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*NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX,  
THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.*

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SECTION U IS ASKED OF RESPONDENTS WHOSE ASSETS IN 2006 DIFFER GREATLY IN VALUE FROM THOSE IN 2004. SUCH RESPONDENTS ARE ASKED TO VERIFY OR CORRECT VALUES OF THAT/THOSE ASSET(S).

THE PROCEDURE FOR DETERMINING WHETHER A RESPONDENT IS ELIGIBLE TO BE ASKED SECTION U, AND FOR WHICH SPECIFIC ASSETS, FALLS INTO SIX STEPS:

**GLOBAL ELIGIBILITY**

1. THE FINANCIAL REPORTER MUST BE THE SAME RESPONDENT IN THE PREVIOUS AND CURRENT INTERVIEWS.
2. ALL RESPONDENTS WHOSE NET WORTH DIFFERS BETWEEN 2004 AND 2006 BY MORE THAN \$150,000\* ARE SELECTED. 10% OF THESE ARE RANDOMLY DISCARDED FROM THE SAMPLE.
3. OF THOSE RESPONDENTS WHO WERE DISCARDED, ANY WHOSE CURRENT NET WORTH DIFFERS FROM THAT OF 2004 BY MORE THAN \$1,000,000 ARE ADDED BACK INTO THE SAMPLE.

**LOCAL ELIGIBILITY**

4. VALUES FOR VARIOUS SPECIFIED TYPES OF ASSET FROM THE CURRENT WAVE (2006) ARE COMPARED WITH THOSE FOR THE PREVIOUS WAVE (2004) TO SEE WHICH ASSETS, IF ANY, SHOW VALUES THAT DIFFER BY MORE THAN \$50,000\*. THUS, A RESPONDENT WITH A LARGE DIFFERENCE IN NET WORTH, BUT WITHOUT ANY SINGLE ASSET THAT DIFFERED BY MORE THAN \$50,000, WOULD NOT BE ASKED SECTION U.
5. FOR AN ASSET TYPE THAT SHOWS SUCH A LARGE DIFFERENCE, THE RESPONDENT IS ASKED TO VERIFY WHETHER THE VALUES FOR 2004 AND 2006 ARE CORRECT. IF A VALUE IS INCORRECT, THE R IS THEN ASKED TO PROVIDE THE CORRECT VALUE.
6. RESPONDENTS ARE ASKED ABOUT ALL ELIGIBLE ASSET TYPES (EXCEPT TRUSTS), PRESENTED IN ORDER ACCORDING TO THE LIST SHOWN BELOW.

\* THE COMPARISON INVOLVES CALCULATING THE MINIMUM POSSIBLE DIFFERENCE FOR NET WORTH OR ASSET VALUE BETWEEN THE TWO WAVES TO SEE IF EITHER EXCEEDS THE \$150,000 OR THE \$50,000 THRESHOLD RESPECTIVELY. THUS, SINCE AN ASSET VALUE CAN INVOLVE BRACKETED AMOUNTS (RANGES), COMPARISONS OF THE TWO WAVES ARE MADE BY USING A VALUE FOR THE EDGE OF THE RANGE FOR ONE WAVE THAT IS CLOSEST TO THE VALUE OR EDGE OF A RANGE FOR THE OTHER WAVE.

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HRS 2006 — SECTION U: ASSET VERIFICATION — PAGE 2

FINAL VERSION 1 — 7/18/2007

THE **ASSET TYPES** THAT ARE COMPARED, AS WELL AS THE BLAISE FIELD NAME FOR THE ASSET VALUE, ARE SHOWN IMMEDIATELY BELOW:

**2004 VALUE** (Minimum & Maximum Preload Value)

*vs.*

**2006 VALUE** (Exact Value or (Minimum & Maximum Value when Exact Value=DK))

- ASSET TYPE 1:** Debts  
(Z189 & Z190) *vs.* (Q478 or (Q479 & Q480))
- ASSET TYPE 2:** Net Trusts (See 3<sup>RD</sup> NOTE, below)  
(Z187 & Z188) *vs.* (Q472 or (Q473 & Q474))
- ASSET TYPE 3:** Other Assets  
(Z185 & Z186) *vs.* (Q376 or (Q377 & Q378))
- ASSET TYPE 4:** Cars, Trucks, Boats, Airplanes  
(Z183 & Z184) *vs.* (Q371 or (Q372 & Q373))
- ASSET TYPE 5:** Certificates of Deposit  
(Z181 & Z182) *vs.* (Q357 or (Q358 & Q359))
- ASSET TYPE 6:** Savings, Checking, Money Market Accounts  
(Z179 & Z180) *vs.* (Q345 or (Q346 & Q347))
- ASSET TYPE 7:** Bonds  
(Z177 & Z178) *vs.* (Q331 or (Q332 & Q333))
- ASSET TYPE 8:** Stocks  
(Z175 & Z176) *vs.* (Q317 or (Q318 & Q319))
- ASSET TYPE 9:** IRAs
  - 1<sup>st</sup> IRA (Z169 & Z170) *vs.* (Q166\_1 or (Q167\_1 & Q168\_1))
  - 2<sup>nd</sup> IRA (Z171 & Z172) *vs.* (Q166\_2 or (Q167\_2 & Q168\_2))
  - 3<sup>rd</sup> IRA (Z173 & Z174) *vs.* (Q166\_3 or (Q167\_3 & Q168\_3))
- ASSET TYPE 10:** Businesses or Farms  
(Z167 & Z168) *vs.* (Q148 or (Q149 & Q150))
- ASSET TYPE 11:** Real Estate Properties  
(Z198 & Z199) *vs.* (Q134 or (Q135 & Q136))
- ASSET TYPE 12:** Primary Residence  
(Z155 & Z156) *vs.* (H020 or (H021 & H022))
- ASSET TYPE 13:** First Mortgage on Primary Residence  
(Z157 & Z158) *vs.* (H032 or (H033 & H034))
- ASSET TYPE 14:** Second Mortgage on Primary Residence  
(Z159 & Z160) *vs.* (H042 or (H043 & H044))
- ASSET TYPE 15:** Equity Loan on Primary Residence  
(Z161 & Z162) *vs.* (H062 or (H063 & H064))
- ASSET TYPE 16:** Mobile Home  
(Z153 & Z154) *vs.* (H016 or (H017 & H018))
- ASSET TYPE 17:** Second Home  
(Z163 & Z164) *vs.* (H166 or (H167 & H168))
- ASSET TYPE 18:** Mortgage or Loan on Second Residence  
(Z165 & Z166) *vs.* (H171 or (H172 & H173))

*NOTE: IF AN EXACT VALUE WERE COLLECTED IN 2004, THE SAME VALUE IS SHOWN FOR BOTH MINIMUM AND MAXIMUM PRELOAD VALUE.*

*NOTE: FOR THE PURPOSES OF CALCULATING NET WORTH, DEBTS, MORTGAGES AND LOANS (ASSET TYPES 1, 13, 14, 15 & 18) ARE TYPES THAT HAVE A NEGATIVE VALUE.*

*NOTE: INFORMATION ON TRUSTS WAS USED TO DETERMINE GLOBAL ELIGIBILITY BUT Rs ARE NOT ASKED ABOUT DISCREPANCIES IN TRUST ASSET VALUE IN THIS WAVE. THIS DECISION IS BASED ON LACK OF COMPARABLE MEASURES BETWEEN THE TWO WAVES. TRUSTS WILL BE ASKED ABOUT IN 2008.*

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THE **VALUE OF THE ASSET** CAN BE IN ONE OF THESE **FORMS**:

EXACT AMOUNT  
BRACKETED AMOUNT (RANGE)  
ZERO AMOUNT (R DOES NOT HAVE THAT ASSET)

NOTE: THE RESPONDENT IS ASKED ABOUT AN ASSET WHEN THE **DIFFERENCE BETWEEN THE VALUES GIVEN IN THE 2 WAVES IS MORE THAN \$50,000**. THUS, IF THE **EXACT VALUE** IN 2004 WERE \$50,000 AND THE VALUE IN 2006 IS \$100,000, THAT DIFFERENCE DOES NOT QUALIFY.

[EXACT AMOUNTS ARE PRESENTED IN QUESTION TEXT AS WHOLE DOLLARS. IF THE AMOUNT IS WITHIN \$1 OF A FIGURE DIVISIBLE BY 10, IT IS ROUNDED TO THE NEAREST SUCH AMOUNT (E.G., \$999 AND \$1,001 ARE ROUNDED TO \$1,000, BUT \$998 AND \$1,002 ARE PRESENTED EXACTLY AS SHOWN.)

IN DETERMINING THE DIFFERENCE IN THE VALUES FOR BOTH WAVES, THE MINIMUM AMOUNT OF DIFFERENCE IS CALCULATED. THUS, FOR COMPARISONS OF **EXACT vs. BRACKETED VALUES**, THE EXACT VALUE IS COMPARED WITH THE VALUE ON THE CLOSEST EDGE OF THE BRACKETED RANGE.

FOR **COMPARISON OF BRACKETED VALUES**, THE CLOSEST EDGES OF THE RANGES MUST DIFFER BY MORE THAN \$50,000. FOR EXAMPLE, BREAKPOINTS OF \$5,000, \$50,000 AND \$100,000 REPRESENT RANGES OF \$1 TO \$5,000, \$5,001 TO \$50,000, \$50,001 TO \$100,000, AND \$100,001 OR GREATER. THUS, FOR ADJACENT RANGES, e.g., THOSE WITH BREAKPOINTS OF \$5,000 AND \$50,000, THE COMPARISON WOULD BE BETWEEN \$5,000 vs. \$5,001; FOR COMPARISONS OF THOSE WITH BREAKPOINTS OF \$50,000 AND \$100,000, THE COMPARISON WOULD BE BETWEEN \$50,000 AND \$50,001. (SEE U001 (Q1/Q11) below: min2004 AMOUNT vs. max2006 AMOUNT, WHEN 2004 AMOUNT EXCEEDS THAT FOR 2006; OR max2004 AMOUNT vs. min2006 AMOUNT, WHEN 2006 AMOUNT EXCEEDS THAT FOR 2004.)

THEREFORE, FOR ASSET VERIFICATION TO PROCEED IN THIS EXAMPLE, THE ONLY COMPARISONS THAT WOULD SATISFY THE REQUIRED DIFFERENCE OF GREATER THAN \$50,000 WOULD BE THE COMPARISONS OF RANGES OF **{\$1 TO \$5,000} OR {\$5,001 TO \$50,000}** vs. **{\$100,001 OR GREATER}**.

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MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES \*

CURRENT IW **FINANCIAL R** (X007=1);  
 CURRENT IW **FAMILY R** (X007=2);  
 CURRENT IW **FINANCIAL & FAMILY R** (X007=3);  
 CURRENT IW **NON-FINANCIAL & NON-FAMILY R** (X007=4)

PREVIOUS IW **FINANCIAL R** (X077=1);  
 PREVIOUS IW **FAMILY R** (X077=2);  
 PREVIOUS IW **FINANCIAL & FAMILY R** (X077=3);  
 PREVIOUS IW **NON-FINANCIAL & NON-FAMILY R** (X077=4)

**FINANCIAL R's LAST IW YEAR** (per Z093)

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U001 LOOP BRANCHPOINT: **IF THIS IS AN EXIT IW, GO TO SECTION W**

IF THIS IS NOT THE SAME FINANCIAL R AS IN THE 2004 INTERVIEW  
 {I.E., {(IF THIS IS NOT THE CURRENT FINANCIAL R (X007 {NOT 1  
 and NOT 3}) or R WAS NOT THE FINANCIAL R IN THE 2004 IW  
 (X077 {NOT 1 and NOT 3}) = (U012\_idok NOT Ttrue  
 {= U012 NOT 1})}}, GO TO SECTION W

IF {THE DIFFERENCE IN NET WORTH OF HH ASSETS BETWEEN {2004  
 AND 2006} IS {LESS THAN OR EQUAL TO} \$150,000  
 ({U015 minworthprevwave - U017 networthpcurwave} or  
 {U017 networthcurwave - U016 maxworthprevwave}}  
 ≤ \$150,000)}, GO TO SECTION W

IF R HAS BEEN RANDOMLY SELECTED NOT TO BE ASKED SECTION U  
 (U008=1) and {THE DIFFERENCE IN NET WORTH OF HH ASSETS  
 BETWEEN {2004 AND 2006} IS {LESS THAN OR EQUAL TO}  
 \$1,000,000 ({U015\_minworthprevwave - U017\_networthpcurwave}  
 or {U017\_networthcurwave - U016\_maxworthprevwave}}  
 ≤ \$1,000,000)} (U013 globale1 NOT Ttrue {= U013 NOT 1}), GO  
 TO SECTION W

\*\*\*BEGINNING OF U001 LOOP: QUESTIONS U001 THROUGH U006 ARE REPEATED FOR ALL ELIGIBLE ASSET TYPES THAT DIFFER IN VALUE BY >\$50,000 (U014\_locale1[j] = Ttrue {= U014=1}). THE ASSET TYPES ARE QUERIED IN THE ORDER THAT THEY APPEAR IN THE LIST OF ASSET TYPE 1 — ASSET TYPE 18.\*\*\*

U001 BRANCHPOINT: IF {ASSET VALUES FOR THE FIRST/NEXT ASSET TYPE DO NOT DIFFER BY MORE THAN \$50,000 BETWEEN {2004 AND 2006} ({U020 minCurWave - U019 maxPrevWave} or {U018 minPrevWave - U021 maxCurWave}) • \$50,000} (U014\_locale1[j] NOT Ttrue {= U014=1}), GO TO END OF U001 LOOP

U001 IF ASSET IS NOT TYPE 3, OTHER ASSETS (U001 NOT Q3):  
According to my records, *QUESTION FILL*. Does this sound right?

IF ASSET IS TYPE 3, OTHER ASSETS (U001=Q3):  
I am now going to ask you about other assets. Other assets include things like precious metals, jewelry, coin or stamp collections, works of art that have value but are not considered as normal assets and housing equity.

According to my records, *QUESTION FILL*. Does this sound right?

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NOTE: *QUESTION FILLS ARE SHOWN IN MIXED PLURAL AND SINGULAR VERSIONS TO MATCH PLURAL AND SINGULAR ASSET TYPES (e.g., RESPECTIVELY, Asset Type 1= 'debts amounting to', or Asset Type 8='stocks worth', vs. Asset Type 12 = 'a primary residence worth', or Asset Type 13= 'a first mortgage on your primary residence of')*.

*PLURAL FORMS OF QUESTIONS HAVE SINGLE-DIGIT NAMES (e.g., Q1, Q2,...), WHILE SINGULAR FORMS HAVE DOUBLE-DIGIT NAMES (e.g., Q11, Q12,...).*

**A1 (Q1/Q11).** 2004 BRACKETED AMOUNT (RANGE) vs. 2006 BRACKETED AMOUNT (RANGE):

IF 2004 VALUE IS OVER \$50,000 MORE THAN 2006 VALUE:  
in 2004 you had *ASSET TYPE* more than *min2004 AMOUNT*. Now [they are/it is] worth less than *max2006 AMOUNT*.

IF 2006 VALUE IS OVER \$50,000 MORE THAN 2004 VALUE:  
in 2004 you had *ASSET TYPE* less than *max2004 AMOUNT*. Now [they are/it is] worth more than *min2006 AMOUNT*.

**A1 (Q2/Q12).** 2006 BRACKETED AMOUNT (RANGE) WORTH >\$50,000 vs.

2004 ZERO AMOUNT:

you currently have *ASSET TYPE* at least *min2006 AMOUNT*. In 2004 you did not have [them/it].

**HRS 2006 — SECTION U: ASSET VERIFICATION — PAGE 6**

FINAL VERSION 1 — 7/18/2007

**A1 (Q3/Q13).** 2004 EXACT AMOUNT vs. 2006 BRACKETED AMOUNT (RANGE):  
IF 2004 VALUE IS OVER \$50,000 MORE THAN 2006 VALUE:  
in 2004 you had *ASSET TYPE* about 2004 AMOUNT. Now [they  
are/this is] worth less than *max2006 AMOUNT*.

IF 2006 VALUE IS OVER \$50,000 MORE THAN 2004 VALUE:  
in 2004 you had a *ASSET TYPE* about 2004 AMOUNT. Now [they  
are/it is] worth more than *min2006 AMOUNT*.

**A1 (Q4/Q14).** 2004 BRACKETED AMOUNT (RANGE) WORTH >\$50,000 vs.  
2006 ZERO AMOUNT:  
in 2004 you had *ASSET TYPE* at least *min2004 AMOUNT*. Now you do  
not have [them/it].

**A1 (Q5/Q15).** 2004 BRACKETED AMOUNT (RANGE) vs. 2006 EXACT AMOUNT:  
IF 2004 VALUE IS OVER \$50,000 MORE THAN 2006 VALUE:  
in 2004 you had *ASSET TYPE* more than *min2004 AMOUNT*. Now  
[they/it is] worth about 2006 AMOUNT.

IF 2006 VALUE IS OVER \$50,000 MORE THAN 2004 VALUE:  
in 2004 you had *ASSET TYPE* less than *max2004 AMOUNT*. Now [they  
are/it is] worth about 2006 AMOUNT.

**A1 (Q6/Q16).** 2006 EXACT AMOUNT WORTH >\$50,000 vs. 2004 ZERO AMOUNT:  
you currently have *ASSET TYPE* about 2006 AMOUNT. In 2004 you  
did not have [them/it].

**A1 (Q7/Q17).** 2004 EXACT AMOUNT WORTH >\$50,000 vs. 2006 ZERO AMOUNT:  
in 2004 you had *ASSET TYPE* about 2004 AMOUNT. Now you do not  
have [them/it].

**A1 (Q8/Q18).** 2004 EXACT AMOUNT AND 2006 EXACT AMOUNT DIFFER BY >\$50,000:  
in 2004 you had *ASSET TYPE* about 2004 AMOUNT. Now [they are/it  
is] worth about 2006 AMOUNT.

**THE POSSIBLE WORDINGS FOR THE QUESTION FILL CALLED 'ASSET TYPE', THAT IS  
USED IN QUESTION U001, ARE SHOWN IMMEDIATELY BELOW:**

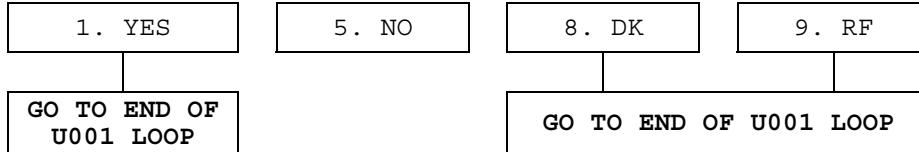
PLURAL:

ASSET TYPE 1: debts amounting to  
ASSET TYPE 2: trusts worth (NOTE: NOT ASKED ABOUT THIS WAVE)  
ASSET TYPE 3: other assets worth  
ASSET TYPE 4: cars, trucks, boats or airplanes worth  
ASSET TYPE 5: certificates of deposit worth  
ASSET TYPE 6: savings, checking or money market accounts worth  
ASSET TYPE 7: bonds worth  
ASSET TYPE 8: stocks worth  
ASSET TYPE 9: one or more IRAs totaling  
ASSET TYPE 10: businesses or farms worth  
ASSET TYPE 11: real estate properties worth

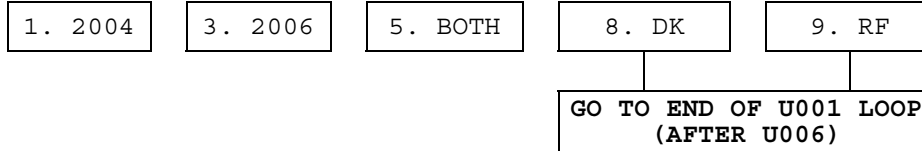
SINGULAR:

- ASSET TYPE 12: a primary residence worth
- ASSET TYPE 13: a first mortgage on your primary residence of
- ASSET TYPE 14: a second mortgage on your primary residence of
- ASSET TYPE 15: an equity loan on your primary residence of
- ASSET TYPE 16: a mobile home worth
- ASSET TYPE 17: a second home worth
- ASSET TYPE 18: a mortgage or loan on your second residence of

[IWER: ENTER RF TO EXIT ASSET VERIFICATION]



U002 Which record is wrong, the 2004 or the 2006 report?



NOTE: IWER CAN SELECT ONLY ONE RESPONSE.

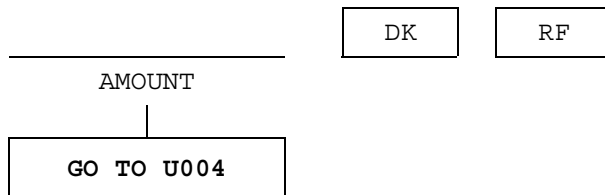
U003

IF ASSET TYPE 1 or 13 or 14 or 15 or 18:  
About how much [did/do] you owe in [2004/2006]?

OTHERWISE:  
About how much [were/are] these worth in [2004/2006]?

[IWER: ENTER ZERO IF ASSET DID NOT EXIST IN [2004/2006]]

[IWER: DO NOT PROBE DK/RF]



**U004-U006. UNFOLDING SEQUENCES:**

**Asset Type 1: DEBTS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_2up, UNFM\_1Up1Down, UNFM\_2Down

BREAKPOINTS: \$500, \$5,000, \$50,000

RANDOM ENTRY POINTS: \$500, \$5,000, \$50,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q121/X051

**Asset Type 2: TRUSTS (NOTE: NOT ASKED ABOUT THIS WAVE)**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$50,000, \$500,000, \$1,500,000

ENTRY POINT: \$500,000

**Asset Type 3: OTHER ASSETS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_2up, UNFM\_1Up1Down, UNFM\_2Down

BREAKPOINTS: \$5,000, \$50,000, \$100,000

RANDOM ENTRY POINTS: \$5,000, \$50,000, \$100,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q124/X052

**Asset Type 4: TRANSPORTATION**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_2up, UNFM\_1Up1Down, UNFM\_2Down

BREAKPOINTS: \$5,000, \$25,000, \$200,000

RANDOM ENTRY POINTS: \$5,000, \$25,000, \$200,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q120/X050

**Asset Type 5: CERTIFICATES OF DEPOSIT**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$2,500, \$25,000, \$125,000, \$250,000

RANDOM ENTRY POINTS: \$2,500, \$25,000, \$125,000, \$250,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q118/X048

**Asset Type 6: SAVINGS, CHECKING, MONEY MARKET ACCOUNTS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$5,000, \$50,000, \$150,000, \$300,000

RANDOM ENTRY POINTS: \$5,000, \$50,000, \$150,000, \$300,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q116/X046

**Asset Type 7: BONDS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$2,500, \$10,000, \$100,000, \$400,000

RANDOM ENTRY POINTS: \$2,500, \$10,000, \$100,000, \$400,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q115/X045

**Asset Type 8: STOCKS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$2,500, \$25,000, \$125,000, \$400,000

RANDOM ENTRY POINTS: \$2,500, \$25,000, \$125,000, \$400,000



ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q114/X044

**Asset Type 9: IRAs**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$5,000, \$10,000, \$100,000, \$1,000,000

RANDOM ENTRY POINTS: \$5,000, \$10,000, \$100,000, \$1,000,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q113/X043

**Asset Type 10: BUSINESSES OR FARMS**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$5,000, \$50,000, \$150,000, \$300,000

RANDOM ENTRY POINTS: \$5,000, \$50,000, \$150,000, \$300,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q119/X049

**Asset Type 11: REAL ESTATE PROPERTIES**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$2,500, \$125,000, \$500,000, \$1,000,000

RANDOM ENTRY POINTS: \$2,500, \$125,000, \$500,000, \$1,000,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q117/X047

**Asset Type 12: PRIMARY RESIDENCE**

Question wording: Would it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: UNFM\_3up, UNFM\_2Up1Down, UNFM\_1Up2Down

BREAKPOINTS: \$15,000, \$50,000, \$150,000, \$500,000

RANDOM ENTRY POINTS: \$15,000, \$50,000, \$150,000, \$500,000

ENTRY POINT ASSIGNMENT 1, 2 OR 3 AT Q128/X053

**Asset Type 13: FIRST MORTGAGE ON PRIMARY RESIDENCE**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, \$20,000, \$100,000

ENTRY POINT: \$20,000

**Asset Type 14: SECOND MORTGAGE ON PRIMARY RESIDENCE**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ ,  
or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, **\$20,000**, \$100,000

ENTRY POINT: \$20,000

**Asset Type 15: EQUITY LOAN ON PRIMARY RESIDENCE**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ ,  
or what?

PROCEDURE: 3Up

BREAKPOINTS: **\$12,000**, \$30,000, \$50,000, \$75,000

ENTRY POINT: \$12,000

**Asset Type 16: MOBILE HOME**

Question wording: Would it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ ,  
or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$5,000, **\$10,000**, \$20,000, \$100,000

ENTRY POINT: \$10,000

**Asset Type 17: SECOND HOME**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ ,  
or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$15,000, **\$50,000**, \$150,000, \$500,000

ENTRY POINT: \$50,000

**Asset Type 18: MORTGAGE OR LOAN ON SECOND RESIDENCE**

Question wording: Does it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ ,  
or what?

PROCEDURE: 3Up

BREAKPOINTS: **\$15,000**, \$40,000, \$75,000, \$150,000

ENTRY POINT: \$15,000

**NOTE:** IF R SAID BOTH RECORDS WERE INCORRECT (U002=5) AND THE RECORD THAT WAS JUST QUESTIONED AT U003 WAS FOR THE PREVIOUS/2004 WAVE, RETURN TO U003 AND ASK ABOUT THE RECORD FOR THE CURRENT/2006 WAVE. OTHERWISE, CONTINUE ON TO THE INSTRUCTION FOR THE END OF U001 LOOP.

**\*\*\*END OF U001 LOOP:** IF THERE ARE MORE ELIGIBLE ASSET TYPES ON THE LIST, GO BACK TO U001 BRANCHPOINT. OTHERWISE IF THERE ARE NO MORE ASSET TYPES, CONTINUE ON TO SECTION W.

**NOTE:** IF THE RESPONDENT HAS NO ASSET TYPES THAT DIFFER BY MORE THAN \$50,000, S/HE IS NOT ASKED ANY QUESTIONS IN SECTION U.

**GO TO SECTION W**