07	(33) JUL 08	(47) ALL OF 2003
(8) AUG 06	(21) AUG 07	(34) AUG 08	(48) ALL OF 2002 (AFTER PREV IW MO)
(9) SEP 06	(22) SEP 07	(35) SEP 08	(49) ALL OF 2002
(10) OCT 06	(23) OCT 07	(36) OCT 08	(50) ALL OF 2001 (AFTER PREV IW MO)
(11) NOV 06	(24) NOV 07	(37) NOV 08	(51) ALL OF 2001
(12) DEC 06	(25) DEC 07	(38) DEC 08	(52) ALL OF 2000 (AFTER PREV IW MO)
(13) ALL OF 2006	(26) ALL OF 2007	(39) ALL OF 2008 TO DATE	(53) ALL OF 2000
		(40) ALL OF 2008	(54) ALL OF 1999 (AFTER PREV IW MO)
			(55) ALL OF 1999
			(56) ALL OF 1998 (AFTER PREV IW MO)
			(57) ALL OF 1998
			(58) ALL OF 1997 (AFTER PREV IW MO)
			(59) ALL OF 1997
			(60) ALL OF 1996 (AFTER PREV IW MO)
			(61) ALL OF 1996
			(62) ALL OF 1995 (AFTER PREV IW MO)
			(63) ALL OF 1995
			(64) ALL OF 1994 (AFTER PREV IW MO)
			(65) ALL OF 1994
			(66) ALL OF 1993 (AFTER PREV IW MO)
			(67) ALL OF 1993
			(68) ALL OF 1992 (AFTER PREV IW MO)
			(69) OTHER (SPECIFY) [J057]
			(98) DK
			(99) RF

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NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

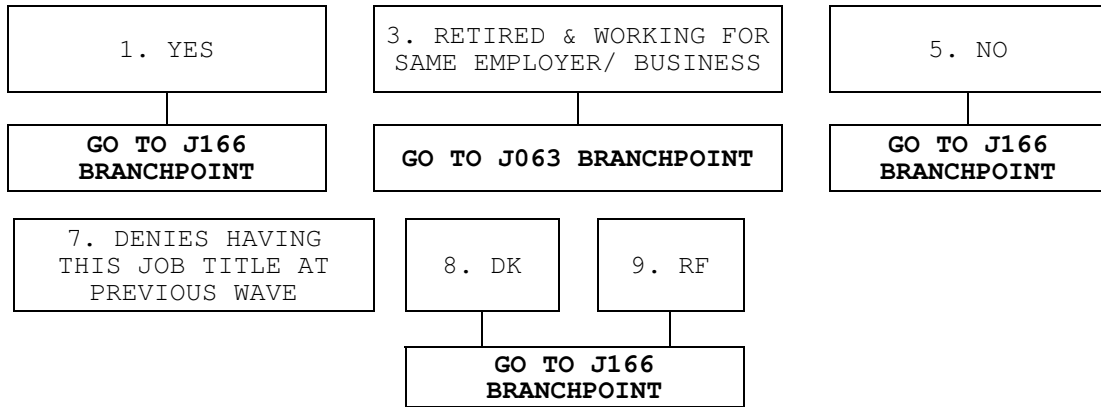
J058 BRANCHPOINT: IF WE DO NOT HAVE JOB TITLE FROM R's LAST IW (Z128=EMPTY), GO TO J166 BRANCHPOINT

J058

In R's LAST IW MONTH, YEAR our records indicate that your job title was R's LAST IW TITLE (per Z128).

Is this still the case?

[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R's CURRENT JOB, ANSWER 'YES' HERE AND NOTE CORRECTIONS AS A COMMENT.]



J059

What was your job title in [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR]?

[IWER: PROBE:]

The title that your employer used?

\_\_\_\_\_

TITLE

DK  RF

J060

What sort of work did you do?

[IWER: PROBE:]

Tell me a little more about what you did.

\_\_\_\_\_  DK  RF

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

J061

What is your job title now?

[IWER: PROBE:]

The title that your employer uses?

\_\_\_\_\_  DK  RF

TITLE

J062

What sort of work do you do now?

[IWER: PROBE:]

Tell me a little more about what you do.

\_\_\_\_\_  DK  RF

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

J063 BRANCHPOINT: IF R DENIES HAVING PREVIOUSLY LISTED JOB TITLE AT R's LAST  
IW (J058=7), GO TO J169

IF R REPORTED {IS RETIRED AND WORKING FOR SAME EMPLOYER}  
(J058=3) and GAVE YEAR S/HE RETIRED AT J018, GO TO J067  
BRANCHPOINT

J063

IF R {WAS WORKING IN LAST IW AND NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS}} (J058=3) and YEAR RETIRED WAS NOT REPORTED (J018 NOT ASKED):

In what month and year did you retire?

IF R IS NO LONGER WORKING FOR R's LAST IW EMPLOYER (J045={4 or 5}):

In what month and year did you stop working there?

IF NAME OF R's LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):

According to our records, you were working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.

In what month and year did you stop working there?

OTHERWISE:

According to our records, you were working for someone else when we last talked to you in R's LAST IW MONTH, YEAR.

In what month and year did you stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

J063

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN
7. JUL	8. AUG	9. SEP	10. OCT	11. NOV	12. DEC
92. DENY WORKING FOR SELF		93. STILL WORKING FOR SELF AS SECOND JOB		94. STILL WORKING FOR SELF (AS PRIMARY JOB)	
GO TO JW158_2 BRANCHPOINT		GO TO JW158_2 BRANCHPOINT		GO BACK TO J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (J021=2)	
96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER		97. DENIES WORKING FOR SOMEONE ELSE, OR FOR NAMED EMPLOYER, AT LAST INTERVIEW		98. DK	99. RF
GO TO J067 BRANCHPOINT		GO TO JW158_2 BRANCHPOINT			

NOTE: WHEN R REPORTED EARLIER THAT WAS RETIRED AND WORKING FOR THE SAME EMPLOYER (J058=3), CODE "96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER" DOES NOT APPEAR IN THIS CODEFRAME.

_____	DK	RF
-------	----	----

J064

YEAR

J067 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2) *and* SAID THAT IS STILL WORKING FOR PREVIOUS WAVE EMPLOYER (J063=96), GO BACK TO J021 AND CHANGE RESPONSE TO SOMEONE ELSE (J021=1)

IF R IS SELF-EMPLOYED (J021=2) *and* SAID THAT IS STILL WORKING FOR SELF AS PRIMARY JOB (J063=94), GO TO J166 BRANCHPOINT

IF R SAID STILL WORKING FOR LAST IW EMPLOYER (J063=96) *and* DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3) *and* R WAS ASKED J045 (J045 NOT EMPTY), GO BACK TO J045 AND CHANGE RESPONSE TO YES (J045=1)

J067

IF R WAS WORKING IN LAST IW (Z123=1) *and* NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (J058=3)}:

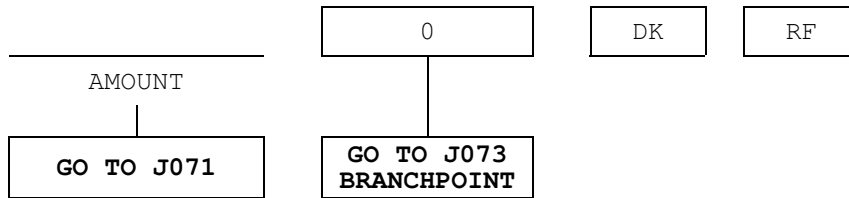
What were you earning, before deductions, when you retired?

OTHERWISE:

What were you earning, before deductions, when you left that employer?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]



J068-J070 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$200, **\$800**, **\$1,500**, \$3,000

RANDOM ENTRY POINTS: \$800, \$1,500

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J071 BRANCHPOINT: GO TO J073 BRANCHPOINT

J071

[IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF

J072

J073 BRANCHPOINT: IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3), GO TO J083 BRANCHPOINT

J073

IF NOT SELF-EMPLOYED AT LAST IW (Z136=1):

Why did you leave that employer?

(Did the business close, were you laid off or let go, did you have poor health or a disability, did you leave to take care of family members, did you quit, did you retire, did ownership of your employment change, did your pension rules change, or what?)

IF SELF-EMPLOYED AT LAST IW (Z136=2):

Why did you stop working at that business?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. BUSINESS CLOSED	2. LAID OFF/LET GO	3. POOR HEALTH/DISABLED	4. FAMILY CARE	5. BETTER JOB
GO TO J080 BRANCHPOINT	GO TO J079			
6. QUIT	7. RETIRED	9. OWNERSHIP CHANGED	10. PENSION RULES CHANGED	
97. OTHER (SPECIFY) _____	98. DK	99. RF		

J074

J075 BRANCHPOINT: IF R WAS SELF-EMPLOYED AT R'S LAST IW (Z136=2), GO TO J080 BRANCHPOINT

J075

Did your employment situation change in some way that encouraged you to leave?

1. YES	5. NO	8. DK	9. RF
GO TO J078			

J076

How did it change?

[IWER: CHOOSE ALL THAT APPLY]

1. SUPERVISOR ENCOURAGED DEPARTURE	2. COWORKER ENCOURAGED DEPARTURE	3. WAGES REDUCED (OR ABOUT TO BE REDUCED)	4. HOURS REDUCED (OR ABOUT TO BE REDUCED)
			GO TO J079
5. WOULD HAVE BEEN LAID OFF	6. NEW JOB DUTIES	7. NEW JOB LOCATION	8. BECAME ELIGIBLE FOR PENSION
9. EMPLOYER CHANGED HEALTH INSURANCE		10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER	
97. OTHER (SPECIFY) _____	98. DK	99. RF	
J077			

J078

If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J079

Did your employer experience a permanent reduction in employment between [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] and the time you were leaving?

[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED 'DOWNSIZING'; 'LAYOFFS' CAN BE PERMANENT OR TEMPORARY.]

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J080 BRANCHPOINT: IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} {ONE MONTH OR LESS} AFTER R's LAST IW (per {J063&J064 or J023&J024 or J017&J018}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} ({J063 or J064}={DK or RF} or {{J023 or J024} or {J017 or J018}}={DK or RF}), GO TO J084 BRANCHPOINT

J080

Were there any months between *R's LAST IW MONTH, YEAR* and *MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]* when you did not work [at this business/for this employer]?

1. YES	5. NO	8. DK	9. RF
GO TO J083 BRANCHPOINT			



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J081

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2006	MONTHS IN 2007	MONTHS IN 2008	OTHER YEARS
(1) JAN 06	(14) JAN 07	(27) JAN 08	(41) ALL OF 2006 (AFTER PREV IW MO)
(2) FEB 06	(15) FEB 07	(28) FEB 08	(42) ALL OF 2005 (AFTER PREV IW MO)
(3) MAR 06	(16) MAR 07	(29) MAR 08	(43) ALL OF 2005
(4) APR 06	(17) APR 07	(30) APR 08	(44) ALL OF 2004 (AFTER PREV IW MO)
(5) MAY 06	(18) MAY 07	(31) MAY 08	(45) ALL OF 2004
(6) JUN 06	(19) JUN 07	(32) JUN 08	(46) ALL OF 2003 (AFTER PREV IW MO)
(7) JUL 06	(20) JUL 07	(33) JUL 08	(47) ALL OF 2003
(8) AUG 06	(21) AUG 07	(34) AUG 08	(48) ALL OF 2002 (AFTER PREV IW MO)
(9) SEP 06	(22) SEP 07	(35) SEP 08	(49) ALL OF 2002
(10) OCT 06	(23) OCT 07	(36) OCT 08	(50) ALL OF 2001 (AFTER PREV IW MO)
(11) NOV 06	(24) NOV 07	(37) NOV 08	(51) ALL OF 2001
(12) DEC 06	(25) DEC 07	(38) DEC 08	(52) ALL OF 2000 (AFTER PREV IW MO)
(13) ALL OF 2006	(26) ALL OF 2007	(39) ALL OF 2008 TO DATE	(53) ALL OF 2000
		(40) ALL OF 2008	(54) ALL OF 1999 (AFTER PREV IW MO)
			(55) ALL OF 1999
			(56) ALL OF 1998 (AFTER PREV IW MO)
			(57) ALL OF 1998
			(58) ALL OF 1997 (AFTER PREV IW MO)
			(59) ALL OF 1997
			(60) ALL OF 1996 (AFTER PREV IW MO)
			(61) ALL OF 1996
			(62) ALL OF 1995 (AFTER PREV IW MO)
			(63) ALL OF 1995
			(64) ALL OF 1994 (AFTER PREV IW MO)
			(65) ALL OF 1994
			(66) ALL OF 1993 (AFTER PREV IW MO)
			(67) ALL OF 1993
			(68) ALL OF 1992 (AFTER PREV IW MO)
			(69) OTHER (SPECIFY) [J082]
			(98) DK
			(99) RF

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J083 BRANCHPOINT: IF R DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3) *and* DID NOT REPORT {NOT WORKING FOR SAME EMPLOYER- OWNERSHIP HAS CHANGED} (J045 NOT 4), GO TO J084 BRANCHPOINT

J083

Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?

1. YES	5. NO	8. DK	9. RF
GO TO JW158_2 BRANCHPOINT		GO TO JW158_2 BRANCHPOINT	

J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R's LAST IW (Z133=1), ASSIGN 1 TO J084 AND GO TO J085

J084

IF NOT SELF-EMPLOYED AT R's LAST IW (Z136 NOT 2):

Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for [R's LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:

Aside from IRAs not sponsored by your business or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

[IF R MENTIONS IRA OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:]

I will ask about these later in the interview. Here, I just want to find out about other plans operated through your previous [business/employer].

1. YES	5. NO	8. DK	9. RF
GO TO JW158_2 BRANCHPOINT			

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J085

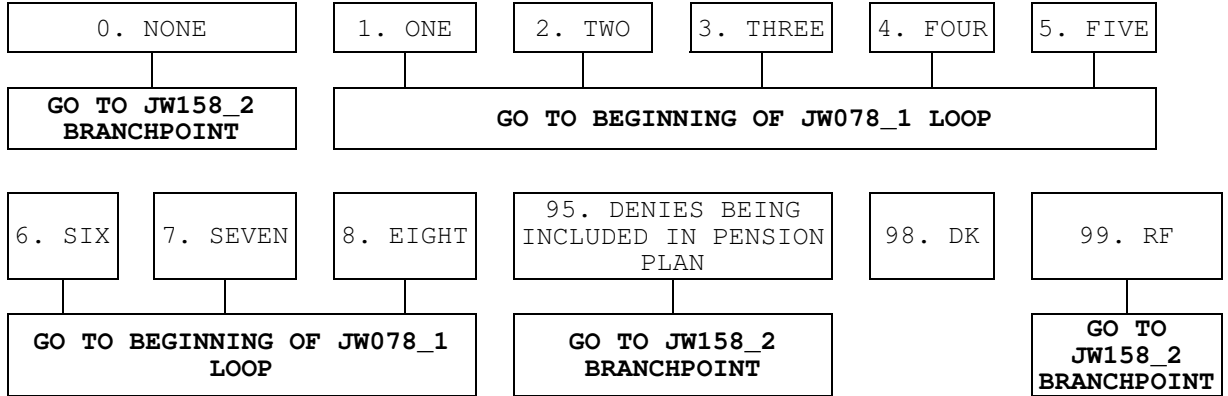
IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):  
According to our records, you reported that you were included in one or more pension plans on this job.  
In how many different pension plans were you included on this job?

[IWER: ENTER '95' IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:

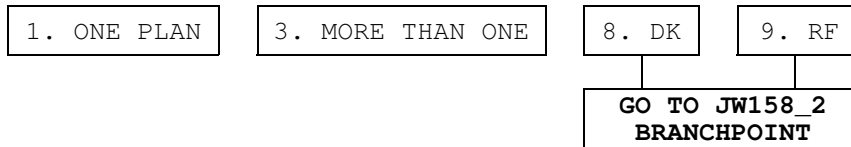
In how many different pension plans were you included on this job?

1 - 8 PLANS



J086

Is this just one plan or more than one?



NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISES THE PENSION BLOCK.  
(JW078\_1 - JW077\_1) IS REPLICATED IN SECTION K AND TWICE IN SECTION L AND  
IS KNOWN AS THE SECTION J PENSION BLOCK.

**BEGINNING OF PENSION BLOCK-1:**

(JW078\_1 — JW077\_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W00x1 - W077, PRECEDED BY THE  
SECTION NAME, J, AND FOLLOWED BY "\_1" WHICH INDICATES THAT THIS IS THE  
FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE.

\*\*\*BEGINNING OF JW078\_1 LOOP: QUESTIONS JW078\_1 THROUGH JW077\_1 ARE REPEATED  
FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT J085  
(J085={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT J086 (J086={1  
or 3}).\*\*\*

JW078\_1

IF THIS IS THE FIRST PLAN and R HAD MORE THAN ONE PLAN (J085 ≥2 or  
J086=3):

Now I have some questions about the most important of these plans.

What was the name of this plan?

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (J085 ≥2) or R HAD MORE  
THAT ONE PLAN FOR (J086=3):

Now I have some questions about the next most important of these plans.

What was the name of this plan?

IF R HAD ONLY ONE PLAN ({J085 or J086}=1):

What was the name of this plan?

\_\_\_\_\_

DK

RF

NAME OF PLAN

JW082\_1

Was it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?

(What did your former employer call it?)

1. 401K  
PLAN

2. SUPPLEMENTAL  
RETIREMENT ACCOUNT  
(SRA)

3. DEFINED  
BENEFIT  
PLAN

4. DEFINED  
CONTRIBUTION  
PLAN

5. 401A

6. 403B  
PLAN

7. 457  
PLAN

8. THRIFT/SAVINGS  
PLAN (TSP)

9. PROFIT-  
SHARING PLAN

10. EMPLOYEE STOCK  
OWNERSHIP PLAN  
(ESOP)

11. MONEY  
PURCHASE PLAN

12. PORTABLE CASH  
OPTION PLAN

13. EMPLOYEE STOCK  
PURCHASE PLAN  
(ESPP)

14. SEP OR  
SIMPLE PLAN

15. COMBINATION  
PLAN

16. CASH BALANCE  
PLAN

97. OTHER  
(SPECIFY)  
  
JW083\_1

98. DK

99. RF

JW001\_1

(Could you tell me a little more about this plan?)

I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY: In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

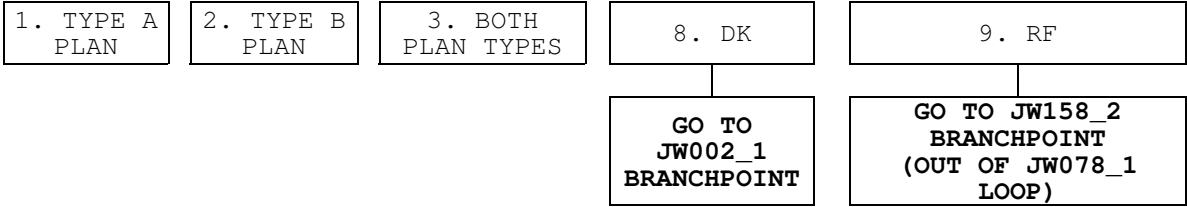
NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER'S DISCRETION.

ASK ALL Rs:

Was your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS 'BOTH'.]

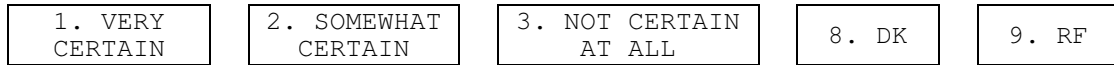
DEFINITION: 401-K, 403-B, 457, ESOP, SRA, (TSP) THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, SEP/SIMPLE, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS. TYPE A PLANS ARE OFTEN CALLED 'DEFINED BENEFIT' PLANS. TYPE B PLANS ARE OFTEN CALLED 'DEFINED CONTRIBUTION'.



JW085\_1

Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you had on that job.

Are you very certain, somewhat certain or not certain at all that you actually had (a) [TYPE OF PLAN per JW001\_1]?

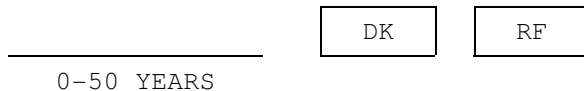


JW034\_1

How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]



JW002\_1 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (JW082\_1=3 and JW001\_1=1), GO TO JW097\_1

JW002\_1

IF TYPE B PLAN:

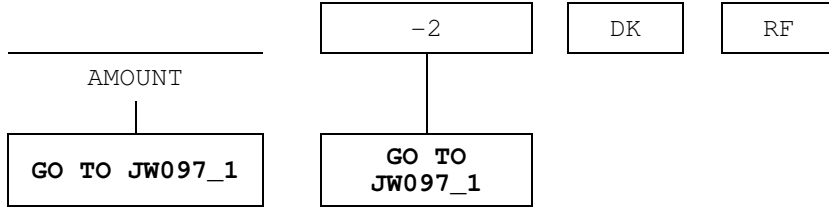
How much money was in your account when you left that  
[business/employer]?

OTHERWISE:

For the part of your pension where money is accumulated in an account,  
how much money was in your account when you left that  
[business/employer]?

[IWER: IF R SAYS 'DOES NOT HAVE AN ACCOUNT', ENTER [-2]]

[IWER: DO NOT PROBE DK/RF]



JW003\_1 - JW005\_1 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than  
\$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, **\$20,000**, **\$50,000**, \$150,000

RANDOM ENTRY POINTS: \$20,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW097\_1

IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3):  
When you retired, what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

OTHERWISE:

When you left that [business/employer], what did you do with that plan?

(Are you receiving benefits in the form of regular payments now, did you withdraw all or part of the money, roll it over into an IRA, leave it in the old plan, did you convert it to or purchase an annuity, did you transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments or irregular payments, or what?)

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

8. RECEIVING BENEFITS NOW	1. WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM	2. ROLLED OVER INTO IRA	3. LEFT IN THE PLAN
4. CONVERT TO/PURCHASED ANNUITY	5. TRANSFERRED TO NEW EMPLOYER	6. LOST BENEFITS	
7. RECEIVED/RECEIVING INSTALLMENTS/TOOK IRREGULAR WITHDRAWALS	97. OTHER (SPECIFY) _____ JW007_1	98. DK	99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.



JW088\_1 BRANCHPOINT: IF R DID NOT LEAVE MONEY IN THE PLAN (JW097\_1 NOT 3), GO TO JW065\_1 BRANCHPOINT

JW088\_1

IF MORE THAN ONE OPTION WAS SELECTED IN JW097\_1:  
For the part of the money that you left in the plan,

ALL Rs:

[Do/do] you expect to receive benefits from this plan in the form of regular monthly or annual payments at some time in the future?

1. YES

5. NO

8. DK

9. RF

JW065\_1 BRANCHPOINT: IF R {WITHDREW THE MONEY/RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS} (JW097\_1={1 or 6}), GO TO JW008\_1 BRANCHPOINT

JW065\_1

Were you allowed to receive benefits as a cash settlement or a lump-sum payment?

1. YES

5. NO

8. DK

9. RF

JW008\_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT IN PENSION PLAN (JW097\_1 NOT 3), GO TO JW063\_1 BRANCHPOINT

JW008\_1

How much money did you leave in the old plan?

\_\_\_\_\_

AMOUNT

DK

RF

JW063\_1 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} {INSTALLMENTS OR IRREGULAR PAYMENTS} (JW097\_1 NOT 7), GO TO JW009\_1 BRANCHPOINT

JW063\_1

How much money in total did you receive in installments or irregular payments in the last calendar year?

\_\_\_\_\_

AMOUNT

DK

RF

JW009\_1 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE A/DEFINED BENEFIT PLAN (JW082\_1=3 and JW001\_1=1), GO TO JW013\_1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION PLAN (JW097\_1 NOT 3) or R REPORTED DOES NOT HAVE ACCOUNT (JW002\_1=-2), GO TO JW013\_1 BRANCHPOINT

JW009\_1

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK

RF

**GO TO JW013\_1  
BRANCHPOINT**

JW010\_1 - JW012\_1 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$10,000, **\$20,000**, **\$50,000**, \$150,000  
 RANDOM ENTRY POINTS: \$20,000, \$50,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW013\_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (JW097\_1 NOT 4) and DID NOT REPORT RECEIVING BENEFITS NOW (JW097\_1 NOT 8), GO TO JW018\_1 BRANCHPOINT

IF R REPORTED RECEIVING BENEFITS NOW (JW097\_1=8) and DID NOT REPORT THAT MONEY IN PENSION PLAN WAS CONVERTED TO/PURCHASED AN ANNUITY (JW097\_1 NOT 4), GO TO JW014\_1

JW013\_1

How much did you (convert to/purchase as) an annuity?

\_\_\_\_\_

AMOUNT

JW014\_1

How old were you when you started receiving benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

50-80 AGE

\_\_\_\_\_

AGE

JW086\_1

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

\_\_\_\_\_  
1-12 NUMBER OF  
MONTHS

JW015\_1

How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

\_\_\_\_\_

AMOUNT

JW016\_1

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

\_\_\_\_\_

JW028\_1

Are the benefits adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
GO TO JW049_1			

JW059\_1

Are the benefits adjusted automatically?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

JW049\_1

IF R IS MARRIED/PARTNERED (X065={1 or 3}):

Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES	2. AS LONG AS R OR SPOUSE/PARTNER LIVES	3. FOR A FIXED TIME PERIOD
GO TO JW054_1 BRANCHPOINT		

7. OTHER (SPECIFY) _____ JW050_1	8. DK	9. RF
GO TO JW054_1 BRANCHPOINT		

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW051\_1

What is that time period?

_____	OR	_____	OR	_____	DK	RF
JW051_1 UNTIL YEAR		JW052_1 UNTIL AGE		JW053_1 YEARS		

JW054\_1 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),  
GO TO JW018\_1 BRANCHPOINT

JW054\_1

If you or your [husband/wife/partner] should die, will the pension  
payments change, stay the same, or what?

1. CHANGE

3. STAY THE  
SAME

7. OTHER (SPECIFY)

8. DK

9. RF

JW055\_1

JW018\_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION PLAN WAS ROLLED  
OVER INTO AN IRA (JW097\_1 NOT 2), GO TO JW019\_1 BRANCHPOINT

JW018\_1

How much did the rollover amount to?

\_\_\_\_\_

DK

RF

AMOUNT

JW019\_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS  
TRANSFERRED TO NEW EMPLOYER (JW097\_1 NOT 5), GO TO JW056\_1  
BRANCHPOINT

JW019\_1

How much did the transfer amount to?

\_\_\_\_\_

DK

RF

AMOUNT

JW056\_1 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY/RECEIVED CASH  
SETTLEMENT/LUMP-SUM (JW097\_1 NOT 1), GO TO JW035\_1  
BRANCHPOINT

JW056\_1

How much money did you withdraw or how much was the lump sum or cash  
settlement you received?

\_\_\_\_\_

DK

RF

AMOUNT

JW057\_1

What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____	8. DK	9. RF

JW058\_1

JW035\_1 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM PENSION (JW088\_1 NOT 1) or THIS IS A LIVING PROXY INTERVIEW (A009={2 or 3}), GO TO JW042\_1

IF R REPORTED RECEIVING BENEFITS NOW (JW097\_1=8), GO TO JW096\_1 BRANCHPOINT

JW035\_1

At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

_____	96 ALREADY RECEIVING BENEFITS	DK	RF
AGE	GO BACK TO JW097_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (JW097_1=8)	GO TO JW096_1 BRANCHPOINT	

JW098\_1

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

\_\_\_\_\_  
1-12 NUMBER OF MONTHS

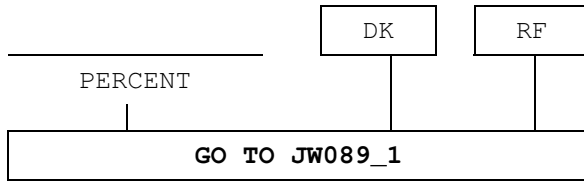
JW036\_1

About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY	2. AMOUNT PER MONTH/YEAR	3. LUMP SUM	8. DK	9. RF
	GO TO JW038_1	GO TO JW041_1	GO TO JW096_1 BRANCHPOINT	

JW037\_1

[IWER: ENTER PERCENT OF FINAL PAY]

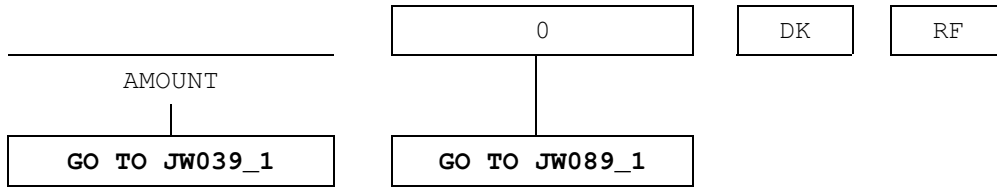


JW038\_1

[IWER: PROBE IF NECESSARY]

How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]



J133\_1 - J135\_1 Unfolding Sequence

Question text: Do you expect a total of less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$500, **\$1,000**, **\$2,000**, \$3,000

RANDOM ENTRY POINTS: \$1,000, \$2,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

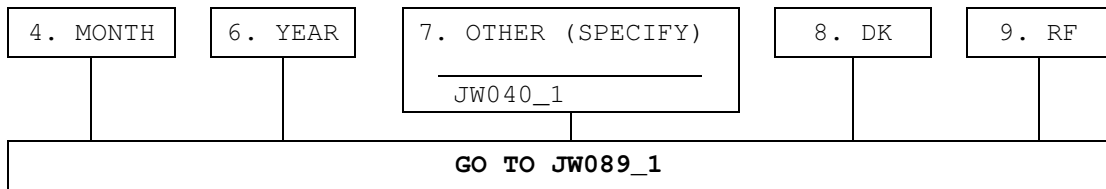
JW039\_1 BRANCHPOINT: GO TO JW089\_1

JW039\_1

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:



JW041\_1

[IWER: ENTER LUMP SUM AMOUNT]

\_\_\_\_\_

AMOUNT

JW089\_1 BRANCHPOINT: GO TO JW042\_1

JW089\_1

IF R IS MARRIED/PARTNERED (X065={1 or 3}):  
 Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:  
 Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES	2. AS LONG AS R OR SPOUSE/PARTNER LIVES	3. FOR A FIXED TIME PERIOD
<b>GO TO JW094_1 BRANCHPOINT</b>		

7. OTHER (SPECIFY) _____ JW090_1	8. DK	9. RF
<b>GO TO JW094_1 BRANCHPOINT</b>		

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW091\_1

What is that time period?

\_\_\_\_\_ OR \_\_\_\_\_ OR \_\_\_\_\_

JW091\_1 UNTIL YEAR      JW092\_1 UNTIL AGE      JW093\_1 YEARS

JW094\_1 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}),  
 GO TO JW096\_1 BRANCHPOINT



JW094\_1

If you or your [husband/wife/partner] should die, will the pension payment change, stay the same, or what?

1. CHANGE	3. STAY THE SAME	7. OTHER (SPECIFY) _____ JW095_1	8. DK	9. RF
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JW096\_1 BRANCHPOINT: IF R REPORTED {EXPECTS FUTURE BENEFITS FROM PENSION or CURRENTLY RECEIVING BENEFITS} and LEFT MONEY IN THE PLAN ({JW088\_1=1 or JW097\_1=8} and JW097\_1=3), CONTINUE OTHERWISE, GO TO JW042\_1

JW096\_1

IF R EXPECTS TO RECEIVE FUTURE BENEFITS (JW088\_1=1):  
You mentioned that you left money in this plan and you expect future benefits from this plan. Is the money you reported leaving in the plan the same as the money that will finance your future benefits?

IF R IS CURRENTLY RECEIVING BENEFITS (JW097\_1=8):  
You mentioned that you left money in this plan and you are currently receiving benefits from this plan. Is the money you reported leaving in the plan the same as the money financing the benefits you are drawing from?

1. NO, DIFFERENT MONEY	2. YES, THE SAME MONEY	3. YES, PARTLY THE SAME	8. DK	9. RF
------------------------	------------------------	-------------------------	-------	-------

JW042\_1

What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30-80 AGE

_____ AGE	95 NO AGE REQUIREMENT	DK	RF
	GO TO END OF JW078_1 LOOP		

JW077\_1

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF  
MONTHS

\*\*\*END OF JW078\_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS JW078\_1 THROUGH JW077\_1) FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J085 (J085={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J086 (J086=3). IF NOT, CONTINUE ON TO JW158\_2 BRANCHPOINT.\*\*\*

END OF PENSION BLOCK-1:

(JW078\_1 — JW077\_1)

JW158\_2 BRANCHPOINT: IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3), GO TO J166 BRANCHPOINT

IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) and DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J434 LOOP BRANCHPOINT

IF R DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J434 LOOP BRANCHPOINT

IF IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J166 BRANCHPOINT

BEGINNING OF EMPLOYER INFORMATION BLOCK-2:

(JW158\_2 — JW165\_2)

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (JW158\_2 THROUGH J165\_2) IS A REPLICATE OF AN EARLIER SERIES IN SECTION J (JW158\_1 -J053) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

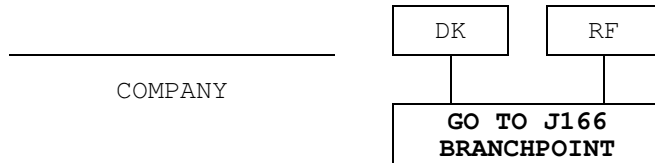
JW158\_2

IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [now/on your main job]?

What is the (company's/organization's) name?



JW159\_2

What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]

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I will need the mailing address, city, state, and zip code.

	DK	RF
ADDRESS 1	GO TO J161_2	GO TO J166 BRANCHPOINT
	DK	RF
JW160_2 ADDRESS		
	DK	RF
JW161_2 CITY		GO TO J166 BRANCHPOINT
	97. OTHER (SPECIFY) <hr style="width: 100px; margin: 5px 0;"/> JW163_2 OTHER COUNTRY	98. DK
JW162_2 STATE		99. RF
		GO TO J166 BRANCHPOINT

*NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.*

	DK	RF
JW164_2 ZIP		

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

	DK	RF
JW165_2 PHONE NUMBER		

END OF **EMPLOYER INFORMATION BLOCK-2:**  
(JW158\_2 — JW165\_2)

J166 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J166

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J167 BRANCHPOINT

*NOTE: QUESTIONS J166 THROUGH J170 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER SINCE R's LAST IW, OR FOR WHOM IT IS UNCERTAIN, (J045 NOT {1 or 3}) IS ASKED THIS SERIES, WHILE ONE WHO*

CHANGED A JOB TITLE, OR IT IS UNCERTAIN, (J058 {NOT 1 or NOT 3}) IS ASKED ALL BUT J166.  
IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW, **WILL BE ASKED THIS SERIES IN 2008** AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2002.

J166

IF NOT SELF-EMPLOYED (J021 NOT 2):

What kind of business or industry do you work in — that is, what do they make or do at the place where you work?

IF SELF-EMPLOYED (J021=2):

Now I have a few questions about your current business.

What industry do you work in? That is, what does your company do or make?

(What industry do you typically work in?)

\_\_\_\_\_  DK  RF

INDUSTRY

J167 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J168

IF R {DID NOT REPORT THAT HAS SAME JOB TITLE *and* DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 *and* NOT 3}), CONTINUE ON TO J167

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J172

J167

What is the official title of your job?

(The title that your employer uses.)

\_\_\_\_\_  DK  RF

TITLE

J168

What sort of work do you do?

(Tell me a little more about what you do.)

\_\_\_\_\_

\_\_\_\_\_

TYPE OF WORK

DK

RF

J169

In what month and year did you start doing this kind of work, including work for previous employers?

[IWER: MONTH ENTERED MUST BE BEFORE OR IN *CURRENT IW MONTH, YEAR*]

J169

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

DK

RF

J170

YEAR

J172

How many hours a week do you usually work [on this job/in this business]?

\_\_\_\_\_

HOURS

DK

RF

**GO TO J176**

J173 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J179

J173

Do you work [the same/this] number of hours nearly every week, or do the hours you work vary a lot from week to week?

IF VARIATION IS DUE TO NOT WORKING AT ALL IN SOME WEEKS, PROBE:  
These questions are about times when you are working.

1. SAME EACH WEEK/THIS NUMBER	2. VARY A LOT	8. DK	9. RF
<b>GO TO J176</b>		<b>GO TO J176</b>	

J174

How many hours would you work in a week with relatively long hours?

\_\_\_\_\_

HOURS

DK

RF

J175 How many hours in a relatively short week?

\_\_\_\_\_

HOURS

DK

RF

J176 How many weeks of paid vacation do you get each year?

\_\_\_\_\_

AMOUNT

0

DK

RF

**ASSIGN 6 TO J177  
AND GO TO J179**

**GO TO J179**

J177 PER TIME UNIT:

1. DAYS

2. WEEKS

4. MONTHS

6. NONE

7. OTHER (SPECIFY)  
\_\_\_\_\_  
J178

8. DK

9. RF

J179 READ SLOWLY:

IF R {HAD ZERO WEEKS OF VACATION and WAS ASKED} (J167={0 and NOT EMPTY}):  
How many weeks a year do you usually work on this job?

OTHERWISE:  
Counting paid vacations as weeks of work, how many weeks a year do you usually work on this job?

[IWER: COUNT PAID SICK TIME AS WORK TIME]

[IWER: THIS REFERS TO USUAL WEEKS OF WORK ON R'S CURRENT MAIN JOB]

\_\_\_\_\_

WEEKS

DK

RF

J180 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J183 BRANCHPOINT

**NOTE: J703, MARGINAL EMPLOYMENT IN THE CURRENT AND 2006 WAVES, IS A SUMMARY VARIABLE COMPOSED OF THE FOLLOWING CONDITIONS:**

- (a) IN CURRENT IW R REPORTED THAT WORKED FOR {{10 HOURS OR LESS} (J172={0-10} and {20 WEEKS OR LESS} (J179={0-20})); and  
(b) Z206=1, WHICH IS THE FOLLOWING: IN R'S 2006 IW {R REPORTED {NOT WORKING (J020/Z123=5) or {WORKED {{10 HOURS OR LESS} (2006-J172={0-10}) and {20 WEEKS OR LESS} (2006-J179={0-20})}}}}.

**J703=1** MEANS THAT THE VARIABLE CONDITIONS ARE TRUE.

J180

In the last 12 months, did you miss any days from work because of your health?

1. YES	5. NO	8. DK	9. RF
GO TO J183 BRANCHPOINT			

J181

About how many days did you miss?

\_\_\_\_\_

DAYS

DK	RF
----	----

J183 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J187

IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J205

IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R {DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J183

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J205

NOTE: QUESTIONS J183 THROUGH J186 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R's LAST IW, OR FOR WHOM IT IS UNCERTAIN, ({J045 NOT {1 or 3}} or {J058 {NOT 1 and NOT 3}}) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW **WILL BE ASKED THIS SERIES IN 2008** AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2000.

J183

How many days of paid sick leave at full pay do you earn each year?

\_\_\_\_\_

DAYS

J184

Does your employer provide coverage for long-term disability on this job?

J185

On your job, do you make decisions about the pay and promotion of others?

J186

For how many people do you make pay and promotion decisions?

\_\_\_\_\_

NUMBER OF PEOPLE

J187

Are you paid a regular salary or wages?



1. YES	5. NO	8. DK	9. RF
GO TO J194			

J188

How much are you paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: USE ZERO FOR NONE]

AMOUNT	0	DK	RF
GO TO J192	GO TO J194		

J189-J191 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, **\$15,000**, **\$30,000**, \$75,000

RANDOM ENTRY POINTS: \$15,000, \$30,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X508

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J192 BRANCHPOINT: GO TO J194

J192

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J193	8. DK	9. RF	

J194

Do you receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

1. YES

5. NO

8. DK

9. RF

GO TO J201

J195

IF R IS PAID REGULAR {SALARY OR WAGES} (J187=1):  
In addition to your regular salary, how much do you receive from net earnings or profits?

OTHERWISE:  
How much do you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]  
What did you earn the last year you worked?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: USE ZERO FOR NONE]

_____	0	DK	RF
AMOUNT			
GO TO J199	GO TO J201		

J196-J198 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$3,000, \$10,000, \$25,000, \$65,000

RANDOM ENTRY POINTS: \$10,000, \$25,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J199 BRANCHPOINT: GO TO J201

J199

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J200	8. DK	9. RF	

J201

Including yourself, how many people work in this business?

\_\_\_\_\_

NUMBER OF PEOPLE

J202 BRANCHPOINT: IF {{R HAS SAME SPOUSE/PARTNER AS R's LAST IW (A020=1) or R's FORMER PARTNER IS NOW HIS/HER SPOUSE (A020=3)} and R WAS SELF-EMPLOYED AT R's LAST IW (Z136=2)} or R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3) or ONLY ONE PERSON WORKS IN THE BUSINESS (J201=1), GO TO J248

J202

Does your [husband/wife/partner] do any work for the business?

J203

How many hours a week does [he/she] usually work for the business?

\_\_\_\_\_

NUMBER OF HOURS

J204

Is [he/she] paid a regular salary?

J205

Are you salaried on this job, paid by the hour, or what?

J206

How much is your salary, before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF RESPONDENT IS A TEACHER, RECORD ANNUAL SALARY]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

	0	DK	RF
AMOUNT			
GO TO J210	GO TO J212 BRANCHPOINT		

J207-J209 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$10,000, **\$25,000**, **\$50,000**, \$100,000

RANDOM ENTRY POINTS: \$25,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J210 BRANCHPOINT: GO TO J212 BRANCHPOINT

J210

[IWER: PROBE IF NECESSARY]

Is that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF
J211				

J212 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT

J212

If you were to work more hours than usual during some weeks, would you get paid for those extra hours, get time off later, or get no compensation for the extra hours?

[IWER: IF TWO DIFFERENT RATES ARE GIVEN (E.G., DEPENDING ON DAY OF WEEK) PROBE FOR MOST FREQUENTLY USED RATE]

1. EXTRA PAY	2. TIME OFF	3. NO COMPENSATION	6. DON'T WORK OVER TIME (VOL)	8. DK	9. RF
GO TO J234 BRANCHPOINT					

J213

About how much would you make per hour for those extra hours?

1. TIME AND HALF	2. DOUBLE TIME	3. STRAIGHT TIME OR REGULAR WAGE	
4. PER HOUR AMOUNT	7. OTHER (SPECIFY) J214	8. DK	9. RF

J215 BRANCHPOINT: IF R's OVERTIME RATE WAS NOT A PER HOUR AMOUNT (J213 NOT 4), GO TO J234 BRANCHPOINT

J215

[IWER: PROBE IF NECESSARY]

How much would you make per hour for each extra hour?

[IWER: BE SURE TO ENTER .00 FOR CENTS IF THERE ARE NONE]

AMOUNT	DK	RF
GO TO J234 BRANCHPOINT		

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J216

What is your hourly wage rate for regular work time?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

\_\_\_\_\_

AMOUNT

|

DK

RF

|

**GO TO J220  
BRANCHPOINT**

J217-J219 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_\_ per hour, more than \$\_\_\_\_\_ per hour, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$7.00, **\$11.00**, **\$16.00**, \$26.00

RANDOM ENTRY POINTS: \$11.00, \$16.00

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

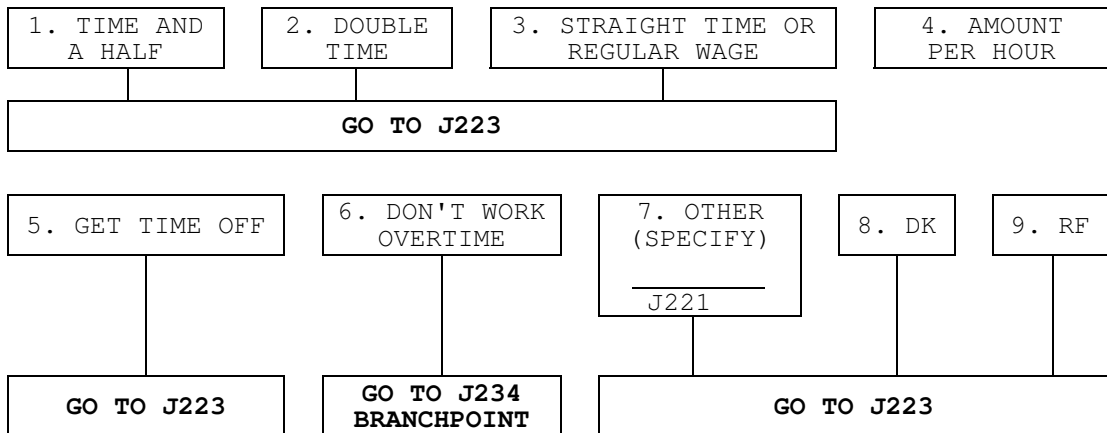
J220 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT *and* REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT

IF R DID NOT REPORT HOURLY WAGE RATE (J216 ={DK or RF}), GO TO J223

J220

What is your hourly wage rate for overtime?

[IWER: IF TWO DIFFERENT RATES ARE GIVEN (E.G., DEPENDING ON DAY OF WEEK) PROBE FOR MOST FREQUENTLY USED RATE]



J222

(What is your hourly wage rate for overtime?)

[IWER: BE SURE TO ADD .00 FOR CENTS IF THERE ARE NONE]

\_\_\_\_\_

0	DK	RF
---	----	----

AMOUNT PER HOUR

J223

During the last year, in how many weeks did you work some overtime?

\_\_\_\_\_

0	DK	RF
---	----	----

WEEKS

**GO TO J234  
BRANCHPOINT**

J224

Are you required to work overtime, or is it pretty much up to you?

1. REQUIRED	2. SOMETIMES REQUIRED	3. UP TO R	8. DK	9. RF
-------------	--------------------------	------------	-------	-------

**GO TO J234 BRANCHPOINT**

J225

What does that amount to, before taxes and other deductions, in an average week or month?

\_\_\_\_\_

0	DK	RF
---	----	----

AMOUNT

**GO TO J234 BRANCHPOINT**

J226

[IWER: PROBE IF NECESSARY]

Is that per week or month?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF

J227



J228 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT

J228

If you worked an extra hour, how much would you earn for that?

[IWER: BE SURE TO ADD .00 FOR CENTS IF THERE ARE NONE]

	0 NO EXTRA PAY OR TIME OFF	999995 GET TIME OFF INSTEAD	DK	RF
AMOUNT				

J229 BRANCHPOINT: IF "OTHER/COMBINATION" WAS NOT SELECTED FOR HOW R WAS PAID (J205 NOT 7), GO TO J234 BRANCHPOINT

J229

How are you paid?

	DK	RF
HOW PAID		

J230

How much are you paid before taxes and other deductions?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

	0	DK	RF
AMOUNT	GO TO J234 BRANCHPOINT		

J231

[IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____	8. DK	9. RF	

J232

J233 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT

J233

If you worked an extra hour, how much would you earn for that hour?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

_____	0 NO EXTRA PAY OR TIME OFF	99995 GET TIME OFF INSTEAD	DK	RF
AMOUNT				

J234 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J244 BRANCHPOINT

J234

[Not counting overtime hours, could/Could] you reduce the number of paid hours in your regular work schedule?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J235 BRANCHPOINT: IF R IS ABLE TO REDUCE WORK HOURS (J234=1) and R WORKS {30 OR MORE} HOURS PER WEEK (J172 ≥ 30), GO TO J940  
  
IF R IS ABLE TO REDUCE WORK HOURS (J234=1) and R DID NOT REPORT WORKING AT LEAST 30 HOURS PER WEEK (J172 {<30 or = {DK or RF}}), GO TO J241

J235

Would you like to do so even if your earnings were reduced in the same proportion?

1. YES	5. NO	8. DK	9. RF
	GO TO J241		

J236

How many hours would you like to work each week?

\_\_\_\_\_

NUMBER OF HOURS

DK RF

GO TO J241

J940

If you wanted to work half time on this job, would your employer allow you to do that?

1. YES 3. ONLY TEMPORARILY 5. NO 8. DK 9. RF

GO TO J241

J941

If you reduced to half time, would your pay be cut in proportion to your reduction in hours, would it be cut more than that, or would it be cut less than that?

1. CUT IN PROPORTION 2. CUT MORE 3. CUT LESS 8. DK 9. RF

J942

If you reduced to half time, would your health insurance benefits be reduced, eliminated, or kept the same?

1. REDUCED 2. ELIMINATED 3. KEPT THE SAME

6. DOESN'T HAVE HEALTH INSURANCE BENEFITS 8. DK 9. RF

J943

(If you reduced to half time,) would your eligibility for pension benefits be affected?

1. YES 5. NO 6. DOESN'T HAVE PENSION BENEFITS 8. DK 9. RF

J241

Could you increase the number of paid hours in your regular work schedule?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

GO TO J244  
BRANCHPOINT

J242

Would you like to do so if your earnings were increased in the same proportion?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

GO TO J244 BRANCHPOINT

J243

How many hours would you like to work each week?

\_\_\_\_\_

DK	RF
----	----

NUMBER OF HOURS

J244 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J244

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J263 BRANCHPOINT

NOTE: QUESTIONS J244 THROUGH J255 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER, OR FOR WHOM IT IS UNCERTAIN, SINCE R's LAST IW (J045 NOT {1 or 3}) IS ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW **WILL BE ASKED THIS SERIES IN 2008** AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016).

J244

About how many employees work for this company or organization **at the location where you work?**

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

DK	RF
----	----

NUMBER OF EMPLOYEES

GO TO J246

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J245

Is it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5	2. 5 TO 14	3. 15 TO 24	4. 25 TO 99
5. 100 TO 499	6. 500 OR MORE	8. DK	9. RF

J246

About how many employees work for this company or organization **at all locations?**

[IWER: DO NOT PROBE DK/RF]

[IWER: ENTER '-2' IF ONLY ONE LOCATION]

[IWER: IF R ASKS, EMPLOYEES WORKING AT HOME SHOULD BE INCLUDED.]

_____	DK	RF
NUMBER OF EMPLOYEES		
GO TO J248		

J247 BRANCHPOINT: IF AT LEAST 500 EMPLOYEES WORK AT R'S LOCATION (J244≥500 or J245=6), GO TO J248

J247

Is it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5	2. 5 TO 14	3. 15 TO 24	4. 25 TO 99
5. 100 TO 499	6. 500 OR MORE	8. DK	9. RF

J248

In what month and year did you start working for [this business/this employer]?

IF THIS IS A REINTERVIEW R (Z076=1):  
[IWER: IF DATE GIVEN IS BEFORE R'S LAST IW MONTH, YEAR, PLEASE VERIFY WITH R]

IF R IS NOT SELF-EMPLOYED (J021 NOT 2):  
[IWER: IF R WORKED MORE THAN ONCE FOR THIS EMPLOYER, ASK ABOUT FIRST HIRE]

J248

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

DK	RF
----	----

J249

YEAR

J252 BRANCHPOINT: IF THIS IS NOT A REINTERVIEW R (Z076 NOT 1), GO TO J257 BRANCHPOINT

IF WE DON'T KNOW YEAR WHEN R {STOPPED LAST JOB or STARTED CURRENT JOB} ({J064 or J249}={DK or RF or EMPTY}), GO TO J257 BRANCHPOINT

IF YEAR R STOPPED WORKING FOR PREVIOUS EMPLOYER WAS {SAME OR BEFORE} YEAR R STARTED WORKING FOR CURRENT EMPLOYER (J064 ≤ J249), GO TO J257 BRANCHPOINT

J252

You told us that you started working for your current [business/employer] in YEAR BEGIN CURRENT EMPLOYER (per J249) and left your previous job in YEAR LEAVE PREVIOUS EMPLOYER per {J064 or J024}.

Is that correct?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

GO TO J257 BRANCHPOINT

J253

Did you leave your previous employer in *YEAR LEAVE PREVIOUS EMPLOYER* per {J064 or J024}.

1. YES	5. NO	8. DK	9. RF
GO TO J255		GO TO J255	

J254

In what year did you stop working at your previous job?

\_\_\_\_\_

YEAR

9998. DK	9999. RF
----------	----------

J255

Did you start your current job in *YEAR BEGIN CURRENT EMPLOYER* (per J249)?

1. YES	5. NO	8. DK	9. RF
GO TO J257 BRANCHPOINT		GO TO J257 BRANCHPOINT	

J256

In what year did you start working at your current job?

\_\_\_\_\_

YEAR

9998. DK	9999. RF
----------	----------

J257 BRANCHPOINT: IF THIS IS REINTERVIEW R (Z076=1), GO TO J260 BRANCHPOINT

J257

What was your starting pay, before taxes and other deductions?

_____	0	DK	RF
AMOUNT			
<b>GO TO J260 BRANCHPOINT</b>			

J258

[IWER: PROBE IF NECESSARY]

Was that per hour, week, month or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF
J259				

J260 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT *and* REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J848 BRANCHPOINT (AFTER J266)

IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3) *and* R DID NOT REPORT THAT CURRENT WORK IS BEING CREDITED TO SAME PENSION PLAN AS AT R'S LAST IW (J083={5 or DK or RF}), GO TO J848 BRANCHPOINT (AFTER J266)

IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3) *and* R'S CURRENT WORK IS BEING CREDITED TO SAME PENSION PLAN AS AT R'S LAST IW (J083=1), GO TO J848 BRANCHPOINT (AFTER J266)

IF R WORKS FOR SAME EMPLOYER AS R'S LAST IW (J045={1 or 3}), GO TO J263 BRANCHPOINT

IF R IS NOT REINTERVIEW R, GO TO J263 BRANCHPOINT

IF R STARTED WORKING FOR CURRENT EMPLOYER LESS THAN 2 MONTHS FROM {MONTH *and* YEAR} OF CURRENT IW (per J248&J256 or J248&J249) *or* WE DON'T KNOW (MONTH *or* YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249}={DK or RF or EMPTY}), GO TO J263 BRANCHPOINT

J260

Were there any months since [R's LAST IW MONTH, YEAR/MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/in the last two years] when you did not work for this [business/employer]?

1. YES	5. NO	8. DK	9. RF
<b>GO TO J263 BRANCHPOINT</b>			



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J261

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN [R's LAST IW MONTH, YEAR/MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/CURRENT IW MONTH, YEAR 2 YEARS AGO] AND CURRENT IW MONTH, YEAR.]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2006	MONTHS IN 2007	MONTHS IN 2008	OTHER YEARS
(1) JAN 06	(14) JAN 07	(27) JAN 08	(41) ALL OF 2006 (AFTER PREV IW MO)
(2) FEB 06	(15) FEB 07	(28) FEB 08	(42) ALL OF 2005 (AFTER PREV IW MO)
(3) MAR 06	(16) MAR 07	(29) MAR 08	(43) ALL OF 2005
(4) APR 06	(17) APR 07	(30) APR 08	(44) ALL OF 2004 (AFTER PREV IW MO)
(5) MAY 06	(18) MAY 07	(31) MAY 08	(45) ALL OF 2004
(6) JUN 06	(19) JUN 07	(32) JUN 08	(46) ALL OF 2003 (AFTER PREV IW MO)
(7) JUL 06	(20) JUL 07	(33) JUL 08	(47) ALL OF 2003
(8) AUG 06	(21) AUG 07	(34) AUG 08	(48) ALL OF 2002 (AFTER PREV IW MO)
(9) SEP 06	(22) SEP 07	(35) SEP 08	(49) ALL OF 2002
(10) OCT 06	(23) OCT 07	(36) OCT 08	(50) ALL OF 2001 (AFTER PREV IW MO)
(11) NOV 06	(24) NOV 07	(37) NOV 08	(51) ALL OF 2001
(12) DEC 06	(25) DEC 07	(38) DEC 08	(52) ALL OF 2000 (AFTER PREV IW MO)
(13) ALL OF 2006	(26) ALL OF 2007	(39) ALL OF 2008 TO DATE	(53) ALL OF 2000
		(40) ALL OF 2008	(54) ALL OF 1999 (AFTER PREV IW MO)
			(55) ALL OF 1999
			(56) ALL OF 1998 (AFTER PREV IW MO)
			(57) ALL OF 1998
			(58) ALL OF 1997 (AFTER PREV IW MO)
			(59) ALL OF 1997
			(60) ALL OF 1996 (AFTER PREV IW MO)
			(61) ALL OF 1996
			(62) ALL OF 1995 (AFTER PREV IW MO)
			(63) ALL OF 1995
			(64) ALL OF 1994 (AFTER PREV IW MO)
			(65) ALL OF 1994
			(66) ALL OF 1993 (AFTER PREV IW MO)
			(67) ALL OF 1993
			(68) ALL OF 1992 (AFTER PREV IW MO)
			(69) OTHER (SPECIFY) [J262]
			(98) DK
			(99) RF

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J263 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAS MARGINAL EMPLOYMENT  
and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR  
NONE}} (J703=1), GO TO J848 BRANCHPOINT (AFTER J266)

IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J848  
BRANCHPOINT (AFTER J266)

J263

Has your employer experienced a permanent reduction in employment since  
[MONTH, YEAR R STARTED CURRENT JOB (per J248&J256 or J248&J249)/R's LAST  
IW MONTH, YEAR/CURRENT IW MONTH, YEAR 2 YEARS AGO]?

[IWER: CODE 'DOWNSIZING' AND PERMANENT LAYOFFS AS YES; CODE TEMPORARY  
LAYOFFS AS NO]

1. YES	5. NO	8. DK	9. RF
GO TO J720 BRANCHPOINT			

J264

Has this downsizing affected workers whose jobs are similar to yours?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J720 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}), CONTINUE ON TO J720

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J731>0), GO TO J266 BRANCHPOINT

NOTE: QUESTIONS J720 AND J721 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER, OR FOR WHOM IT IS UNCERTAIN, SINCE R's LAST IW (J045 NOT {1 or 3}) IS ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW WILL BE ASKED THIS SERIES IN 2010, AND EVERY 3rd WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2006. **THESE Rs WILL NOT BE ASKED IN 2008.**

J720

Are you employed by the government at the federal, state, or local level?

This includes teachers and other service workers who are on the payrolls of local government, school district, and other agencies of state and local government.

1. YES	5. NO	8. DK	9. RF
GO TO J266 BRANCHPOINT			

J721

Would that be the federal, state, or local government?

1. FEDERAL	2. STATE	3. LOCAL (COUNTY, CITY, TOWNSHIP)	8. DK	9. RF
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J266 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R {DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J266

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J848 BRANCHPOINT (AFTER J266)

NOTE: J266 IS ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R's LAST IW, OR FOR WHOM IT IS UNCERTAIN, ({J045 NOT {1 or 3}) or {J058 {NOT 1 and NOT 3}}) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW **WILL BE ASKED THIS SERIES IN 2008** AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016).

J266

Are you covered by a union or employee-association contract?

1. YES	5. NO	8. DK	9. RF
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J848 BRANCHPOINT: IF R WAS NOT RANDOMLY ASSIGNED 1 AT VARIABLE X501, (X501 NOT 1), GO TO J324

NOTE: IN 2008 NEW PENSION COVERAGE QUESTION IS PHASED IN BY ASKING THE NEW QUESTION (J848) OR THE OLD QUESTION (J324) OF HALF OF RESPONDENTS EACH, RANDOMLY SELECTED.

J848

IF NOT SELF-EMPLOYED (J021 NOT 2):

Now I'd like to ask about pension or retirement plans on your job, sponsored by your employer or union. This includes not only basic pension or retirement plans, but also tax-deferred plans like thrift, savings, 401K, deferred profit-sharing, or stock ownership plans.

Does your firm or union offer you a retirement plan or tax-deferred savings plan as part of your benefits package?

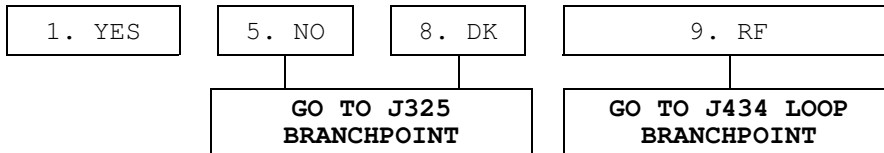
IF SELF-EMPLOYED (J021=2):

Now I'd like to ask about pension or retirement plans on your job.

Aside from IRAs not sponsored by your business or KEOGH plans, does your business offer you a retirement plan or tax-deferred saving plan as part of your benefits package?

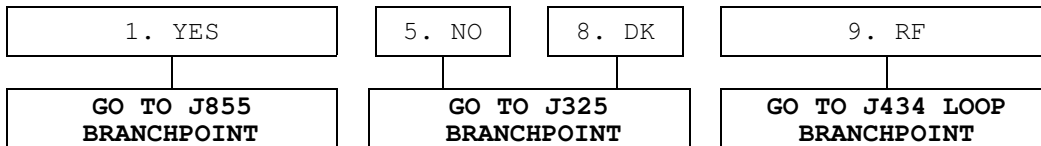
[IWER: IF R MENTIONS IRA NOT SPONSORED BY EMPLOYER OR KEOGH PLANS OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:]

We will ask about these later in the interview. Here, I just want to find out about other plans operated through your [business/employer].



J849

Are you participating in the plan?



J324

IF NOT SELF-EMPLOYED (J021 NOT 2):

Now I'd like to ask about pension or retirement plans on your job, sponsored by your employer or union. This includes not only basic pension or retirement plans, but also tax-deferred plans like thrift, savings, 401K, deferred profit-sharing, or stock ownership plans.

Are you included in any such pension, retirement, or tax-deferred plan with this employer?

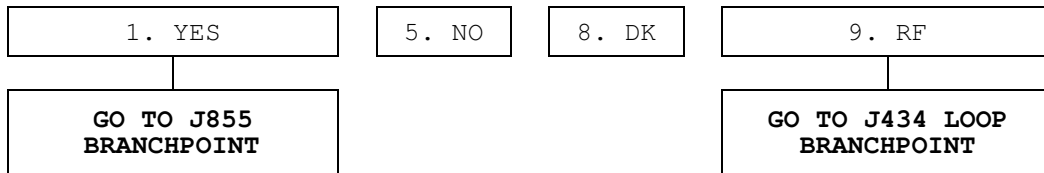
IF SELF-EMPLOYED (J021=2):

Now I'd like to ask about pension or retirement plans on your job.

Aside from IRAs not sponsored by your business or KEOGH plans, are you included in any pension plans or tax-deferred savings plans through your work?

[IWER: IF R MENTIONS IRA NOT SPONSORED BY EMPLOYER OR KEOGH PLANS OR SOCIAL SECURITY, NOTE IN COMMENT BOX AND SAY:]

"We will ask about these later in the interview. Here, I just want to find out about other plans operated through your [business/employer]."



J325 BRANCHPOINT: IF R IS CURRENTLY SELF-EMPLOYED (J021=2), GO TO J434 LOOP BRANCHPOINT

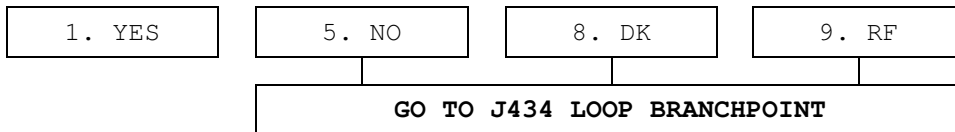
IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT and REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J434 LOOP BRANCHPOINT

IF R WORKS FOR SAME EMPLOYER AS AT R's LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER'S PENSION PLAN AT R's LAST IW (Z133=1), GO TO J854

IF R REPORTED IS NOT PARTICIPATING IN THE PLAN (J849={5 or 8}), GO TO J326

J325

Does your employer offer any (such) retirement plans?



J326

Are you eligible to be included in any of these plans?

1. YES	5. NO	6. ELIGIBLE IF WORKED FULL-TIME	8. DK	9. RF
	GO TO J330 BRANCHPOINT		GO TO J434 LOOP BRANCHPOINT	

J850

In some firms workers who want to participate in their pension plan have to sign up for the plan. In other firms workers are automatically enrolled and if they don't want to participate they have to withdraw from the plan.

Which is it with your employer?

1. HAVE TO SIGN UP	3. AUTOMATICALLY ENROLLED	8. DK	9. RF
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J851

Do you have a pension account at your firm that you are not currently contributing to?

1. YES	5. NO	8. DK	9. RF
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J852

What is the name of the plan that you would have been most likely to participate in?

_____	DK	RF
-------	----	----

NAME OF PLAN

J328

Do the plans that your employer offers include a 401K plan, a Supplemental Retirement Account (SRA), a defined benefit plan, a defined contribution plan, or what?

(What does your employer call it?)

[IWER: CHOOSE ALL THAT APPLY]

1. 401K PLAN	2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)	3. DEFINED BENEFIT PLAN	4. DEFINED CONTRIBUTION PLAN	5. 401A
6. 403B PLAN	7. 457 PLAN	8. THRIFT/SAVINGS PLAN (TSP)	9. PROFIT-SHARING PLAN	10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN	12. PORTABLE CASH OPTION PLAN	13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)	14. SEP OR SIMPLE PLAN	
15. COMBINATION PLAN	16. CASH BALANCE PLAN	97. OTHER (SPECIFY) J908	98. DK	99. RF

J327

(Could you tell me a little more about the retirement plan(s) offered by your employer?)

What kind of plans are these?

(In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.)

Are these plans of Type A, Type B, or both?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.  
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.  
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."

1. TYPE A (FORMULA)	2. TYPE B (ACCOUNT)	3. BOTH A & B	8. DK	9. RF
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J330 BRANCHPOINT: IF R IS ELIGIBLE TO BE INCLUDED IN JOB'S RETIREMENT PLANS (J326=1) and {IT IS TYPE A PLAN or DK or RF (J327={1 or DK or RF})}, GO TO J331

IF R IS NOT ELIGIBLE TO BE INCLUDED IN JOB'S RETIREMENT PLANS (J326=5), GO TO J332

IF R IS ELIGIBLE TO BE INCLUDED IN JOB'S RETIREMENT PLANS ONLY IF WORKS FULL-TIME (J326=6), GO TO J434 LOOP BRANCHPOINT

J330

Does your employer make contributions to any such plans?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J331

Do you intend to participate in any such plan at some time in the future?

1. YES	5. NO	8. DK	9. RF
GO TO J434 LOOP BRANCHPOINT			

J332

Will you be eligible if you continue to work for this employer?

1. YES	3. DEPENDS (SPECIFY) _____ J333	5. NO	8. DK	9. RF
GO TO J434 LOOP BRANCHPOINT				

J334

How many more years will you have to work for this employer before you will be included in a pension plan?

_____ YEARS	DK	RF
GO TO J434 LOOP BRANCHPOINT		



J854

According to our records, in *R's LAST IW MONTH, YEAR* you reported one or more retirement plans through your current [employment/business].

Was your plan terminated, are you not contributing to your plan, are you not accumulating more benefits or years of service, are our records inaccurate, or what?

1. PLAN TERMINATED	2. NOT CONTRIBUTING	3. NOT ACCUMULATING MORE BENEFITS OR YEARS OF SERVICE	4. RECORD INACCURATE
			 GO BACK TO J325
7. OTHER (SPECIFY) <hr style="width: 80%; margin: 5px 0;"/> J906	8. DK	9. RF	
GO TO J434 BRANCHPOINT			

J888

Do you still expect to receive benefits from any plan through your current employment?

1. YES	5. NO	8. DK	9. RF
 GO TO J335	 GO TO J434 BRANCHPOINT		

J855 BRANCHPOINT: IF R WORKS FOR SAME EMPLOYER AS AT R's LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER'S PENSION PLAN AT R's LAST IW (Z133=1), CONTINUE ON TO J855

IF R IS SELF-EMPLOYED NOW (J021=2) and WAS AT R's LAST IW (Z136=2) and HAD A PENSION PLAN THEN (A133=1) and HAD THIS SAME JOB AT LAST IW ({START DATE FOR CURRENT JOB per J248&J256 or J248&J249} ≤ {R's LAST IW {MONTH (per Z092) and YEAR (per Z093)}}) and WE KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249} NOT {DK or RF or EMPTY}), CONTINUE ON TO J855

OTHERWISE, GO TO J335

J855

Has a new pension been offered to you by your firm since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR]?



J856

Are you participating in that plan?

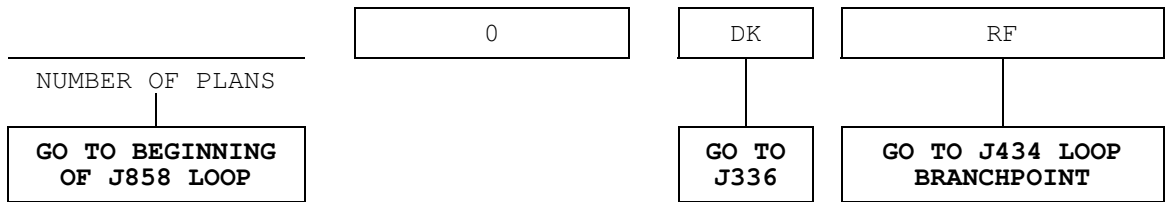


J335

IF R IS PARTICIPATING IN NEW PLAN (J856=1):  
Altogether, in how many different plans are you included on this job?

IF R EXPECTING TO RECEIVE BENEFITS (J888=1):  
From how many of this employer's plans do you expect to receive benefits?

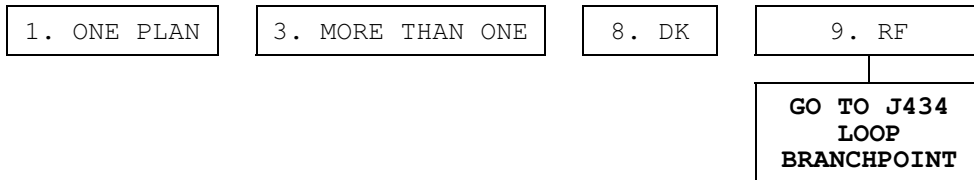
OTHERWISE:  
In how many different plans are you included on this job?



J336 BRANCHPOINT: IF R REPORTED ZERO NUMBER OF PLANS (J335=0), GO BACK TO PENSION COVERAGE QUESTION (J324 or J849) AND CHANGE IT TO "5. NO"

J336

Is this just one plan or more than one?



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FINAL VERSION 2 — 4/23/2009

\*\*\*BEGINNING OF J858 LOOP: QUESTIONS J858 THROUGH J819 (BEFORE J431 BRANCHPOINT) ARE ASKED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT J335 (J335 ≥1) or FOR UP TO TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J336 (J336) or FOR ONE PLAN IF R SAID DIDN'T KNOW AT J336 (J336=8).\*\*\*

J858

IF THIS IS THE FIRST PLAN and R HAS MORE THAN ONE PLAN (J335 ≥2 or J336=3):

Now I have some questions about the most important of these plans.

What is the name of this plan?

IF THIS IS THE {SECOND, THIRD OR FOURTH} PLAN (J335 ≥2) or R HAS MORE THAN ONE PLAN (J336=3):

Now I have some questions about the next most important of these plans.

What is the name of this plan?

IF R HAS ONLY ONE PLAN ({J335 or J336}=1):

What is the name of this plan?

\_\_\_\_\_

	DK	RF
--	----	----

NAME OF PLAN

J393

(Is it a 401K, a Supplemental Retirement Account (SRA), defined benefit, defined contribution, or what?)

(What does your employer call it?)

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THE MOST IMPORTANT PLAN RATHER THAN COMBINING THEM AS "COMBINATION PLAN".]

1. 401K PLAN	2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)	3. DEFINED BENEFIT PLAN	4. DEFINED CONTRIBUTION PLAN	5. 401A
6. 403B PLAN	7. 457 PLAN	8. THRIFT/SAVINGS PLAN (TSP)	9. PROFIT- SHARING PLAN	10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN	12. PORTABLE CASH OPTION PLAN		13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)	14. SEP OR SIMPLE PLAN
15. COMBINATION PLAN	16. CASH BALANCE PLAN	97. OTHER (SPECIFY)  _____	98. DK	99. RF

J890

J338

(Could you tell me a little more about this plan?)

**HRS 2008 — SECTION J: EMPLOYMENT — PAGE 76**

FINAL VERSION 2 — 4/23/2009

I would like to know what type of plan this is.

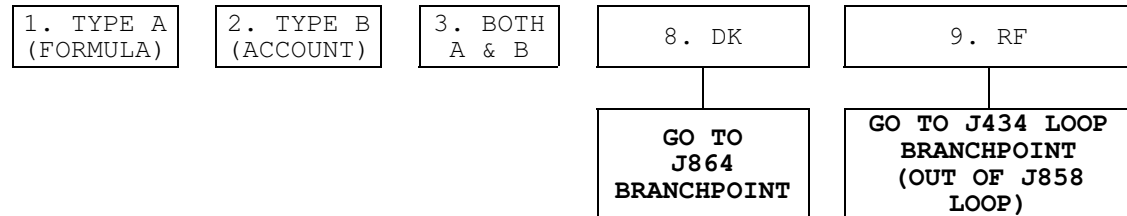
READ TO ALL Rs ON THE FIRST LOOP; READ ON SUBSEQUENT LOOPS IF NECESSARY:  
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

*NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER'S DISCRETION.*

ASK ALL Rs:  
Is your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS "BOTH".]

DEFINITION: 401-K, 403-B, 457, ESOP, SRA, (TSP) THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, SEP/SIMPLE, 401A, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.  
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.  
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."



J863

Sometimes people are not sure about the type of retirement plans they have. We are interested in how certain you are about the type of plans you have.

Are you very certain, somewhat certain or not certain at all that your plan is (a) [TYPE OF PLAN per J338]?

1. VERY CERTAIN	2. SOMEWHAT CERTAIN	3. NOT CERTAIN AT ALL	8. DK	9. RF
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J864 BRANCHPOINT: IF NO PENSION PRELOAD (Z133 NE 1), GO TO J352

IF R REPORTED STILL EXPECT TO RECEIVE BENEFITS (J888=1), GO TO J352

IF J864 WAS ASKED BEFORE AND ANSWER WAS YES, GO TO J945

IF R WORKS FOR SAME EMPLOYER AS AT R'S LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER'S PENSION PLAN AT R'S LAST IW (Z133=1) and R IS INCLUDED IN EMPLOYER'S NEW PLAN (J856=1) and HAS MORE THAN ONE PLAN (J335>1 or J336=3), CONTINUE ON TO J864

IF R IS SELF-EMPLOYED NOW (J021=2) and WAS AT R'S LAST IW (Z136=2) and HAD A PENSION PLAN THEN (Z133=1) and HAD THIS SAME JOB AT LAST IW ({START DATE FOR CURRENT JOB per J248&J256 or J248&J249} ≤ {R'S LAST IW {MONTH (per Z092) and YEAR (per Z093)}}) and WE KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249} NOT {DK or RF or EMPTY}) and R IS INCLUDED IN EMPLOYER'S NEW PLAN (J856=1) and HAS MORE THAN ONE PLAN (J335>1 or J336=3), CONTINUE ON TO J864

OTHERISE, GO TO J945

J864

Is this the new pension plan that was offered to you by your employer (business)?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

GO TO J352

J945

Have the rules that govern [that plan/your [NAME OF PLAN per {J393}]]'s benefits or the age you can receive them changed since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR]?

NOTE: FOR THE FILL, USE THE PLAN NAME FROM (J393) IF THE PLAN NAME AND PLAN TYPE (J338) ARE IN AGREEMENT. USE "plan" IF THEY ARE CONTRADICTORY.

1. YES	5. NO	7. DENIES BEING COVERED BY THE PLAN	8. DK	9. RF
	GO TO J352	GO TO END OF J858 LOOP	GO TO J352	GO TO END OF J858 LOOP

J866

Was your plan terminated, did the firm freeze the benefits from that plan, have you not contributed to the plan (since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR]), or what?

1. PLAN TERMINATED	2. FROZEN BENEFITS	3. STOPPED CONTRIBUTING	7. OTHER (SPECIFY) J889	8. DK	9. RF
GO TO J867					

J922

In what month and year did your employer close/terminate/freeze this plan?

[IW ER: MONTH ENTERED MUST BE BEFORE OR IN CURRENT IW MONTH, YEAR]

J922

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

DK	RF
----	----

J923

YEAR

J924 BRANCHPOINT: IF R REPORTED FROZEN BENEFITS (J866=2), CONTINUE OTHERWISE, GO TO J925

J924

Are you still accumulating benefits or years of service with this frozen plan?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J925

Did your employer offer a new replacement plan or enhance an existing plan?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J867

IF FROZEN BENEFITS (J866=2):

Do you still expect to receive benefits either from the frozen plan or from its replacement?

OTHERWISE:

Do you still expect to receive benefits from that plan?

1. YES	5. NO	8. DK	9. RF
GO TO END OF J858 LOOP			

J352

For how many years altogether have you been included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

\_\_\_\_\_

DK	RF
----	----

0-50  
YEARS IN PLAN

J820 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J353

IF R WORKS FOR SAME EMPLOYER AS AT R'S LAST IW (J045={1 or 3}) and WAS INCLUDED IN THAT EMPLOYER'S PENSION PLAN AT R'S LAST IW (Z133=1) and J864 NE 1, GO TO J353

J820

When you became eligible to participate in the company's retirement/pension plans, were you given a choice of whether to participate or were you enrolled automatically?

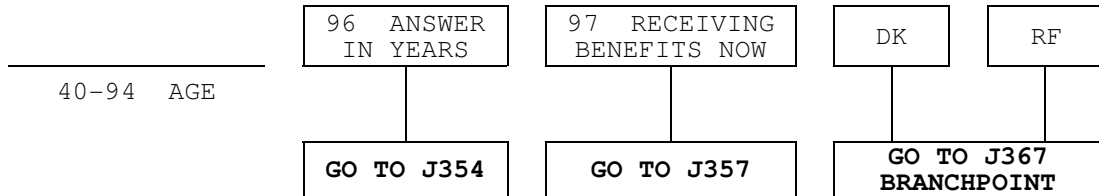
1. HAD CHOICE TO PARTICIPATE	2. WAS ENROLLED AUTOMATICALLY	8. DK	9. RF
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J353

At what age do you expect to start receiving benefits from [that plan/your [NAME OF PLAN per {J393}]]?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

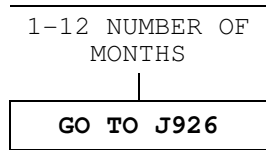
NOTE: FOR THE FILL, USE THE PLAN NAME FROM (J393) IF THE PLAN NAME AND PLAN TYPE (J338) ARE IN AGREEMENT. USE "plan" IF THEY ARE CONTRADICTORY.



J903

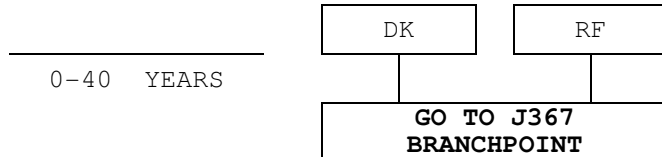
[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]



J354

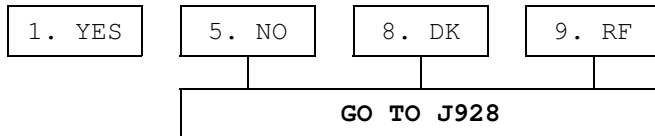
[IWER: 'Expected age to start receiving benefits' IN YEARS]



J926

Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.

Once you become eligible to receive benefits, can you take some or all of your benefits as a one time cash payment?



J927

(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

Can you take all of your benefits as a one time cash payment or only some?



1. ALL	5. ONLY SOME	8. DK	9. RF
--------	--------------	-------	-------

J928

(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

Once you become eligible to receive benefits, can you keep some money in your account to take out whenever you want?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J929

(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

(Once you become eligible to receive benefits,) can you receive regular payments for as long as you live?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

GO TO J931  
BRANCHPOINT

J930

(Different plans offer different options about how benefits can be withdrawn. Please tell me which of the following apply to your plan.)

(Once you become eligible to receive benefits,) can you receive regular payments for a fixed period of time?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

**J931 ASSIGNMENT**

THE FOLLOWING CODES ARE ASSIGNED TO J931 BASED ON R'S RESPONSES TO J926- J930.

1. **ONE TIME (LUMP SUM) PAYMENT:** IF R REPORTED CAN TAKE SOME OR ALL OF BENEFITS AS ONE TIME CASH BENEFITS ONLY J926=1 and J928=(5 or DK or RF) and J929=(5 or DK or RF) and J930=(5 or DK or RF)
2. **KEEP MONEY IN ACCOUNT TO TAKE OUT LATER:** IF R REPORTED CAN KEEP MONEY IN ACCOUNT ONLY J928=1 and J926=(5 or DK or RF) and J929=(5 or DK or RF) and J930=(5 or DK or RF)
3. **REGULAR PAYMENTS (MONTHLY OR ANNUALLY):** IF R REPORTED CAN RECEIVE REGULAR PAYMENTS ONLY (J929=1 or J930=1) and J926=(5 or DK or RF) and J928=(5 or DK or RF)

J931 BRANCHPOINT: IF ONLY ONE 'YES' SELECTED IN J926, J928, J929 and J930, ASSIGN J931 AS SPECIFIED ABOVE, AND GO TO J947 BRANCHPOINT

IF 'YES' NOT SELECTED IN ANY OF J926, J928, J929 or J930, GO TO J947

J931

Which of these options do you plan to take advantage of -- ^FLJ42xxf?

[IWER: CHOOSE ALL THAT APPLY]

1. ONE TIME (LUMP SUM) PAYMENT	2. KEEP MONEY IN ACCOUNT TO TAKE OUT LATER
3. REGULAR PAYMENTS (MONTHLY OR ANNUALLY)	8. DK
	9. RF
	GO TO J367 BRANCHPOINT

J947 BRANCHPOINT: IF R PLANS TO TAKE REGULAR PAYMENTS *or* R DOESN'T KNOW HOW THEY PLAN TO TAKE PAYMENTS (J931=3 *or* 8), CONTINUE  
 OTHERWISE, GO TO J363 BRANCHPOINT

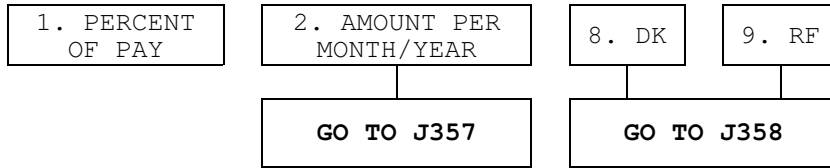
J947

IF DK SELECTED IN J931 *or* IF 'YES' NOT SELECTED IN ANY OF (J926, J928, J929 *or* J930) *or* IF J929=1 ONLY (THAT IS, J929=1 *and* J926 NOT 1 *and* J928 NOT 1):

Assuming you take it all as a regular payment, how much do you expect to take as regular payments, either as a percent of your pay at retirement or as an amount per month or year?

OTHERWISE:

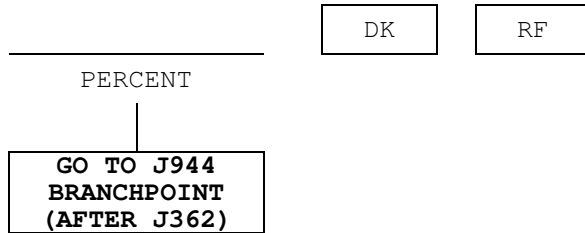
For the part that you take as a regular payment, how much do you expect to take as regular payments, either as a percent of your pay at retirement or as an amount per month or year?



J356

ENTER PERCENT OF FINAL PAY AT RETIREMENT:

[IWER: DO NOT PROBE DK/RF]



J789-J791 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 20%, **40%**, **60%**, 80%

RANDOM ENTRY POINTS: 40%, 60%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

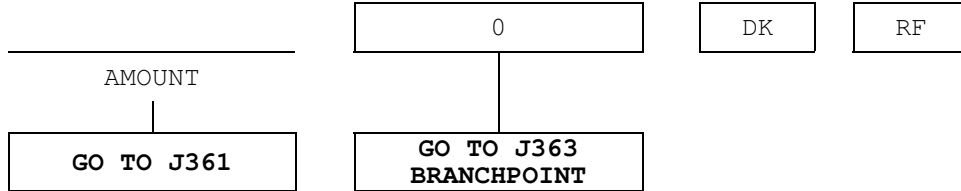
J357 BRANCHPOINT: GO TO J944 BRANCHPOINT (AFTER J362)

J357

IF R IS ALREADY RECEIVING BENEFITS (J353=97):  
How much are you receiving?

OTHERWISE:  
How much do you expect or plan to receive?

[IWER: DO NOT PROBE DK/RF]



J358-J360 Unfolding Sequence

Question text: Do you expect (does it amount to) less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

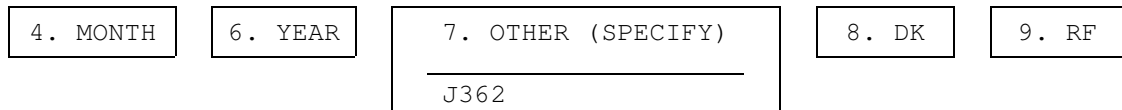
PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$6,000, **\$12,000**, **\$24,000**, \$36,000  
 RANDOM ENTRY POINTS: \$12,000, \$24,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J361 BRANCHPOINT: GO TO J944 BRANCHPOINT (AFTER J362)

J361

[IWER: PROBE IF NECESSARY]  
Is that per month or year?

PER:



J944 BRANCHPOINT: IF R REPORTED CAN NOT RECEIVE BENEFITS AS LONG AS S/HE LIVES (J929=5), GO TO J936

J944

IF R RECEIVING BENEFITS NOW (J353=97):

Do you expect to receive pension benefits from [that plan/your [NAME OF PLAN per {J393}]] for as long as you live?

OTHERWISE:

When you become eligible to receive benefits, do you expect to receive pension benefits from [[that plan/your [NAME OF PLAN per {J393}]] for as long as you live?

1. YES	5. NO	8. DK	9. RF
GO TO J363 BRANCHPOINT		GO TO J363 BRANCHPOINT	

J936

For how many years do you expect to receive benefits?

\_\_\_\_\_

YEARS

DK	RF
----	----

J363 BRANCHPOINT: IF R PLANS TO TAKE ONE TIME PAYMENT (J931=1), CONTINUE OTHERWISE, GO TO J367 BRANCHPOINT

J363

How much do you plan to take as a one-time payment?

\_\_\_\_\_

AMOUNT

DK	RF
----	----

GO TO J932  
BRANCHPOINT

J364-J366 Unfolding Sequence

Question text: Do you expect less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURES: 1Up1Down 2Up1Down, 1Up2Down  
BREAKPOINTS: \$5,000, \$20,000, \$50,000, \$150,000  
RANDOM ENTRY POINTS: \$20,000, \$50,000  
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502  
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J932 BRANCHPOINT: IF R PLANS TO KEEP MONEY IN ACCOUNT (J931=2), CONTINUE  
OTHERWISE, GO TO J367 BRANCHPOINT

J932

How much do you expect to leave in the account to take out later?

\_\_\_\_\_

AMOUNT

DK

RF

**GO TO J367  
BRANCHPOINT**

J933-J935 Unfolding Sequence

Question text: Do you expect less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURES: 1Up1Down 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$5,000, **\$20,000**, **\$50,000**, \$150,000  
 RANDOM ENTRY POINTS: \$20,000, \$50,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT XXXX  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J367 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE B/DEFINED CONTRIBUTION PLAN  
(J393={1 or 2 or 4-14} and 338=2), GO TO J946 BRANCHPOINT

J367

(Most pension plans have a normal retirement age at which full benefits — sometimes called unreduced benefits — can be received. Some plans allow retirement at an earlier age, usually with reduced benefits. And in many plans, normal retirement age depends on years of service.)

What is the earliest age at which you would be eligible to receive full or unreduced pension benefits from [that plan/your [NAME OF PLAN per {J393}]]?

[IWER: IF R REPORTS THAT S/HE IS ALREADY ELIGIBLE, ASK:]  
 What is the earliest age at which you would have been eligible (to receive full or unreduced pension benefits from [that plan/your [NAME OF PLAN per {J393}]]?)

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

\_\_\_\_\_

AGE

95 ANY TIME; NO AGE  
REQUIREMENT; ANY AGE

DK

RF

**GO TO J390**

**GO TO J383**

J904

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

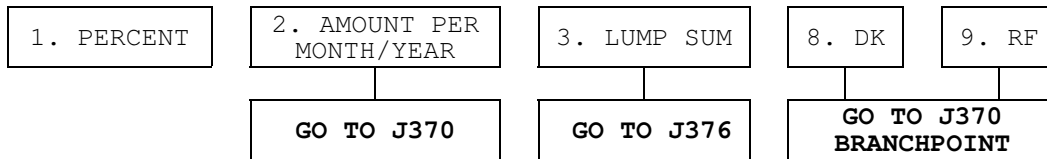
J368 BRANCHPOINT: IF AGE R IS ELIGIBLE FOR BENEFITS (per J367) IS SAME AS AGE R EXPECTS BENEFITS (per J353), GO TO J946 BRANCHPOINT

J368

How much would these full or unreduced benefits be, either as a percent of your pay at retirement, or as an amount per month or year?

[IWER: IF R IS RETIRED, ASK:]

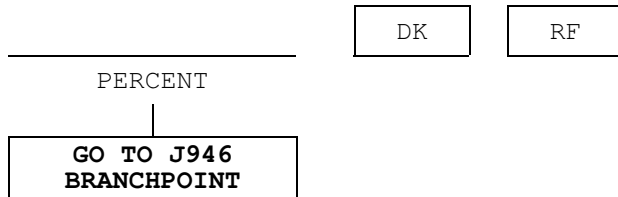
"How much would these full or unreduced benefits have been, either as a percent of your pay at retirement, or as an amount per month or year?"



J369

ENTER PERCENT OF FINAL PAY AT RETIREMENT:

[IWER: DO NOT PROBE DK/RF]



J792-J794 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 35%, 50%, 65%, 80%

RANDOM ENTRY POINTS: 50%, 65%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J370 BRANCHPOINT: IF R DOESN'T KNOW WHAT EXPECTS FOR BENEFITS (J368=8), ASSIGN DK TO J370 AND GO TO J371  
  
IF R REFUSED TO SAY WHAT EXPECTS FOR BENEFITS (J368=9), ASSIGN RF TO J370 AND GO TO J371  
  
OTHERWISE, GO TO J946 BRANCHPOINT

J370

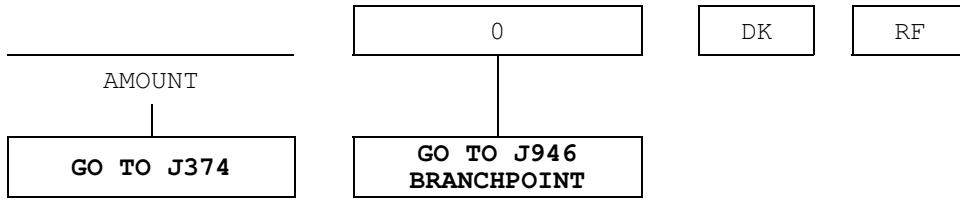
[IWER: PROBE IF NECESSARY]

How much would you receive?

[IWER: IF R IS RETIRED, ASK:]

"How much would you have received (if you retired then)?"

[IWER: DO NOT PROBE DK/RF]



J371-J373 Unfolding Sequence

Question text: Would it be a total of less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, **\$12,500**, **\$25,000**, \$40,000

RANDOM ENTRY POINTS: \$12,500, \$25,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

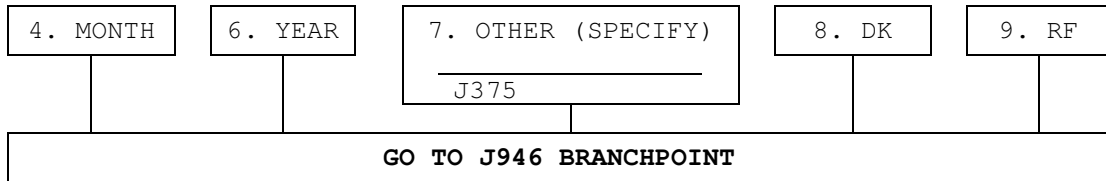
J374 BRANCHPOINT: GO TO J946 BRANCHPOINT

J374

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:





J376

ENTER LUMP SUM AMOUNT:

\_\_\_\_\_

AMOUNT

DK

RF

J946 BRANCHPOINT: IF R WAS ALREADY ASKED J946 FOR ANOTHER PLAN, GO TO J383  
IF R REPORTED RECEIVING BENEFITS NOW (J353=97), GO TO J383

J946

If you were to continue working the same number of hours [at this business/with this employer] until *AGE EXPECTS TO RECEIVE BENEFITS (per J353)*, how much do you expect your salary or annual earnings to be at age *AGE EXPECTS TO RECEIVE BENEFITS (per J353)*?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

GO TO J381

0

GO TO J383

DK

RF

J378-J380 Unfolding Sequence

Question text: Do you expect less than \$\_\_\_\_\_ per year, more than \$\_\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$30,000, **\$50,000**, **\$70,000**, \$90,000

RANDOM ENTRY POINTS: \$50,000, \$70,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J381 BRANCHPOINT: GO TO J383

J381

[IWER: PROBE IF NECESSARY]

Was that per week, month, or year?

PER:

1. HOUR

2. WEEK

3. EVERY TWO WEEKS/BI-WEEKLY

4. MONTH

5. TWICE A MONTH

6. YEAR

7. OTHER (SPECIFY)  
\_\_\_\_\_  
J382

8. DK

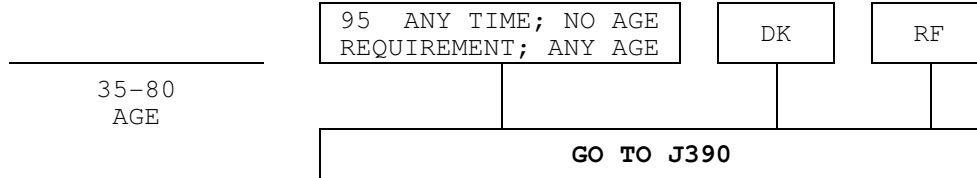
9. RF

J383

What is the earliest age at which you could leave this [business/employer] and start to receive pension benefits from [that plan/your [NAME OF PLAN per {J393}]]?

[IWER: IF R REPORTS THAT S/HE IS ALREADY ELIGIBLE, ASK:]  
 What is the earliest age at which you could have done so (that is, started to receive benefits from [that plan/your [NAME OF PLAN per {J393}]])?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]



J905

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

1-12 NUMBER OF MONTHS

J384 BRANCHPOINT: IF R CONSISTENTLY REPORTED TYPE B/DEFINED CONTRIBUTION PLAN (J393={1 or 2 or 4-14} and 338=2), GO TO J390

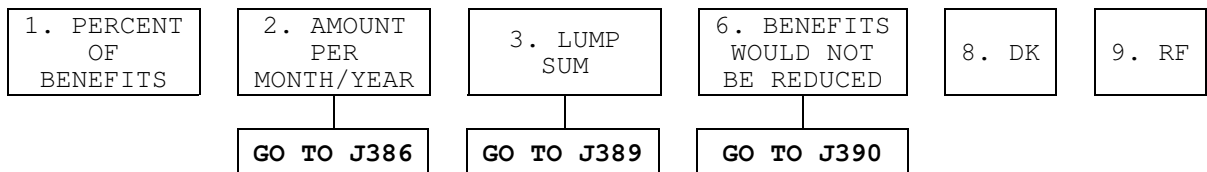
IF EARLIEST AGE R COULD {LEAVE JOB AND RECEIVE PENSION BENEFITS} (per J383) IS SAME AS {EARLIEST AGE R COULD RECEIVE FULL PENSION (per J367) or AGE R EXPECTS TO RECEIVE BENEFITS (per J353)}, GO TO J390

J384

By how much would your pension benefits be reduced from full benefits if you left this job at EARLIEST AGE TO RECEIVE PENSION (per J383)?

[IWER: IF R IS RETIRED, ASK:]  
 "By how much would your pension benefits have been reduced from full benefits if you had left this job at EARLIEST AGE TO RECEIVE PENSION (per J383)?"

[IWER: IF R MENTIONS PERCENT OF SALARY, PROBE FOR PERCENT OF BENEFITS]



J385 BRANCHPOINT: IF R DOESN'T KNOW HOW MUCH PENSION WOULD BE REDUCED (J384=8),  
ASSIGN DK TO J385 AND GO TO J795

IF R REFUSED TO SAY HOW MUCH PENSION WOULD BE REDUCED  
(J384=9), ASSIGN RF TO J385 AND GO TO J795

J385

ENTER PERCENT BY WHICH FULL BENEFITS ARE REDUCED:

[IWER: IF R MENTIONS PERCENT OF SALARY, PROBE FOR PERCENT OF BENEFITS]

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

PERCENT

DK RF

GO TO J390

J795-J797 Unfolding Sequence

Question text: Would your benefits be reduced by less than \_\_\_ percent,  
more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 15%, 30%, 50%, 65%

RANDOM ENTRY POINTS: 30%, 50%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J386 BRANCHPOINT: GO TO J390

J386

[IWER: PROBE IF NECESSARY:]  
How much would your benefits be reduced?

[IWER: DO NOT PROBE DK/RF]

_____	0	DK	RF
AMOUNT			
GO TO J387	GO TO J390		

J798-J800 Unfolding Sequence

Question text: Would your benefits be reduced by less than \$\_\_\_\_\_ per year, more than \$\_\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$2,500, **\$5,000**, **\$10,000**, \$15,000

RANDOM ENTRY POINTS: \$5000, \$10000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J387 BRANCHPOINT: GO TO J390

J387

[IWER: PROBE IF NECESSARY]  
Was that per month or year?

PER:

4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ J388	8. DK	9. RF
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J389 BRANCHPOINT: GO TO J390

J389

ENTER LUMP SUM AMOUNT:

_____	DK	RF
AMOUNT		

J390

Does the amount of your pension from [that plan/your [NAME OF PLAN per {J393}]] depend on Social Security benefits, in that when you start receiving Social Security benefits your pension benefits will be reduced?

1. YES	5. NO	8. DK	9. RF
GO TO J801			

J391

When will this change take place: automatically at age 62, automatically at age 65, when you start receiving Social Security benefits, or at some other time?

1. AT AGE 62	2. AT AGE 65	3. WHEN SOCIAL SECURITY BENEFITS BEGIN	4. AT SOME OTHER TIME	8. DK	9. RF
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J801

Do you receive a quarterly report indicating how much money is in your account?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J881 BRANCHPOINT: IF R REPORTED NOT CONTRIBUTING (J854=2), GO TO J878 BRANCHPOINT

J881

Do you make any contributions toward [that plan/your [NAME OF PLAN per {J393}]]?

1. YES	5. NO	8. DK	9. RF
GO TO J878 BRANCHPOINT			

J404

How much do you currently contribute to this plan, either as a percent of your pay or as an amount of money per month or year?

[IWER: INCLUDE CONTRIBUTIONS THROUGH SALARY DEDUCTIONS, UNION DUES OR OTHER SOURCES]

1. PERCENT OF PAY	2. AMOUNT OF MONEY PER MONTH/YEAR	8. DK	9. RF
GO TO J406			

J405 BRANCHPOINT: IF R DOESN'T KNOW HOW MUCH S/HE CONTRIBUTES TO THIS PLAN  
(J404=8), ASSIGN DK TO J405 AND GO TO J809

IF R REFUSED TO SAY HOW MUCH S/HE CONTRIBUTES (J404=9), ASSIGN  
RF TO J405 AND GO TO J809

J405

R CONTRIBUTION - PERCENT:

[IWER: ENTER PERCENT OF PAY]

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

PERCENT

GO TO J878  
BRANCHPOINT

J809-J811 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or  
what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 3%, 6%, 9%, 12%

RANDOM ENTRY POINTS: 6%, 9%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J406 BRANCHPOINT: GO TO J878 BRANCHPOINT



J937

If you were to reduce your own contribution, would your employer's contribution also be reduced?

1. YES	5. NO	8. DK	9. RF
GO TO J396			

J938

IF R IS NOT CONTRIBUTING (J881 NOT 1):

If you were to start to contribute and contributed a sufficient amount, would your employer begin contributing to this plan?

OTHERWISE:

If you increased your contribution, would your employer begin contributing to this plan?

1. YES	5. NO	8. DK	9. RF
GO TO J413			

J396

How much does your [business/employer] actually contribute, as a percent of your pay, or as an amount of money per month or year?

1. PERCENT OF PAY	2. AMOUNT OF MONEY PER MONTH/YEAR	3. PERCENT MATCH RATE	8. DK	9. RF
	GO TO J398	GO TO J894		

J397 BRANCHPOINT: IF R DOESN'T KNOW HOW MUCH BUSINESS/EMPLOYER CONTRIBUTES TO THIS PLAN (J396=8), ASSIGN DK TO J397 AND GO TO J806

IF R REFUSED TO SAY HOW MUCH BUSINESS/EMPLOYER CONTRIBUTES (J396=9), ASSIGN RF TO J397 AND GO TO J806



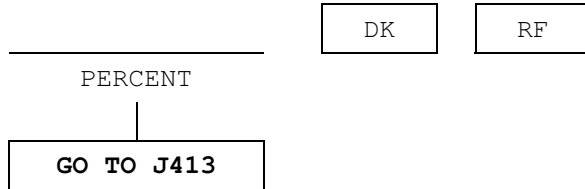
J397

EMPLOYER CONTRIBUTION - PERCENT

[IWER: ENTER PERCENT OF PAY]

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R RESPONDS WITH A PERCENT OF PAY GREATER THAN 20, PROBE "WE ARE NOT ASKING ABOUT YOUR EMPLOYER'S MATCH RATE. PLEASE TELL US THE PERCENT OF YOUR PAY OR THE DOLLAR AMOUNT THAT YOUR EMPLOYER IS CONTRIBUTING."]



J806-J808 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 3%, 6%, 9%, 12%

RANDOM ENTRY POINTS: 6%, 9%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

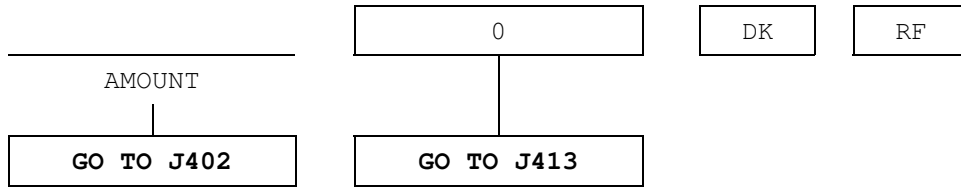
J398 BRANCHPOINT: GO TO J413

J398

EMPLOYER CONTRIBUTION - AMOUNT

[IWER: ENTER EMPLOYER CONTRIBUTED AMOUNT]

[IWER: DO NOT PROBE DK/RF]



J399-J401 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_ per year, more than \$\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$1,500, \$5,000, \$10,000, \$30,000

RANDOM ENTRY POINTS: \$5,000, \$10,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J402 BRANCHPOINT: GO TO J413

J402

[IWER: PROBE IF NECESSARY]

Is that per hour, week, every two weeks, per month or per year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J403		8. DK	9. RF

J894 BRANCHPOINT: GO TO J413

J894

EMPLOYER CONTRIBUTION - PERCENT MATCH RATE

[IWER: ENTER PERCENT MATCH RATE]

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

PERCENT

DK	RF
----	----

GO TO J413

J895-J897 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 35%, 50%, 65%, 80%

RANDOM ENTRY POINTS: 50%, 65%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

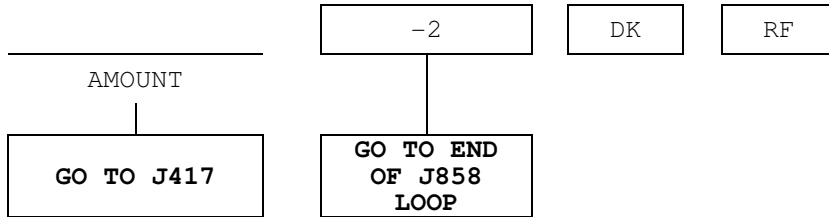
J413

IF TYPE B PLAN:  
How much money is in your account at present?

OTHERWISE:  
For that part of your plan where money is accumulated in an account, how much is in the account at present?

[IWER: IF R SAYS 'DOES NOT HAVE AN ACCOUNT', ENTER [-2]]

[IWER: DO NOT PROBE DK/RF]



J414-J416 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$5,000, **\$20,000**, **\$50,000**, \$150,000  
 RANDOM ENTRY POINTS: \$20,000, \$50,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

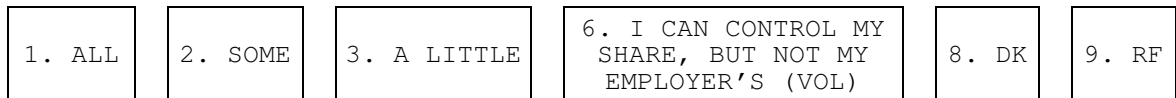
J417

Are you able to choose how any of the money in [that plan/your [NAME OF PLAN per {J393}]] is invested?



J939

Are you able to choose how all of the money in this plan is invested, some of it, or a little of it?



J812

About what percent of this account is invested in stocks?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

PERCENT

DK

RF

|

GO TO J660

J813-J815 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 20%, 40%, 60%, 80%

RANDOM ENTRY POINTS: 40%, 60%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J660 BRANCHPOINT: IF R HAS NO CHOICE IN HOW MONEY IS INVESTED (J417=5), GO TO J664 BRANCHPOINT

J660

Have you changed your investment allocations [since R's LAST IW YEAR/in the last two years]?

1. YES

5. NO

8. DK

9. RF

GO TO  
J662

GO TO J664  
BRANCHPOINT

J661

Have you ever changed your investment allocations?

1. YES

5. NO

8. DK

9. RF

GO TO J664 BRANCHPOINT

J662 (Tag#=J419.3)

How have you changed the allocation?

Have you changed it to more stocks, less stocks, or what?

1. MORE  
STOCKS

3. LESS  
STOCKS

7. OTHER (SPECIFY)  
\_\_\_\_\_  
J663  
(Tag#=J419.4)

8. DK

9. RF

J664 BRANCHPOINT: IF R DOES NOT HAVE ANY MONEY INVESTED IN STOCKS (J812=0), GO TO END OF J858 LOOP

IF R IS A GOVERNMENT EMPLOYEE ({J720 or Z244}=1), GO TO END OF J858 LOOP

J664 (Tag#=J419.5)  
Is any money in this account invested in your employer's stock?

1. YES	5. NO	7. NOT APPLICABLE (E.G., NON-PROFIT)	8. DK	9. RF
GO TO END OF J858 LOOP				

J816  
About what percent of the total funds in this account is in your employer's stock?

[IWER: DO NOT PROBE DK/RF]

PERCENT	DK	RF
GO TO END OF J858 LOOP		

J817-J819 Unfolding Sequence

Question text: Is it less than \_\_\_ percent, more than \_\_\_ percent, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 20%, **40%**, **60%**, 80%

RANDOM ENTRY POINTS: 40%, 60%

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

*\*\*\*END OF J858 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS J858 THROUGH J819 (BEFORE J431 BRANCHPOINT) FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J335 (J335=>1) or FOR UP TO TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT J336 (J336=3). IF NOT, CONTINUE ON TO J431 BRANCHPOINT or FOR UP TO ONE PLAN IF R SAID DIDN'T KNOW AT J336 (J336=8).\*\*\**

J431 BRANCHPOINT: IF {R HAS FEWER THAN 5 PLANS or R SAID DOESN'T KNOW HOW MANY PLANS} (J335 {< 5 or DK}), GO TO J432

J431

Altogether how much do you have in your account balance(s) for any other pension plans or savings plan(s) from this job?

\_\_\_\_\_

AMOUNT

DK

RF

J432

Other than the plans you already told us about, does your [business/employer] offer any other kind of tax-deferred savings, 401K, or other such account plans for which you are eligible?

Please do not include IRA or KEOGH plans that are not sponsored by your [business/employer].

1. YES

5. NO

8. DK

9. RF

GO TO J434 LOOP BRANCHPOINT

J883

Is it a 401K, a Supplemental Retirement Account (SRA), a defined benefit, a defined contribution, or what?

(What does your employer call it?)

[IWER: CHOOSE ALL THAT APPLY]

1. 401K PLAN	2. SUPPLEMENTAL RETIREMENT ACCOUNT (SRA)	3. DEFINED BENEFIT PLAN	4. DEFINED CONTRIBUTION PLAN	5. 401A
6. 403B PLAN	7. 457 PLAN	8. THRIFT/SAVINGS PLAN (TSP)	9. PROFIT-SHARING PLAN	10. EMPLOYEE STOCK OWNERSHIP PLAN (ESOP)
11. MONEY PURCHASE PLAN	12. PORTABLE CASH OPTION PLAN	13. EMPLOYEE STOCK PURCHASE PLAN (ESPP)	14. SEP OR SIMPLE PLAN	
15. COMBINATION PLAN	16. CASH BALANCE PLAN	97. OTHER (SPECIFY) _____ J902	98. DK	99. RF

J434 LOOP BRANCHPOINT: IF THIS IS A NOT A REINTERVIEW R (Z076 NOT 1), GO TO J478 (AFTER JW072\_1)

IF R DID NOT REPORT {EXPECTING FUTURE BENEFITS OR LEFT AN ACCOUNT TO ACCUMULATE} AT ANY PREVIOUS IW (Z140=EMPTY), GO TO JW066\_1 BRANCHPOINT

\*\*\*BEGINNING OF J434 LOOP: QUESTIONS (J434 THROUGH J470 UNFOLDINGS) ARE REPEATED FOR UP TO FOUR PENSIONS ACCUMULATED AT PREVIOUS INTERVIEWS.\*\*\*

J434 BRANCHPOINT: IF RECORDS INDICATE R HAD AN ACCOUNT LEFT TO ACCUMULATE (TYPE B) (Z140={2 or 4}), GO TO J450

J434

Our records show that in *R's IW YEAR THAT REPORTED EXPECTED BENEFITS (per Z200)* you were expecting future benefits from a job where you worked from approximately *JOB START YEAR (per Z142)* until *JOB END YEAR (per Z143)*.

Are you still expecting future benefits, are you receiving benefits now, did you receive a cash settlement, have you lost your benefits, or what?

[IWER: IF R HAD MORE THAN ONE 'TYPE A' PLAN FROM THIS EMPLOYER, ASK ABOUT THE MOST IMPORTANT OF THE PLANS]

[IWER: CHOOSE ALL THAT APPLY]

1. STILL EXPECTING BENEFITS	2. RECEIVING BENEFITS NOW	3. RECEIVED CASH SETTLEMENT	4. ROLLED OVER INTO IRA	
5. RECORDS INACCURATE	6. LOST BENEFITS	7. OTHER (SPECIFY) J435	8. DK	9. RF

J436 BRANCHPOINT: IF R IS NOT RECEIVING BENEFITS NOW (J434 NOT 2), GO TO J443 BRANCHPOINT

J436

In what year did you start receiving benefits?

\_\_\_\_\_ YEAR

DK	RF
----	----

J437

How much are the benefits per month or year?

	0	DK	RF
AMOUNT			
GO TO J441	GO TO J714 (AFTER J442)		

J438-J440 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$200, **\$400**, **\$1,200**, \$1,600

RANDOM ENTRY POINTS: \$400, \$1,200

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J441 BRANCHPOINT: GO TO J714

J441

PER:

4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ J442	8. DK	9. RF
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J714 (Tag#=J442.1)

Are the benefits adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
GO TO J443 BRANCHPOINT			

J715 (Tag#=J442.2)

Are the benefits adjusted automatically?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J443 BRANCHPOINT: IF R DID NOT RECEIVE CASH SETTLEMENT (J434 NOT 3), GO TO J448 BRANCHPOINT



J443

In what year did you receive the cash settlement?

\_\_\_\_\_

YEAR

J444

How much was the cash settlement?

\_\_\_\_\_

AMOUNT

|

**GO TO J898**

J445-J447 Unfolding Sequence

Question text: Did it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: 2,000, **\$6,000**, **\$60,000**, \$250,000

RANDOM ENTRY POINTS: \$6,000, \$60,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J898

What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ J899	8. DK	9. RF

J448 BRANCHPOINT: IF R DID NOT ROLL IT OVER INTO AN IRA (J434 NOT 4), GO TO J911 BRANCHPOINT

J448

In what year did you roll it over?

\_\_\_\_\_

YEAR

J449

How much did the rollover amount to?

\_\_\_\_\_

AMOUNT

DK

RF

J911 BRANCHPOINT: IF R REPORTED RECEIVING BENEFITS NOW (J434=2), GO TO END OF J434 LOOP

IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM PENSION (J434 NOT 1) *or* THIS IS A LIVING PROXY INTERVIEW (A009={2 *or* 3}), GO TO END OF J434 LOOP (AFTER J473)

J911

At what age do you expect to start receiving (the rest of your) benefits from this plan?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

40-94 AGE

\_\_\_\_\_

AGE

96 ALREADY RECEIVING ALL BENEFITS

DK

RF

**GO TO END OF J434 LOOP (AFTER J473)**

J912

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

\_\_\_\_\_

1-12 NUMBER OF MONTHS

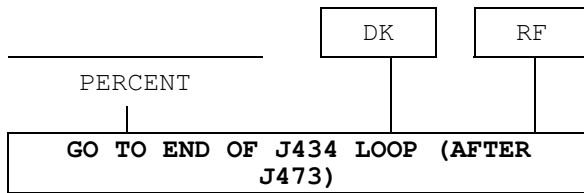
J913

About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY	2. AMOUNT PER MONTH/YEAR	3. LUMP SUM	8. DK	9. RF
	GO TO J915	GO TO J921	GO TO END OF J434 LOOP (AFTER J473)	

J914

[IWER: ENTER PERCENT OF FINAL PAY]

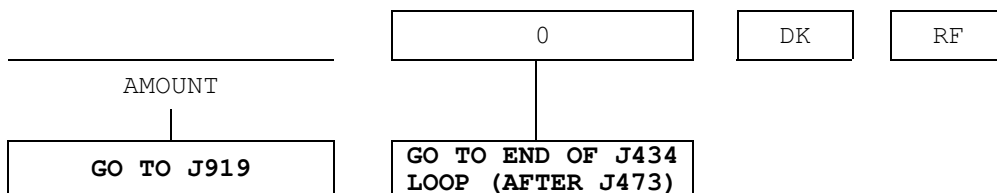


J915

[IWER: PROBE IF NECESSARY]

How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]



J916-J918 Unfolding Sequence

Question text: Do you expect a total of less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$500, **\$1,000**, **\$2,000**, \$3,000

RANDOM ENTRY POINTS: \$1,000, \$2,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

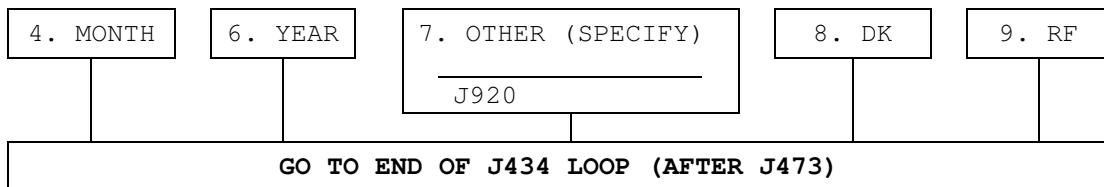
J919 BRANCHPOINT: GO TO END OF J434 LOOP (AFTER J473)

J919

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:



J921

[IWER: ENTER LUMP SUM AMOUNT]

\_\_\_\_\_

AMOUNT

DK

RF

J450 BRANCHPOINT: GO TO END OF J434 LOOP (AFTER J473)

J450

Our records show that in *R's IW YEAR THAT REPORTED HAD PENSION ACCOUNT (per Z200)*, you had a pension account from a job where you worked from approximately *JOB START YEAR (per Z142)* until *JOB END YEAR (per Z143)*.

Do you still have the pension account, did you withdraw the money, roll it over into an IRA, convert the account to an annuity, transfer to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments, or what?

[IWER: CHOOSE ALL THAT APPLY]

1. STILL HAVE ACCOUNT	2. WITHDREW THE MONEY	3. ROLLED OVER INTO IRA	
4. CONVERT TO ANNUITY	5. TRANSFERRED TO NEW EMPLOYER	6. LOST BENEFITS	7. RECEIVED/RECEIVING INSTALLMENTS
95. RECORDS INACCURATE	97. OTHER (SPECIFY) _____ J451	98. DK	99. RF

J452 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY FROM THE PENSION ACCOUNT (J450 NOT 2), GO TO J457 BRANCHPOINT

J452

In what year did you withdraw the money?

\_\_\_\_\_

YEAR

DK

RF

J453

About how much money was withdrawn?

\_\_\_\_\_

AMOUNT

DK

RF

**GO TO J667**

J454-J456 Unfolding Sequence

Question text: Did it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$1,500, **\$7,000**, **\$17,000**, \$45,000

RANDOM ENTRY POINTS: \$7,000, \$17,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J667 (Tag#=J453.1)

What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ J668 (Tag#=J453.2)	8. DK	9. RF

J457 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (J450 NOT 5), GO TO J459 BRANCHPOINT

J457

In what year did you transfer the money?

\_\_\_\_\_

YEAR

DK

RF

J458

About how much money did you transfer?

\_\_\_\_\_

AMOUNT

DK

RF

J459 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY (J450 NOT 4), GO TO J838 BRANCHPOINT

J459

In what year did you convert to an annuity?

\_\_\_\_\_    
YEAR

J460

About how much money was converted to an annuity?

\_\_\_\_\_    
AMOUNT

J461

In what year was that annuity started?

\_\_\_\_\_    
YEAR

J462

How much are the benefits per month or year?

\_\_\_\_\_     
AMOUNT

J463

PER:

\_\_\_\_\_    
J464

J669 (Tag#=J464.1)  
 IF R IS MARRIED/PARTNERED (X065={1 or 3}):  
 Will this payment continue only as long as you live, as long as either  
 you or your [husband/wife/partner] live, is it for a fixed time period,  
 or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time  
 period, or what?

1. AS LONG AS R LIVES	2. AS LONG AS R OR SPOUSE/PARTNER LIVES	3. FOR A FIXED TIME PERIOD
<b>GO TO J674 BRANCHPOINT</b>		

7. OTHER (SPECIFY)  _____ J670 (Tag#=J464.2)	8. DK	9. RF
<b>GO TO J674 BRANCHPOINT</b>		

J671 (Tag#=J464.3)  
 What is that time period?

_____ OR _____	_____ OR _____	DK	RF
J671 (Tag#=J464.3) UNTIL YEAR	J672 (Tag#=J464.4) UNTIL AGE		J673 (Tag#=J464.5) YEARS

J674 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO J838 BRANCHPOINT

J674 (Tag#=J464.6)  
 If you or your [husband/wife/partner] should die, will the income from  
 the annuity change, stay the same, or what?

1. CHANGE	3. STAY THE SAME	7. OTHER (SPECIFY)  _____ J675 (Tag#=J464.7)	8. DK	9. RF
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J838 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS (J450 NOT 7), GO TO J465 BRANCHPOINT

J838

How much money in total did you receive in installments in the last calendar year?

\_\_\_\_\_

AMOUNT

DK

RF

**GO TO J465  
BRANCHPOINT**

J891-J893 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$400, **\$1,500, \$10,000**, \$200,000  
 RANDOM ENTRY POINTS: \$1,500, \$10,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X508  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J465 BRANCHPOINT: IF R DID NOT REPORT THAT STILL HAS THE PENSION ACCOUNT (J450 NOT 1), GO TO J469 BRANCHPOINT

J465

About how much money is in that account at the present time?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK

RF

**GO TO J469  
BRANCHPOINT**

J466-J468 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down  
 BREAKPOINTS: \$5,000, **\$20,000, \$50,000**, \$150,000  
 RANDOM ENTRY POINTS: \$20,000, \$50,000  
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507  
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND



J469 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA (J450 NOT 3), GO TO GO TO END OF J434 LOOP (AFTER J473)

J469

In what year did you roll it over into an IRA?

\_\_\_\_\_    
YEAR

J470

About how much money did you roll over into an IRA?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_    
AMOUNT

**GO TO END OF J434 LOOP (AFTER J473)**

J471-J473 Unfolding Sequence

Question text: Did it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$10,000, **\$40,000**, **\$125,000**, \$300,000

RANDOM ENTRY POINTS: \$40,000, \$125,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X508

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

**\*\*\*END OF J434 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS (J434 THROUGH J470 UNFOLDINGS) FOR UP TO FOUR PENSION PLANS. IF NOT, OR ALREADY HAS BEEN ASKED ABOUT FOUR PENSIONS, CONTINUE ON TO JW066\_1 BRANCHPOINT.\*\*\***

JW066\_1 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) and HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5), GO TO J478 (AFTER JW073\_1)

IF R's AGE GREATER THAN 70.5 (per A019), GO TO J478 (AFTER JW073\_1)

**NOTE: J705, NOT WORKING CURRENTLY AND IN PAST 2 WAVES, IS A SUMMARY VARIABLE COMPOSED OF THE FOLLOWING CONDITIONS:**

(a) R REPORTED IN CURRENT IW THAT {IS NOT WORKING (J020=5) and IS NOT {UNEMPLOYED or TEMPORARILY {LAID OFF OR ON LEAVE}} (J005 {NOT 2 and NOT 3})}; and

(b) Z211=1, WHICH IS THE FOLLOWING: R REPORTED BOTH {IN 2004 and IN 2006} THAT DID NOT WORK FOR PAY (J020=5).

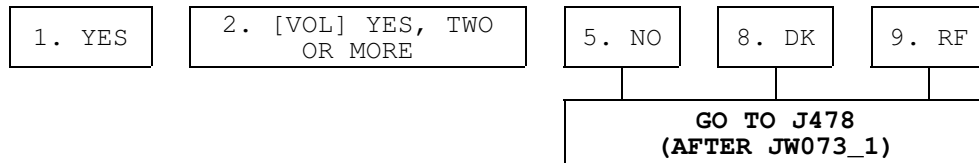
**J705=1 MEANS THAT THE VARIABLE CONDITIONS ARE TRUE.**

\*\*\*BEGINNING OF PAST PENSION BLOCK-1  
(JW066\_1 — JW073\_1)

JW066\_1

READ SLOWLY:

Do you have any (other) pension plans from former jobs from which you are not currently receiving any income payments and that you have not already told me about?

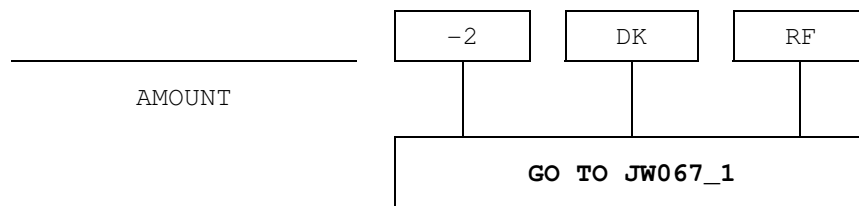


JW069\_1

The next few questions relate to retirement plans from other current or former jobs from which you are not currently receiving any income payments, and that you have not already told me about.

Thinking first about the type of plans that accumulate a balance, what is the sum of the balance in all the remaining pension plans where you expect to receive benefits in the future?

[IWER: IF R SAYS 'DOES NOT HAVE ANY ACCOUNT(S)', ENTER [-2]]



JW070\_1

Could you withdraw the money from [these accounts/this account] if you wanted to?

[IWER: IF R REPORTED COULD WITHDRAW NOW OR AT SOME FUTURE DATE, CHOOSE A RELEVANT ANSWER—(YES, ALL or YES, SOME)]

1. YES, ALL	2. YES, SOME	5. NO	8. DK	9. RF
GO TO JW067_1				

JW071\_1

Do you plan to withdraw money from [this account/these accounts] in the future, do you plan to convert money in [this account/these accounts] to a regular monthly annuity payment, do you plan to roll the [account/accounts] over to an IRA, or what?

[IWER: CHOOSE ALL THAT APPLY]

1. WITHDRAW MONEY	2. CONVERT TO ANNUITY	3. ROLL OVER TO IRA
7. OTHER (SPECIFY) _____ JW072_1	8. DK	9. RF

JW067\_1

Now thinking about the type of plans that give regular payments and considering only retirement plans from other current or former jobs from which you are not currently receiving any income payments, how much do you expect to receive in total from all such plans per month or per year?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R SAYS 'DOES NOT HAVE ANY PLANS OF THIS TYPE', ENTER [-2]]

_____	0	-2	DK	RF
AMOUNT				
GO TO J478 (AFTER JW073_1)				

JW068\_1

PER:

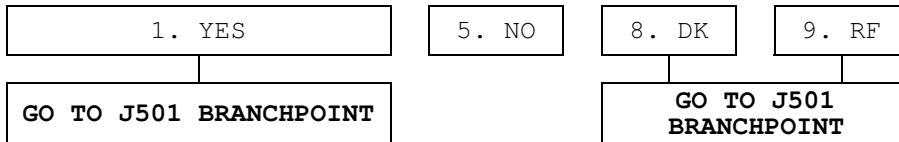
4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ JW073_1	8. DK	9. RF
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END OF PAST PENSION BLOCK-1

(JW066\_1 — JW073\_1)

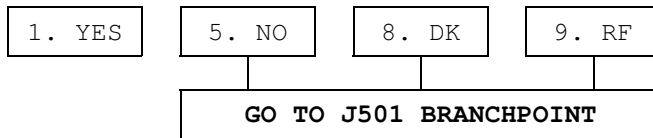
J478

Do you currently receive any income from Social Security?



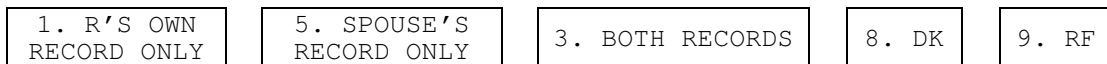
J479

Do you expect to receive Social Security benefits at some time in the future?



J719

Do you expect to get them based on your own work record only, from a current, previous or late spouse's work record only, or both?

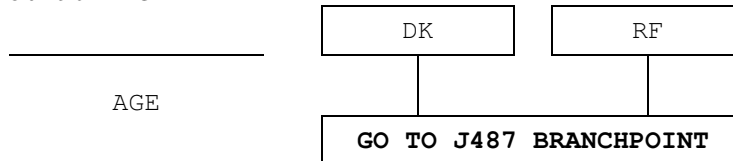


J480

At what age do you expect to start collecting these benefits?

[IWER: IF R MENTIONS AGE AND SOME NUMBER OF MONTHS, E.G., 59 AND ½, ENTER AGE HERE AND GO TO THE NEXT SCREEN TO ENTER MONTHS]

30-96 AGE



J910

[IWER: IF R REPORTS AGE AND MONTHS, ENTER NUMBER OF MONTHS]

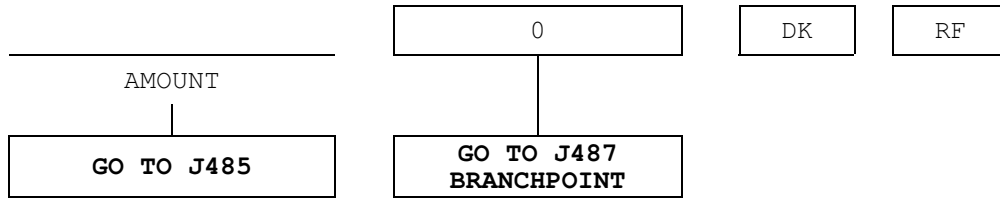
[IWER: IF R DID NOT MENTION MONTHS, PRESS [ENTER] TO CONTINUE]

\_\_\_\_\_  
1-12 NUMBER OF MONTHS

J481

If you start collecting Social Security benefits then, about how much do you expect the payments to be in today's dollars?

[IWER: DO NOT PROBE DK/RF]



J482-J484 Unfolding Sequence

Question text: Do you expect it to be less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$250, **\$500**, **\$750**, \$1,750

RANDOM ENTRY POINTS: \$500, \$750

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X509

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J485 BRANCHPOINT: GO TO J487 BRANCHPOINT

J485

PER:

2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	6. YEAR
7. OTHER (SPECIFY) _____ J486	95. LUMP SUM	98. DK	99. RF

J487 BRANCHPOINT: IF THIS IS A PROXY (A009 NOT 1), GO TO J501 BRANCHPOINT

IF R's AGE IS GREATER THAN 62 (A019>62), GO TO J501 BRANCHPOINT

IF R EXPECTS TO START COLLECTING BENEFITS AT AGE 62 (J480=62), GO TO J501 BRANCHPOINT

J487

**IF R's AGE IS LESS THAN 62:**

R IS NOT RETIRED (J005 NOT 5):

If you were to retire at age 62 and collect benefits, what do you think your Social Security benefits would be in today's dollars?

IF R IS RETIRED (J005=5):

If you were to start collecting benefits at age 62, what do you think your Social Security benefits would be in today's dollars?

**IF R's AGE IS 62:**

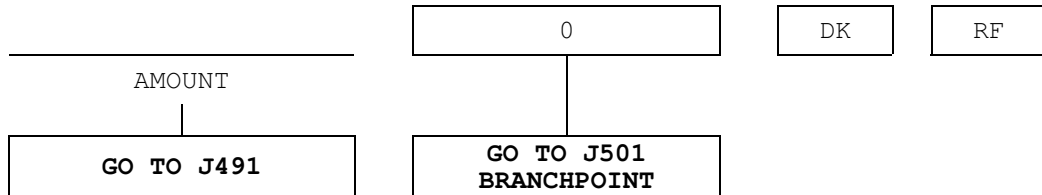
R IS NOT RETIRED (J005 NOT 5):

If you were to retire now and collect benefits, what do you think your Social Security benefits would be in today's dollars?

IF R IS RETIRED (J005=5):

If you were to start collecting benefits now, what do you think your Social Security benefits would be in today's dollars?

[IWER: DO NOT PROBE DK/RF]



J488-J490 Unfolding Sequence

Question text: Do you expect it to be less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$500, \$700, \$900, \$1,200

RANDOM ENTRY POINTS: \$700, \$900

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J491 BRANCHPOINT: GO TO J501 BRANCHPOINT

J491

PER:

2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J492	8. DK
		9. RF

J501 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) *and* HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) *and* DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J578

IF R REPORTED {IN CURRENT IW *and* IN THE LAST TWO WAVES} THAT WAS NOT WORKING (J705=1), GO TO J578

IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT *and* REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J578

IF THIS IS A PROXY INTERVIEW *and* R IS CURRENTLY WORKING (J020=1), GO TO J505 BRANCHPOINT

IF THIS IS A PROXY INTERVIEW *and* R IS NOT CURRENTLY WORKING (J020 NOT 1), GO TO J596 BRANCHPOINT

IF R IS NOT CURRENTLY WORKING (J020 NOT 1), GO TO J517

IF R IS CURRENTLY SELF-EMPLOYED (J021=2) *and* R WAS SELF-EMPLOYED AT R'S LAST IW (Z136=2) *and* {{{START DATE FOR CURRENT JOB per J248&J256 or J248&J249} ≤ R'S LAST IW MONTH, YEAR} or WE DON'T KNOW (MONTH or YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249}={DK or RF or EMPTY})}, GO TO J505 BRANCHPOINT

IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R {DID NOT REPORT THAT HAS SAME JOB TITLE *and* DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 *and* NOT 3}), CONTINUE ON TO J501

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J505 BRANCHPOINT

NOTE: QUESTIONS J501 THROUGH J676 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R'S LAST IW, OR FOR WHOM IT IS UNCERTAIN, ({J045 NOT {1 or 3}) or {J058 {NOT 1 and NOT 3}}) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R'S LAST IW *and* HAVE THE SAME TITLE **WILL BE ASKED THIS SERIES IN 2008 AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016).**

J501

Now we have some questions about your work experience.

Counting high school graduation as 12 years, about how many years of school would you say are needed to do your job reasonably well?

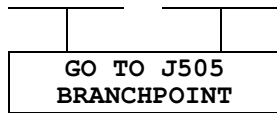


J502

(For someone with this much formal schooling,) About how much experience do you think it would take to become good at your job?



AMOUNT OF EXPERIENCE



J503 UNIT:

1. HOURS	2. WEEKS	4. MONTHS	6. YEARS
7. OTHER (SPECIFY) J504		8. DK	9. RF

J676 (Tag#=J504.1)

Is your current job covered by FICA where social security taxes are paid?

(Do you pay social security taxes?)

1. YES	5. NO	8. DK	9. RF
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J505 BRANCHPOINT: IF THIS IS A PROXY INTERVIEW and R IS CURRENTLY WORKING (J020=1), GO TO J553

NOTE: THIS BRANCHPOINT IS INTENDED TO JUMP OUT THOSE WHO JUMPED TO J676 UNDER IDENTICAL CONDITIONS AT THE 501 BRANCHPOINT

J505

Sometimes people look for a different job even when they are currently working. Are you currently looking for another job?

1. YES	5. NO	8. DK	9. RF
GO TO J514			

J506

Are you looking for jobs only in this area, or are you considering jobs that would require you to move?

1. JOBS IN THIS AREA	2. JOBS REQUIRING A MOVE	3. BOTH/EITHER ONE	8. DK	9. RF
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J507

Are you looking for part-time or full-time work?

1. PART-TIME	2. FULL-TIME	3. EITHER KIND	8. DK	9. RF
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J508

Are you looking for the same kind of work you're doing now, or something different?

1. SAME	2. EITHER/BOTH	3. DIFFERENT	8. DK	9. RF
GO TO J510		GO TO J510		

J509

How would it be different?

\_\_\_\_\_

\_\_\_\_\_

DK	RF
----	----

J510

During the past four weeks, what have you been doing to find another job?

[IWER: DO NOT READ CATEGORIES. CHOOSE ALL THAT APPLY.]

1. CHECKED WITH PUBLIC EMPLOYMENT AGENCY	2. CHECKED WITH PRIVATE EMPLOYMENT AGENCY	3. VISITED EMPLOYERS DIRECTLY	4. TELEPHONED OR WROTE EMPLOYERS DIRECTLY
5. ASKED FRIENDS OR RELATIVES	6. PLACED OR ANSWERED ADS	7. READ ADS	8. DIDN'T DO ANYTHING SPECIFIC
97. OTHER (SPECIFY) _____		98. DK	99. RF
J511			

J512 BRANCHPOINT: IF R DID NOT VISIT EMPLOYERS DIRECTLY (J510 NOT 3), GO TO J513 BRANCHPOINT

J512

How many employers did you visit?

\_\_\_\_\_

DK	RF
----	----

NUMBER OF EMPLOYERS

J513 BRANCHPOINT: IF R DID NOT {TELEPHONE OR WRITE} EMPLOYERS DIRECTLY (J510 NOT 4), GO TO J514 BRANCHPOINT

J513

How many employers did you call or write?

DK

RF

NUMBER OF EMPLOYERS

J514 BRANCHPOINT: IF R WAS ASKED WHAT S/HE WAS DOING TO FIND ANOTHER JOB AT J510, GO TO J517 BRANCHPOINT

J514

If you found out about another job like the one you have now, would you look into it?

1. YES

2. PROBABLY

3. MAYBE

4. PROBABLY NOT

5. NO

7. OTHER (SPECIFY)

8. DK

9. RF

J515

J517 BRANCHPOINT: GO TO J537

J517

Have you been doing anything to find work during the last four weeks?

1. YES

5. NO

8. DK

9. RF

GO TO J527

J518

Are you looking for part-time or full-time work?

1. PART-TIME

2. FULL-TIME

3. EITHER KIND

8. DK

9. RF

J519

Are you looking for the same kind of work you did before, or something different?

1. SAME	2. DIFFERENT	3. EITHER OR BOTH	8. DK	9. RF
GO TO J521		GO TO J521		

J520

How would it be different?

\_\_\_\_\_

\_\_\_\_\_

DK	RF
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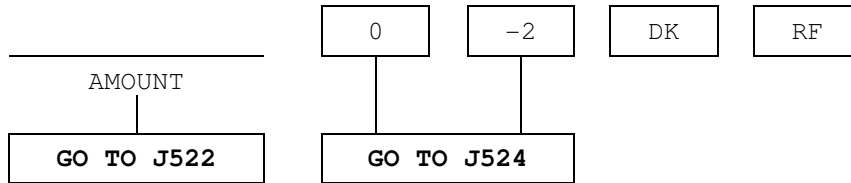
J521

If you were to be offered work like that, how high would the wage or salary have to be for you to take it?

[IWER: IF R SAYS 'NO AMOUNT', ENTER [-2]]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]



J842-J844 Unfolding Sequence

Question text: Would it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$12,000, **\$16,000**, **\$20,000**, \$32,000

RANDOM ENTRY POINTS: \$16,000, \$20,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

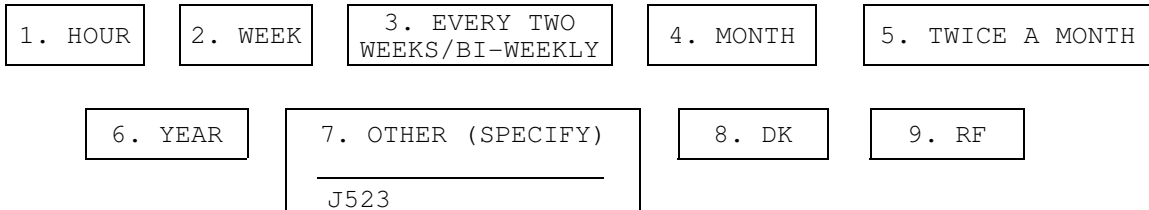
J522 BRANCHPOINT: GO TO J524

J522

[IWER: PROBE IF NECESSARY]

Is that per hour, week, month, or year?

PER:



J524

What are all of the things you have done to find work during the last four weeks?

[IWER: DO NOT READ CATEGORIES. CHOOSE ALL THAT APPLY.]

1. READ ADS	2. ATTEND SCHOOL OR RECEIVED OTHER TRAINING	3. CHECKED WITH PUBLIC EMPLOYMENT AGENCY	4. CHECK WITH PRIVATE EMPLOYMENT AGENCY
5. VISITED EMPLOYERS DIRECTLY	6. TELEPHONED OR WROTE EMPLOYERS DIRECTLY	7. ASKED FRIENDS OR RELATIVES	8. PLACED OR ANSWERED ADS
96. DIDN'T DO ANYTHING SPECIFIC	97. OTHER (SPECIFY) _____	98. DK	99. RF

J525

**GO TO J535**

NOTE: CODE "96. DIDN'T DO ANYTHING SPECIFIC" CANNOT BE SELECTED WITH ANY OTHER CODE.

J526 BRANCHPOINT: IF R {DID NOT VISIT *and* DID NOT TELEPHONE} ANY EMPLOYERS DIRECTLY (J524 {NOT 5 *and* NOT 6}), GO TO J578

J526

How many employers did you [visit/call or write/visit, call, or write]?

\_\_\_\_\_

NUMBER OF EMPLOYERS VISITED,  
CALLED OR WRITTEN

DK	RF
----	----

**GO TO J578**

J527

Do you currently want a job, either full-time or part-time?

1. YES	3. DEPENDS	5. NO	6. CAN'T WORK	8. DK	9. RF
		<b>GO TO J529</b>	<b>GO TO J578</b>	<b>GO TO J529</b>	

J528

Would you prefer part-time or full-time?

1. PART-TIME	2. FULL-TIME	3. EITHER	8. DK	9. RF
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J529

If someone offered you a regular job now, how high would the wage or salary have to be for you to take it?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R SAYS 'NO AMOUNT', ENTER [-2]]

[IWER: IF R SAYS 'UNABLE TO WORK', ENTER [-3]]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT	0	-2	-3	DK	RF
GO TO J533	GO TO J535		GO TO J578		

J530-J532 Unfolding Sequence

Question text: Would it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$12,000, **\$16,000**, **\$20,000**, \$32,000

RANDOM ENTRY POINTS: \$16,000, \$20,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J533 BRANCHPOINT: GO TO J535

J533

[IWER: PROBE IF NECESSARY]

Is that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J534	8. DK	9. RF	

J535

What is the main reason you were not looking for work during the last four weeks?

[IWER: DO NOT READ CATEGORIES]

1. BELIEVES NOTHING AVAILABLE IN LINE OF WORK	2. DOESN'T BELIEVE CAN FIND WORK	3. DOESN'T HAVE NEEDED SKILLS/EXPERIENCE	4. BELIEVES EMPLOYERS THINK TOO OLD
5. BELIEVES EMPLOYERS WON'T HIRE OLDER WOMEN OR MINORITIES	6. POOR HEALTH, DISABILITY	7. FAMILY RESPONSIBILITIES /CHILD RESPONSIBILITIES	8. TRANSPORTATION PROBLEMS
95. NO PARTICULAR REASON	97. OTHER (SPECIFY) J536	98. DK	99. RF

J537 BRANCHPOINT: GO TO J578

J537

I'll read some statements that are true for some people's jobs but not for other people's jobs. Thinking of your job, please tell me how often these statements are true.

1. CONTINUE

J538 BRANCHPOINT: IF R DID NOT REPORT THAT HAS SAME EMPLOYER AS AT LAST IW (J045 NOT {1 or 3}) or R {DID NOT REPORT THAT HAS SAME JOB TITLE and DID NOT REPORT THAT IS RETIRED AND WORKING FOR SAME EMPLOYER} AS AT LAST IW (J058={NOT 1 and NOT 3}), CONTINUE ON TO J538

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J732>0), GO TO J544

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FINAL VERSION 2 — 4/23/2009

NOTE: QUESTIONS J538 THROUGH J543 ARE ALWAYS ASKED OF NEW INTERVIEW Rs. ANY REINTERVIEW R WHO CHANGED HIS/HER EMPLOYER OR JOB TITLE SINCE R's LAST IW, OR FOR WHOM IS UNCERTAIN, ({J045 NOT {1 or 3}} or {J058 {NOT 1 and NOT 3}}) IS ALSO ASKED THIS SERIES.

IN ADDITION, ALL OTHER REINTERVIEW Rs WHO HAVE THE SAME JOB TITLE AS AT R's LAST IW **WILL BE ASKED THIS SERIES IN 2008** AND EVERY 4<sup>TH</sup> WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2002.

	1. ALL OR ALMOST ALL OF THE TIME	2. MOST OF THE TIME	3. SOME OF THE TIME	4. NONE OR ALMOST NONE OF THE TIME	5. DOES NOT APPLY	8. DK	9. RF
J538 My job requires lots of physical effort. Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?							
J539 (My job requires) lifting heavy loads. (Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)							
J540 (My job requires) stooping, kneeling, or crouching. (Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)							
J541 (My job requires) good eyesight. (Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)							
J542 (My job requires) intense concentration or attention. (Is this true all or almost all of the time, most of the time, some of the time, or none or almost none of the time?)							



<p>J543                  (My job requires)                  skill in dealing                  with other people.                  (Is this true all                  or almost all of the                  time, most of the time,                  some of the time, or                  none or almost none of                  the time?)</p>						
--	--	--	--	--	--	--

J544

IF R WAS READ J537 (J537=1):  
 (My job requires) me to work with computers. (Is this true all or almost  
 all of the time, most of the time, some of the time, or none or almost  
 none of the time?)

OTHERWISE:  
 Thinking of your job, please tell me how often this statement is true.

My job requires me to work with computers. Is this true all or almost all  
 of the time, most of the time, some of the time, or none or almost none  
 of the time?

- |                                     |                        |                        |
|-------------------------------------|------------------------|------------------------|
| 1. ALL OR ALMOST ALL<br>OF THE TIME | 2. MOST OF THE<br>TIME | 3. SOME OF THE<br>TIME |
| 4. NONE OR ALMOST NONE OF THE TIME  | 8. DK                  | 9. RF                  |

J545

Here are some (more) statements that are true for some people's jobs but not for others. (Again) Thinking of your job, [please/this time] indicate how much you agree or disagree with each statement.

1. CONTINUE
-------------

	1. STRONGLY AGREE	2. AGREE	3. DISAGREE	4. STRONGLY DISAGREE	5. DOES NOT APPLY	8. DK	9. RF
J546 My job requires me to do more difficult things than it used to. Do you strongly agree, agree, disagree or strongly disagree with that statement?							
J547 My job involves a lot of stress. Do you strongly agree, agree, disagree or strongly disagree with that statement?							

J548 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J550

	1. STRONGLY AGREE	2. AGREE	3. DISAGREE	4. STRONGLY DISAGREE	5. DOES NOT APPLY	8. DK	9. RF
<p>J548                      In decisions about promotion, my employer gives younger people preference over older people.                      (Do you strongly agree, agree, disagree or strongly disagree with that statement?)</p>							
<p>J549                      My co-workers make older workers feel that they ought to retire before age 65.                      (Do you strongly agree, agree, disagree or strongly disagree with that statement?)</p>							
<p>J550                      As I get older, I would prefer to gradually reduce the hours I work on this job, keeping my pay per hour the same.                      (Do you strongly agree, agree, disagree or strongly disagree with that statement?)</p>							

J551 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2), GO TO J552

	1. STRONGLY AGREE	2. AGREE	3. DISAGREE	4. STRONGLY DISAGREE	5. DOES NOT APPLY	8. DK	9. RF
J551 My employer would let older workers move to a less demanding job with less pay if they wanted to. (Do you strongly agree, agree, disagree or strongly disagree with that statement?)							
J552 I really enjoy going to work. (Do you strongly agree, agree, disagree or strongly disagree with that statement?)							

J553

Now I have some questions about any other paid job you may have.

Are you doing any other work for pay now, such as (a/another) business of your own, a second job or the military reserves?

1. YES	5. NO	8. DK	9. RF
GO TO J564			

J554

Is this your own business, a second job, the military reserves, or what?

[IWER: CHOOSE ALL THAT APPLY]

1. OWN BUSINESS	2. SECOND JOB	3. MILITARY RESERVES	7. OTHER (SPECIFY) _____	8. DK	9. RF
			J555		

J556

How many hours a week do you usually work on [this other job/these other jobs]?

\_\_\_\_\_

HOURS

DK	RF
----	----

J557

Counting paid vacations as weeks of work, how many weeks a year do you usually work on (this other job/these other jobs)?

[IWER: THIS REFERS TO USUAL WEEKS OF WORK ON RS SECOND/OTHER JOB(S)]

\_\_\_\_\_

WEEKS

DK	RF
----	----

J558

About how much do you earn before taxes from [this other job/these other jobs]?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

_____	0	DK	RF
AMOUNT			
GO TO J562	GO TO J564		

J559–J561 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, **\$10,000**, **\$20,000**, \$30,000

RANDOM ENTRY POINTS: \$10,000, \$20,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J562 BRANCHPOINT: GO TO J564

J562

[IWER: PROBE IF NECESSARY]

Is that per hour, week, month, year, or what?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J563	8. DK	9. RF	

J564

Now I have a few questions about work and retirement.

On your main job, what is the usual retirement age for people who work with you or have the same kind of job?

40-94 YEARS OF AGE	95 NO USUAL AGE	96 ANSWER IN YEARS OF SERVICE	DK	RF
GO TO J566 BRANCHPOINT			GO TO J566 BRANCHPOINT	

J565

ENTER USUAL NUMBER OF YEARS OF SERVICE:

_____	DK	RF
1-50 YEARS OF SERVICE		

J566 BRANCHPOINT: IF THIS IS A PROXY INTERVIEW, GO TO J596 BRANCHPOINT

J566

Now I want to ask about your retirement plans.

Do you plan to stop working altogether or reduce work hours at a particular date or age, have you not given it much thought, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL FOLLOW-UP QUESTIONS.]

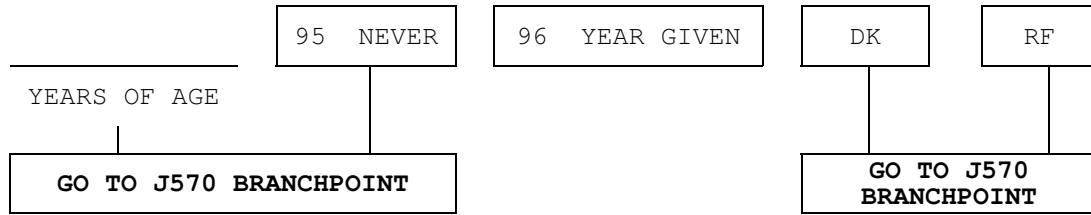
1. STOP WORK ALTOGETHER	2. NEVER STOP WORK	3. NOT GIVEN MUCH THOUGHT	4. NO CURRENT PLANS, CONTINUE AS IS
5. REDUCE WORK HOURS	6. CHANGE KIND OF WORK	7. WORK FOR MYSELF	8. WORK UNTIL MY HEALTH FAILS
97. OTHER (SPECIFY) _____ J567		98. DK	99. RF

J568 BRANCHPOINT: IF R PLANS TO STOP WORKING ALTOGETHER (J566=1), CONTINUE ON TO J568  
  
OTHERWISE, GO TO J570 BRANCHPOINT

J568

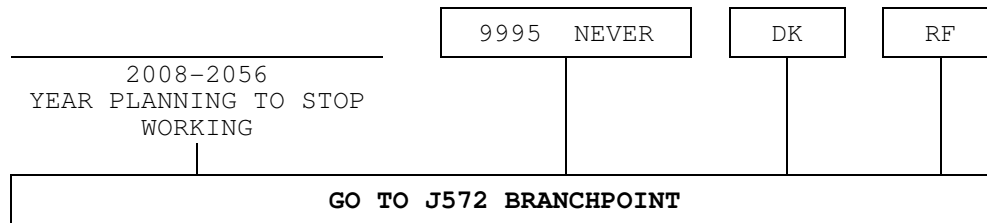
At what age do you plan to stop working?

25-94 YEARS OF AGE



J569

ENTER YEAR PLANNING TO STOP WORKING:

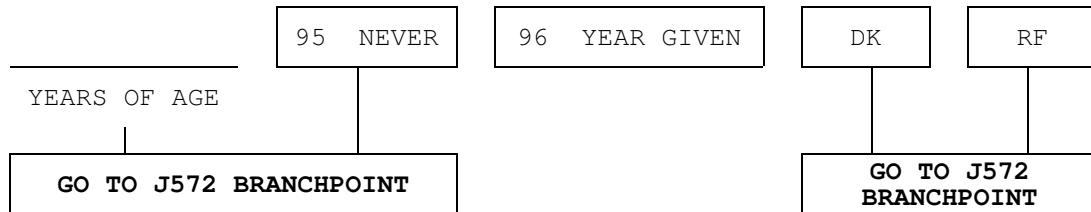


J570 BRANCHPOINT: IF R SAID {HAD NOT GIVEN MUCH THOUGHT *or* HAD NO CURRENT} RETIREMENT PLANS (J566={3 *or* 4}), CONTINUE ON TO J570 OTHERWISE, GO TO J572 BRANCHPOINT

J570

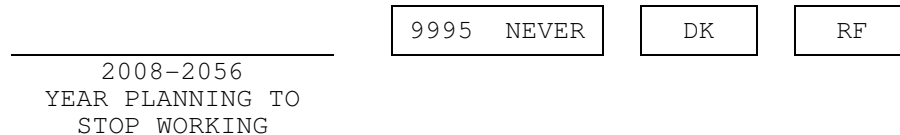
At what age do you think you will stop working?

25-94 YEARS OF AGE



J571

ENTER YEAR THINKING TO STOP WORKING:





J572 BRANCHPOINT: IF R DID NOT SAY S/HE PLANS TO REDUCE WORK HOURS  
(J566 NOT 5), GO TO J574 BRANCHPOINT

J572

At what age do you plan to start working fewer hours?

25-94 YEARS OF AGE

_____	95 NEVER	96 YEAR GIVEN	DK	RF
YEARS OF AGE				
	GO TO J574 BRANCHPOINT		GO TO J574 BRANCHPOINT	

J573

ENTER YEAR PLANNING TO START WORKING FEWER HOURS:

_____	DK	RF
2008-2056		
YEAR PLANNING TO START WORKING FEWER HOURS		

J574 BRANCHPOINT: IF R DID NOT SAY S/HE PLANS TO CHANGE KIND OF WORK  
(J566 NOT 6), GO TO J576 BRANCHPOINT

J574

At what age do you plan to change the kind of work you do?

25-94 YEARS OF AGE

_____	95 NEVER	96 YEAR GIVEN	DK	RF
YEARS OF AGE				
	GO TO J576 BRANCHPOINT		GO TO J576 BRANCHPOINT	

J575

ENTER YEAR PLANNING TO CHANGE WORK:

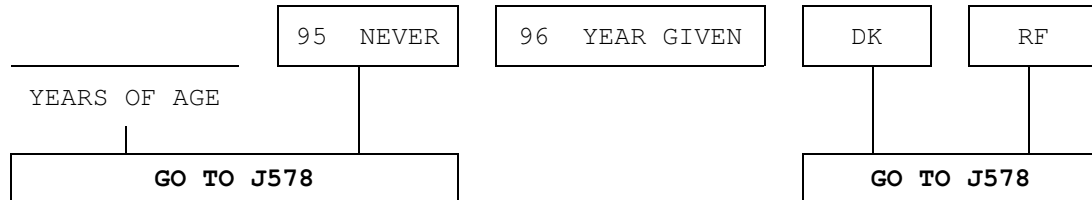
_____	DK	RF
2008-2056		
YEAR PLANNING TO CHANGE WORK		

J576 BRANCHPOINT: IF R DOES NOT PLAN TO WORK FOR HIM/HERSELF  
(J566 NOT 7), GO TO J578

J576

At what age do you plan to start working for yourself?

25-94 YEARS OF AGE



J577

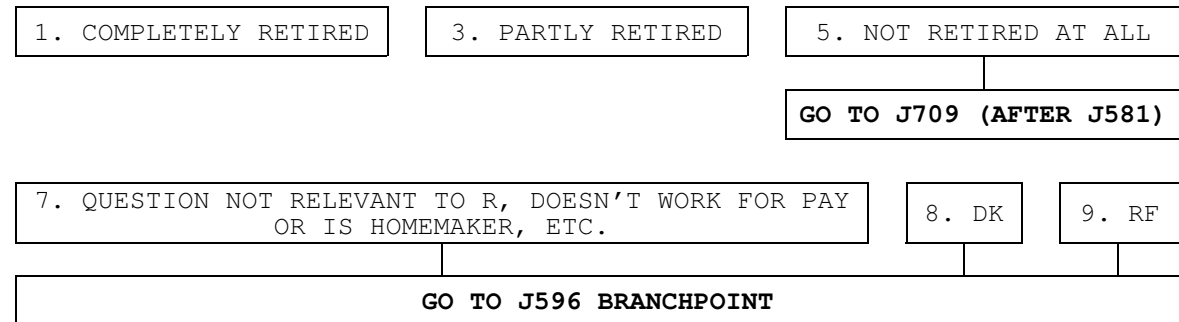
ENTER YEAR PLANNING TO START SELF-EMPLOYMENT:



J578

We are interested in what people think about retirement, whether they themselves are retired or not.

At this time do you consider yourself partly retired, completely retired, or not retired at all?



J580 BRANCHPOINT: IF R IS COMPLETELY RETIRED NOW (J578=1) and {WAS COMPLETELY RETIRED AT R's LAST IW (Z134=1) or {R WAS RETIRED and WE DON'T KNOW WHETHER IT WAS COMPLETELY (Z124=1 and {Z134 = EMPTY}})}, GO TO J709 (AFTER J581)

IF R IS PARTLY RETIRED (J578=3) and WAS PARTLY RETIRED AT R's LAST IW (Z134=3), GO TO J709 (AFTER J581)

IF R GAVE YEAR S/HE RETIRED AT J018, GO TO J709 (AFTER J581)

J580

In what month and year did you [partly/completely] retire?

[IWER: MONTH ENTERED MUST BE BEFORE CURRENT IW MONTH, YEAR]

J580

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

J581

YEAR

J709

(Tag#=J581.1)

IF R IS PARTLY RETIRED OR IS NOT RETIRED (J578={3 or 5}):

How much have you thought about retirement — a lot, some, a little, or hardly at all?

IF R IS COMPLETELY RETIRED (J578=1):

Before you retired, how much did you think about retirement — a lot, some, a little, or hardly at all?

1. A LOT	2. SOME	3. A LITTLE	4. HARDLY AT ALL	8. DK	9. RF
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J583 BRANCHPOINT: IF R IS IN NURSING HOME (A028=1) *and* HAS NO OTHER RESIDENCE OUTSIDE NURSING HOME (A070=5) *and* DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1), GO TO J650 (J ASSIST)

IF R REPORTED {IN CURRENT IW *and* IN THE LAST TWO WAVES} THAT WAS NOT WORKING (J705=1) *and* R IS NOT COMPLETELY RETIRED (J578 NOT 1), GO TO J650 (J ASSIST)

IF R REPORTED {IN CURRENT IW *and* IN THE LAST TWO WAVES} THAT WAS NOT WORKING (J705=1), GO TO J584 BRANCHPOINT

IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT *and* REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J612 BRANCHPOINT

IF R IS NOT RETIRED AT ALL (J578=5), GO TO J589 BRANCHPOINT

IF R IS PARTLY RETIRED (J578=3) *and* {WAS PARTLY RETIRED AT R'S LAST IW (Z134=3) *or* {R WAS RETIRED *and* WE DON'T KNOW WHETHER IT WAS PARTLY (Z124=1 *and* {Z134 = EMPTY})}}, GO TO J589 BRANCHPOINT

IF R IS COMPLETELY RETIRED NOW (J578=1) *and* {WAS COMPLETELY RETIRED AT R'S LAST IW (Z134=1) *or* {R WAS RETIRED *and* WE DON'T KNOW WHETHER IT WAS COMPLETELY (Z124=1 *and* {Z134 = EMPTY})}}, GO TO J584

J583

Thinking back to the time you [partly/completely] retired, was that something you wanted to do or something you felt you were forced into?

 1. WANTED  
TO DO

 2. FORCED  
INTO

 3. PART WANTED,  
PART FORCED

 8. DK

 9. RF

J584 BRANCHPOINT: IF R IS NOT COMPLETELY RETIRED (J578 NOT 1), GO TO J589 BRANCHPOINT

J584

All in all, would you say that your retirement has turned out to be very satisfying, moderately satisfying, or not at all satisfying?

 1. VERY  
SATISFYING

 2. MODERATELY  
SATISFYING

 3. NOT AT ALL  
SATISFYING

 8. DK

 9. RF

J585 BRANCHPOINT: IF R REPORTED {IN CURRENT IW *and* IN THE LAST TWO WAVES} THAT WAS NOT WORKING (J705=1), GO TO J650 (J ASSIST)

J585

Thinking about your retirement years compared to the years just before you retired, would you say the retirement years have been better, about the same, or not as good?

 1. BETTER 3. ABOUT  
THE SAME 5. NOT  
AS GOOD 6. RETIRED LESS  
THAN 1 YEAR AGO. 8. DK 9. RF

J586 BRANCHPOINT: IF R WAS COMPLETELY RETIRED AT R'S LAST IW (Z134=1) *or* {R WAS RETIRED *and* WE DON'T KNOW WHETHER IT WAS COMPLETELY (Z124=1 *and* {Z134 = EMPTY})}, GO TO J596 BRANCHPOINT

J586

I'm going to read you a list of reasons why some people retire. Please tell me whether, for you, these were very important reasons for retirement, moderately important, somewhat important, or not important at all.

 1. CONTINUE DK RF

J588	1. VERY IMPORTANT	2. MODERATELY IMPORTANT	3. SOMEWHAT IMPORTANT	4. NOT IMPORTANT AT ALL	8. DK	9. RF
<p>J588_1</p> <p>Poor health.</p> <p>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</p>						
<p>J588_2</p> <p>Wanted to do other things.</p> <p>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</p>						
<p>J588_3</p> <p>Didn't like the work.</p> <p>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</p>						
<p>J588_4</p> <p>Wanted to spend more time with my family.</p> <p>(Was this a very important reason for your retirement, a moderately important reason, somewhat important, or not important at all?)</p>						

J589 BRANCHPOINT: IF THIS IS A REINTERVIEW R, GO TO J596 BRANCHPOINT

J589

Now I'm going to read you a list of things that some people say are good about retirement.

IF R IS COMPLETELY RETIRED (J578=1):

For each one, please tell me if, during your retirement, it has been very important, moderately important, somewhat important, or not important at all.

OTHERWISE:

For each one, please tell me if, for you, they are very important, moderately important, somewhat important, or not important at all.

1. CONTINUE

DK

RF

J591	1. VERY IMPORTANT	2. MODERATELY IMPORTANT	3. SOMEWHAT IMPORTANT	4. NOT IMPORTANT AT ALL	8. DK	9. RF
J591_1 Being your own boss. ([Has this been/Is this] very important, moderately important, somewhat important, or not important at all?)						
J591_2 Being able to take it easy. ([Has this been/Is this]) very important, moderately important, somewhat important, or not important at all?)						
J591_3 Having the chance to travel. ([Has this been/Is this] very important, moderately important, somewhat important, or not important at all?)						



J592

Now for things that some people say are bad about retirement.

IF R IS COMPLETELY RETIRED (J578=1):

Please tell me if, during your retirement, they have bothered you a lot, somewhat, a little, or not at all.

OTHERWISE:

Please tell me if they worry you a lot, somewhat, a little, or not at all.

1. CONTINUE	DK	RF
-------------	----	----

NOTE: EACH OF THE THREE WORRIES IN THE TABLE BELOW HAS THE FOLLOWING RETIREMENT CONDITIONS WITH QUESTION FORMS.

IF R IS COMPLETELY RETIRED (J578=1):

Does this bother you a lot, somewhat, a little, or not at all?

IF R IS PARTLY RETIRED (J578=2):

Does this worry you a lot, somewhat, a little, or not at all?

OTHERWISE (J578 {NOT 1 and NOT 2}):

Does this worry you a lot, somewhat, a little, or not at all?

J594	1. A LOT	2. SOMEWHAT	3. A LITTLE	4. NOT AT ALL	7. DIDN'T WORK	8. DK	9. RF
J594_1 Not doing anything productive or useful. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)							
J594_2 Illness or disability. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)							
J594_3 Not having enough income to get by. (Does this [bother/worry] you a lot, somewhat, a little, or not at all?)							

J596 BRANCHPOINT: IF THIS IS A NEW INTERVIEW R (Z076 NOT 1), GO TO J650 (J ASSIST)

IF R IS STILL WORKING FOR LAST IW EMPLOYER (J045={1 or 3}), GO TO J612 BRANCHPOINT

IF R WAS WORKING FOR SOMEONE ELSE LAST IW (Z136=1) *and* DID NOT GIVE A {MONTH AND YEAR} THAT S/HE STOPPED WORKING FOR THAT EMPLOYER ({J063 *and* J064}={DK or RF}), GO TO J612 BRANCHPOINT

IF R WAS WORKING FOR SOMEONE ELSE LAST IW (Z136=1) *and* STARTED WORKING AT CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) LESS THAN 2 MONTHS FROM WHEN S/HE STOPPED WORKING FOR LAST IW EMPLOYER (per J063&J064), GO TO J612 BRANCHPOINT

IF R WAS SELF-EMPLOYED AT LAST IW (Z136=2) *and* DID NOT GIVE {MONTH AND YEAR} THAT S/HE STOPPED WORKING AT THAT BUSINESS (J023&J024={DK or RF}), GO TO J612 BRANCHPOINT

IF R WAS {SELF-EMPLOYED AT LAST IW (Z136=2) *and* IS CURRENTLY SELF-EMPLOYED (J021=2)} *and* {{MONTH AND YEAR} STARTED WORKING FOR CURRENT BUSINESS (per J248&J256 or J248&J249) IS SAME AS OR BEFORE {MONTH (per Z092) AND YEAR (per Z093)} OF LAST IW *or* WE DON'T KNOW (MONTH *or* YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249}={DK or RF or EMPTY})}}, GO TO J612 BRANCHPOINT

IF {MONTH AND YEAR} R STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) IS ON OR BEFORE {MONTH AND YEAR} OF R'S LAST IW *or* {WE DON'T KNOW (MONTH *or* YEAR) WHEN R STARTED CURRENT JOB ({J248 or J249}={DK or RF or EMPTY})} *and* R IS WORKING NOW (J020 NOT 5)}, GO TO J612 BRANCHPOINT

IF R WAS SELF-EMPLOYED AT LAST IW (Z136=2) *and* {MONTH AND YEAR} R STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249) IS LESS THAN 2 MONTHS FROM {MONTH AND YEAR} S/HE STOPPED WORKING FOR SELF (per J023&J024), GO TO J612 BRANCHPOINT

IF R IS CURRENTLY WORKING (J020=1) *and* DID NOT GIVE {MONTH AND YEAR} S/HE STARTED CURRENT JOB/BUSINESS (per J248&J256 or J248&J249), GO TO J612 BRANCHPOINT

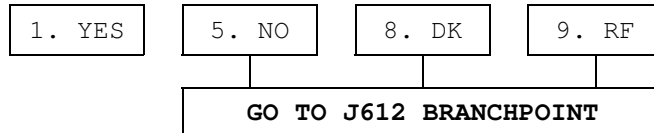
J596

IF R IS CURRENTLY WORKING (J020=1):

Between [MONTH, YEAR STOPPED WORKING FOR LAST IW [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]/R's LAST IW MONTH, YEAR] and [MONTH, YEAR STARTED WORKING FOR CURRENT [EMPLOYER)/SELF] (per J248&J256 or J248&J249)] did you do any work for pay?

OTHERWISE:

Since [MONTH, YEAR STOPPED WORKING FOR LAST IW [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]/R's LAST IW MONTH, YEAR] did you do any work for pay?



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J597

IF R IS CURRENTLY WORKING (J020=1):

During which months between [MONTH, YEAR STOPPED WORKING FOR LAST IW [EMPLOYER (per J063&J064)/SELF (per J023&J024)]/R's LAST IW MONTH, YEAR] and MONTH, YEAR STARTED WORKING FOR {CURRENT EMPLOYER OR SELF} (per J248&J256 or J248&J249) did you work for pay?

OTHERWISE:

During which months since [MONTH, YEAR STOPPED WORKING FOR LAST IW [EMPLOYER (per J063&J064)/SELF (per J023&J024)]/R's LAST IW MONTH, YEAR] did you work for pay?

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2006	MONTHS IN 2007	MONTHS IN 2008	OTHER YEARS
(1) JAN 06	(14) JAN 07	(27) JAN 08	(41) ALL OF 2006 (AFTER PREV IW MO)
(2) FEB 06	(15) FEB 07	(28) FEB 08	(42) ALL OF 2005 (AFTER PREV IW MO)
(3) MAR 06	(16) MAR 07	(29) MAR 08	(43) ALL OF 2005
(4) APR 06	(17) APR 07	(30) APR 08	(44) ALL OF 2004 (AFTER PREV IW MO)
(5) MAY 06	(18) MAY 07	(31) MAY 08	(45) ALL OF 2004
(6) JUN 06	(19) JUN 07	(32) JUN 08	(46) ALL OF 2003 (AFTER PREV IW MO)
(7) JUL 06	(20) JUL 07	(33) JUL 08	(47) ALL OF 2003
(8) AUG 06	(21) AUG 07	(34) AUG 08	(48) ALL OF 2002 (AFTER PREV IW MO)
(9) SEP 06	(22) SEP 07	(35) SEP 08	(49) ALL OF 2002
(10) OCT 06	(23) OCT 07	(36) OCT 08	(50) ALL OF 2001 (AFTER PREV IW MO)
(11) NOV 06	(24) NOV 07	(37) NOV 08	(51) ALL OF 2001
(12) DEC 06	(25) DEC 07	(38) DEC 08	(52) ALL OF 2000 (AFTER PREV IW MO)
(13) ALL OF 2006	(26) ALL OF 2007	(39) ALL OF 2008 TO DATE	(53) ALL OF 2000
		(40) ALL OF 2008	(54) ALL OF 1999 (AFTER PREV IW MO)
			(55) ALL OF 1999
			(56) ALL OF 1998 (AFTER PREV IW MO)
			(57) ALL OF 1998
			(58) ALL OF 1997 (AFTER PREV IW MO)
			(59) ALL OF 1997
			(60) ALL OF 1996 (AFTER PREV IW MO)
			(61) ALL OF 1996
			(62) ALL OF 1995 (AFTER PREV IW MO)
			(63) ALL OF 1995
			(64) ALL OF 1994 (AFTER PREV IW MO)
			(65) ALL OF 1994
			(66) ALL OF 1993 (AFTER PREV IW MO)
			(67) ALL OF 1993
			(68) ALL OF 1992 (AFTER PREV IW MO)
			(69) OTHER (SPECIFY) [J598]
			(98) DK

			(99) RF
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NOTE: **AT J597**, ABOVE, THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R'S LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J599

During these months, how many hours per week did you usually work?

\_\_\_\_\_

HOURS

DK

RF

J600

On average, how much were you earning before deductions during these months?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

0

DK

RF

GO TO J604

GO TO J606

J601-J603 Unfolding Sequence

Question text: Did it amount to a total of \$\_\_\_\_\_ per month, more than \$\_\_\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$250, **\$750**, **\$1,500**, \$4,000

RANDOM ENTRY POINTS: \$750, \$1,500

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J604 BRANCHPOINT: GO TO J606

J604

[IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR

2. WEEK

3. EVERY TWO WEEKS/BI-WEEKLY

4. MONTH

5. TWICE A MONTH

6. YEAR

7. OTHER (SPECIFY)  
 \_\_\_\_\_  
 J605

8. DK

9. RF

J606

Counting yourself as an employer if you were self employed, for how many employers did you work during those months?

1. ONE

2. TWO OR MORE

8. DK

9. RF

GO TO J612 BRANCHPOINT

J607

Why did you stop working at that job? (Did the business close, were you laid off or let go, did you leave to take care of family members or what?)

[IWER: CHOOSE ALL THAT APPLY]

1. BUSINESS  
CLOSED

2. LAID  
OFF/LET GO

3. POOR  
HEALTH/  
DISABLED

4. FAMILY  
CARE

5. BETTER  
JOB

GO TO J612 BRANCHPOINT

6. QUIT

7. RETIRED

97. OTHER (SPECIFY)

98. DK

99. RF

J608

J609

Did your employment situation change in some way that encouraged you to leave?

1. YES

5. NO

8. DK

9. RF

GO TO J612 BRANCHPOINT

J610

How did it change?

[IWER: CHOOSE ALL THAT APPLY]

1. SUPERVISOR ENCOURAGED DEPARTURE	2. COWORKER ENCOURAGED DEPARTURE	3. WAGES REDUCED (OR ABOUT TO BE REDUCED)	4. HOURS REDUCED (OR ABOUT TO BE REDUCED)
5. WOULD HAVE BEEN LAID OFF	6. NEW JOB DUTIES	7. NEW JOB LOCATION	8. BECAME ELIGIBLE FOR PENSION
9. EMPLOYER CHANGED HEALTH INSURANCE	10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER	97. OTHER (SPECIFY)  _____	98. DK
		J611	99. RF

J612 BRANCHPOINT: IF R DID NOT REPORT THAT IS CURRENTLY WORKING (J020={5 or DK or RF}) and DID NOT REPORT AT R's LAST IW THAT WAS WORKING FOR PAY (Z123 NOT 1) and DID NOT REPORT THAT HAS WORKED FOR PAY BETWEEN {LEAVING LAST IW EMPLOYER AND {THE PRESENT OR START OF CURRENT EMPLOYMENT}} (J596={5 or DK or RF}), GO TO J650 (J ASSIST)

IF R IS NOT WORKING (J020=5) and STOPPED WORKING MORE THAN A YEAR AGO (per {J063&J064 or J023&J024 or J017&J018}) and R DID NOT SAY THAT WORKED SINCE STOPPED (J596={5 or 8 or 9}), GO TO J613 BRANCHPOINT

J612

Counting paid vacations as weeks of work, how many weeks did you work in the last 12 months?

[IWER: COUNT PAID SICK TIME AS WORK TIME]

[IWER: THIS REFERS TO WEEKS WORKED IN LAST 12 MONTHS ON CURRENT JOB]

\_\_\_\_\_

DK	RF
----	----

WEEKS

J613 BRANCHPOINT: IF R {REPORTED IN CURRENT IW THAT HAD MARGINAL EMPLOYMENT *and* REPORTED IN LAST IW THAT HAD {MARGINAL EMPLOYMENT OR NONE}} (J703=1), GO TO J650 (J ASSIST)

IF R IS SELF-EMPLOYED NOW (J021=2) *and* WAS SELF-EMPLOYED AT R's LAST IW (Z136=2), GO TO J650 (J ASSIST)

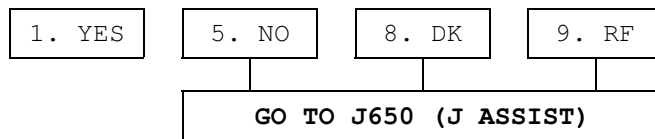
IF R WAS SELF-EMPLOYED AT R's LAST IW (Z136=2) *and* DID NOT REPORT THAT IS CURRENTLY WORKING (J020 NOT 1) *and* DID NOT REPORT THAT HAS WORKED FOR PAY BETWEEN {LAST IW AND START OF CURRENT EMPLOYMENT} (J596={5 or DK or RF}), GO TO J650 (J ASSIST)

IF R DID NOT REPORT AT R's LAST IW THAT WAS WORKING FOR PAY (Z123 NOT 1) *and* IS SELF-EMPLOYED NOW (J021=2) *and* DID NOT REPORT THAT HAS WORKED FOR PAY BETWEEN {LAST IW AND START OF CURRENT EMPLOYMENT} (J596={5 or DK or RF}), GO TO J650 (J ASSIST)

J613

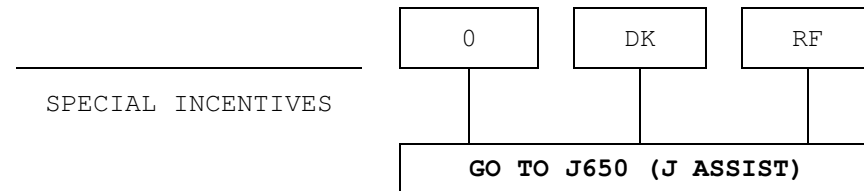
Employers sometimes encourage older workers to leave a firm at a particular time by offering a special financial incentive, like a cash bonus or improved pension benefits. These are often called 'early retirement windows.'

Have you been offered such an early retirement window at any time since R's LAST IW MONTH, YEAR?



J614

How many of these special incentive offers have you received [since R's LAST IW MONTH, YEAR/in the last two years]?





\*\*\*BEGINNING OF J616 LOOP: QUESTIONS J616 THROUGH J646 ARE REPEATED FOR THE FIRST AND LAST SPECIAL INCENTIVES THE R RECEIVED.\*\*\*

J616

In what month and year was the (first/last) special incentive offered?

[IWER: MONTH ENTERED MUST BE BETWEEN [NOV. YEAR 2 YEARS AGO/REINTERVIEW R's LAST IW MONTH, YEAR] AND CURRENT IW MONTH, YEAR]

J616

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF
		DK	RF			

\_\_\_\_\_

J617 YEAR

J619 BRANCHPOINT: IF R IS STILL WORKING FOR LAST IW EMPLOYER (J045={1 or 3}), GO TO J621

J619

Which employer were you working for at the time?

[IWER: SELECT EMPLOYER FROM LIST BELOW]

[IWER: IF EMPLOYER NOT LISTED, SELECT OTHER, SPECIFY AND ENTER FULL NAME OF EMPLOYER ON NEXT SCREEN]

1. LAST WAVE EMPLOYER NAME (per Z091)	2. CURRENT EMPLOYER NAME (per JW158)	7. OTHER (SPECIFY) _____ J620	8. DK	9. RF
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J621

Was the special incentive a cash bonus, improved pension benefits or what?

[IWER: CHOOSE ALL THAT APPLY]

1. CASH BONUS	2. IMPROVED PENSION BENEFITS	3. PERMANENT MEDICAL INSURANCE	4. TEMPORARY MEDICAL INSURANCE
5. TEMPORARY CASH BENEFIT	6. ADDITIONAL YEARS OF SERVICE CREDITED	7. OTHER (SPECIFY) _____ J622	8. DK
			9. RF

J623 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE A CASH BONUS (J621 NOT 1), GO TO J627 BRANCHPOINT

J623

How much did the cash bonus offer amount to?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK       RF

**GO TO J627  
BRANCHPOINT**

J624-J626 Unfolding Sequence

Question text: Did it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$15,000, **\$25,000**, **\$50,000**, \$75,000

RANDOM ENTRY POINTS: \$25,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

**J627 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE IMPROVED PENSION BENEFIT  
(J621 NOT 2), GO TO J633 BRANCHPOINT**

J627

How much did the extra pension benefit offer amount to?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

	0	DK	RF
AMOUNT			
GO TO J631			
	GO TO J633 BRANCHPOINT		

J628-J630 unfolding sequence

Question text: Did it amount to less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$3,000, \$5,000, \$12,000, \$36,000

RANDOM ENTRY POINTS: \$5,000, \$12,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J631 BRANCHPOINT: GO TO J633 BRANCHPOINT

J631

[IWER: PROBE IF NECESSARY]

Was that per week, month, year, or a lump sum?

PER:

2. WEEK	4. MONTH	6. YEAR	95. LUMP SUM
97. OTHER (SPECIFY) _____ J632	98. DK	99. RF	

J633 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE TEMPORARY MEDICAL INSURANCE (J621 NOT 4), GO TO J636 BRANCHPOINT

J633

Until what age was the temporary medical insurance offered?

	95 NUMBER OF YEARS GIVEN	96 ANSWERED YEAR	DK	RF
AGE				
GO TO J636 BRANCHPOINT		GO TO J635		GO TO J636 BRANCHPOINT

J634

[IWER: PROBE IF NECESSARY]

For how many years was the temporary medical insurance offered?

\_\_\_\_\_ YEARS

DK

RF

**GO TO J636 BRANCHPOINT**

J635

[IWER: PROBE IF NECESSARY]  
 Until which year was the temporary medical insurance **offered**?

\_\_\_\_\_ YEAR

DK

RF

J636 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE TEMPORARY CASH BENEFITS (J621 NOT 5), GO TO J642 BRANCHPOINT

J636

How much did the temporary cash benefits offer amount to?

\_\_\_\_\_ AMOUNT

0

DK

RF

**GO TO J639**

J637

[IWER: PROBE IF NECESSARY]  
 Was that per week, month year, or a lump sum?  
 PER:

2. WEEK

4. MONTH

6. YEAR

95. LUMP SUM

97. OTHER (SPECIFY)  
 \_\_\_\_\_  
 J638

98. DK

99. RF

J639

Until what age was the temporary cash benefit offered?

\_\_\_\_\_ AGE

95 NUMBER OF YEARS GIVEN

96 ANSWERED YEAR

DK

RF

**GO TO J642 BRANCHPOINT**

**GO TO J641**

**GO TO J642 BRANCHPOINT**

J640

[IWER: PROBE IF NECESSARY]  
 For how many years was the temporary cash benefit offered?

\_\_\_\_\_ YEARS

DK

RF

GO TO J642 BRANCHPOINT

J641

[IWER: PROBE IF NECESSARY]

Until which year was the temporary cash benefit offered?

\_\_\_\_\_    
YEAR

J642 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE ADDITIONAL YEARS OF SERVICE CREDIT (J621 NOT 6), GO TO J643 BRANCHPOINT

J642

How many years of service credit were you offered?

\_\_\_\_\_    
YEARS

J643 BRANCHPOINT: IF R's OFFER DID NOT INCLUDE OTHER INCENTIVES (J621 NOT 7), GO TO J644

J643

IF R MENTIONS OTHER INCENTIVES ONLY (J621=7 ONLY):

Altogether, how much did the incentive offer amount to?

OTHERWISE:

(Not including [the cash bonus, /(and) improved pension benefits, /(and) permanent medical insurance, /(and) temporary medical insurance, /(and) temporary cash benefit, /(and) additional years of service credited,]) what was the value of the other part of the (first/second) incentive offer?

*NOTE: "and" IS INSERTED BETWEEN THE LAST ITEM ON THE LIST AND ITS PREDECESSOR.*

\_\_\_\_\_    
AMOUNT

*NOTE: ALL TYPES OF INCENTIVES SELECTED AT J621 ARE INCLUDED IN THE QUESTION TEXT.*

J644

Did you accept the incentive and leave that employer?

GO TO J646

J645

Was the special incentive important in your decision, or would you probably have left at about that time anyway?

1. IMPORTANT IN  
R's DECISION

5. R WOULD HAVE  
LEFT ANYWAY

8. DK

9. RF

GO TO END OF J616 LOOP (AFTER J646)

J646

If you had been offered twice as much (to leave) at that time, would you have done so?

1. YES

5. NO

8. DK

9. RF

*\*\*\*END OF J616 LOOP: REPEAT QUESTIONS J616 THROUGH J646 IF MORE THAN ONE EARLY OUT WINDOW WAS OFFERED. IF NOT, CONTINUE ON TO J650.\*\*\**

J650

J ASSIST

IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION J — EMPLOYMENT?

1. NEVER

2. A FEW TIMES

3. MOST OR ALL  
OF THE TIME

4. THE SECTION WAS DONE  
BY A PROXY REPORTER

GO TO SECTION K