NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

NOTE ABOUT COLORS:

ALL QUESTION TEXT IN BLACK IS FOR THE CORE INTERVIEW.

ALL QUESTION TEXT IN FUCHSIA IS FOR THE EXIT INTERVIEW. ALSO IN FUCHSIA IS ALL OTHER TEXT THAT IS SPECIFIC TO THE EXIT INTERVIEW BUT NOT TO THE CORE.

OTHERWISE, BLACK TEXT FOR CODEFRAMES, INTERVIEWER INSTRUCTIONS, JUMPS AND BRANCHPOINTS, ETC. CAN APPLY TO BOTH THE CORE AND THE EXIT INTERVIEW UNLESS SPECIFIED OTHERWISE OR THERE IS AN EXIT ALTERNATIVE.

ON A BLACK-AND-WHITE HARD COPY OF THE DOCUMENT, THE FUCHSIA TEXT WILL APPEAR SOMEWHAT LIGHTER THAN THE ORIGINAL BLACK.

NOTE ABOUT EXIT INTERVIEW Rs:

ANY NEW SPOUSE/PARTNER THAT AN EXIT INTERVIEW R ACQUIRED SINCE HIS/HER LAST INTERVIEW IS IGNORED FOR THE PURPOSES OF THIS INTERVIEW.
MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

SELF INTERVIEW (A009=1);
    PROXY INTERVIEW (A009={2 or 3})

R IS MARRIED (X065=1);
    R IS PARTNERED (X065=3);
    R IS SINGLE (X065=6)

R’s LAST IW MONTH (per Z092), YEAR (per Z093) = R’s LAST IW DATE

R’s FIRST NAME (per X058)

R’s LAST IW EMPLOYER NAME (per Z091)

R’s LAST IW TITLE (per Z128)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not shown after that.
NOTE: QUESTIONS FOR THE EXIT INTERVIEW BEGIN WITH J023
According to our records, in R’s LAST IW MONTH (per Z092), YEAR (per Z093) you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

Now I have some questions about R’s FIRST NAME’s (X058) employment before [he/she] died. According to our records, in R’s LAST IW MONTH, YEAR [he/she] was self-employed.

In what month and year did [he/she] stop working for [him/her]self?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND R’s DATE OF DEATH — MONTH, YEAR.]

MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN

7. JUL  8. AUG  9. SEP  10. OCT  11. NOV  12. DEC

96. STILL WORKING FOR SELF AS SECOND JOB
EXIT: WORKED THERE UNTIL DEATH

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW

98. DK  99. RF

GO TO J028 BRANCHPOINT

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW

GO TO JW158_2 BRANCHPOINT
EXIT: GO TO SECTION N

DK  RF

J024

YEAR

J028 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO J031

IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (J023 NOT 96), GO TO J031

J028

Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/ R’s LAST IW MONTH, YEAR] when you were not working for pay?

1. YES  5. NO  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT
Which months were those?

[R’s PREV WAVE DATE: MONTH, YEAR]

NOTE: MONTH AND YEAR OF R’s LAST IW ARE DISPLAYED TO IWER.

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

<table>
<thead>
<tr>
<th>MONTHS IN 2004</th>
<th>MONTHS IN 2005</th>
<th>MONTHS IN 2006</th>
<th>OTHER YEARS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) JAN 04</td>
<td>(14) JAN 05</td>
<td>(27) JAN 06</td>
<td>(41) ALL OF 2004 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(2) FEB 04</td>
<td>(15) FEB 05</td>
<td>(28) FEB 06</td>
<td>(42) ALL OF 2003 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(3) MAR 04</td>
<td>(16) MAR 05</td>
<td>(29) MAR 06</td>
<td>(43) ALL OF 2003</td>
</tr>
<tr>
<td>(4) APR 04</td>
<td>(17) APR 05</td>
<td>(30) APR 06</td>
<td>(44) ALL OF 2002 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(5) MAY 04</td>
<td>(18) MAY 05</td>
<td>(31) MAY 06</td>
<td>(45) ALL OF 2002</td>
</tr>
<tr>
<td>(6) JUN 04</td>
<td>(19) JUN 05</td>
<td>(32) JUN 06</td>
<td>(46) ALL OF 2001 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(7) JUL 04</td>
<td>(20) JUL 05</td>
<td>(33) JUL 06</td>
<td>(47) ALL OF 2001</td>
</tr>
<tr>
<td>(8) AUG 04</td>
<td>(21) AUG 05</td>
<td>(34) AUG 06</td>
<td>(48) ALL OF 2000 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(9) SEP 04</td>
<td>(22) SEP 05</td>
<td>(35) SEP 06</td>
<td>(49) ALL OF 2000</td>
</tr>
<tr>
<td>(10) OCT 04</td>
<td>(23) OCT 05</td>
<td>(36) OCT 06</td>
<td>(50) ALL OF 1999 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(11) NOV 04</td>
<td>(24) NOV 05</td>
<td>(37) NOV 06</td>
<td>(51) ALL OF 1999</td>
</tr>
<tr>
<td>(12) DEC 04</td>
<td>(25) DEC 05</td>
<td>(38) DEC 06</td>
<td>(52) ALL OF 1998 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(39) ALL OF 2006 TO DATE</td>
<td>(53) ALL OF 1998</td>
</tr>
<tr>
<td>(13) ALL OF 2004</td>
<td>(26) ALL OF 2005</td>
<td>(40) ALL OF 2006</td>
<td>(54) ALL OF 1997 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(55) ALL OF 1997</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(56) ALL OF 1996 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(57) ALL OF 1996</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(58) ALL OF 1995 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(59) ALL OF 1995</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(60) ALL OF 1994 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(61) ALL OF 1994</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(62) ALL OF 1993 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(63) ALL OF 1993</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(64) ALL OF 1992 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(65) OTHER (SPECIFY) [J030]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(98) DK</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(99) RF</td>
</tr>
</tbody>
</table>

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

J031 BRANCHPOINT: GO TO JW158_2 BRANCHPOINT
J031
Were you paid a regular salary or wages?

Was [she/he] paid a regular salary or wages?

  1. YES  5. NO  8. DK  9. RF

GO TO J038

J032
How much were you paid before taxes and other deductions?

How much was she/he paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

AMOUNT

  0  DK  RF

GO TO J036  GO TO J038

J033-J035 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $625, $1,500, $3,000, $6,500
RANDOM ENTRY POINTS: $1,500, $3,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X510
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J036 BRANCHPOINT: GO TO J038

J036

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

  1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J037
Did you receive some or all of the net earnings or profits?

Did [she/he] receive some or all of the net earnings or profits?

**DEFINITION:** NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

1. YES  5. NO  8. DK  9. RF

GO TO J073 BRANCHPOINT
In addition to your regular salary, how much did you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:] What did you earn the last year you worked?

(In addition to [her/his] regular salary,) how much did [she/he] receive from net earnings or profits?

[IWER: IF PROXY HAS TROUBLE GIVING DOLLAR FIGURE, ASK:] What did [she/he] earn the last year [she/he] worked?

**DEFINITION:** NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

**J040-J042 Unfolding Sequence**

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per year, more than $____ per year, or what?

**PROCEDURES:** 2Up1Down, 1Up2Down
**BREAKPOINTS:** $3,300, $10,000, $30,000, $75,000
**RANDOM ENTRY POINTS:** $10,000, $30,000
**ENTRY POINT ASSIGNMENT:** 1 OR {NOT 1} AT X501
**ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND**

**J043 BRANCHPOINT: GO TO J073 BRANCHPOINT**

**J043**

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

**PER:**

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

**J045 BRANCHPOINT: GO TO J073 BRANCHPOINT**
According to our records, in R’s LAST IW MONTH, YEAR you were [working for R’s LAST IW EMPLOYER NAME (per Z091)/also working for someone else]. Are you still working there?

1. YES  5. NO  7. DENIES WORKING (FOR NAMED EMPLOYER)  8. DK  9. RF

GO TO J063  GO TO JW158_2 BRANCHPOINT

BEGINNING OF EMPLOYER INFORMATION BLOCK-1:

The following series of employer name and address questions (JW168_1 THROUGH JW165_1) is replicated further on in Section J (JW158_2 - JW165_2) and also in Section K (KW158_2 - KW165_2) and Section L (LW158_4 - LW165_4) and is known as the Section J Employer Name Block. Question numbers within the employer name block are W158 - W165, preceded by the section name, J, and followed by “_1” which indicates that this is the first time the employer name block has occurred within the questionnaire. Question JW168 occurs only in this first occurrence of the employer information block, below.

JW168_1 BRANCHPOINT: IF WE DO NOT KNOW EMPLOYER NAME FROM R’s LAST IW (Z091=EMPTY), GO TO JW158_1

JW168_1

Is the correct name of your employer R’s LAST IW EMPLOYER NAME?

1. YES  5. NO  7. DENIES WORKING AT PREVIOUS IW  8. DK  9. RF

GO TO JW159_1  GO TO JW158_2 BRANCHPOINT  GO TO J055

JW158_1 BRANCHPOINT: IF R SAID NAME OF LAST IW’s EMPLOYER {IS INCORRECT or DON’T KNOW} (JW168_1={5 or 8}), CONTINUE ON TO J158_1

IF THE ALTERNATE WAVE FLAG IS NOT SET TO ASK QUESTIONS (J731>0), GO TO J055

NOTE: QUESTIONS J158_1 THROUGH J165_1 ARE ALWAYS ASKED OF REINTERVIEW Rs WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW (J045=1), BUT FOR WHOM WE DO NOT KNOW THE EMPLOYER’S NAME (Z091=EMPTY), OR IF S/HE REPORTS THAT THE NAME WE HAVE FOR HIS/HER LAST IW EMPLOYER IS INCORRECT OR S/HE DOESN’T KNOW THE NAME (JW168_1={5 or 8}).

IN ADDITION, ALL OTHER REINTERVIEW R’s WHO WORK FOR THE SAME EMPLOYER AS AT R’s LAST IW WILL BE ASKED THIS SERIES IN 2010 AND EVERY 3rd WAVE THEREAFTER (E.G., IN 2016); THEY WERE LAST ASKED IN 2004. THESE Rs WILL NOT BE ASKED IN 2006.
IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [on your main job/now]?

What is the (company's/organization's) name?

COMPANY NAME

IF R WORKS FOR SAME EMPLOYER AS R’S LAST IW (J045=1) and WE KNOW EMPLOYER NAME FROM LAST IW (Z091 NOT EMPTY):

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full address of your employer.

ASK ALL Rs:
What is the address of the place where you work(ed)?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.
**J055**

Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] when you did not work for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J046)/that employer]?  

1. YES  5. NO  8. DK  9. RF  

GO TO J058 BRANCHPOINT
Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

<table>
<thead>
<tr>
<th>MONTHS IN 2004</th>
<th>MONTHS IN 2005</th>
<th>MONTHS IN 2006</th>
<th>OTHER YEARS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) JAN 04</td>
<td>(14) JAN 05</td>
<td>(27) JAN 06</td>
<td>(41) ALL OF 2004 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(2) FEB 04</td>
<td>(15) FEB 05</td>
<td>(28) FEB 06</td>
<td>(42) ALL OF 2003 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(3) MAR 04</td>
<td>(16) MAR 05</td>
<td>(29) MAR 06</td>
<td>(43) ALL OF 2003</td>
</tr>
<tr>
<td>(4) APR 04</td>
<td>(17) APR 05</td>
<td>(30) APR 06</td>
<td>(44) ALL OF 2002 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(5) MAY 04</td>
<td>(18) MAY 05</td>
<td>(31) MAY 06</td>
<td>(45) ALL OF 2002</td>
</tr>
<tr>
<td>(6) JUN 04</td>
<td>(19) JUN 05</td>
<td>(32) JUN 06</td>
<td>(46) ALL OF 2001 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(7) JUL 04</td>
<td>(20) JUL 05</td>
<td>(33) JUL 06</td>
<td>(47) ALL OF 2001</td>
</tr>
<tr>
<td>(8) AUG 04</td>
<td>(21) AUG 05</td>
<td>(34) AUG 06</td>
<td>(48) ALL OF 2000 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(9) SEP 04</td>
<td>(22) SEP 05</td>
<td>(35) SEP 06</td>
<td>(49) ALL OF 2000</td>
</tr>
<tr>
<td>(10) OCT 04</td>
<td>(23) OCT 05</td>
<td>(36) OCT 06</td>
<td>(50) ALL OF 1999 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(11) NOV 04</td>
<td>(24) NOV 05</td>
<td>(37) NOV 06</td>
<td>(51) ALL OF 1999</td>
</tr>
<tr>
<td>(12) DEC 04</td>
<td>(25) DEC 05</td>
<td>(38) DEC 06</td>
<td>(52) ALL OF 1998 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(39) ALL OF 2006 TO DATE</td>
<td>(53) ALL OF 1998</td>
</tr>
<tr>
<td>(13) ALL OF 2004</td>
<td>(26) ALL OF 2005</td>
<td>(40) ALL OF 2006</td>
<td>(54) ALL OF 1997 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(55) ALL OF 1997</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(56) ALL OF 1996 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(57) ALL OF 1996</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(58) ALL OF 1995 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(59) ALL OF 1995</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(60) ALL OF 1994 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(61) ALL OF 1994</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(62) ALL OF 1993 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(63) ALL OF 1993</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(64) ALL OF 1992 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(65) OTHER (SPECIFY) [J030]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(98) DK</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(99) RF</td>
</tr>
</tbody>
</table>

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.
J058 BRANCHPOINT: IF WE DO NOT HAVE JOB TITLE FROM R’s LAST IW (Z128=EMPTY),
GO TO J166 BRANCHPOINT

J058
In R’s LAST IW MONTH, YEAR our records indicate that your job title
was R’s LAST IW TITLE.

Is this still the case?

[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R’S CURRENT JOB, ANSWER "YES" HERE AND NOTE CORRECTIONS AS A COMMENT.]

1. YES [GO TO J166 BRANCHPOINT]
3. RETIRED & WORKING FOR SAME EMPLOYER/BUSINESS [GO TO J063 BRANCHPOINT]
5. NO [GO TO J166 BRANCHPOINT]
7. DENIES HAVING THIS JOB TITLE AT PREVIOUS WAVE
8. DK
9. RF [GO TO J166 BRANCHPOINT]

J059
What was your job title in [CURRENT IW MONTH, YEAR 2 YEARS AGO/R’S LAST IW MONTH, YEAR]? [IWER: PROBE:]
The title that your employer used?

______________________________ [TITLE]

DK  RF

J060
What sort of work did you do?

[IWER: PROBE:]
Tell me a little more about what you did.

______________________________

______________________________

______________________________

______________________________
J061
What is your job title now?

[IWER: PROBE:]
The title that your employer uses?

_________________________  DK  RF

TITLE

J062
What sort of work do you do now?

[IWER: PROBE:]
Tell me a little more about what you do.

_________________________
_________________________
_________________________
_________________________
_________________________

J063 BRANCHPOINT: IF R DENIES HAVING PREVIOUSLY LISTED JOB TITLE AT R’s LAST
IW (J058=7), GO TO J169

IF R REPORTED RETIRED AND WORKING FOR SAME EMPLOYER (J058=3)
and GAVE YEAR S/HE RETIRED AT J018, GO TO J067 BRANCHPOINT
J063

IF {R WAS WORKING IN LAST IW AND NOW IS {RETIRE AND WORKING FOR SAME EMPLOYER/BUSINESS}} (J058=3) and YEAR RETIRED WAS NOT REPORTED (J018 NOT ASKED):
In what month and year did you retire?

IF R IS NO LONGER WORKING FOR R’s LAST IW EMPLOYER (J045=5):
In what month and year did you stop working there?

IF NAME OF R’s LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
According to our records, you were working for R’s LAST IW EMPLOYER NAME (per Z091) in R’s LAST IW MONTH, YEAR.
In what month and year did you stop working there?

OTHERWISE:
According to our records, you were working for someone else when we last talked to you in R’s LAST IW MONTH, YEAR.
In what month and year did you stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

Now I have some questions about R’s FIRST NAME’s employment before [he/she] died.

IF R’S LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
According to our records, [he/she] was working for R’s LAST IW EMPLOYER NAME (per Z091) in R’s LAST IW MONTH, YEAR.
In what month and year did [he/she] stop working there, or was [he/she] still working there when [he/she] died?

OTHERWISE:
According to our records, [he/she] was working for someone else in R’s LAST IW MONTH, YEAR.
In what month and year did [he/she] stop working for that employer?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND R’s DATE OF DEATH, MONTH, YEAR.]
**J063**

**MONTH:**

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN
7. JUL  8. AUG  9. SEP  10. OCT  11. NOV  12. DEC

92. DENY WORKING FOR SELF  EXIT: DID NOT DISPLAY
GO TO JW158_2 BRANCHPOINT

93. STILL WORKING FOR SELF AS SECOND JOB  EXIT: DID NOT DISPLAY
GO TO JW158_2 BRANCHPOINT

94. STILL WORKING FOR SELF (AS PRIMARY JOB)  EXIT: DID NOT DISPLAY
GO BACK TO J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (J021=2)

96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER  EXIT: WORKED THERE UNTIL DEATH
GO TO J067 BRANCHPOINT

97. DENIES WORKING FOR SOMEONE ELSE, OR FOR NAMED EMPLOYER, AT LAST INTERVIEW
GO TO JW158_2 BRANCHPOINT  EXIT: GO TO SECTION N

98. DK  99. RF

**NOTE:** WHEN R REPORTED EARLIER THAT WAS RETIRED AND WORKING FOR THE SAME EMPLOYER (J058=3), CODE "96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER" DOES NOT APPEAR IN THIS CODEFRAME.

---

**J064**

**YEAR**

**J067 BRANCHPOINT:** IF R IS SELF-EMPLOYED (J021=2) and SAID THAT IS STILL WORKING FOR SELF AS PRIMARY JOB (J063=94), GO TO J166 BRANCHPOINT

IF R SAID STILL WORKING FOR LAST IW EMPLOYER (J063=96) and DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3) and R WAS ASKED J045 (J045 NOT EMPTY), GO BACK TO J045 AND CHANGE RESPONSE TO YES (J045=1)
J067

IF R WAS WORKING IN LAST IW (Z123=1) and NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (J058=3)}:

What were you earning, before deductions, when you retired?

OTHERWISE:

What were you earning, before deductions, when you left that employer?

What was [he/she] earning, before deductions, [when [he/she] left that employer/at the time of [his/her] death]?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: DO NOT PROBE DK/RF]

AMOUNT

0  DK  RF

GO TO J071

GO TO J073 BRANCHPOINT

J068-J070 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down

BREAKPOINTS: $200, $800, $1,500, $3,000

RANDOM ENTRY POINTS: $800, $1,500

ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X502

ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

J071 BRANCHPOINT: GO TO J073 BRANCHPOINT

J071

{CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF

J072
J073 BRANCHPOINT: IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058=3), GO TO J080 BRANCHPOINT

IF THIS IS AN EXIT INTERVIEW, GO TO J084 BRANCHPOINT

J073

IF NOT SELF-EMPLOYED AT LAST IW (Z136=1):
{CORE WORDING} Why did you leave that employer?
(Did the business close, were you laid off or let go, did you leave to take care of family members, or what?)

IF SELF-EMPLOYED AT LAST IW (Z136=2):
{CORE WORDING} Why did you stop working at that business?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

1. BUSINESS CLOSED
2. LAID OFF/LET GO
3. POOR HEALTH/DISABLED
4. FAMILY CARE
5. BETTER JOB

GO TO J080 BRANCHPOINT
GO TO J079

6. QUIT
7. RETIRED
8. DIED
97. OTHER (SPECIFY)
98. DK
99. RF

J074

J075 BRANCHPOINT: IF R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2), GO TO J080 BRANCHPOINT

J075

Did your employment situation change in some way that encouraged you to leave?

1. YES
5. NO
8. DK
9. RF

GO TO J078
J076
How did it change?

[IWER: CHOOSE ALL THAT APPLY]

1. SUPERVISOR ENCOURAGED DEPARTURE
2. COWORKER ENCOURAGED DEPARTURE
3. WAGES REDUCED (OR ABOUT TO BE REDUCED)
4. HOURS REDUCED (OR ABOUT TO BE REDUCED)

5. WOULD HAVE BEEN LAID OFF
6. NEW JOB DUTIES
7. NEW JOB LOCATION
8. BECAME ELIGIBLE FOR PENSION

9. EMPLOYER CHANGED HEALTH INSURANCE
10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER

97. OTHER (SPECIFY)  98. DK  99. RF

J077

J078
{CORE WORDING} If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

1. YES  5. NO  8. DK  9. RF

J079
Did your employer experience a permanent reduction in employment between [CURRENT IW MONTH, YEAR 2 YEARS AGO/R’s LAST IW MONTH, YEAR] and the time you were leaving?

[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED "DOWNSIZING"; "LAYOFFS" CAN BE PERMANENT OR TEMPORARY.]

1. YES  5. NO  8. DK  9. RF
J080 BRANCHPOINT: IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} (ONE MONTH OR LESS) AFTER R's LAST IW (per {J063&J064 or J023&J024 or J017&J018}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} (J063 or J064)=(DK or RF) or (J023 or J024) or (J017 or J018)=(DK or RF), GO TO J084 BRANCHPOINT

J080

Were there any months between R's LAST IW MONTH, YEAR and MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)] when you did not work [at this business/for this employer]?

1. YES  5. NO  8. DK  9. RF

GO TO J083 BRANCHPOINT
J081

Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per J063&J064)/SELF (per J023&J024)]]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

<table>
<thead>
<tr>
<th>MONTHS IN 2004</th>
<th>MONTHS IN 2005</th>
<th>MONTHS IN 2006</th>
<th>OTHER YEARS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) JAN 04</td>
<td>(14) JAN 05</td>
<td>(27) JAN 06</td>
<td>(41) ALL OF 2004 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(2) FEB 04</td>
<td>(15) FEB 05</td>
<td>(28) FEB 06</td>
<td>(42) ALL OF 2003 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(3) MAR 04</td>
<td>(16) MAR 05</td>
<td>(29) MAR 06</td>
<td>(43) ALL OF 2003</td>
</tr>
<tr>
<td>(4) APR 04</td>
<td>(17) APR 05</td>
<td>(30) APR 06</td>
<td>(44) ALL OF 2002 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(5) MAY 04</td>
<td>(18) MAY 05</td>
<td>(31) MAY 06</td>
<td>(45) ALL OF 2002</td>
</tr>
<tr>
<td>(6) JUN 04</td>
<td>(19) JUN 05</td>
<td>(32) JUN 06</td>
<td>(46) ALL OF 2001 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(7) JUL 04</td>
<td>(20) JUL 05</td>
<td>(33) JUL 06</td>
<td>(47) ALL OF 2001</td>
</tr>
<tr>
<td>(8) AUG 04</td>
<td>(21) AUG 05</td>
<td>(34) AUG 06</td>
<td>(48) ALL OF 2000 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(9) SEP 04</td>
<td>(22) SEP 05</td>
<td>(35) SEP 06</td>
<td>(49) ALL OF 2000</td>
</tr>
<tr>
<td>(10) OCT 04</td>
<td>(23) OCT 05</td>
<td>(36) OCT 06</td>
<td>(50) ALL OF 1999 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(11) NOV 04</td>
<td>(24) NOV 05</td>
<td>(37) NOV 06</td>
<td>(51) ALL OF 1999</td>
</tr>
<tr>
<td>(12) DEC 04</td>
<td>(25) DEC 05</td>
<td>(38) DEC 06</td>
<td>(52) ALL OF 1998 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(54) ALL OF 1997 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(55) ALL OF 1997</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(56) ALL OF 1996 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(57) ALL OF 1996</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(58) ALL OF 1995 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(59) ALL OF 1995</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(60) ALL OF 1994 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(61) ALL OF 1994</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(62) ALL OF 1993 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(63) ALL OF 1993</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(64) ALL OF 1992 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(65) OTHER (SPECIFY) [J082]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(98) DK</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(99) RF</td>
</tr>
</tbody>
</table>

**NOTE:** THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.
J083 BRANCHPOINT: IF R DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J058 NOT 3), GO TO J084 BRANCHPOINT

J083

Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?

1. YES  5. NO  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT

GO TO JW158_2 BRANCHPOINT

J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R’s LAST IW (Z133=1), ASSIGN 1 TO J084 AND GO TO J085

J084

IF NOT SELF-EMPLOYED AT R’s LAST IW (Z136 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for [R’s LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:
Aside from IRAs not sponsored by your business or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

IF NOT SELF-EMPLOYED AT R’s LAST IW (Z136 NOT 2):
Was R’s FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through your work when [he/she] worked for [R’s LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:
Aside from IRAs not sponsored by your business or KEOGH plans, was R’s FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through [his/her] work?

1. YES  5. NO  8. DK  9. RF

GO TO JW158_2 BRANCHPOINT

EXIT: GO TO SECTION N
J085

IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, you reported that you were included in one or more pension plans on this job.
In how many different pension plans were you included on this job?

[IWER: ENTER “95” IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans were you included on this job?

IF R REPORTED AT LAST IW THAT R WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, R’s FIRST NAME reported that [he/she] was included in one or more pension plans on this job.

In how many different pension plans was [he/she] included on this job?

[IWER: ENTER “95” IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans was [he/she] included on this job?

1 – 8 PLANS

0. NONE 1. ONE 2. TWO 3. THREE 4. FOUR 5. FIVE

GO TO JW158 2 BRANCHPOINT EXIT: GO TO SECTION N

GO TO BEGINNING OF JW001 1 LOOP

6. SIX 7. SEVEN 8. EIGHT 95. DENIES BEING INCLUDED IN PENSION PLAN 98. DK 99. RF

GO TO BEGINNING OF JW001 1 LOOP

GO TO JW158 2 BRANCHPOINT EXIT: GO TO SECTION N

GO TO JW158 2 BRANCHPOINT EXIT: GO TO SECTION N
J086

Is this just one plan or more than one?

Was this just one plan or more than one?

1. ONE PLAN  3. MORE THAN ONE  8. DK  9. RF

GO TO JW158_2
BRANCHPOINT
EXIT: GO TO SECTION N

NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISSES THE PENSION BLOCK. (JW001_1 - JW042_1) IS REPLICATED IN SECTION K AND TWICE IN SECTION L AND IS KNOWN AS THE SECTION J PENSION BLOCK.

BEGINNING OF PENSION BLOCK-1:

(JW001_1 — JW042_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W001 - W042, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY "_1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE.

***BEGINNING OF JW001_1 LOOP: QUESTIONS JW001_1 THROUGH JW042_1 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R [PROXY] REPORTED THE NUMBER OF PLANS AT J085 (J085={1-8}) OR FOR UP TO TWO PLANS IF R [PROXY] REPORTED HAVING PLANS AT J086 (J086={1 or 3}).***
IF R HAS MORE THAN ONE PLAN (J085 $\geq$ 2 or J086=3):
Now I have some questions about the most important of these plans.
I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (J085 $\geq$ 2) OR THE SECOND PLAN FOR (J086=3):
Now I have some questions about the next most important of these plans.
I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN (J085 or J086)=1):
I would like to know what type of plan this was.

ALL CORE Rs:
In some retirement plans, call them Type A, benefits are usually based
on a formula involving age, years of service and salary. In other plans,
call them Type B, money is accumulated in an account for you.

ALL EXIT Rs:
In some retirement plans, call them Type A, benefits are usually based
on a formula involving age, years of service and salary. In other plans,
call them Type B, money is accumulated in an account for [him/her].

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION,
ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’S DISCRETION.

{CORE WORDING} Was your plan Type A or Type B?
{EXIT WORDING} Was [his/her] plan Type A or Type B?

[IWER: IF R HAS MULTIPLE PLANS OF DIFFERENT TYPES, RECORD THEM AS
SEPARATE PLANS RATHER THAN COMBINING THEM AS "BOTH".]

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT
SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B
RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED “DEFINED BENEFIT” PLANS.
TYPE B PLANS ARE OFTEN CALLED ‘DEFINED CONTRIBUTION’.

1. TYPE A  2. TYPE B  3. BOTH TYPES  8. DK  9. RF

GO TO JW021_1
EXIT: CONTINUE
GO TO JW043_1
BRANCHPOINT

NOTE: FROM JW002_1 ONWARDS, THROUGHOUT THE REMAINDER OF THE PENSION BLOCK, THE
SCREEN DISPLAYS THE TYPE OF PLAN SELECTED AT W001_1.
"PENSION TYPE B - ACCOUNT” APPEARS AT JW002_1 THROUGH JW057_1 (JUST BEFORE
JW021_1) IF (JW001_1 =2).
"PENSION TYPE A - FORMULA” APPEARS AT JW021_1 THROUGH JW042_1 IF
(JW001_1=1).
"PENSION TYPE AB - FORMULA/ACCOUNT" APPEARS AT JW002_1 THROUGH JW042_1 IF (JW001_1 = 3).
THIS DISPLAY IS STANDARD FOR PENSION BLOCK QUESTIONS WHERE THEY APPEAR IN OTHER LOCATIONS IN SECTIONS K AND L.

JW043_1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO JW002_1

IF THIS IS AN EXIT INTERVIEW and R WAS NOT COUPLED AT THE TIME OF DEATH (X065 {NOT 1 and NOT 3}), GO TO END OF JW001_1 LOOP (AFTER JW042_1)

JW043_1
Did [you/R’s FIRST NAME’s [husband/wife/partner]] or will [you/[his/her] [husband/wife/partner]] receive any benefits from this plan?

1. YES  5. NO  8. DK  9. RF

GO TO END OF JW001_1 LOOP (AFTER JW042_1)
JW002_1 BRANCHPOINT: IF PLAN IS TYPE A (JW001=1), GO TO JW021_1

JW002_1

{CORE} IF R HAS BOTH TYPES OF PLAN (JW001_1=3):

For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]? 

OTHERWISE:

How much money was in your account when you left that [business/employer]?

(EXIT) IF R HAS BOTH TYPES OF PLAN (JW001_1=3):

For the part of [his/her] pension where money was accumulated in an account, how much money was in [his/her] account when [he/she] died?

OTHERWISE:

How much money was in [his/her] account when [he/she] died?

[IWER: IF R SAYS 'DOES NOT HAVE AN ACCOUNT', ENTER [-1]]

[IWER: DO NOT PROBE DK/RF]

__________________________
AMOUNT

GO TO
JW006_1

BRANCHPOINT

NOTE: -1 RESPONSES RECORDED DURING DATA COLLECTION ARE RECODED AS -2 IN DATA DISTRIBUTION FILES.

JW003_1 - JW005_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $___ , more than $___ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X503
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW006_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO JW044_1
(AFTER JW006_1)
R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (J059=3):
When you retired, did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

OTHERWISE:
When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, did you receive or are you receiving one or more installments, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

<table>
<thead>
<tr>
<th>1. WITHDREW THE MONEY</th>
<th>2. ROLLED OVER INTO IRA</th>
<th>3. LEFT TO ACCUMULATE</th>
<th>4. CONVERT TO ANNUITY</th>
<th>5. TRANSFERRED TO NEW EMPLOYER</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. LOST BENEFITS</td>
<td>7. RECEIVED/RECEIVING INSTALLMENTS</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW004_1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO JW008_1 BRANCHPOINT

JW004_1
We are interested in what [you/R’s FIRST NAME’s [husband/wife/partner]] did with the money. When [he/she] died, did [you/R’s FIRST NAME’s [husband/wife/partner]] withdraw the money, roll it over into an IRA, leave the account unchanged in the old plan, convert it to an annuity, continue collecting all or part of the benefits, lose the benefits, did [you/R’s FIRST NAME’s [husband/wife/partner]] receive or [are you/is R’s FIRST NAME’s [husband/wife/partner]] receiving one or more installments, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

<table>
<thead>
<tr>
<th>1. WITHDREW THE MONEY</th>
<th>2. ROLLED OVER INTO IRA</th>
<th>3. LEFT TO ACCUMULATE</th>
<th>4. CONVERT TO ANNUITY</th>
<th>5. CONTINUED COLLECTING ALL/PART OF R’S BENEFITS</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. LOST BENEFITS</td>
<td>7. RECEIVED/RECEIVING INSTALLMENTS</td>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW065 BRANCHPOINT: IF R/BENEFICIARY {WITHDREW THE MONEY or LOST BENEFITS}
({JW006_1 or JW044_1}={1 or 6}), GO TO JW008_1 BRANCHPOINT
Were you allowed to receive benefits in a lump-sum payment?

[Were you/Was [he/she]] allowed to receive benefits in a lump-sum payment?

1. YES  5. NO  8. DK  9. RF

JW008_1 BRANCHPOINT: IF MONEY IN PENSION ACCOUNT WAS {LEFT TO ACCUMULATE/LEFT UNCHANGED} ONLY ({JW006_1 or JW044_1}= 3 ONLY), GO TO JW009_1

IF R DID NOT REPORT THAT LEFT TO ACCUMULATE/LEFT UNCHANGED
IN PENSION ACCOUNT {JW006_1 and JW044_1} NOT 3), GO TO JW063_1/BRANCHPOINT

How much money did you leave in the old account to accumulate?

How much money did [you/he/she] leave in that account?

DK RF

AMOUNT

JW063_1 BRANCHPOINT: IF R/BENEFICIARY {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS ({JW006_1 and JW044_1} NOT 7), GO TO JW009_1 BRANCHPOINT

How much money in total did you receive in installments?

How much money in total did [you/he/she] receive in installments?

DK RF

AMOUNT

JW009_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE/LEFT UNCHANGED IN PENSION ACCOUNT ({JW006_1 and JW044_1} NOT 3) and R/BENEFICIARY {DID NOT RECEIVE OR IS NOT RECEIVING} {ONE OR MORE} INSTALLMENTS ({JW006_1 and JW044_1} NOT 7), GO TO JW013_1 BRANCHPOINT
JW009_1
How much is in that account now?

[IER: DO NOT PROBE DK/RF]

AMOUNT

GO TO JW013_1 BRANCHPOINT

JW010_1 - JW012_1 Unfolding Sequence
Question text: {CORE AND EXIT} Did it amount to less than $____ , more than $____ , or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
RANDOM ENTRY POINTS: $20,000, $50,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X504
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW013_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ({JW006_1 and JW044_1} NOT 4), GO TO JW018_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY ({JW006_1 or JW044_1}= 4 ONLY), GO TO JW014_1

JW013_1
How much did you convert to an annuity?

How much did [you/he/she] convert to an annuity?

AMOUNT
JW014_1
How old were you when you started receiving the benefits from that annuity?

How old [were you/was R’s FIRST NAME’s [husband/wife/partner]] when [you/he/she] started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

__________
AGE

JW015_1
How much are the benefits from that annuity per month or year?

How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

__________
AMOUNT

GO TO JW049_1 (AFTER JW017_1)

JW016_1
[IWER: PROBE IF NECESSARY]
Is that per month or year?

Is that per month or year?

PER:

4. MONTH  6. YEAR  7. OTHER (SPECIFY)  8. DK  9. RF
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either
you or your [husband/wife/partner] live, is it for a fixed time period,
or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time
period, or what?

Will this payment continue as long as [you/R’s FIRST NAME’s
[husband/wife/partner]] [live/lives], is it for a fixed period of time,
or what?

1. AS LONG AS R LIVES EXIT: DID NOT DISPLAY
2. AS LONG AS R OR SPOUSE/PARTNER LIVES EXIT: AS LONG AS SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO JW054_1 BRANCHPOINT

7. OTHER (SPECIFY) 8. DK 9. RF

GO TO JW054_1 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

WHAT IS THAT TIME PERIOD?

OR

JW051_1 UNTIL YEAR

OR

JW052_1 UNTIL AGE

OR

JW053_1 YEARS

DK RF

JW054_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW or R IS NOT
MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO JW018_1
BRANCHPOINT

If you or your [husband/wife/partner] should die, will the income from
the annuity change, stay the same, or what?

5. CHANGE 3. STAY THE SAME 7. OTHER (SPECIFY) 8. DK 9. RF

JW055_1
JW018_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ({JW006_1 and JW044_1} NOT 2), GO TO JW019_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ONLY ({JW006_1 or JW044_1} = 2 ONLY), GO TO JW019_1 BRANCHPOINT

JW018_1
How much did the rollover amount to?

How much did the rollover amount to?

________________________  DK  RF
AMOUNT

JW019_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO JW056_1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (JW006_1 NOT 5) or THAT WAS ONLY RESPONSE (JW006_1=5 ONLY), GO TO JW056_1 BRANCHPOINT

JW019_1
How much did the transfer amount to?

________________________  DK  RF
AMOUNT

JW056_1 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY ({JW006_1 and JW044_1} NOT 1), GO TO JW021_1 BRANCHPOINT

IF R/BENEFICIARY WITHDREW THE MONEY ONLY ({JW006_1 or JW044_1} = 1 ONLY), GO TO JW057_1

JW056_1
How much money did you withdraw?

How much money did [you/R’s FIRST NAME’s [husband/wife/partner]] withdraw?

________________________  DK  RF
AMOUNT
What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES
2. SPENT IT
3. SAVED/INVESTED
4. PAID OFF DEBT
5. ROLLED INTO IRA
6. LOST BENEFITS
7. OTHER (SPECIFY)
8. DK
9. RF

JW021_1 BRANCHPOINT: IF PLAN IS TYPE B ONLY (JW001_1=2),
GO TO JW042_1 BRANCHPOINT

IF R’s PLAN IS BOTH TYPE A AND TYPE B or R DIDN’T KNOW (JW001_1={3 or 8}):
Now, about the part of your pension where benefits are based on a formula.

ASK ALL CORE Rs:
Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement or a lump-sum payment when you left, did you lose your benefits, did you roll it over into an IRA, or what?

ASK ALL EXIT Rs:
[Do you/Does R’s FIRST NAME’s husband/wife/partner] expect to receive benefits from this plan in the future, are you/is [he/she] receiving benefits now, did [you/he/she] get a cash settlement or a lump-sum payment when R’s FIRST NAME died, did [you/he/she] lose the benefits, did [you/he/she] roll it over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. EXPECT FUTURE BENEFITS
2. RECEIVING BENEFITS NOW
3. RECEIVED CASH SETTLEMENT/LUMP-SUM
4. ROLLED OVER INTO IRA
5. LOST BENEFITS
6. OTHER (SPECIFY)
7. DK
8. RF

NOTE: A RESPONSE CODE OF “6. LOST BENEFITS” MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

JW064_1 BRANCHPOINT: IF R REPORTED {RECEIVED CASH SETTLEMENT/LUMP-SUM or LOST BENEFITS} JW021_1={3 or 6}, GO TO JW023_1 BRANCHPOINT
Were you allowed to receive benefits as a cash settlement or a lump-sum payment?

Was [he/she] allowed to receive benefits as a cash settlement or a lump-sum payment?

1. YES  5. NO  8. DK  9. RF

JW023_1 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVES BENEFITS NOW (JW021_1 NOT 2), GO TO JW030_1 BRANCHPOINT

In what month and year did you start to receive these benefits?

In what month and year did [you/R’s FIRST NAME’s [husband/wife/partner]] start to receive these benefits?

MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN  7. JUL

DK  RF

JW024_1

YEAR
JW025_1
How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GO TO JW026_1

GO TO JW028_1

J116_1 - J118_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did they amount to less than $____ per month, more than $____ per month, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $200, $400, $1,200, $1,600
RANDOM ENTRY POINTS: $400, $1,200
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X505
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW026_1 BRANCHPOINT: GO TO JW028_1

JW026_1
[ IWER: PROBE IF NECESSARY]
Is that per month or year?

[ PER: ]

4. MONTH
6. YEAR
7. OTHER (SPECIFY)
8. DK
9. RF

JW028_1
Are the benefits adjusted for changes in the cost of living?

1. YES
5. NO
8. DK
9. RF

GO TO JW030_1 BRANCHPOINT

JW059_1
Are the benefits adjusted automatically?

1. YES
5. NO
8. DK
9. RF
JW030_1 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED A CASH SETTLEMENT/LUMP SUM (JW021_1 NOT 3), GO TO JW033_1 BRANCHPOINT

JW030_1
How much did the cash settlement amount to?

How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

__________________________  DK  RF
AMOUNT

GO TO JW031_1

J123_1 - J125_1 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____, more than $____, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $2,000, $6,000, $60,000, $250,000
RANDOM ENTRY POINTS: $6,000, $60,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X506
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW031_1
What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

[NOTE: THE IWER MAY CHOOSE AS MANY RESPONSES AS APPLY.]
JW033_1 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (JW021_1 NOT 4), GO TO JW035_1 BRANCHPOINT

JW033_1
How much did the rollover amount to?

IRA ROLLOVER

AMOUNT

DK  RF

JW035_1 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM PENSION (JW021_1 NOT 1), GO TO JW042_1 BRANCHPOINT

IF THIS IS {NOT A SELF INTERVIEW (A009 NOT 1) and NOT AN EXIT INTERVIEW}, GO TO JW042_1 BRANCHPOINT

JW035_1
At what age do you expect to start receiving (the rest of your) benefits from this plan?

At what age [do you/does R’s FIRST NAME’s [husband/wife/partner]] expect to start receiving (the rest of [his/her]) benefits from this plan?

40-94
AGE

GO BACK TO JW021_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (JW021_1=2)

GO TO JW042_1 BRANCHPOINT

JW036_1
About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

About how much [do you/does [he/she]] expect these benefits to be, either as an amount per month or year or a lump sum amount?

1. PERCENT OF PAY
   EXIT: DO NOT DISPLAY
   
2. AMOUNT PER MONTH/YEAR
   
3. LUMP SUM
   
8. DK
   9. RF

GO TO JW038_1
GO TO JW041_1
GO TO JW042_1 BRANCHPOINT

JW037_1
{CORE ONLY} [IWER: ENTER PERCENT OF FINAL PAY]
NOTE: EXIT R’s ARE NEVER ASKED THIS QUESTION.

JW038_1  
[IWER: PROBE IF NECESSARY]
How much do you expect to receive?

How much [do you/does [he/she]] expect to receive?

[IWER: DO NOT PROBE DK/RF]

JW039_1  
[AMOUNT]

J133_1 - J135_1 Unfolding Sequence
Question text: {CORE AND EXIT} Do you expect a total of less than $____ per year, more than $____ per year, or what?

PROCEDURES: 2Up1Down, 1Up2Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
RANDOM ENTRY POINTS: $1,000, $2,000
ENTRY POINT ASSIGNMENT: 1 OR {NOT 1} AT X507
ORDER OF ENTRY POINT ASSIGNMENTS AND PROCEDURES CORRESPOND

JW039_1 BRANCHPOINT: GO TO JW042_1 BRANCHPOINT

JW041_1  
{CORE AND EXIT} [IWER: ENTER LUMP SUM AMOUNT]
JW042_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO END OF JW001_1 LOOP (AFTER JW042_1)

JW042_1

What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

agine

95  NO AGE REQUIREMENT  DK  RF

30-80

AGE

***END OF JW001_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS JW001_1 THROUGH JW042_1) FOR UP TO FOUR PENSION PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT J085 (J085={2-8}) OR FOR TWO PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT J086 (J086=3). IF NOT, CONTINUE ON TO JW158_2 BRANCHPOINT IF THIS IS A CORE IW; IF EXIT IW, GO TO SECTION N.***

END OF PENSION BLOCK-1:
(JW001_1 — JW042_1)

EXIT: GO TO SECTION N