

NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

NOTE ABOUT COLORS:

ALL QUESTION TEXT IN BLACK IS FOR THE CORE INTERVIEW.

ALL QUESTION TEXT IN FUCHSIA IS FOR THE EXIT INTERVIEW. ALSO IN FUCHSIA IS ALL OTHER TEXT THAT IS SPECIFIC TO THE EXIT INTERVIEW BUT NOT TO THE CORE.

OTHERWISE, BLACK TEXT FOR CODEFRAMES, INTERVIEWER INSTRUCTIONS, JUMPS AND BRANCHPOINTS, ETC. CAN APPLY TO BOTH THE CORE AND THE EXIT INTERVIEW UNLESS SPECIFIED OTHERWISE OR THERE IS AN EXIT ALTERNATIVE.

ON A BLACK-AND-WHITE HARD COPY OF THE DOCUMENT, THE FUCHSIA TEXT WILL APPEAR SOMEWHAT LIGHTER THAN THE ORIGINAL BLACK.

NOTE ABOUT EXIT INTERVIEW Rs:

ANY NEW SPOUSE/PARTNER THAT AN EXIT INTERVIEW R ACQUIRED SINCE HIS/HER LAST INTERVIEW IS IGNORED FOR THE PURPOSES OF THIS INTERVIEW.

FOR AN EXIT INTERVIEW A "NEW INTERVIEW R" IS A SPOUSE/PARTNER WHO WAS REPORTED IN A PREVIOUS WAVE BUT WHO HAS NEVER BEEN INTERVIEWED.

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
THIS IS A NEW SPOUSE/PARTNER OF A REINTERVIEW R (Z076=0);
THIS IS A NEVER INTERVIEWED R or A NEW INTERVIEW R (Z076=5)

THIS IS AN EXIT INTERVIEW (Z145=1);
THIS IS A NEW POST-EXIT INTERVIEW (Z145=2);
THIS IS A FIRST REPEAT POST-EXIT INTERVIEW (Z145=3);
THIS IS A SECOND REPEAT POST-EXIT INTERVIEW (Z145=4)

THIS IS A SELF INTERVIEW (A009=1);
THIS IS A PROXY INTERVIEW (A009={2 or 3}) or (A009 NOT 1);
PROXY REPORTER IS SURVIVING SPOUSE (A009=2);
PROXY REPORTER IS NON-SPOUSE (A009=3)

REINTERVIEW R HAS NEW SPOUSE (SCVXNewSP=1 and SCV.XR1_R2=FIRST)

R's FIRST NAME (per Respondents1X058AFName = X058)

R's LAST IW MONTH (per Z092), YEAR (per Z093)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not be shown after that.

EVC1/W301 BRANCHPOINT: IF THIS IS A NEW INTERVIEW R (Z076 NOT 1), GO TO W303 BRANCHPOINT

IF THIS IS A CORE INTERVIEW *and* IF NONE OF THE EVENTS SHOWN IN THE LIST, BELOW, HAPPENED TO R SINCE R'S LAST INTERVIEW; {I.E., IF { (CS5/A023 NOT 5)
and ({CS11/A028 *and* E5/N114} NOT 1)
and ({SCVXNewSP NOT 1} *or* {SCV.XR1_R2 NOT FIRST})
and (CS5/A023=5
 or {CS4/A020 NOT 5 *and* CS15A/A034 NOT 2})
and (B7d/C040 NOT 1)
and ({B9/C053 NOT 1} *or* Q235/Z106=1)
 and {B9j/C062 NOT 1})
and (B5k/C029 = EMPTY)
and (CS36c/X033=5)
and ({D90/F001 *and* D93/F011} NOT 5) } },
 ASSIGN "9. NO EVENT" TO W301 AND GO TO W303 BRANCHPOINT

IF THIS IS AN EXIT INTERVIEW, ASSIGN "9. NO EVENT" TO W301 AND GO TO END OF SECTION W BRANCHPOINT

IF THIS IS A POST-EXIT INTERVIEW (Z145={2 *or* 3 *or* 4}), GO TO END OF SECTION W BRANCHPOINT

NOTE: ANY OF THE FOLLOWING EVENTS WILL APPEAR AT EVC1/W301 IF [IT WAS/THEY WERE] REPORTED TO HAVE OCCURRED SINCE R'S LAST INTERVIEW (NOEVENT=1). THE LIST BELOW ALSO SHOWS THE QUESTION/RESPONSE REFERENCE FOR THE EVENT. IF NO EVENT MEETS THE REQUIRED CRITERION/CRITERIA (NOEVENT NOT 1), EVC1/W301 IS ASSIGNED A VALUE OF "9. NO EVENT" AND JUMPED.

CORE:

- 2. NURSING HOME STAY ({CS11/A028 or E5/N114}=1)
- 3. MARRIED/PARTNERED (New Spouse/Partner)
(SCV.XNewSP=1 and SCV.XR1_R2=FIRST)
- 4. DIVORCED/SEPARATED ({ {CS5/A023 NOT 5} & {CS4/A020=5 or
CS15A/A034=2} })
- 5. HEART ATTACK (B7d/C040=1)
- 6. STROKE ({B9/C053 =1 & {Q235/Z106 NOT 1} } or B9j/C062=1)
- 7. CANCER (B5k/C029 WAS ASKED)
- 8. RESIDENTIAL MOVE (Main Residence) (CS36c/X033 NOT 5)
- 10. MOTHER DIED (D90/F001=5)
- 11. FATHER DIED (D93/F011=5)
- 14. HOSPICE STAY (CS11/A028=2)

W301 (Tag#=EVC1)

Q6517

EVC1. You mentioned the following events happened since [R's LAST IW MONTH
(per Z092), YEAR (per Z093)/in the last two years].

[IWER: IF ONLY ONE EVENT DISPLAYED, DO NOT ASK QUESTION BUT CHOOSE THE
NUMBER AND GO TO NEXT SCREEN.]

Which of these happened first,...next,...next?

1. DEATH OF SPOUSE/PARTNER	2. NURSING HOME STAY	3. MARRIED/PARTNERED	
4. DIVORCED/SEPARATED	5. HEART ATTACK	6. STROKE	7. CANCER
8. RESIDENTIAL MOVE	9. NO EVENT	10. MOTHER DIED	11. FATHER DIED
14. HOSPICE STAY	98. DK	99. RF	

NOTE: ANY EVENT CAN BE SELECTED MORE THAN ONCE AND IN COMBINATION WITH
ANY OTHER EVENT(S). "9. NO EVENT" NEVER APPEARS ON THE SCREEN; IT
IS SHOWN HERE TO INDICATE THAT THIS CODE WILL BE ASSIGNED IF NO
EVENTS OCCURRED (SEE EVC1/W301 BRANCHPOINT).

W303 BRANCHPOINT: IF THIS IS A LIVING PROXY INTERVIEW, GO TO W305 BRANCHPOINT

W303

Do you regularly use the World Wide Web, or the Internet, for sending and receiving e-mail or for any other purpose, such as making purchases, searching for information, or making travel reservations?

1. YES

5. NO

8. DK

9. RF

W305 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1) and R WAS NOT SELECTED TO BE ASKED FOR SOCIAL SECURITY NUMBER (Z120 NOT 1), GO TO W307 (W ASSIST) (AFTER W310 (PROXY-IW))

IF THIS IS A SELF INTERVIEW (CS1A/A009=1), ASSIGN 3 TO W305 AND GO TO W311 (AFTER W305)

W305

IF THIS IS A PROXY INTERVIEW:

I would like to speak with R's FIRST NAME (per X058) for a moment if [he/she] is available right now.

Is [he/she] available?

1. YES, R IS AVAILABLE

3. SELF INTERVIEW
[NOT DISPLAYED]

5. NO, R IS NOT AVAILABLE

GO TO W306 (PROXY-IW)
(AFTER W310 (SELF-IW))

W311

IWER: ENTER CURRENT MODE OF INTERVIEW

1. TELEPHONE

2. FACE-TO-FACE

NOTE: FURTHER QUESTIONS ABOUT R'S SOCIAL SECURITY NUMBER ARE PRESENTED SEPARATELY, BELOW, FOR SELF INTERVIEWS (SELF-IW) AND FOR LIVING PROXY INTERVIEWS (PROXY-IW).

W306 (SELF-IW) BRANCHPOINT: IF {R IS NOT AVAILABLE (W305=5) and THIS IS NOT A SELF INTERVIEW (W305 {NOT 1 and NOT 3})}, GO TO W306 (PROXY-IW)

W306 (SELF-IW)

One of the most important parts of our study is to understand the financial situations of people in their retirement years. This is an important and challenging part of our research, and in order to obtain complete data for this research, we are requesting the social security numbers of our respondents and asking them to complete a form.

IF THIS IS A FACE-TO-FACE INTERVIEW (W311=1):

(I have a letter here from the Social Security Administration describing the purpose of our research and supporting our research efforts. Please take a minute to read it.)

IF THIS IS A TELEPHONE INTERVIEW (W311=2):

(I will be sending you a letter from the Social Security Administration describing the purpose of our research and supporting our research efforts.)

IF THIS IS A REINTERVIEW R:

You may have been asked to provide this consent to us in the past. We are asking now that you allow us to update our records in order to obtain more recent data.

READ TO ALL Rs:

We want to assure you that the Health and Retirement Study is committed to taking the utmost care to protect the confidentiality of any information you give us, including the information on this form.

[IWER: PRESS 1 TO CONTINUE]

1. CONTINUE

W309 (SELF-IW) BRANCHPOINT: IF R {IS CURRENTLY MARRIED or HAS NEVER BEEN MARRIED or IS SEPARATED (B063 {NOT 1 and NOT 3 and NOT 6})}, GO TO W310 (SELF-IW)

W309 (SELF-IW)

In order to know which form to give you, I need to ask, were you ever married to someone who is now deceased?

[IWER: DK OR RF — USE GREEN FORM]

1. YES -- USE
GREEN FORM

5. NO -- USE
BLUE FORM

8. DK

9. RF

W310 (SELF-IW)

IF R'S SPOUSE IS DECEASED (W309=1):

(Would you provide your and your deceased spouse's Social Security number for the study's research purposes?)

IF WE DON'T KNOW IF R'S SPOUSE IS DECEASED (W309={DK or RF}):

(Would you provide your and any deceased spouse's Social Security number for the study's research purposes?)

OTHERWISE:

(Would you provide your Social Security number for the study's research purposes?)

[IWER: ENTER ID 2004 MAILED DOCUMENTS RESP LINK ID (X081) ON FORM AND [GIVE PROXY (W311=2)/SEND R (W311=1)] (THE LETTER,) THE FORM, AND THE PRIORITY MAIL ENVELOPE.]

[IWER: IF GREEN FORM IS USED, INSTRUCT R TO FILL OUT ALL INFORMATION ON HIM/HERSELF AND FOR A DECEASED SPOUSE.]

(IF THIS IS A FACE-TO-FACE IW:)

1. RESPONDENT AGREES - SIGNED FORM OBTAINED BY INTERVIEWER

(IF THIS IS A TELEPHONE IW:)

2. RESPONDENT AGREES - FORM (AND LETTER) BEING MAILED TO R

(IF THIS IS A FACE-TO-FACE IW:)

3. RESPONDENT AGREES - FORM (AND LETTER) LEFT WITH R

4. RESPONDENT REFUSED

5. RESPONDENT NOT ABLE TO CONSENT

(IF THIS IS A FACE-TO-FACE IW:)

9. RESPONDENT UNDECIDED - FORM (AND LETTER) LEFT WITH R

(IF THIS IS A TELEPHONE IW:)

9. RESPONDENT UNDECIDED - FORM (AND LETTER) SENT TO R

NOTE: NEITHER DK NOR RF ARE ALLOWABLE RESPONSES, EXCEPT INSOFAR AS CODE "4. RESPONDENT REFUSED" SUBSTITUTES FOR THE USUAL CODE "9. REFUSED".

W306 (PROXY-IW) BRANCHPOINT: GO TO W307 (W ASSIST) (AFTER W310 (PROXY-IW))

W306 (PROXY-IW)

One of the most important parts of our study is to understand the financial situations of people in their retirement years. This is an important and challenging part of our research, and in order to obtain complete data for this research, we are requesting the social security numbers of our respondents and asking them to complete a form.

IF THIS IS A FACE-TO-FACE INTERVIEW (W311=1):

(I have a letter here from the Social Security Administration describing the purpose of our research and supporting our research efforts. Please give this to *R's FIRST NAME* to read.)

IF THIS IS A TELEPHONE INTERVIEW (W311=2):

(I will be sending *R's FIRST NAME* a letter from the Social Security Administration describing the purpose of our research and supporting our research efforts.)

READ TO ALL:

We want to assure you and *R's FIRST NAME* that the Health and Retirement Study is committed to taking the utmost care to protect the confidentiality of any information you give us, including the information on this form.

[IWER: PRESS 1 TO CONTINUE]

1. CONTINUE

W309 (PROXY-IW) BRANCHPOINT: IF R {IS CURRENTLY MARRIED or HAS NEVER BEEN MARRIED or IS SEPARATED} (B063 {NOT 1 and NOT 3 and NOT 6}), GO TO W310 (PROXY-IW)

W309 (PROXY-IW)

In order to know which form to [give you/send to *R's FIRST NAME*], I need to ask, was *R's FIRST NAME* ever married to someone who is now deceased?

[IWER: DK OR RF — USE GREEN FORM]

1. YES -- USE
GREEN FORM

5. NO -- USE
BLUE FORM

8. DK

9. RF

W310 (PROXY-IW)

IF THIS IS A FACE-TO-FACE INTERVIEW (W311=1):
Please give this (letter and) form and self-addressed stamped envelope to R's FIRST NAME for [him/her] to read and sign and return to us in the envelope provided.

IF THIS IS A TELEPHONE INTERVIEW (W311=2):
I will be sending the (letter and) form and a self-addressed stamped envelope to R's FIRST NAME for [him/her] to read and sign and return to us in the envelope provided

[IWER: ENTER ID 2004 MAILED DOCUMENTS RESP LINK ID (X081) ON FORM AND [GIVE PROXY (W311=2)/SEND R (W311=1)] (THE LETTER,) THE FORM, AND THE PRIORITY MAIL ENVELOPE.]

[IWER: IF GREEN FORM IS USED, INSTRUCT PROXY THAT R SHOULD FILL OUT ALL INFORMATION ON HIM/HERSELF AND FOR A DECEASED SPOUSE.]

5. RESPONDENT NOT ABLE TO CONSENT

(IF THIS IS A FACE-TO-FACE IW:)
6. FORM (AND LETTER)
LEFT WITH PROXY TO GIVE TO R

(IF THIS IS A TELEPHONE IW:)
7. FORM (AND LETTER)
BEING MAILED TO R

8. PROXY REFUSED FOR RESPONDENT

NOTE: NEITHER DK NOR RF ARE ALLOWABLE RESPONSES, EXCEPT INSOFAR AS CODE "8. PROXY REFUSED FOR RESPONDENT" INCORPORATES A REFUSAL.

W307. W ASSIST

IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION W — EVENT HISTORY/INTERNET USE?

1. NEVER

2. A FEW TIMES

3. MOST OR ALL OF THE TIME

4. THE SECTION WAS DONE BY A PROXY REPORTER

5. NO QUESTIONS ASKED IN SECTION W

END OF SECTION W BRANCHPOINT: IF THIS IS A SELF INTERVIEW (CS1A/A009=1):
GO TO PENSION CHARACTERISTICS (NEXT SECTION)

IF THIS IS A LIVING PROXY INTERVIEW (CS1A/A009={2 or 3}):
GO TO FINAL/LEAVE-BEHIND SECTION (AFTER MODULE 12).
THEN, AFTER LIVING PROXY IS ASKED LEAVE-BEHIND SECTION:
JUMP BACK TO SECTION D AND ASK RELEVANT QUESTIONS OF THE PROXIED R.

OTHERWISE, IF THIS IS NOT A SELF INTERVIEW (CS1A/A009 NOT 1):
GO TO ({END OF INTERVIEW}/{INTERVIEWER OBSERVATIONS})

END OF SECTION W