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NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

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NOTE ABOUT COLORS:

ALL QUESTION TEXT IN BLACK IS FOR THE CORE INTERVIEW.

ALL QUESTION TEXT IN FUCHSIA IS FOR THE EXIT INTERVIEW. ALSO IN FUCHSIA IS ALL OTHER TEXT THAT IS SPECIFIC TO THE EXIT INTERVIEW BUT NOT TO THE CORE.

OTHERWISE, BLACK TEXT FOR CODEFRAMES, INTERVIEWER INSTRUCTIONS, JUMPS AND BRANCHPOINTS, ETC. CAN APPLY TO BOTH THE CORE AND THE EXIT INTERVIEW UNLESS SPECIFIED OTHERWISE OR THERE IS AN EXIT ALTERNATIVE.

ON A BLACK-AND-WHITE HARD COPY OF THE DOCUMENT, THE FUCHSIA TEXT WILL APPEAR SOMEWHAT LIGHTER THAN THE ORIGINAL BLACK.

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NOTE ABOUT EXIT INTERVIEW Rs:

ANY NEW SPOUSE/PARTNER THAT AN EXIT INTERVIEW R ACQUIRED SINCE HIS/HER LAST INTERVIEW IS IGNORED FOR THE PURPOSES OF THIS INTERVIEW.

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MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES \*

SELF INTERVIEW (A009=1);  
PROXY INTERVIEW (A009={2 or 3})

R IS MARRIED (X065=1);  
R IS PARTNERED (X065=3);  
R IS SINGLE (X065=6)

R's LAST IW MONTH (per Z092), YEAR (per Z093) = R's LAST IW DATE

R's FIRST NAME (per X058)

R's LAST IW EMPLOYER NAME (per Z091)

R's LAST IW TITLE (per Z128)

\* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.

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*NOTE: QUESTIONS FOR THE EXIT INTERVIEW BEGIN WITH J023*

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J023 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW *and* R WAS NOT WORKING AT R's LAST IW (Z123 NOT 1), GO TO SECTION N

IF THIS IS AN EXIT INTERVIEW *and* R WAS NOT SELF-EMPLOYED AT LAST IW (Z136 NOT 2), GO TO J063

IF R IS CURRENTLY WORKING FOR SOMEONE ELSE (J021=1) *and* WAS WORKING FOR SOMEONE ELSE AT R's LAST IW (Z136=1), GO TO J045

IF R DID NOT REPORT THAT IS CURRENTLY WORKING FOR SOMEONE ELSE ({J020 *and* J021} NOT 1) *and* WAS WORKING FOR SOMEONE ELSE AT R's LAST IW (Z136=1), GO TO J063

IF R WAS SELF-EMPLOYED AT R's LAST IW (Z136=2) *and* DID NOT REPORT THAT IS CURRENTLY SELF-EMPLOYED (J021 NOT 2), CONTINUE ON TO J023

OTHERWISE, IF CORE IW, GO TO JW158\_2 BRANCHPOINT

OTHERWISE, IF EXIT IW, GO TO SECTION N

J023

According to our records, in R's LAST IW MONTH (per Z092), YEAR (per Z093) you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

Now I have some questions about R's FIRST NAME's (X058) employment before [he/she] died. According to our records, in R's LAST IW MONTH, YEAR [he/she] was self-employed.

In what month and year did [he/she] stop working for [him/her]self?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND R's DATE OF DEATH — MONTH, YEAR.]

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN
7. JUL	8. AUG	9. SEP	10. OCT	11. NOV	12. DEC

96. STILL WORKING FOR SELF AS SECOND JOB  
EXIT: WORKED THERE UNTIL DEATH

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW

98. DK

99. RF

GO TO J028 BRANCHPOINT

GO TO JW158\_2 BRANCHPOINT  
EXIT: GO TO SECTION N

DK

RF

J024  
YEAR

J028 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO J031

IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (J023 NOT 96), GO TO J031

J028

Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/ R's LAST IW MONTH, YEAR] when you were not working for pay?

1. YES	5. NO	8. DK	9. RF
GO TO JW158_2 BRANCHPOINT			

J029

Which months were those?

[R's PREV WAVE DATE: MONTH, YEAR]

NOTE: MONTH AND YEAR OF R's LAST IW ARE DISPLAYED TO IWER.

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2004	MONTHS IN 2005	MONTHS IN 2006	OTHER YEARS
(1) JAN 04	(14) JAN 05	(27) JAN 06	(41) ALL OF 2004 (AFTER PREV IW MO)
(2) FEB 04	(15) FEB 05	(28) FEB 06	(42) ALL OF 2003 (AFTER PREV IW MO)
(3) MAR 04	(16) MAR 05	(29) MAR 06	(43) ALL OF 2003
(4) APR 04	(17) APR 05	(30) APR 06	(44) ALL OF 2002 (AFTER PREV IW MO)
(5) MAY 04	(18) MAY 05	(31) MAY 06	(45) ALL OF 2002
(6) JUN 04	(19) JUN 05	(32) JUN 06	(46) ALL OF 2001 (AFTER PREV IW MO)
(7) JUL 04	(20) JUL 05	(33) JUL 06	(47) ALL OF 2001
(8) AUG 04	(21) AUG 05	(34) AUG 06	(48) ALL OF 2000 (AFTER PREV IW MO)
(9) SEP 04	(22) SEP 05	(35) SEP 06	(49) ALL OF 2000
(10) OCT 04	(23) OCT 05	(36) OCT 06	(50) ALL OF 1999 (AFTER PREV IW MO)
(11) NOV 04	(24) NOV 05	(37) NOV 06	(51) ALL OF 1999
(12) DEC 04	(25) DEC 05	(38) DEC 06	(52) ALL OF 1998 (AFTER PREV IW MO)
		(39) ALL OF 2006 TO DATE	(53) ALL OF 1998
(13) ALL OF 2004	(26) ALL OF 2005	(40) ALL OF 2006	(54) ALL OF 1997 (AFTER PREV IW MO)
			(55) ALL OF 1997
			(56) ALL OF 1996 (AFTER PREV IW MO)
			(57) ALL OF 1996
			(58) ALL OF 1995 (AFTER PREV IW MO)
			(59) ALL OF 1995
			(60) ALL OF 1994 (AFTER PREV IW MO)
			(61) ALL OF 1994
			(62) ALL OF 1993 (AFTER PREV IW MO)
			(63) ALL OF 1993
			(64) ALL OF 1992 (AFTER PREV IW MO)
			(65) OTHER (SPECIFY) [J030]
			(98) DK
			(99) RF

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J031 BRANCHPOINT: GO TO JW158\_2 BRANCHPOINT

J031

Were you paid a regular salary or wages?

Was [she/he] paid a regular salary or wages?

1. YES	5. NO	8. DK	9. RF
GO TO J038			

J032

How much were you paid before taxes and other deductions?

How much was she/he paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT	0	DK	RF
GO TO J036	GO TO J038		

J033-J035 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than \$\_\_\_ per month, more than \$\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$625, \$1,500, \$3,000, \$6,500

RANDOM ENTRY POINTS: \$1,500, \$3,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J036 BRANCHPOINT: GO TO J038

J036

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

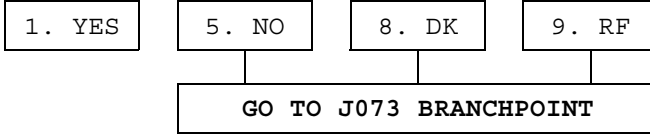
1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J037	8. DK	9. RF	

J038

Did you receive some or all of the net earnings or profits?

Did [she/he] receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.



J039

In addition to your regular salary,) How much did you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]  
What did you earn the last year you worked?

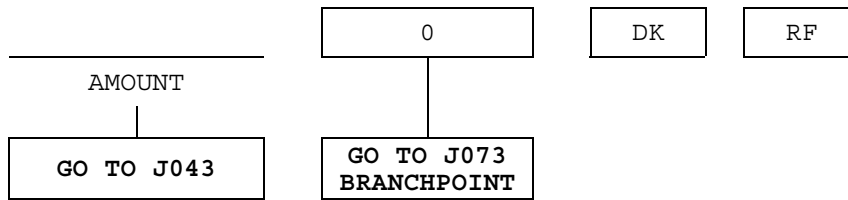
(In addition to [her/his] regular salary,) How much did [she/he] receive from net earnings or profits?

[IWER: IF PROXY HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]  
What did [she/he] earn the last year [she/he] worked?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]



J040-J042 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than \$\_\_\_ per year, more than \$\_\_\_ per year, or what?

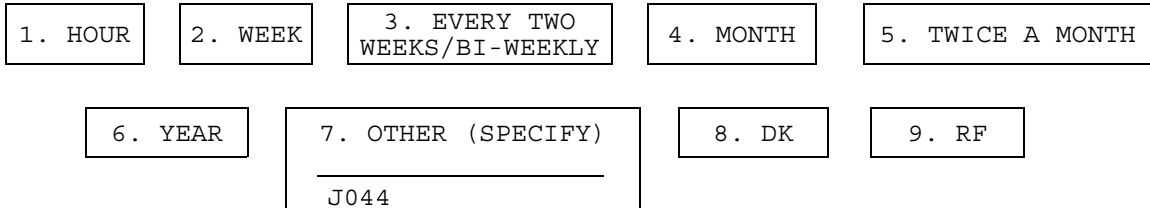
PROCEDURES: 2Up1Down, 1Up2Down  
BREAKPOINTS: \$3,300, \$10,000, \$30,000, \$75,000  
RANDOM ENTRY POINTS: \$10,000, \$30,000  
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X501  
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J043 BRANCHPOINT: GO TO J073 BRANCHPOINT

J043

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]  
Was that per hour, week, month, or year?

PER:



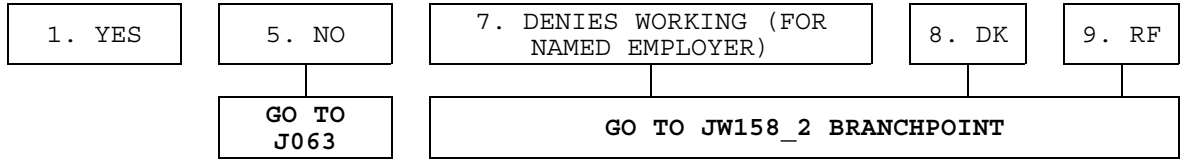
J045 BRANCHPOINT: GO TO J073 BRANCHPOINT



J045

According to our records, in R's LAST IW MONTH, YEAR you were [working for R's LAST IW EMPLOYER NAME (per Z091)/also working for someone else].

Are you still working there?



**BEGINNING OF EMPLOYER INFORMATION BLOCK-1:**

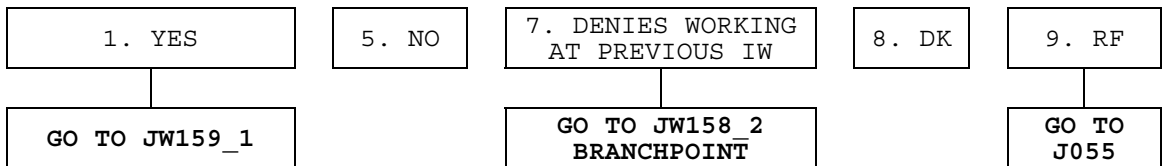
(JW168\_1 — JW165\_1)

THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (JW168\_1 THROUGH JW165\_1) IS REPLICATED FURTHER ON IN SECTION J (JW158\_2 - JW165\_2) AND ALSO IN SECTION K (KW158\_3 - KW165\_3) AND SECTION L (LW158\_4 - LW165\_4) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK. QUESTION NUMBERS WITHIN THE EMPLOYER NAME BLOCK ARE W158 - W165, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY "1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE. QUESTION JW168 OCCURS ONLY IN THIS FIRST OCCURRENCE OF THE EMPLOYER INFORMATION BLOCK, BELOW.

JW168 1 BRANCHPOINT: IF WE DO NOT KNOW EMPLOYER NAME FROM R's LAST IW (Z091=EMPTY), GO TO JW158\_1

JW168\_1

Is the correct name of your employer R's LAST IW EMPLOYER NAME?



JW158 1 BRANCHPOINT: IF R SAID NAME OF LAST IW's EMPLOYER {IS INCORRECT or DON'T KNOW} (JW168\_1={5 or 8}), CONTINUE ON TO J158 1

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J731>0), GO TO J055

NOTE: QUESTIONS J158\_1 THROUGH J165\_1 ARE ALWAYS ASKED OF REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW (J045=1), BUT FOR WHOM WE DO NOT KNOW THE EMPLOYER'S NAME (Z091=EMPTY), OR IF S/HE REPORTS THAT THE NAME WE HAVE FOR HIS/HER LAST IW EMPLOYER IS INCORRECT OR S/HE DOESN'T KNOW THE NAME (JW168\_1={5 or 8}).

IN ADDITION, ALL OTHER REINTERVIEW R's WHO WORK FOR THE SAME EMPLOYER AS AT R's LAST IW WILL BE ASKED THIS SERIES IN 2010 AND EVERY 3<sup>RD</sup> WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2004. **THESE Rs WILL NOT BE ASKED IN 2006.**

JW158\_1

IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [on your main job/now]?

What is the (company's/organization's) name?

	DK	RF
COMPANY NAME	GO TO J055	GO TO J055

JW159\_1

IF R WORKS FOR SAME EMPLOYER AS R'S LAST IW (J045=1) and WE KNOW EMPLOYER NAME FROM LAST IW (Z091 NOT EMPTY):

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full address of your employer.

ASK ALL Rs:

What is the address of the place where you work(ed)?

[IWER: PROBE IF NECESSARY]

I will need the mailing address, city, state, and zip code.

	DK	RF
ADDRESS 1	GO TO JW161_1	GO TO J055

	DK	RF
JW160_1 ADDRESS 2	GO TO J055	GO TO J055

	DK	RF
JW161_1 CITY	GO TO J055	GO TO J055

	97. OTHER (SPECIFY) <hr style="width: 50%; margin: 0 auto;"/> JW163_1 OTHER COUNTRY	98. DK	99. RF
JW162_1 STATE	GO TO J055	GO TO J055	GO TO J055

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

\_\_\_\_\_

JW164\_1  
ZIP

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

\_\_\_\_\_

JW165\_1  
PHONE NUMBER

END OF **EMPLOYER INFORMATION BLOCK-1:**

(JW168\_1 — JW165\_1)

J055

Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J046)/that employer]?

1. YES	5. NO	8. DK	9. RF
GO TO J058 BRANCHPOINT			

J056

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

MONTHS IN 2004	MONTHS IN 2005	MONTHS IN 2006	OTHER YEARS
(1) JAN 04	(14) JAN 05	(27) JAN 06	(41) ALL OF 2004 (AFTER PREV IW MO)
(2) FEB 04	(15) FEB 05	(28) FEB 06	(42) ALL OF 2003 (AFTER PREV IW MO)
(3) MAR 04	(16) MAR 05	(29) MAR 06	(43) ALL OF 2003
(4) APR 04	(17) APR 05	(30) APR 06	(44) ALL OF 2002 (AFTER PREV IW MO)
(5) MAY 04	(18) MAY 05	(31) MAY 06	(45) ALL OF 2002
(6) JUN 04	(19) JUN 05	(32) JUN 06	(46) ALL OF 2001 (AFTER PREV IW MO)
(7) JUL 04	(20) JUL 05	(33) JUL 06	(47) ALL OF 2001
(8) AUG 04	(21) AUG 05	(34) AUG 06	(48) ALL OF 2000 (AFTER PREV IW MO)
(9) SEP 04	(22) SEP 05	(35) SEP 06	(49) ALL OF 2000
(10) OCT 04	(23) OCT 05	(36) OCT 06	(50) ALL OF 1999 (AFTER PREV IW MO)
(11) NOV 04	(24) NOV 05	(37) NOV 06	(51) ALL OF 1999
(12) DEC 04	(25) DEC 05	(38) DEC 06	(52) ALL OF 1998 (AFTER PREV IW MO)
		(39) ALL OF 2006 TO DATE	(53) ALL OF 1998
(13) ALL OF 2004	(26) ALL OF 2005	(40) ALL OF 2006	(54) ALL OF 1997 (AFTER PREV IW MO)
			(55) ALL OF 1997
			(56) ALL OF 1996 (AFTER PREV IW MO)
			(57) ALL OF 1996
			(58) ALL OF 1995 (AFTER PREV IW MO)
			(59) ALL OF 1995
			(60) ALL OF 1994 (AFTER PREV IW MO)
			(61) ALL OF 1994
			(62) ALL OF 1993 (AFTER PREV IW MO)
			(63) ALL OF 1993
			(64) ALL OF 1992 (AFTER PREV IW MO)
			(65) OTHER (SPECIFY) [J030]
			(98) DK
			(99) RF

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J058 BRANCHPOINT: IF WE DO NOT HAVE JOB TITLE FROM R'S LAST IW (Z128=EMPTY), GO TO J166 BRANCHPOINT

J058

In R's LAST IW MONTH, YEAR our records indicate that your job title was R's LAST IW TITLE.

Is this still the case?

[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R'S CURRENT JOB, ANSWER "YES" HERE AND NOTE CORRECTIONS AS A COMMENT.]

1. YES	3. RETIRED & WORKING FOR SAME EMPLOYER/BUSINESS	5. NO	7. DENIES HAVING THIS JOB TITLE AT PREVIOUS WAVE	8. DK	9. RF
GO TO J166 BRANCHPOINT	GO TO J063 BRANCHPOINT	GO TO J166 BRANCHPOINT		GO TO J166 BRANCHPOINT	

J059

What was your job title in [CURRENT IW MONTH, YEAR 2 YEARS AGO/R'S LAST IW MONTH, YEAR]?

[IWER: PROBE:]  
The title that your employer used?

\_\_\_\_\_

TITLE

DK	RF
----	----

J060

What sort of work did you do?

[IWER: PROBE:]  
Tell me a little more about what you did.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

DK	RF
----	----

J061

What is your job title now?

[IWER: PROBE:]

The title that your employer uses?

\_\_\_\_\_

DK

RF

TITLE

J062

What sort of work do you do now?

[IWER: PROBE:]

Tell me a little more about what you do.

\_\_\_\_\_

DK

RF

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

J063 BRANCHPOINT: IF R DENIES HAVING PREVIOUSLY LISTED JOB TITLE AT R'S LAST  
IW (J058=7), GO TO J169

IF R REPORTED RETIRED AND WORKING FOR SAME EMPLOYER (J058=3)  
and GAVE YEAR S/HE RETIRED AT J018, GO TO J067 BRANCHPOINT

J063

IF {R WAS WORKING IN LAST IW AND NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS}} (J058=3) and YEAR RETIRED WAS NOT REPORTED (J018 NOT ASKED):

In what month and year did you retire?

IF R IS NO LONGER WORKING FOR R's LAST IW EMPLOYER (J045=5):

In what month and year did you stop working there?

IF NAME OF R's LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):

According to our records, you were working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.

In what month and year did you stop working there?

OTHERWISE:

According to our records, you were working for someone else when we last talked to you in R's LAST IW MONTH, YEAR.

In what month and year did you stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

Now I have some questions about R's FIRST NAME's employment before [he/she] died.

IF R'S LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):

According to our records, [he/she] was working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.

In what month and year did [he/she] stop working there, or was [he/she] still working there when [he/she] died?

OTHERWISE:

According to our records, [he/she] was working for someone else in R's LAST IW MONTH, YEAR.

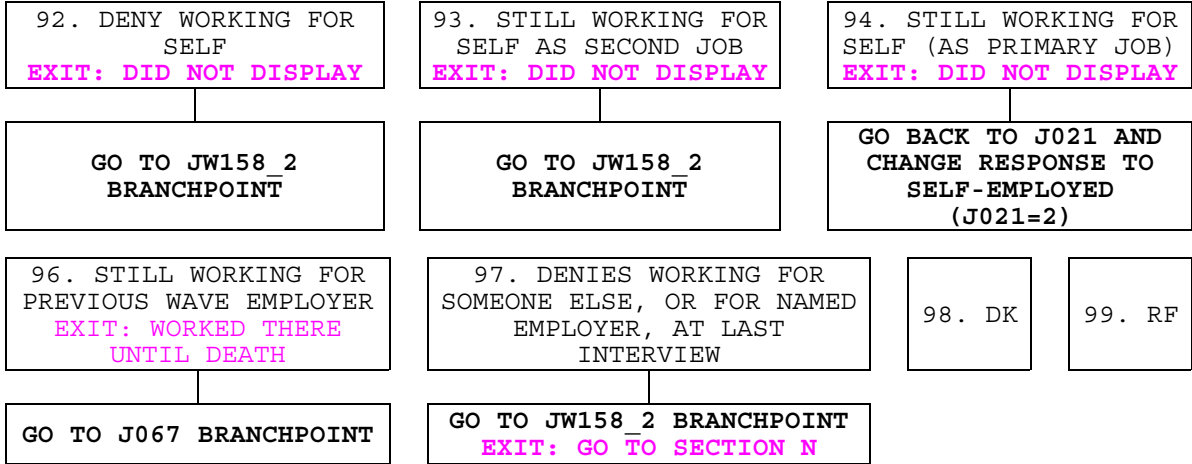
In what month and year did [he/she] stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND R's DATE OF DEATH, MONTH, YEAR.]

J063

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN
7. JUL	8. AUG	9. SEP	10. OCT	11. NOV	12. DEC



NOTE: WHEN R REPORTED EARLIER THAT WAS RETIRED AND WORKING FOR THE SAME EMPLOYER (J058=3), CODE "96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER" DOES NOT APPEAR IN THIS CODEFRAME.

\_\_\_\_\_

DK	RF
----	----

J064  
YEAR

J067 BRANCHPOINT: IF R IS SELF-EMPLOYED (J021=2) and SAID THAT IS STILL WORKING FOR SELF AS PRIMARY JOB (J063=94), GO TO J166 BRANCHPOINT

IF R SAID STILL WORKING FOR LAST IW EMPLOYER (J063=96) and DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3) and R WAS ASKED J045 (J045 NOT EMPTY), GO BACK TO J045 AND CHANGE RESPONSE TO YES (J045=1)



J067

IF R WAS WORKING IN LAST IW (Z123=1) and NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (J058=3)}:  
 What were you earning, before deductions, when you retired?

OTHERWISE:

What were you earning, before deductions, when you left that employer?

What was [he/she] earning, before deductions, [when [he/she] left that employer/at the time of [his/her] death]?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]

	0	DK	RF
AMOUNT			
GO TO J071	GO TO J073 BRANCHPOINT		

J068-J070 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than \$\_\_\_ per month, more than \$\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$200, \$800, \$1,500, \$3,000

RANDOM ENTRY POINTS: \$800, \$1,500

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J071 BRANCHPOINT: GO TO J073 BRANCHPOINT

J071

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR	2. WEEK	3. EVERY TWO WEEKS/BI-WEEKLY	4. MONTH	5. TWICE A MONTH
6. YEAR	7. OTHER (SPECIFY) _____ J072	8. DK	9. RF	

J073 BRANCHPOINT: IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS}  
(J058=3), GO TO J080 BRANCHPOINT

IF THIS IS AN EXIT INTERVIEW, GO TO J084 BRANCHPOINT

J073

IF NOT SELF-EMPLOYED AT LAST IW (Z136=1):  
{CORE WORDING} Why did you leave that employer?  
(Did the business close, were you laid off or let go, did you leave to  
take care of family members, or what?)

IF SELF-EMPLOYED AT LAST IW (Z136=2):  
{CORE WORDING} Why did you stop working at that business?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. BUSINESS CLOSED	2. LAID OFF/LET GO	3. POOR HEALTH/DISABLED	4. FAMILY CARE	5. BETTER JOB
GO TO J080 BRANCHPOINT	GO TO J079			
6. QUIT	7. RETIRED	8. DIED	97. OTHER (SPECIFY) _____ J074	98. DK
				99. RF

J075 BRANCHPOINT: IF R WAS SELF-EMPLOYED AT R'S LAST IW (Z136=2), GO TO J080  
BRANCHPOINT

J075

Did your employment situation change in some way that encouraged you to  
leave?

1. YES	5. NO	8. DK	9. RF
	GO TO J078		

J076

How did it change?

[IWER: CHOOSE ALL THAT APPLY]

1. SUPERVISOR ENCOURAGED DEPARTURE	2. COWORKER ENCOURAGED DEPARTURE	3. WAGES REDUCED (OR ABOUT TO BE REDUCED)	4. HOURS REDUCED (OR ABOUT TO BE REDUCED)
			GO TO J079
5. WOULD HAVE BEEN LAID OFF	6. NEW JOB DUTIES	7. NEW JOB LOCATION	8. BECAME ELIGIBLE FOR PENSION
9. EMPLOYER CHANGED HEALTH INSURANCE		10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER	
97. OTHER (SPECIFY) _____	98. DK	99. RF	
J077			

J078

{CORE WORDING} If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

1. YES	5. NO	8. DK	9. RF
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J079

Did your employer experience a permanent reduction in employment between [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] and the time you were leaving?

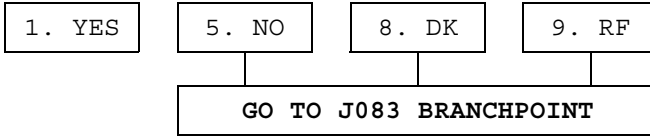
[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED "DOWNSIZING"; "LAYOFFS" CAN BE PERMANENT OR TEMPORARY.]

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

J080 BRANCHPOINT: IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} {ONE MONTH OR LESS} AFTER R's LAST IW (per {J063&J064 or J023&J024 or J017&J018}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} ({J063 or J064}={DK or RF} or {{J023 or J024} or {J017 or J018}}={DK or RF}),  
GO TO J084 BRANCHPOINT

J080

Were there any months between *R's LAST IW MONTH, YEAR* and *MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]* when you did not work [at this business/for this employer]?



J081

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

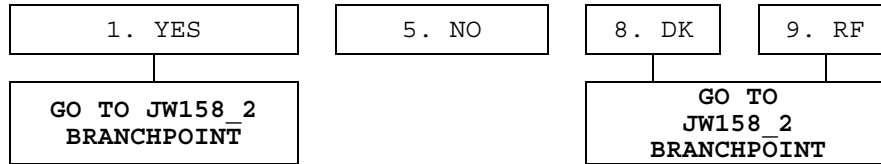
MONTHS IN 2004	MONTHS IN 2005	MONTHS IN 2006	OTHER YEARS
(1) JAN 04	(14) JAN 05	(27) JAN 06	(41) ALL OF 2004 (AFTER PREV IW MO)
(2) FEB 04	(15) FEB 05	(28) FEB 06	(42) ALL OF 2003 (AFTER PREV IW MO)
(3) MAR 04	(16) MAR 05	(29) MAR 06	(43) ALL OF 2003
(4) APR 04	(17) APR 05	(30) APR 06	(44) ALL OF 2002 (AFTER PREV IW MO)
(5) MAY 04	(18) MAY 05	(31) MAY 06	(45) ALL OF 2002
(6) JUN 04	(19) JUN 05	(32) JUN 06	(46) ALL OF 2001 (AFTER PREV IW MO)
(7) JUL 04	(20) JUL 05	(33) JUL 06	(47) ALL OF 2001
(8) AUG 04	(21) AUG 05	(34) AUG 06	(48) ALL OF 2000 (AFTER PREV IW MO)
(9) SEP 04	(22) SEP 05	(35) SEP 06	(49) ALL OF 2000
(10) OCT 04	(23) OCT 05	(36) OCT 06	(50) ALL OF 1999 (AFTER PREV IW MO)
(11) NOV 04	(24) NOV 05	(37) NOV 06	(51) ALL OF 1999
(12) DEC 04	(25) DEC 05	(38) DEC 06	(52) ALL OF 1998 (AFTER PREV IW MO)
		(39) ALL OF 2006 TO DATE	(53) ALL OF 1998
(13) ALL OF 2004	(26) ALL OF 2005	(40) ALL OF 2006	(54) ALL OF 1997 (AFTER PREV IW MO)
			(55) ALL OF 1997
			(56) ALL OF 1996 (AFTER PREV IW MO)
			(57) ALL OF 1996
			(58) ALL OF 1995 (AFTER PREV IW MO)
			(59) ALL OF 1995
			(60) ALL OF 1994 (AFTER PREV IW MO)
			(61) ALL OF 1994
			(62) ALL OF 1993 (AFTER PREV IW MO)
			(63) ALL OF 1993
			(64) ALL OF 1992 (AFTER PREV IW MO)
			(65) OTHER (SPECIFY) [J082]
			(98) DK
			(99) RF

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R's LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J083 BRANCHPOINT: IF R DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3), GO TO J084 BRANCHPOINT

J083

Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?



J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R's LAST IW (Z133=1), ASSIGN 1 TO J084 AND GO TO J085

J084

IF NOT SELF-EMPLOYED AT R's LAST IW (Z136 NOT 2):  
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for [R's LAST IW EMPLOYER NAME (per Z091)/that employer]?

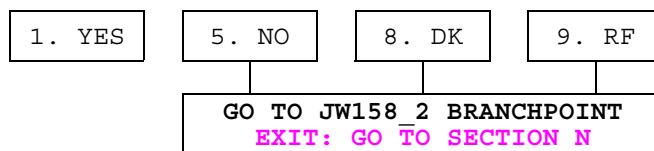
OTHERWISE:

Aside from IRAs not sponsored by your business or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

IF NOT SELF-EMPLOYED AT R's LAST IW (Z136 NOT 2):  
Was R's FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through your work when [he/she] worked for [R's LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:

Aside from IRAs not sponsored by your business or KEOGH plans, was R's FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through [his/her] work?



J085

IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):

According to our records, you reported that you were included in one or more pension plans on this job.

In how many different pension plans were you included on this job?

[IWER: ENTER "95" IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:

In how many different pension plans were you included on this job?

IF R REPORTED AT LAST IW THAT R WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):

According to our records, R's FIRST NAME reported that [he/she] was included in one or more pension plans on this job.

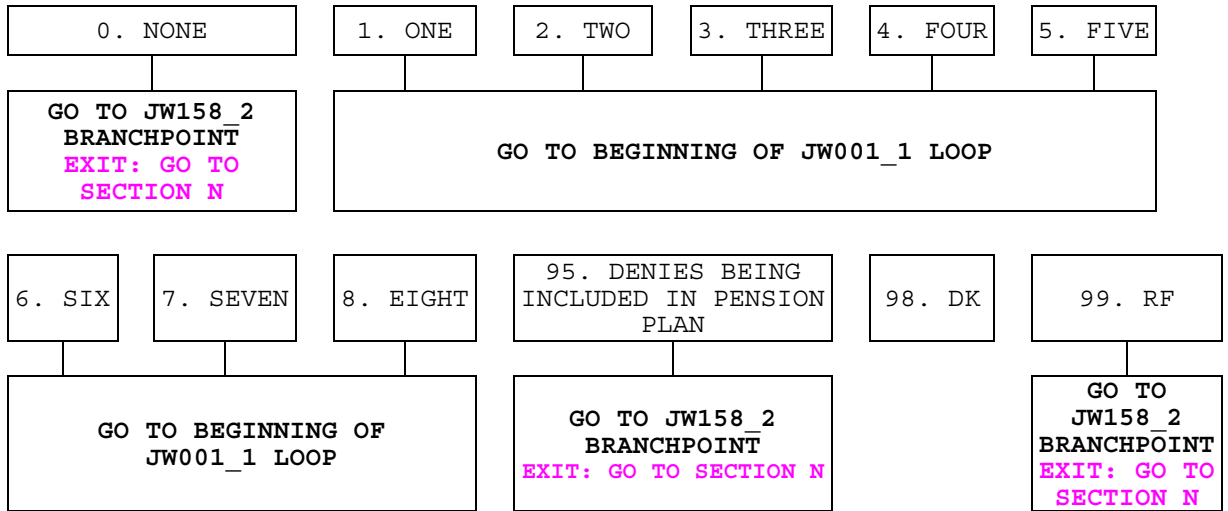
In how many different pension plans was [he/she] included on this job?

[IWER: ENTER "95" IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:

In how many different pension plans was [he/she] included on this job?

1 - 8 PLANS



J086

Is this just one plan or more than one?

Was this just one plan or more than one?

1. ONE PLAN	3. MORE THAN ONE	8. DK	9. RF
GO TO JW158_2 BRANCHPOINT EXIT: GO TO SECTION N			

NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISES THE PENSION BLOCK. (JW001\_1 - JW042\_1) IS REPLICATED IN SECTION K AND TWICE IN SECTION L AND IS KNOWN AS THE SECTION J PENSION BLOCK.

**BEGINNING OF PENSION BLOCK-1:**

(JW001\_1 — JW042\_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W001 - W042, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY "\_1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE.

\*\*\*BEGINNING OF JW001\_1 LOOP: QUESTIONS JW001\_1 THROUGH JW042\_1 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R [PROXY] REPORTED THE NUMBER OF PLANS AT J085 (J085={1-8}) OR FOR UP TO TWO PLANS IF R [PROXY] REPORTED HAVING PLANS AT J086 (J086={1 or 3}).\*\*\*



JW001\_1

{CORE AND EXIT}

IF THIS IS THE FIRST PLAN and R HAS MORE THAN ONE PLAN (J085 ≥2 or J086=3):

Now I have some questions about the most important of these plans. I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (J085 ≥2) or THE SECOND PLAN FOR (J086=3):

Now I have some questions about the next most important of these plans. I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN ({J085 or J086}=1):

I would like to know what type of plan this was.

ALL CORE Rs:

In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

ALL EXIT Rs:

In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for [him/her].

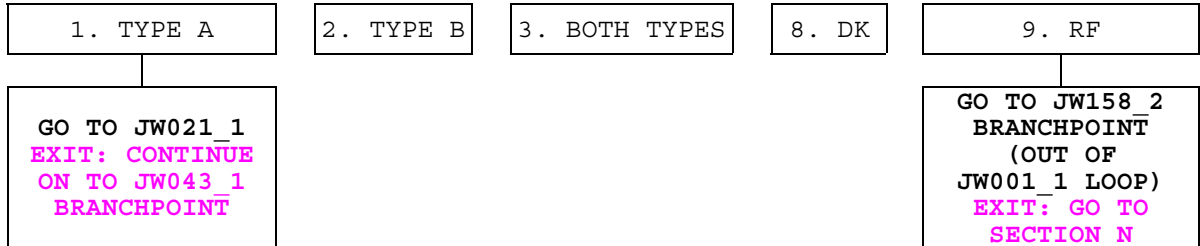
NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER'S DISCRETION.

{CORE WORDING} Was your plan Type A or Type B?

{EXIT WORDING} Was [his/her] plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS SEPARATE PLANS RATHER THAN COMBINING THEM AS "BOTH".]

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.  
 TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.  
 TYPE B PLANS ARE OFTEN CALLED 'DEFINED CONTRIBUTION'.



NOTE: FROM JW002\_1 ONWARDS, THROUGHOUT THE REMAINDER OF THE PENSION BLOCK, THE SCREEN DISPLAYS THE TYPE OF PLAN SELECTED AT W001\_1.

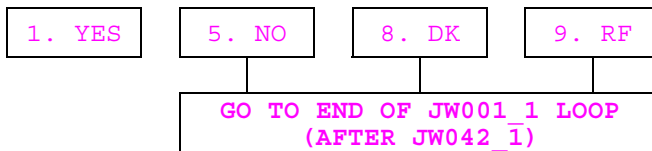
"PENSION TYPE B - ACCOUNT" APPEARS AT JW002\_1 THROUGH JW057\_1 (JUST BEFORE JW021\_1) } IF (JW001\_1 =2).

"PENSION TYPE A - FORMULA" APPEARS AT JW021\_1 THROUGH JW042\_1 IF (JW001\_1=1).

"PENSION TYPE AB - FORMULA/ACCOUNT" APPEARS AT JW002\_1 THROUGH JW042\_1 IF (JW001\_1 =3). THIS DISPLAY IS STANDARD FOR PENSION BLOCK QUESTIONS WHERE THEY APPEAR IN OTHER LOCATIONS IN SECTIONS K AND L.

JW043 1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO JW002 1  
IF THIS IS AN EXIT INTERVIEW and R WAS NOT COUPLED AT THE TIME OF DEATH (X065 {NOT 1 and NOT 3}), GO TO END OF JW001 1 LOOP (AFTER JW042\_1)

JW043\_1  
Did [you/R's FIRST NAME's [husband/wife/partner]] or will [you/[his/her] [husband/wife/partner]] receive any benefits from this plan?



JW002\_1 BRANCHPOINT: IF PLAN IS TYPE A (JW001=1), GO TO JW021\_1

JW002\_1

{CORE} IF R HAS BOTH TYPES OF PLAN (JW001\_1=3):  
For the part of your pension where money is accumulated in an account,  
how much money was in your account when you left that  
[business/employer]?

OTHERWISE:

How much money was in your account when you left that  
[business/employer]?

{EXIT} IF R HAS BOTH TYPES OF PLAN (JW001\_1=3):  
For the part of [his/her] pension where money was accumulated in an  
account, how much money was in [his/her] account when [he/she] died?

OTHERWISE:

How much money was in [his/her] account when [he/she] died?

[IWER: IF R SAYS 'DOES NOT HAVE AN ACCOUNT', ENTER [-1]]

[IWER: DO NOT PROBE DK/RF]

	DK	RF
AMOUNT		
GO TO JW006_1 BRANCHPOINT		

NOTE: -1 RESPONSES RECORDED DURING DATA COLLECTION ARE RECODED AS -2 IN DATA DISTRIBUTION FILES.

JW003\_1 - JW005\_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than  
\$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$5,000, \$20,000, \$50,000, \$150,000

RANDOM ENTRY POINTS: \$20,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW006 1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO JW044 1  
(AFTER JW006\_1)

JW006\_1

R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3):  
 When you retired, did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

OTHERWISE:

When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDREW THE MONEY	2. ROLLED OVER INTO IRA	3. LEFT TO ACCUMULATE	4. CONVERT TO ANNUITY	5. TRANSFERRED TO NEW EMPLOYER
6. LOST BENEFITS	7. RECEIVED/RECEIVING INSTALLMENTS	97. OTHER (SPECIFY) _____		98. DK
		JW007_1		99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW044\_1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO JW008\_1 BRANCHPOINT

JW044\_1

We are interested in what [you/R's FIRST NAME's [husband/wife/partner]] did with the money. When [he/she] died, did [you/R's FIRST NAME's [husband/wife/partner]] withdraw the money, roll it over into an IRA, leave the account unchanged in the old plan, convert it to an annuity, continue collecting all or part of the benefits, lose the benefits, did [you/R's FIRST NAME's [husband/wife/partner]] receive or [are you/is R's FIRST NAME's [husband/wife/partner]] receiving one or more installments, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDREW THE MONEY	2. ROLLED OVER INTO IRA	3. LEFT TO ACCUMULATE	4. CONVERT TO ANNUITY	5. CONTINUED COLLECTING ALL/PART OF R'S BENEFITS
6. LOST BENEFITS	7. RECEIVED/RECEIVING INSTALLMENTS	97. OTHER (SPECIFY) _____		98. DK
		JW045_1		99. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW065 BRANCHPOINT: IF R/BENEFICIARY {WITHDREW THE MONEY or LOST BENEFITS} ({JW006\_1 or JW044\_1}={1 or 6}), GO TO JW008\_1 BRANCHPOINT

JW065

Were you allowed to receive benefits in a lump-sum payment?

[Were you/Was [he/she]] allowed to receive benefits in a lump-sum payment?

1. YES	5. NO	8. DK	9. RF
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JW008 1 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS {LEFT TO ACCUMULATE/LEFT UNCHANGED} ONLY ({JW006 1 or JW044 1}= 3 ONLY), GO TO JW009 1

IF R DID NOT REPORT THAT LEFT TO ACCUMULATE/LEFT UNCHANGED IN PENSION ACCOUNT {JW006 1 and JW044 1} NOT 3)}, GO TO JW063\_1/BRANCHPOINT

JW008\_1

How much money did you leave in the old account to accumulate?

How much money did [you/he/she] leave in that account?

\_\_\_\_\_

DK	RF
----	----

AMOUNT

JW063 1 BRANCHPOINT: IF R/BENEFICIARY {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS ({JW006\_1 and JW044\_1} NOT 7), GO TO JW009\_1 BRANCHPOINT

JW063\_1

How much money in total did you receive in installments?

How much money in total did [you/he/she] receive in installments?

\_\_\_\_\_

DK	RF
----	----

AMOUNT

JW009 1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE/LEFT UNCHANGED IN PENSION ACCOUNT ({JW006 1 and JW044 1} NOT 3) and R/BENEFICIARY {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS ({JW006 1 and JW044 1} NOT 7), GO TO JW013\_1 BRANCHPOINT

JW009\_1

How much is in that account now?

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

GO TO  
JW013\_1 BRANCHPOINT

JW010\_1 - JW012\_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$10,000, \$20,000, \$50,000, \$150,000

RANDOM ENTRY POINTS: \$20,000, \$50,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW013 1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ({JW006 1 and JW044 1} NOT 4), GO TO JW018 1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY ({JW006\_1 or JW044\_1}= 4 ONLY), GO TO JW014\_1

JW013\_1

How much did you convert to an annuity?

How much did [you/he/she] convert to an annuity?

\_\_\_\_\_

AMOUNT

JW014\_1

How old were you when you started receiving the benefits from that annuity?

How old [were you/was R's FIRST NAME's [husband/wife/partner]] when [you/he/she] started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

\_\_\_\_\_

DK	RF
----	----

AGE

JW015\_1

How much are the benefits from that annuity per month or year?

How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

\_\_\_\_\_

0	DK	RF
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AMOUNT

GO TO JW049_1 (AFTER JW017_1)
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JW016\_1

[IWER: PROBE IF NECESSARY]

Is that per month or year?

Is that per month or year?

PER:

4. MONTH	6. YEAR	7. OTHER (SPECIFY) _____ JW017_1	8. DK	9. RF
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JW049\_1

IF R IS MARRIED/PARTNERED (X065={1 or 3}):

Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time period, or what?

Will this payment continue as long as [you/R's FIRST NAME's [husband/wife/partner]] [live/lives], is it for a fixed period of time, or what?

1. AS LONG AS R LIVES EXIT: DID NOT DISPLAY	2. AS LONG AS R OR SPOUSE/PARTNER LIVES EXIT: AS LONG AS SPOUSE/PARTNER LIVES	3. FOR A FIXED TIME PERIOD
GO TO JW054_1 BRANCHPOINT		
7. OTHER (SPECIFY) _____ JW050_1	8. DK	9. RF
GO TO JW054_1 BRANCHPOINT		

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

JW051\_1

What is that time period?

What is that time period?

_____ JW051_1 UNTIL YEAR	OR	_____ JW052_1 UNTIL AGE	OR	_____ JW053_1 YEARS	DK	RF
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JW054 1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW or R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO JW018 1 BRANCHPOINT

JW054\_1

If you or your [husband/wife/partner] should die, will the income from the annuity change, stay the same, or what?

5. CHANGE	3. STAY THE SAME	7. OTHER (SPECIFY) _____ JW055_1	8. DK	9. RF
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JW018 1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ({JW006 1 and JW044 1} NOT 2), GO TO JW019 1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ONLY ({JW006\_1 or JW044\_1}= 2 ONLY), GO TO JW019\_1 BRANCHPOINT

JW018\_1  
How much did the rollover amount to?

How much did the rollover amount to?

\_\_\_\_\_

AMOUNT

JW019 1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO JW056 1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (JW006 1 NOT 5) or THAT WAS ONLY RESPONSE (JW006\_1=5 ONLY), GO TO JW056\_1 BRANCHPOINT

JW019\_1  
How much did the transfer amount to?

\_\_\_\_\_

AMOUNT

JW056 1 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY ({JW006 1 and JW044 1} NOT 1), GO TO JW021 1 BRANCHPOINT

IF R/BENEFICIARY WITHDREW THE MONEY ONLY ({JW006 1 or JW044\_1}= 1 ONLY), GO TO JW057\_1

JW056\_1  
How much money did you withdraw?

How much money did [you/R's FIRST NAME's [husband/wife/partner]] withdraw?

\_\_\_\_\_

AMOUNT

JW057\_1

What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ JW058_1	8. DK	9. RF

JW021 1 BRANCHPOINT: IF PLAN IS TYPE B ONLY (JW001 1=2), GO TO JW042\_1 BRANCHPOINT

JW021\_1

IF R's PLAN IS BOTH TYPE A AND TYPE B or R DIDN'T KNOW (JW001\_1={3 or 8}):

Now, about the part of your pension where benefits are based on a formula.

Now, about the part of [his/her] pension where benefits are based on a formula.

ASK ALL CORE Rs:

Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement or a lump-sum payment when you left, did you lose your benefits, did you roll it over into an IRA, or what?

ASK ALL EXIT Rs:

[Do you/Does R's FIRST NAME's [husband/wife/partner]] expect to receive benefits from this plan in the future, [are you/is [he/she]] receiving benefits now, did [you/he/she] get a cash settlement or a lump-sum payment when R's FIRST NAME died, did [you/he/she] lose the benefits, did [you/he/she] roll it over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. EXPECT FUTURE BENEFITS	2. RECEIVING BENEFITS NOW	3. RECEIVED CASH SETTLEMENT/LUMP-SUM	4. ROLLED OVER INTO IRA
6. LOST BENEFITS	7. OTHER (SPECIFY) _____ JW022_1	8. DK	9. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW064 1 BRANCHPOINT: IF R REPORTED {RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS} JW021\_1={3 or 6}), GO TO JW023\_1 BRANCHPOINT

JW064\_1

Were you allowed to receive benefits as a cash settlement or a lump-sum payment?

Was [he/she] allowed to receive benefits as a cash settlement or a lump-sum payment?

1. YES	5. NO	8. DK	9. RF
--------	-------	-------	-------

JW023 1 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVES BENEFITS NOW (JW021 1 NOT 2), GO TO JW030\_1 BRANCHPOINT

JW023\_1

In what month and year did you start to receive these benefits?

In what month and year did [you/R's FIRST NAME's [husband/wife/partner]] start to receive these benefits?

MONTH:

1. JAN	2. FEB	3. MAR	4. APR	5. MAY	6. JUN	7. JUL
8. AUG	9. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

_____	DK	RF
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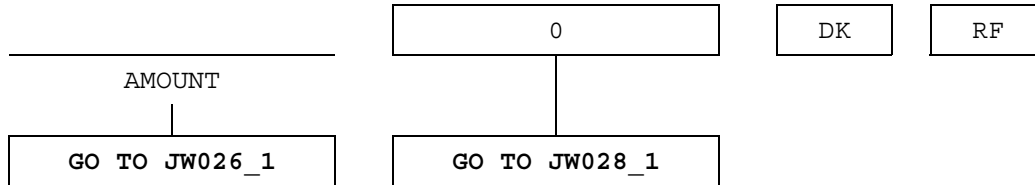
JW024\_1  
YEAR

JW025\_1

How much are the benefits per month or year?

How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]



J116\_1 - J118\_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did they amount to less than \$\_\_\_ per month, more than \$\_\_\_ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$200, \$400, \$1,200, \$1,600

RANDOM ENTRY POINTS: \$400, \$1,200

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW026\_1 BRANCHPOINT: GO TO JW028\_1

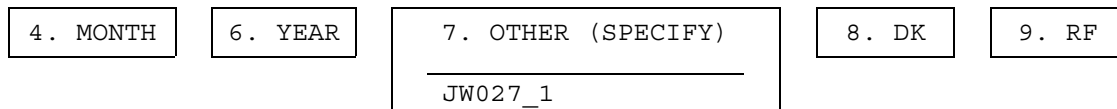
JW026\_1

[IWER: PROBE IF NECESSARY]

Is that per month or year?

Is that per month or year?

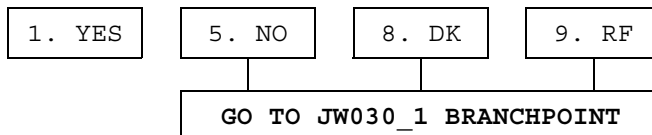
PER:



JW028\_1

Are the benefits adjusted for changes in the cost of living?

Are the benefits adjusted for changes in the cost of living?



JW059\_1

Are the benefits adjusted automatically?

Are the benefits adjusted automatically?



JW030 1 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED A CASH SETTLEMENT/LUMP SUM (JW021\_1 NOT 3), GO TO JW033\_1 BRANCHPOINT

JW030\_1

How much did the cash settlement amount to?

How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

	DK	RF
AMOUNT		
GO TO JW031_1		

J123\_1 - J125\_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$2,000, \$6,000, \$60,000, \$250,000

RANDOM ENTRY POINTS: \$6,000, \$60,000

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW031\_1

What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY) _____ JW032_1	8. DK	9. RF

[NOTE: THE IWER MAY CHOOSE AS MANY RESPONSES AS APPLY.]

JW033 1 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (JW021\_1 NOT 4), GO TO JW035\_1 BRANCHPOINT

JW033\_1  
How much did the rollover amount to?

How much did the rollover amount to?

IRA ROLLOVER

\_\_\_\_\_

AMOUNT

DK	RF
----	----

JW035 1 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM PENSION (JW021 1 NOT 1), GO TO JW042 1 BRANCHPOINT

IF THIS IS {NOT A SELF INTERVIEW (A009 NOT 1) *and NOT AN EXIT INTERVIEW*}, GO TO JW042\_1 BRANCHPOINT

JW035\_1  
At what age do you expect to start receiving (the rest of your) benefits from this plan?

At what age [do you/does *R's FIRST NAME's* [husband/wife/partner]] expect to start receiving (the rest of [his/her]) benefits from this plan?

\_\_\_\_\_

40-94  
AGE

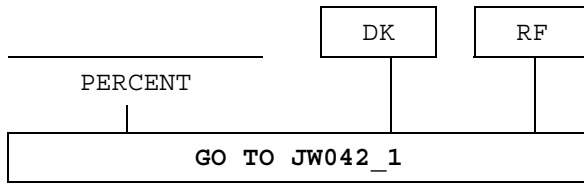
96 ALREADY RECEIVING ALL BENEFITS	DK	RF
GO BACK TO JW021_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (JW021_1=2)	GO TO JW042_1 BRANCHPOINT	

JW036\_1  
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

About how much [do you/does [he/she]] expect these benefits to be, either as an amount per month or year or a lump sum amount?

1. PERCENT OF PAY EXIT: DO NOT DISPLAY	2. AMOUNT PER MONTH/YEAR	3. LUMP SUM	8. DK	9. RF
	GO TO JW038_1	GO TO JW041_1	GO TO JW042_1 BRANCHPOINT	

JW037\_1  
{CORE ONLY} [IWER: ENTER PERCENT OF FINAL PAY]



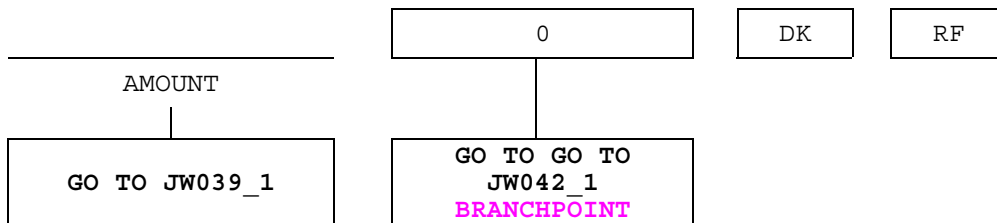
NOTE: EXIT R's ARE NEVER ASKED THIS QUESTION.

JW038\_1

[IWER: PROBE IF NECESSARY]  
How much do you expect to receive?

How much [do you/does [he/she]] expect to receive?

[IWER: DO NOT PROBE DK/RF]



J133\_1 - J135\_1 Unfolding Sequence

Question text: {CORE AND EXIT} Do you expect a total of less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: \$500, \$1,000, \$2,000, \$3,000

RANDOM ENTRY POINTS: \$1,000, \$2,000

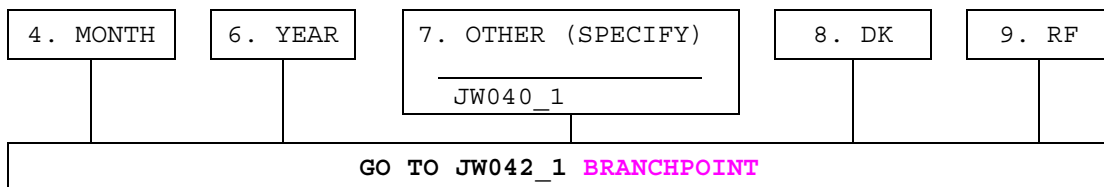
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW039\_1 BRANCHPOINT: GO TO JW042\_1 BRANCHPOINT

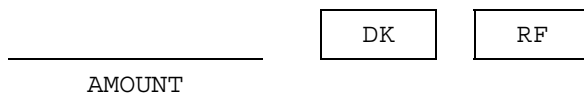
JW039\_1

[IWER: PROBE IF NECESSARY]  
Is that per month or year?  
Is that per month or year?  
PER:



JW041\_1

{CORE AND EXIT} [IWER: ENTER LUMP SUM AMOUNT]



JW042 1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO END OF JW001 1 LOOP  
(AFTER JW042\_1)

JW042\_1

What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

_____	<input type="checkbox"/> 95 NO AGE REQUIREMENT	<input type="checkbox"/> DK	<input type="checkbox"/> RF
30-80			
AGE			

\*\*\*END OF JW001\_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS JW001\_1 THROUGH JW042\_1) FOR UP TO FOUR PENSION PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT J085 (J085={2-8}) OR FOR TWO PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT J086 (J086=3). IF NOT, CONTINUE ON TO JW158\_2 BRANCHPOINT IF THIS IS A CORE IW; IF EXIT IW, GO TO SECTION N.\*\*\*

END OF PENSION BLOCK-1:  
(JW001\_1 — JW042\_1)

EXIT: GO TO SECTION N