
**NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX,
THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.**

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A **REINTERVIEW R** (Z076=1);
THIS IS A **NEW INTERVIEW R** (Z076=5)

R IS FROM **{AHEAD or CODA} COHORT** (X023={1 or 2})

R IS **MARRIED** (X065=1);
R IS **PARTNERED** (X065=3);
R IS **SINGLE** (X065=6)

R IS **WORKING FOR PAY** ({J005 or J020}=1)
R IS **NOT CURRENTLY WORKING** (J020=5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.

K003 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION L
 IF R IS WORKING NOW FOR PAY (J005 or J020=1), GO TO SECTION L

K003 Have you ever worked for pay for more than a few months?

1. YES	5. NO	8. DK	9. RF
GO TO K038 (K ASSIST)			

K004 When did you last work for pay?

	OR		DK	RF
K004 YEAR		K005 YEARS AGO		

K006 BRANCHPOINT: IF R LAST WORKED FOR PAY MORE THAN 2 YEARS AGO (per K004 or K005), GO TO K007 BRANCHPOINT

K006 In what month was that?

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

K007 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K022

K007 The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?
 [IWER: IF R SAYS, "I RAN MY OWN BUSINESS" ENTER "SELF-EMPLOYED"]

1. SOMEONE ELSE	2. SELF-EMPLOYED	8. DK	9. RF
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K008

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
What kind of business or industry did you work in — that is, what did they make or do at the place where you worked?

IF R WAS SELF-EMPLOYED (K007=2):
What industry did you work in? That is, what did your company do or make?
(What industry did you typically work in?)

_____ DK RF
INDUSTRY

K009 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) *or* IS IN {AHEAD *or* CODA} COHORT (X023={1 *or* 2}), GO TO K010

K009

What was the official title of your job?
(The title that your employer used?)

_____ DK RF
TITLE

K010

What sort of work did you do?
(Tell me a little more about what you did.)

_____ DK RF
TYPE OF WORK

K011

How many hours a week did you usually work [for that employer/in that business]?

_____ DK RF
HOURS PER WEEK

K012 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) *or* IS IN {AHEAD *or* CODA} COHORT (X023={1 *or* 2}), GO TO K014

K012

Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

1. YES	5. NO	8. DK	9. RF
GO TO K014			

K013

Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

1. YES	5. NO	8. DK	9. RF
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K014

IWER: READ SLOWLY:

Counting paid vacations as weeks of work, how many weeks a year did you usually work?

DK	RF
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NUMBER OF WEEKS

K039 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K015

K039

Were you salaried on that job, paid by the hour, or what?

1. SALARIED	2. HOURLY	3. PIECEWORK/COMMISSION
7. OTHER/COMBINATION	8. DK	9. RF

K015

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
How much were you earning, before deductions, when you left that employer?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]

IF R WAS SELF-EMPLOYED (K007=2):
How much were you earning, before deductions, when you stopped working for the business?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT	0	DK	RF
	GO TO K018 BRANCHPOINT		

K016

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) K017		8. DK	9. RF

K018 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K022
IF R WAS SELF-EMPLOYED (K007=2), GO TO K020

K018

About how many employees worked for that company or organization at the location where you worked?

[IWER: DO NOT PROBE DK/RF]

NUMBER OF EMPLOYEES	DK	RF
	GO TO K020	

K019

Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5	2. 5 TO 14	3. 15 TO 24	4. 25 TO 99
5. 100 TO 499	6. 500 OR MORE	8. DK	9. RF

K020

About how many employees worked for that company or organization at all locations?

[IWER: DO NOT PROBE DK/RF]

IF R WAS SELF-EMPLOYED (K007=2):
[IWER: ENTER "-1" IF ONLY ONE LOCATION]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
[IWER: THE NUMBER MUST BE AT LEAST AS LARGE AS K018]

_____	DK	RF
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NUMBER OF EMPLOYEES

GO TO K022

NOTE: -1 RESPONSES RECORDED DURING DATA COLLECTION ARE RECODED AS -2 IN DATA DISTRIBUTION FILES.

K021

Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

1. FEWER THAN 5	2. 5 TO 14	3. 15 TO 24	4. 25 TO 99
5. 100 TO 499	6. 500 OR MORE	8. DK	9. RF

K022

When did you start working for [that employer/yourself]?

_____	OR	_____	OR	_____	DK	RF
K022 YEAR		K023 YEARS AGO		K024 AT AGE		

K025 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per K004 or K005), GO TO K038 (K ASSIST)

K025

How much were you earning, before taxes and other deductions, when you started?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

_____	0	DK	RF
AMOUNT			
GO TO K028			

K026

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____		8. DK	9. RF
	K027			

K028 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}),
GO TO K031

IF R WAS SELF-EMPLOYED (K007=2), GO TO K029

K028

Were you covered on that job by a union or employee-association contract?

1. YES	5. NO	8. DK	9. RF
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K029

IF R WAS SELF-EMPLOYED (K007=2):
Why did you stop working at this business?

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]
(Did the business close, were you laid off or let go, did you leave to take care of family members, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

1. BUSINESS CLOSED	2. LAID OFF/LET GO	3. POOR HEALTH/DISABLED	4. FAMILY CARE	
6. QUIT	7. RETIRED	97. OTHER (SPECIFY) K030	98. DK	99. RF

K031

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (K007 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for that employer.

IF R WAS SELF-EMPLOYED (K007=2):
Aside from IRAs not sponsored by your business or Keogh plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for yourself?

1. YES	5. NO	8. DK	9. RF
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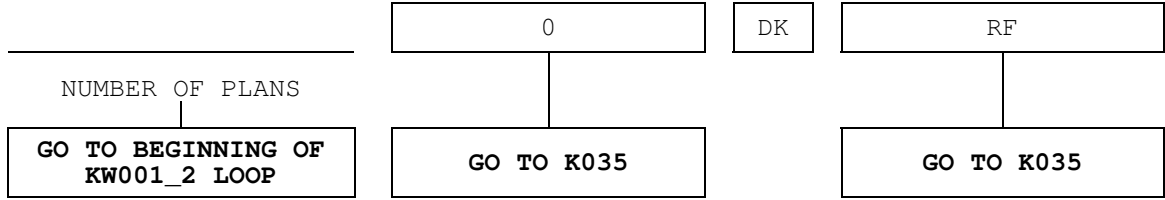
K032 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO K038 (K ASSIST)

IF R DID NOT REPORT THAT WAS INCLUDED IN PENSION PLAN (K031={5 or DK or RF}), GO TO K035

K032

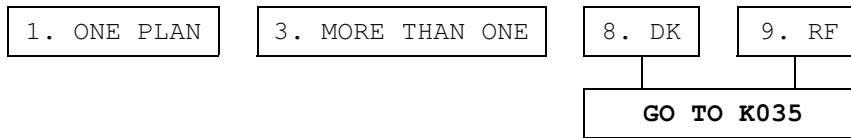
In how many different pension plans were you included on this job?

1 — 8 PLANS



K033

Is this just one plan or more than one?



BEGINNING OF PENSION BLOCK-2
(KW001_2 — KW042_2)

NOTE: THE FOLLOWING PENSION LOOP (KW001_2) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW001_1 THROUGH JW041_1), WITH THE ADDITION OF QUESTIONS W029 & W034. THE "_2" AFTER EACH LABEL INDICATES THAT THIS IS THE SECOND TIME THIS BLOCK IN THE QUESTIONNAIRE.

BEGINNING OF KW001_2 LOOP: QUESTIONS KW001_2 THROUGH KW042_2 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT K032 (K032={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT K033 (K033={1 or 3}).

KW001_2

IF THIS IS THE FIRST PLAN *and* {R HAS MORE THAN ONE PLAN (K032 ≥2 *or* K033=3):

Now I have some questions about the most important of these plans. I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (K032 ≥2) *or* THE SECOND PLAN FOR (K033=3):

Now I have some questions about the next most important of these plans. I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN ({K032 *or* K033}=1):

I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP:

In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

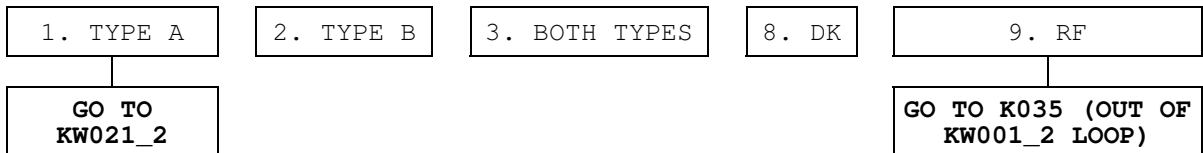
NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER'S DISCRETION.

ASK ALL Rs:

Was your plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS "BOTH".]

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."



NOTE: FROM KW002_2 ONWARDS, THROUGHOUT THE REMAINDER OF THE PENSION BLOCK, THE SCREEN DISPLAYS THE TYPE OF PLAN SELECTED AT W001_2.

KW002_2

IF R HAS BOTH TYPES OF PLAN (KW001_2=3):

For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

OTHERWISE:

How much money was in your account when you left that [business/employer]?

[IWER: IF R SAYS 'DOES NOT HAVE AN ACCOUNT', ENTER [-1]]

[IWER: DO NOT PROBE DK/RF]

AMOUNT

|

DK	RF
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|

GO TO KW006_2

NOTE: -1 RESPONSES RECORDED DURING DATA COLLECTION ARE RECODED AS -2 IN DATA DISTRIBUTION FILES.

KW003_2 - KW005_2 Unfolding Sequence

Question text: Did it amount to a total of less than \$____ , more than \$____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, **\$20,000**, **\$50,000**, \$150,000

RANDOM ENTRY POINTS: \$20,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW006_2

When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, did you lose your benefits, did you receive or are you receiving one or more installments, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDREW THE MONEY	2. ROLLED OVER INTO IRA	3. LEFT TO ACCUMULATE	4. CONVERT TO ANNUITY
6. LOST BENEFITS	7. RECEIVED/ RECEIVING INSTALLMENTS	97. OTHER (SPECIFY) _____	98. DK
		KW007_2	99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW065_2 BRANCHPOINT: IF R {WITHDREW THE MONEY or LOST BENEFITS} (KW006_2={1 or 6}), GO TO KW008_2 BRANCHPOINT

KW065_2

Were you allowed to receive benefits in a lump-sum payment?

1. YES	5. NO	8. DK	9. RF
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KW008_2 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (KW006_2=3 ONLY), GO TO KW009_2

IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT (KW006_2 NOT 3), GO TO KW063_2 BRANCHPOINT

KW008_2

How much money did you leave in the old account to accumulate?

DK	RF
----	----

AMOUNT

KW063_2 BRANCHPOINT: IF R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS (KW006_2 NOT 7), GO TO KW009_2 BRANCHPOINT

KW063_2

How much money in total did you receive in installments?

AMOUNT

DK

RF

KW009_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT (KW006_2 NOT 3) *and* R {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS (KW006_2 NOT 7), GO TO KW013_2 BRANCHPOINT

KW009_2

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

DK

RF

GO TO KW013_2
BRANCHPOINT

KW010_2 - KW012_2 Unfolding Sequence

Question text: Does it amount to less than \$____ , more than \$____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$10,000, **\$20,000**, **\$50,000**, \$150,000

RANDOM ENTRY POINTS: \$20,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW013_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY (KW006_2 NOT 4), GO TO KW018_2 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY (KW006_2=4 ONLY), GO TO KW014_2

KW013_2

How much did you convert to an annuity?

AMOUNT

DK

RF

KW014_2

How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

50-80 AGE

AGE

DK	RF
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KW015_2

How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

AMOUNT

0	DK	RF
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GO TO KW049_2
(AFTER KW017_2)

KW016_2

[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ KW017_2	8. DK	9. RF
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KW049_2

IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES	2. AS LONG AS R OR SPOUSE/PARTNER LIVES	3. FOR A FIXED TIME PERIOD
GO TO KW054_2 BRANCHPOINT		

7. OTHER (SPECIFY) _____ KW050_2	8. DK	9. RF
GO TO KW054_2 BRANCHPOINT		

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

KW051_2

What is that time period?

_____	OR	_____	OR	_____	DK	RF
KW051_2		KW052_2		KW053_2		
UNTIL YEAR		UNTIL AGE		YEARS		

KW054_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO KW018_2 BRANCHPOINT

KW054_2

If you or your [husband/wife/partner] should die, will the income from the annuity change, stay the same, or what?

1. CHANGE	3. STAY THE SAME	7. OTHER (SPECIFY) _____ KW055_2	8. DK	9. RF
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KW018_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA (KW006_2 NOT 2) or THAT WAS ONLY RESPONSE (KW006_2=2 ONLY), GO TO KW056_2 BRANCHPOINT

KW018_2

How much did the rollover amount to?

AMOUNT

DK	RF
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KW056_2 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY (KW006_2 NOT 1), GO TO KW021_2 BRANCHPOINT

IF R WITHDREW THE MONEY ONLY (KW006_2=1 ONLY), GO TO KW057_2

KW056_2

How much money did you withdraw?

AMOUNT

DK	RF
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KW057_2

What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ KW058_2	8. DK	9. RF

KW021_2 BRANCHPOINT: IF PLAN IS TYPE B ONLY (KW001_2=2), GO TO KW042_2

KW021_2

IF R HAS BOTH TYPE A AND TYPE B PLANS *or* R DIDN'T KNOW
(KW001_2={3 *or* 8})

Now, about the part of your pension where benefits are based on a formula.

ASK OF ALL:

Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement or a lump-sum payment when you left, did you lose your benefits, did you roll it over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. EXPECT
FUTURE BENEFITS

2. RECEIVING
BENEFITS NOW

3. RECEIVED CASH
SETTLEMENT/LUMP-SUM

4. ROLLED OVER
INTO IRA

6. LOST BENEFITS

7. OTHER (SPECIFY)

KW022_2

8. DK

9. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW064_2 BRANCHPOINT: IF R REPORTED {RECEIVED CASH SETTLEMENT/LUMP-SUM *or* LOST BENEFITS} KW021_2={3 *or* 6}), GO TO KW023_2 BRANCHPOINT

KW064_2

Were you allowed to receive benefits as a cash settlement or a lump-sum payment?

1. YES

5. NO

8. DK

9. RF

KW023_2 BRANCHPOINT: IF R DID NOT REPORT THAT IS RECEIVING BENEFITS NOW (KW021_2 NOT 2), GO TO KW030_2 BRANCHPOINT

KW023_2

In what month and year did you start to receive these benefits?

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

_____ DK RF

KW024_2
YEAR

KW025_2

How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

_____ 0 DK RF

AMOUNT

GO TO KW026_2	GO TO KW028_2
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J116_2 - J118_2 Unfolding Sequence

Question text: Do they amount to less than \$_____ per month, more than \$_____ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
 BREAKPOINTS: \$200, **\$400**, **\$1,200**, \$1,600
 RANDOM ENTRY POINTS: \$400, \$1,200
 ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505
 ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW026_2 BRANCHPOINT: GO TO KW028_2

KW026_2

[IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ KW027_2	8. DK	9. RF
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KW028_2

Are the benefits adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
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GO TO KW059_2

KW029_2

Have they ever been adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
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GO TO KW030_2 BRANCHPOINT

KW059_2

Are the benefits adjusted automatically?

1. YES	5. NO	8. DK	9. RF
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KW030_2 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED CASH SETTLEMENT/LUMP-SUM (KW021_2 NOT 3), GO TO KW033_2 BRANCHPOINT

KW030_2

How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

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GO TO KW031_2

J123-J125 Unfolding Sequence

Question text: Did it amount to a total of less than \$____ , more than \$____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$2,000, **\$6,000**, **\$60,000**, \$250,000

RANDOM ENTRY POINTS: \$6,000, \$60,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW031_2

What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ KW032_2	8. DK	9. RF

[NOTE: THE IWER MAY CHOOSE AS MANY RESPONES AS APPLY.]

KW033_2 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (KW021_2 NOT 4), GO TO KW034_2 BRANCHPOINT

KW033_2

How much did the rollover amount to?

IRA ROLLOVER

AMOUNT

DK	RF
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KW034_2 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (KW021_2 NOT 1), GO TO KW042_2

KW034_2

How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

YEARS

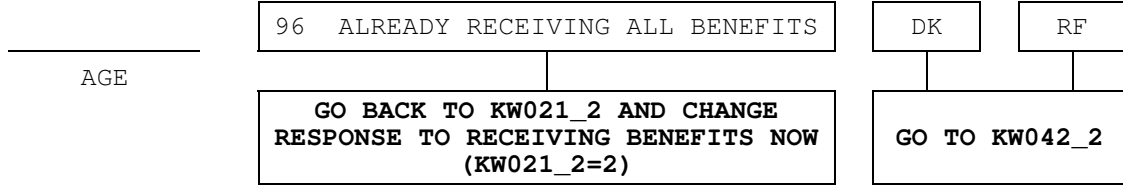
DK	RF
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KW035_2 BRANCHPOINT: IF THIS IS NOT A SELF INTERVIEW (A009 NOT 1), GO TO KW042_2

KW035_2

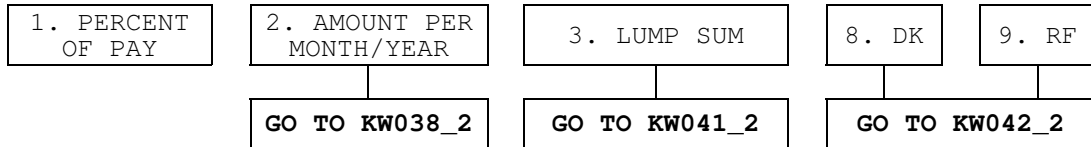
At what age do you expect to start receiving (the rest of your) benefits from this plan?

40-94 AGE



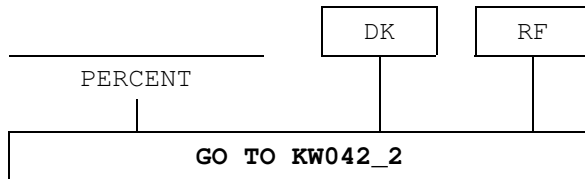
KW036_2

About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?



KW037_2

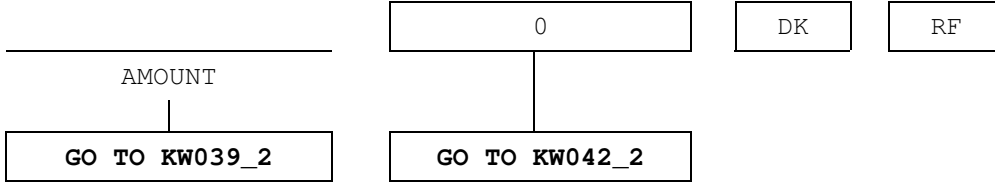
[IWER: ENTER PERCENT OF FINAL PAY]



KW038_2

[IWER: PROBE IF NECESSARY]
How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]



J133_2 - J135_2 Unfolding Sequence

Question text: Do you expect a total of less than \$____ per year, more than \$____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$500, \$1,000, \$2,000, \$3,000

RANDOM ENTRY POINTS: \$1,000, \$2,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

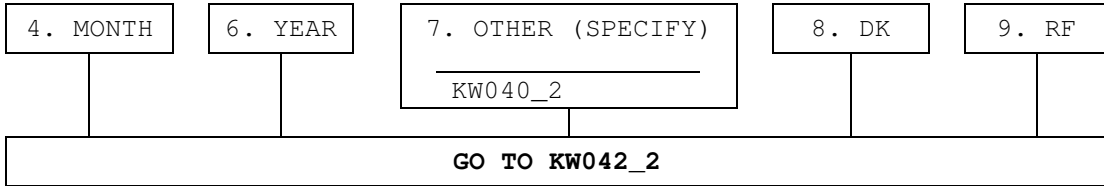
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

KW039_2 BRANCHPOINT: GO TO KW042_2

KW039_2

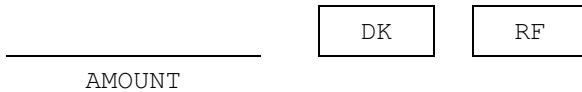
[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:



KW041_2

[IWER: ENTER LUMP SUM AMOUNT]



KW042_2

What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

30-80 AGE

AGE

95	NO AGE REQUIREMENT	DK	RF
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END OF KW001_2 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS KW001_2 THROUGH KW042_2 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K032 (K032={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT K033 (K033=3). IF NOT, CONTINUE ON TO K035.

END OF PENSION BLOCK-2: (KW001_2 — KW042_2)

K035

Over the last 10 years, since 1996, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

1. YES	5. NO	8. DK	9. RF
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GO TO KW158_3 BRANCHPOINT

K036

How many times have you been on temporary layoff or unemployed and looking for work?

NUMBER OF TIMES

DK	RF
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KW158_3 BRANCHPOINT: IF R WAS SELF-EMPLOYED (K007=2), GO TO K038 (K ASSIST)

BEGINNING OF EMPLOYER INFORMATION BLOCK-3:

(KW158_3 — KW165_3)

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (KW158_3 THROUGH KW165_3) IS A REPLICATE OF THAT APPEARING IN SECTION J (JW158_1 - JW165_1) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

KW158_3

IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

For whom did you work on that job?

What is the (company's/organization's) name?

	DK	RF
COMPANY NAME	GO TO K038 (K ASSIST)	GO TO K038 (K ASSIST)

KW159_3

What is the address of the place where you work(ed)?

[IWER: PROBE IF NECESSARY]

I will need the mailing address, city, state, and zip code.

	DK	RF
ADDRESS 1	GO TO KW161_3	GO TO K038 (K ASSIST)
	DK	RF
KW160_3 ADDRESS 2	DK	RF
	DK	RF
KW161_3 CITY	DK	RF
		GO TO K038 (K ASSIST)

_____	97. OTHER COUNTRY (SPECIFY) _____	98. DK	99. RF
KW162_3 STATE	KW163_3		
			GO TO K038 (K ASSIST)

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

_____	DK	RF
KW164_3 ZIP		

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

_____	DK	RF
KW165_3 PHONE NUMBER		

END OF **EMPLOYER INFORMATION BLOCK-3:**

(KW158_3 — KW165_3)

K038

K ASSIST

IWER:

HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION K — LAST JOB?

1. NEVER	2. A FEW TIMES	3. MOST OR ALL OF THE TIME	4. THE SECTION WAS DONE BY A PROXY REPORTER
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GO TO SECTION L