NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

******************************************************************

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

THIS IS A REINTERVIEW R (Z076=1);
   THIS IS A NEW SPOUSE/PARTNER OF A REINTERVIEW R (Z076=0);
   THIS IS A NEVER INTERVIEWED R or A NEW INTERVIEW R (Z076=5)

THIS IS A PROXY INTERVIEW (CS1A/A009={2 or 3}) or (A009 NOT 1);
   THIS IS A SELF INTERVIEW (CS1A/A009=1)

R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2})

R IS MARRIED (X065=1);
   R IS PARTNERED (X065=3);
   R IS SINGLE (X065=6)

R IS WORKING FOR PAY (G2/J020=1);
   R IS NOT CURRENTLY WORKING (G2/J020=5)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not be shown after that.

******************************************************************
GH1/L005 BRANCHPOINT: IF R NEVER WORKED FOR PAY (GG1/K003={5 or DK or RF}) or THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION M

IF R REPORTED THAT HAS NOT WORKED FOR PAY IN 20 YEARS OR MORE (per GG1a1/K004 or GG1a2/K005), GO TO GH52Y4/L068

IF R REFUSED TO SAY WHEN HE/SHE LAST WORKED ({GG1a1/K004 or GG1a2/K005}=RF), GO TO SECTION M

L005
Q4092
GH1. Besides [your current job/the job you just told me about], have you worked for any other employer for at least 5 years or more, including self-employment?

1. YES 5. NO 8. DK 9. RF

GO TO (GH42)/L039

BRANCHPOINT

L006
Q4093
GH2. For how many such employers have you worked?

1. ONE 2. TWO 3. THREE 4. FOUR

5. FIVE OR MORE 8. DK 9. RF

L007
Q4094
GH3. (I want to ask about the most recent such job.)

Were you working for someone else, were you self-employed, or what?

[IWER: IF R SAYS, 'I RAN MY OWN BUSINESS' ENTER 'SELF-EMPLOYED']

1. SOMEONE ELSE 2. SELF-EMPLOYED 8. DK 9. RF

GO TO GH4/L009

GH3a/L008 BRANCHPOINT: IF R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GH4/L009
GH3a. For whom did you work?

(What is the (company's/organization's) name?)

_____________  DK  RF
EMPLOYER NAME

GH4. When did you start working for [that employer/yourself]?

OR

______  _______  _______  DK  RF
L009  L010  L011
Q4096  Q4097  Q4098
GH4. YEAR  GH4Y1. YEARS AGO  GH4Y2. AT AGE

GH5. IF SELF-EMPLOYED (GH3/L007=2):
What kind of industry did you work in? That is, what did your company do or make?

(What industry did you typically work in?)

IF NOT SELF-EMPLOYED(GH3/L007 NOT 2):
What kind of business or industry did you work in -- that is, what did they make or do at the place where you worked?

_____________  DK  RF
INDUSTRY

GH5a/L014 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GH3/L007=2) or R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GH6/L015

GH5a. What was the official title of your job?

(The title that your employer used?)

_____________  DK  RF
TITLE
L015
Q4103
GH6. What sort of work did you do?

(Tell me a little more about what you did.)

TYPE OF WORK

L016
Q4104
GH7. When did you [leave that employer/stop working for yourself]?

OR

OR

L016
Q4104
GH7. YEAR
L017
Q4105
GH7Y1. YEARS AGO
L018
Q4106
GH7Y2. AT AGE

L020
Q4109
GH8. During your last full year at that job, how many hours a week did you usually work?

HOURS PER WEEK

L021
Q4110
GH9. IWER: READ SLOWLY:
Counting paid vacations as weeks of work, how many weeks did you work that year?

NUMBER OF WEEKS
L022
Q4111
GH10. How much were you earning, before taxes and other deductions, that year?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]
[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]
[IWER: USE ZERO FOR NONE]

AMOUNT

0  DK  RF

GO TO GH11/L025 BRANCHPOINT

L023
Q4112
GH10Y1. [IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH
6. YEAR  97. OTHER (SPECIFY)  98. DK  99. RF

(GH10a)/L095 BRANCHPOINT: IF R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2}),
GO TO GH12/L027

L024

L025
Q4114
GH11. Why did you [stop working at this business/leave that employer?]?

[IWER: ASK IF NECESSARY]
(Did the business close, were you laid off or let go, did you leave to take care of family members, or what?)

[IWER: ENTER ALL THAT APPLY]

1. BUSINESS CLOSED  2. LAID OFF/LET GO  3. POOR HEALTH/DISABLED  4. FAMILY CARE  5. BETTER JOB
6. QUIT  7. RETIRED  97. OTHER (SPECIFY)  98. DK  99. RF

L026
GH12. IF R IS SELF-EMPLOYED (GH3/L007=2):
Aside from IRAs not sponsored by your business or Keogh Plans, were you included in a pension or retirement plan, or in any tax-deferred savings plan, through your work when you worked for yourself?

OTHERWISE:
Were you included in a pension or retirement plan, or in any tax-deferred savings plan, through your work when you worked for that employer?

1. YES  5. NO  8. DK  9. RF

GH12a/L028 BRANCHPOINT: IF R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GH52Y4/L068
IF R DID NOT REPORT THAT WAS INCLUDED IN A PENSION PLAN (GH12/L027={5 or DK or RF}), GO TO GH23/L031 BRANCHPOINT

L028
Q4118
GH12a. In how many different pension plans were you included on this job?

1 — 8 PLANS

NUMBER OF PLANS

0  DK  RF

GO TO BEGINNING OF GH13/LW001_3 LOOP
GO TO GH21/LW158_4 BRANCHPOINT
GO TO GH21/LW158_4 BRANCHPOINT

L029
Q8256
(GH12b) Is this just one plan or more than one?

1. ONE PLAN  3. MORE THAN ONE  8. DK  9. RF

GO TO GH21/LW158_4 BRANCHPOINT
BEGINNING OF PENSION BLOCK-3:
(GH13/J088/LW001_3 — GH20/J157/LW042_3)

NOTE: THE FOLLOWING PENSION LOOP (GH13/LW001_3 — GH20/LW042_3) IS A REPLICATE
OF THAT APPEARING IN SECTION J (G31/J088/JW001_1 — G38/J157/JW042_1)
(EXCEPT THAT QUESTIONS LW029 & LW034 WERE ADDED TO THE FIRST OCCURRENCE
OF THE BLOCK IN L) AND IS KNOWN AS THE SECTION J PENSION BLOCK.

***BEGINNING OF GH13/LW001_3 LOOP: QUESTIONS GH13/LW001_3 THROUGH GH20/LW042_3
ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT
GH12a/L028 (GH12a/L028 ={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING
PLANS AT (GH12b/L029) ((GH12b/L029) ={1 or 3}).***

LW001_3
J088
Q4120
GH13. IF THIS IS THE FIRST PLAN and {R HAS MORE THAN ONE PLAN (GH12a/L028 ≥2
or (GH12b/L029)=3)}:
Now I have some questions about the most important of these plans. I
would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (GH12a/L028 ≥2)
or THE
SECOND PLAN FOR ((GH12b/L029)=3):
Now I have some questions about the next most important of these plans.
I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN ((GH12a/L028 or (GH12b/L029})=1):
I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP:
In some retirement plans, call them Type A, benefits are usually based
on a formula involving age, years of service and salary. In other plans,
call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION,
ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

ASK ALL Rs:
Was your plan Type A or Type B?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT-
SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B
RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."

1. TYPE A  2. TYPE B  3. BOTH TYPES  8. DK  9. RF

GO TO
GH14/LW021_3

GO TO GH21/LW158 4
BRANCHPOINT
(OUT OF
GH13/LW001_3 LOOP)

LW002_3
Q4121
GH13a. IF R HAS BOTH TYPES OF PLAN (GH13/LW001_3=3):
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

OTHERWISE:
How much money was in your account when you left that [business/employer]?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

DK
RF

GO TO
GH13b/LW006_3

LW003_3 - LW005_3 Unfolding Sequence
Question text: Did it amount to a total of less than $____ , more than $____ , or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
ENTRY POINT: $20,000

LW006_3
Q4112
GH13b. When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDREW THE MONEY
2. ROLLED OVER INTO IRA
3. LEFT TO ACCUMULATE
4. CONVERT TO ANNUITY
5. TRANSFERRED TO NEW EMPLOYER
6. LOST BENEFITS
7. OTHER (SPECIFY)
8. DK
9. RF

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.
LW008_3 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT (GH13b/LW006_3 NOT 3), GO TO LW013_3 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (GH13b/LW006_3=3 ONLY), GO TO GH13c/LW009_3

LW008_3
Q9860
How much money did you leave in the old account to accumulate?

_________ DK RF AMOUNT

LW009_3
Q4123
GH13c. How much is in that account now?
[IWER: DO NOT PROBE DK/RF]

_________ DK RF AMOUNT

GO TO LW013_3 BRANCHPOINT

LW010_3 - LW012_3 Unfolding Sequence
Question text: Does it amount to less than $____, more than $____, or what?

PROCEDURE: 3Up
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
ENTRY POINT: $10,000

LW013_3 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY (GH13b/LW006_3 NOT 4), GO TO LW018_3 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY (GH13b/LW006_3=4 ONLY), GO TO GH13d/LW014_3

LW013_3
Q9861
How much did you convert to an annuity?

_________ DK RF AMOUNT
GH13d. How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

_________________________  DK  RF

AGE

GH13e. How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

_________________________  0  DK  RF

AMOUNT

GO TO (GH13fa)/LW049_3 (AFTER JW017_3)

GH13f. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH  6. YEAR  97. OTHER (SPECIFY)  98. DK  99. RF

____________________________________  LW017_3
(GH13fa) IF R IS MARRIED/PARTNERED (X065={1 or 3}): Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE: Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO (GH13fc)/LW054_3 BRANCHPOINT

7. OTHER (SPECIFY)

LW050_3

GO TO (GH13fc)/LW054_3 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

(GH13fb) What is that time period?

OR

LW051_3 (GH13fb).
UNTIL YEAR

OR

LW052_3 (GH13fba).
UNTIL AGE

OR

LW053_3 (GH13fbb).
YEARS

(GH13fc)/LW054_3 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO LW018_3 BRANCHPOINT

(GH13fc) If you or your [husband/wife/partner] should die, will the income from the annuity change, stay the same, or what?

1. CHANGE
3. STAY THE SAME
7. OTHER (SPECIFY)

LW055_3

LW054_3 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA (GH13b/LW006_3 NOT 2) or THAT WAS ONLY RESPONSE (GH13b/LW006_3=2 ONLY), GO TO LW019_3 BRANCHPOINT
LW018_3
Q9862
How much did the rollover amount to?

________________________
AMOUNT
DK
RF

LW019_3
Q9863
How much did the transfer amount to?

________________________
AMOUNT
DK
RF

LW056_3
BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS
TRANSFERRED TO NEW EMPLOYER (GH13b/LW006_3 NOT 5) or THAT
WAS ONLY RESPONSE (GH13b/LW006_3=5 ONLY), GO TO W056_3
BRANCHPOINT

LW056_3
BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY
(GH13b/LW006_3 NOT 1), GO TO GH14/LW021_3 BRANCHPOINT
IF R WITHDREW THE MONEY ONLY (GH13b/LW006_3=1 ONLY), GO TO
LW057_3

LW057_3
How much money did you withdraw?

________________________
AMOUNT
DK
RF

LW057_3
What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

LW058_3
GH14/LW021_3 BRANCHPOINT: IF PLAN IS TYPE B ONLY (GH13/LW001_3=2), GO TO
GH20/LW042_3
GH14. IF R's PLAN IS BOTH TYPE A AND TYPE B or R DIDN'T KNOW
(GH13/LW001_3={3 or 8}):
Now about the part of the plan where benefits are based on a formula.

ASK OF ALL:
Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, did you roll over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

<table>
<thead>
<tr>
<th>1. EXPECT FUTURE BENEFITS</th>
<th>2. RECEIVING BENEFITS NOW</th>
<th>3. RECEIVED CASH SETTLEMENT</th>
<th>4. ROLLED OVER INTO IRA</th>
</tr>
</thead>
<tbody>
<tr>
<td>6. LOST BENEFITS</td>
<td>7. OTHER (SPECIFY)</td>
<td>8. DK</td>
<td>9. RF</td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

GH14a/LW023_3 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVES BENEFITS NOW (GH14/LW021_3 NOT 2), GO TO GH14e/LW030_3 BRANCHPOINT

GH14a. In what month and year did you start to receive these benefits?

<table>
<thead>
<tr>
<th>LW023_3</th>
<th>Q4134</th>
</tr>
</thead>
<tbody>
<tr>
<td>GH14a. MONTH:</td>
<td></td>
</tr>
<tr>
<td>1. JAN</td>
<td>2. FEB</td>
</tr>
</tbody>
</table>

DK  RF
GH14b. How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

---

AMOUNT

0
DK
RF

GO TO GH14Y2b/LW026_3
GO TO GH14c/LW028_3

J116_3 - J118_3 Unfolding Sequence
Question text: Do they amount to less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $200, $400, $1,200, $1,600
ENTRY POINT: $400

GH14Y2b/LW026_3 BRANCHPOINT: GO TO GH14c/LW028_3

GH14Y2b. [IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH  6. YEAR  97. OTHER (SPECIFY)  98. DK  99. RF

LW027_3

GH14c. Are the benefits adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO LW059_3

GH14d. Have they ever been adjusted for changes in the cost of living?

1. YES  5. NO  8. DK  9. RF

GO TO GH14e/LW030_3 BRANCHPOINT
Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF

GH14e/LW030_3 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED CASH SETTLEMENT (GH14/LW021_3 NOT 3), GO TO GH14g/LW033_3 BRANCHPOINT

GH14e. How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

AMOUNT

GO TO GH14f/LW031_3

GH14f. What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

[JNOTE: THE IWER MAY CHOOSE AS MANY RESPONES AS APPLY.]

GH14g/LW033_3 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (GH14/LW021_3 NOT 4), GO TO GH15/LW034_3 BRANCHPOINT
LW033_3
Q4143

GH14g. How much did the rollover amount to?

IRA ROLLOVER

______________

AMOUNT

GH15/LW034_3 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS
(GH14/LW021_3 NOT 1), GO TO GH20/LW042_3

LW034_3
Q4144

GH15. How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

______________

YEARS

GH16/LW035_3 BRANCHPOINT: IF THIS IS A PROXY INTERVIEW (CS1A/A009={2 or 3}),
GO TO GH20/LW042_3

LW035_3
Q4145

GH16. At what age do you expect to start receiving (the rest of your) benefits from this plan?

40-94 AGE

96 ALREADY RECEIVING ALL BENEFITS

______________

AGE

GO BACK TO GH14/LW021_3 AND CHANGE
RESPONSE TO RECEIVING BENEFITS NOW
(GH14/LW021_3=2)
GH17. About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

1. PERCENT OF PAY  
2. AMOUNT PER MONTH/YEAR  
3. LUMP SUM  
8. DK  
9. RF  

GO TO GH17b/LW038_3  
GO TO GH17d/LW041_3  
GO TO GH20/LW042_3

GH17a. [IWER: ENTER THE PERCENT OF FINAL PAY]

PERCENT  
DK  
RF  

GO TO GH20/LW042_3

GH17b. [IWER: PROBE IF NECESSARY]

How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]

AMOUNT  
0  
DK  
RF

GO TO GH17c/LW039_3  
GO TO GH20/LW042_3

J133_3 - J135_3 Unfolding Sequence

Question text: Do you expect a total of less than $____ per year, more than $____ per year, or what?

PROCEDURE: 2Up1Down  
BREAKPOINTS: $500, $1,000, $2,000, $3,000  
ENTRY POINT: $1,000

GH17c/LW039_3 BRANCHPOINT: GO TO GH20/LW042_3

GH17c. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH  6. YEAR
97. OTHER (SPECIFY)  
98. DK  
99. RF

LW040_3
GH17d/LW041_3 BRANCHPOINT: GO TO GH20/LW042_3

LW041_3
Q4151
GH17d. [IWER: ENTER LUMP SUM AMOUNT]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GO TO GH20/LW042_3

LW042_3
Q4163
GH20. What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

<table>
<thead>
<tr>
<th>30-80</th>
<th>95 NO AGE REQUIREMENT</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

***END OF GH13/LW001_3 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN AT GH12a/L028, REPEAT QUESTIONS GH13/LW001_3 THROUGH GH20/LW042_3 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT GH12a/L028 (GH12a/L028=(2-8)) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT (GH12b/L029) ((GH12b/L029)=3). IF NOT, CONTINUE ON TO GH21/LW158_4 BRANCHPOINT.***

END OF PENSION BLOCK-3:
(GH13/LW001_3 — GH20/LW042_3)

GH21/LW158_4 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GH3/L007=2), GO TO GH23/L031 BRANCHPOINT
Note: the following series of employer name and address questions
(GH21/LW158_4 through GH21f/LW165_4) is a replicate of that appearing
in section J (G39/JW158_1 through G40e/JW165_1) and is known as the section
J employer name block.

LW158_4
Q4164
GH21. IWER: Read to all respondents:
We would like to obtain complete information about fringe benefits for
employees like you. In order to do this, we need the full name and
address of your last employer, the employer we were just talking about.

For whom did you work on that job?
What is the (company's/organization's) name?

__________________________
COMPANY NAME

DK  RF

GO TO
GH23/L031
BRANCHPOINT
Q4165
GH21a. What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

ADDRESS 1

GO TO GH21c/LW161_4

GO TO GH23/L031

BRANCHPOINT

Q4166
GH21b. ADDRESS 2

GO TO GH23/L031

BRANCHPOINT

Q4167
GH21c. CITY

Q4168
GH21d. STATE

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

Q4169
GH21e. ZIP

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

Q4170
GH21f. PHONE NUMBER

END OF EMPLOYER INFORMATION BLOCK-4:
(GH21/LW158_4 — GH21f/LW165_4)
GH23/L031 BRANCHPOINT: IF R HAS HAD ONLY ONE OTHER JOB THAT HAS LASTED 5 YEARS OR MORE (GH2/L006=1), GO TO (GH42)/L039 BRANCHPOINT

L031
Q4172
GH23. Besides the jobs you have already told me about, have you worked for any other employer including self-employment where you were included in a pension or retirement plan, or in a tax-deferred savings plan of some sort?

1. YES  5. NO  8. DK  9. RF

GO TO (GH42)/L039 BRANCHPOINT

L032
Q4173
GH24. How many such employers have you worked for?
(Besides the ones you have already told me about.)

[IWER: WHERE R WAS INCLUDED IN A PENSION OR RETIREMENT PLAN]

DK  RF

NUMBER OF EMPLOYERS
***BEGINNING OF GH25/L034 LOOP: REPEAT GH25/L034 THROUGH GH32c/W041_4 FOR FIRST AND SECOND EMPLOYERS MENTIONED.***

L034
Q4176
GH25. When did you start working for [that/the first such/the next such] employer?

__________
YEAR

L035
Q4177
GH26. And when did you leave?

__________
YEAR

L036
Q4178
GH27. How much were you earning when you left?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

[IWER: USE ZERO FOR NONE]

__________
AMOUNT

GO TO GH28/LW001_4

L037
Q4179
GH27Y1. [IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?

PER:

1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH

6. YEAR
97. OTHER (SPECIFY)

GO TO GH28/LW001_4
BEGINNING OF PENSION BLOCK-4
(GH28/LW001_4 — GH32c/LW041_4)

NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS (GH28/LW001_4 THROUGH
GH32c/LW041_4) IS A REPLICATE OF THAT APPEARING IN SECTION J
(G31/J088/JW001_1 — G35d/J138/JW041_1) AND IS KNOWN AS THE SECTION J
PENSION BLOCK.

Q4181
GH28. I would like to know about the type of the most important pension or
retirement plan you had.

READ TO ALL Rs ON THE FIRST LOOP:
In some retirement plans, call them Type A, benefits are usually based
on a formula involving age, years of service and salary. In other plans,
call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION,
ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

Was your plan Type A or Type B?

1. TYPE A
2. TYPE B
3. BOTH TYPES
4. DK
5. RF

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT-
SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B
RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."

GO TO GH29/LW021_4
GO TO (GH42)/L039
BRANCHPOINT
(OUT OF
GH28/LW001_4 LOOP)
GH28a. IF R HAS BOTH TYPES OF PLAN (GH28/LW001_4=3):
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that job?

OTHERWISE:
How much money was in your account when you left that job?

[IWER: DO NOT PROBE DK/RF]

__________
AMOUNT

DK  RF

GO TO
GH28b/LW006_4

J090/LW003_4 - J092/LW005_4 Unfolding Sequence
Question text: Did it amount to less than $___, more than $___, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
ENTRY POINT: $20,000

GH28b. When you left that job, did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDREW THE MONEY  2. ROLLED OVER INTO IRA  3. LEFT TO ACCUMULATE  4. CONVERT TO ANNUITY
5. TRANSFERRED TO NEW EMPLOYER  6. LOST BENEFITS  7. OTHER (SPECIFY)  8. DK  9. RF

LW007_4

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

LW008_4 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT (GH28b/LW006_4 NOT 3), GO TO LW013_4 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (GH28b/LW006_4=3 ONLY), GO TO GH13c/LW009_4
LW008_4
Q9860
How much money did you leave in the old account to accumulate?

__________________________   DK  RF
AMOUNT

LW009_4
Q4184
GH28c. How much is in that account now?
[IWER: DO NOT PROBE DK/RF]

__________________________   DK  RF
AMOUNT

GO TO LW013_4
BRANCHPOINT

J097/LW010_4 - J099/LW012_4 Unfolding Sequence
Question text: Does it amount to less than $____, more than $____, or what?

PROCEDURE: 3Up
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
ENTRY POINT: $10,000

LW013_4 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS
CONVERTED TO AN ANNUITY (GH28b/LW006_4 NOT 4), GO TO LW018_4
BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY
(GH28b/LW006_4=4 ONLY), GO TO GH28d/LW014_4

LW013_4
Q9861
How much did you convert to an annuity?

__________________________   DK  RF
AMOUNT
GH28d. How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

AGE

GH28e. How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

AMOUNT

GO TO (GH28fa)/LW049_4 (AFTER JW017_4)

GH28f. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH 6. YEAR 97. OTHER (SPECIFY) 98. DK 99. RF
(GH28fa) IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS R LIVES
2. AS LONG AS R OR SPOUSE/PARTNER LIVES
3. FOR A FIXED TIME PERIOD

GO TO (GH28fc)/LW054_4 BRANCHPOINT

7. OTHER (SPECIFY) 8. DK 9. RF

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

(LH28fb) What is that time period?

OR

OR

DK RF

(LH28fc)/LWX6_4/LW054_4 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO LW018_4 BRANCHPOINT

(LH28fc) If you or your [husband/wife/partner] should die, will the income from the annuity change, stay the same, or what?

1. CHANGE
3. STAY THE SAME
7. OTHER (SPECIFY) 8. DK 9. RF

LW055_4

LW018_4 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA (GH28b/LW006_4 NOT 2) or THAT WAS ONLY RESPONSE (GH28b/LW006_4=2 ONLY), GO TO LW019_4 BRANCHPOINT
How much did the rollover amount to?

________________________________
DK  RF

AMOUNT

LW019_4 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (GH28b/LW006_4 NOT 5) or THAT WAS ONLY RESPONSE (GH28b/LW006_4=5 ONLY), GO TO LW056_4 BRANCHPOINT

How much did the transfer amount to?

________________________________
DK  RF

AMOUNT

LW056_4 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY (GH28b/LW006_4 NOT 1), GO TO GH29/LW021_4 BRANCHPOINT

IF R WITHDREW THE MONEY ONLY (GH28b/LW006_4=1 ONLY), GO TO LW057_4

How much money did you withdraw?

________________________________
DK  RF

AMOUNT

What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)

8. DK  9. RF

LW058_4
GH29/LW021_4 BRANCHPOINT: IF PLAN IS TYPE B ONLY (GH28/LW001_4=2), GO TO END
OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

LW021_4
Q4190
GH29. IF R’s PLAN IS BOTH TYPE A AND TYPE B or R DIDN’T KNOW
(GH28/LW001_4={3 or 8}):
Now about the part of your pension where benefits are based on a
formula.

ASK OF ALL:
Do you expect to receive benefits from this plan in the future, are you
receiving benefits now, did you get a cash settlement when you left, did
you lose your benefits, did you roll over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE
FOLLOW-UP QUESTIONS]

1. EXPECT FUTURE BENEFITS
2. RECEIVING BENEFITS NOW
3. RECEIVED CASH SETTLEMENT
4. ROLLED OVER INTO IRA
6. LOST BENEFITS
7. OTHER (SPECIFY)
8. DK
9. RF

LW022_4

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY
OTHER RESPONSE.

GH29a/LW023_4 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVES BENEFITS NOW
(GH29/LW021_4 NOT 2), GO TO GH29e/LW030_4 BRANCHPOINT

LW023_4
Q4191
GH29a. In what month and year did you start to receive these benefits?

LW023_4
Q4191
GH29a. MONTH:

1. JAN     2. FEB     3. MAR     4. APR     5. MAY     6. JUN     7. JUL

DK     RF

LW024_4
Q4192
GH29Y1a. YEAR
GH29b. How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GH29Y1b/LW026_4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GO TO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GH29c/LW028_4</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

J116_4 - J118_4 Unfolding Sequence
Question text: Does it amount to less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $200, $400, $1,200, $1,600
ENTRY POINT: $400

GH29Y1b/LW026_4 BRANCHPOINT: GO TO GH29c/LW028_4

GH29c. Are the benefits adjusted for changes in the cost of living?

1. YES 5. NO 8. DK 9. RF

GO TO LW059_4

GH29d. Have they ever been adjusted for changes in the cost of living?

1. YES 5. NO 8. DK 9. RF

GO TO GH29e/LW030_4 BRANCHPOINT
LW059_4
Are the benefits adjusted automatically?

1. YES  5. NO  8. DK  9. RF

GH29e/LW030_4 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED CASH SETTLEMENT (GH29/LW021_4 NOT 3), GO TO GH29g/LW033_4 BRANCHPOINT

LW030_4
Q4198
GH29e. How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

____________________  DK  RF
AMOUNT

GO TO GH29f/LW031_4

J123_4 - J125_4 Unfolding Sequence
Question text: Did it amount to a total of less than $____ , more than $____ , or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $2,000, $6,000, $60,000, $250,000
ENTRY POINT: $6,000

LW031_4
Q4199
GH29f. What did you do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

NOTE: THE IWER MAY CHOOSE AS MANY RESPONSES AS APPLY.

GH29g/LW033_4 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (GH29/LW021_4 NOT 4), GO TO GH30/LW034_4 BRANCHPOINT
GH29g. How much did the rollover amount to?

IRA ROLLOVER

AMOUNT

GH30/LW034_4 BRANCHPOINT: IF R DID NOT REPORT THAT IS EXPECTING FUTURE BENEFITS (GH29/LW021_4 NOT 1), GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

GH30. How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

YEARS

GH31/LW035_4 BRANCHPOINT: IF THIS IS A PROXY INTERVIEW (CS1A/A009={2 or 3}), GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

GH31. At what age do you expect to start receiving (the rest of your) benefits from this plan?

40-94 AGE

96 ALREADY RECEIVING ALL BENEFITS

GO BACK TO GH29/LW021_A AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (GH29/LW021_4=2)

GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)
Q4203

GH32a. [IWER: ENTER THE PERCENT OF FINAL PAY]

PERCENT

GO TO END OF GH25/L034 LOOP
(AFTER GH32c/LW041_4)
LW038_4
Q4205
GH32b. [IWER: PROBE IF NECESSARY]
How much do you expect to receive?
[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

GO TO GH32b1/LW039_4

GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

J133_4 - J135_4 Unfolding Sequence
Question text: Does it amount to less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
ENTRY POINT: $1,000

GH32b1/LW039_4 BRANCHPOINT: GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

LW039_4
Q4206
GH32b1. [IWER: PROBE IF NECESSARY]
Is that per month or year?
PER:
<table>
<thead>
<tr>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>97. OTHER (SPECIFY)</th>
<th>98. DK</th>
<th>99. RF</th>
</tr>
</thead>
</table>

GH32c/LW041_4 BRANCHPOINT: GO TO END OF GH25/L034 LOOP (AFTER GH32c/LW041_4)

LW041_4
J157
Q4208
GH32c. [IWER: ENTER LUMP SUM AMOUNT]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

END OF PENSION BLOCK-4
(GH28/LW001_4 — GH32c/LW041_4)

***END OF GH25/L034 LOOP: REPEAT GH25/L034 THROUGH GH32c/LW041_4 FOR SECOND EMPLOYER, IF ANY. IF NOT, CONTINUE ON TO (GH42)/L039 BRANCHPOINT.***
(GH42)/L039 BRANCHPOINT: IF R IS NOT CURRENTLY WORKING (G2/J020=5) and R LAST WORKED MORE THAN A YEAR AGO (per GG1a1/K004 or GG1a2/K005), GO TO GH43/L040

L039
Q8257
(GH42) Counting paid vacations as weeks of work, how many weeks did you work in the last 12 months?

[IWER: COUNT PAID SICK TIME AS WORK TIME]

NUMBER OF WEEKS

L040
Q4220
GH43. Employers sometimes encourage older workers to leave a firm at a particular time by offering a special financial incentive, like a cash bonus or improved pension benefits. These are often called ‘early retirement windows.’

Have you ever been offered such an early retirement window on any job?

1. YES  5. NO  8. DK  9. RF

GO TO GH52Y4/L068

L041
Q4221
GH44. How many times has this happened?

NUMBER OF TIMES

GO TO GH52Y4/L068
L043
Q4223
GH45. When was the [first/last] special incentive offered?

____________________
YEAR

L044
Q4224
GH46. Which employer were you working for at the time?

[IWER: ENTER FULL EMPLOYER NAME]

____________________
EMPLOYER

L045
Q4225
GH47. Was the special incentive a cash bonus, improved pension benefits, or what?

[IWER: ENTER ALL THAT APPLY]

1. CASH BONUS
2. IMPROVED PENSION BENEFITS
3. PERMANENT MEDICAL INSURANCE
4. TEMPORARY MEDICAL INSURANCE
5. TEMPORARY CASH BENEFIT
6. ADDITIONAL YEARS OF SERVICE CREDITED
7. OTHER (SPECIFY)
8. DK
9. RF

L046

GH48/L047 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED CASH BONUS (GH47/L045 NOT 1), GO TO GH48aa/L048 BRANCHPOINT

L047
Q4226
GH48. How much did the cash bonus offer amount to?

[IWER: USE ZERO FOR NONE]

____________________
AMOUNT

GH48aa/L048 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED IMPROVED PENSION BENEFITS (GH47/L045 NOT 2), GO TO GH48aa1/L051 BRANCHPOINT
GH48aa. How much did the extra pension benefits offer amount to?

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GO TO GH48a1/L051 BRANCHPOINT

GH48ab. [IWER: PROBE IF NECESSARY] Was that per week, month, or year?

PER:

<table>
<thead>
<tr>
<th>PER:</th>
<th>2. WEEK</th>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>95. LUMP SUM</th>
</tr>
</thead>
<tbody>
<tr>
<td>97. OTHER (SPECIFY)</td>
<td>98. DK</td>
<td>99. RF</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

L050

GH48a1/L051 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED TEMPORARY MEDICAL INSURANCE (GH47/L045 NOT 4), GO TO GH48b/L054 BRANCHPOINT

GH48a1. Until what age was the temporary medical insurance offered?

<table>
<thead>
<tr>
<th>AGE</th>
<th>35 — 80 AGE</th>
</tr>
</thead>
</table>

AGE

GO TO GH48b/L054 BRANCHPOINT

L052

GH48a2. [IWER: PROBE IF NECESSARY:] For how many years was the temporary medical insurance offered?

<table>
<thead>
<tr>
<th>YEARS</th>
<th>1 — 25 YEARS</th>
</tr>
</thead>
</table>

YEARS

GO TO GH48b/L054 BRANCHPOINT
L053
Q4232
GH48a3. [IWER: PROBE IF NECESSARY:] Until which year was the temporary medical insurance offered?

YEAR

DK | RF

GH48b/L054 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED A TEMPORARY CASH BENEFIT (GH47/L045 NOT 5), GO TO GH48e/L059 BRANCHPOINT

L054
Q4233
GH48b. How much did the temporary cash benefits amount to?

[IWER: USE ZERO FOR NONE]

AMOUNT

0 | DK | RF

GO TO GH48c/L056

L055
Q4234
GH48Y1b. [IWER: PROBE IF NECESSARY] Was that per month or year?

PER:

2. WEEK | 4. MONTH | 6. YEAR | 95. LUMP SUM

97. OTHER (SPECIFY) | 98. DK | 99. RF

L056
Q4236
GH48c. Until what age was the temporary cash benefit offered?

35 — 80 AGE

AGE

95 NUMBER OF YEARS GIVEN | 96 ANSWERED YEAR | DK | RF

GO TO GH48e/L059 BRANCHPOINT

GO TO GH48e/L059 BRANCHPOINT
GH48d1. [IWER: PROBE IF NECESSARY]
For how many years was the temporary cash benefit offered?

0 — 25 YEARS

YEARS

GH48d2/L058 BRANCHPOINT: IF R DID NOT GIVE THE YEAR THAT WAS OFFERED A TEMPORARY
CASH BENEFIT (GH48c/L056 NOT 96), GO TO GH48e/L059
BRANCHPOINT

GH48d2. [IWER: PROBE IF NECESSARY]
Until which year was the temporary cash benefit offered?

YEAR

NOTE: RANGE IS 1900 TO (CURRENT YEAR + 25)

GH48e/L059 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED ADDITIONAL YEARS OF
SERVICE CREDIT (GH47/L045 NOT 6), GO TO GH48f/L060
BRANCHPOINT

GH48e. How many years of service credit were you offered?

1 — 20 YEARS

YEARS

GH48f/L060 BRANCHPOINT: IF R DID NOT REPORT THAT WAS OFFERED OTHER SPECIAL
INCENTIVES (GH47/L045 NOT 7), GO TO GH49/L061
GH48f. IF R MENTIONS OTHER INCENTIVES ONLY (GH47/L045=7 ONLY):
Altogether, how much did the incentive offer amount to?

OTHERWISE:
(Not including [the cash bonus, /(and) improved pension benefits, /(and) permanent medical insurance, /(and) temporary medical insurance, /(and) temporary cash benefit, /(and) additional years of service credited,]) what was the value of the other part of the (first/second)
incentive offer?

NOTE: "and" IS INSERTED BETWEEN THE LAST ITEM ON THE LIST AND ITS
PREDECESSOR.

[IWER: USE ZERO FOR NONE]

AMOUNT

NOTE: ALL TYPES OF INCENTIVES SELECTED AT GH47/L045 ARE INCLUDED IN THE
QUESTION TEXT.

GH49. Did you accept the incentive and leave that employer?

1. YES  5. NO  8. DK  9. RF

GO TO GH51/L063

GH50. Was the special incentive important in your decision, or would you
probably have left at about that time anyway?

1. IMPORTANT IN MY DECISION  5. I WOULD HAVE LEFT ANYWAY  8. DK  9. RF

GO TO END OF LOOP (AFTER GH51/L063)

GH51. If you had been offered twice as much (to leave) at that time, would you
have done so?

1. YES  5. NO  8. DK  9. RF

***END OF GH45/L043 LOOP: REPEAT GH45/L043 THROUGH GH51/L063 FOR SECOND EARLY-
OUT WINDOW, IF APPLICABLE. IF NOT, CONTINUE ON TO GH52Y4/L068.***
We need a little information about jobs you might have held years ago.

In what year did you first work for six months or more?

[IWER: USE 9995 FOR NEVER]

YEAR

GO TO GH54/L092 (GH ASSIST)

GH52ac/L072 BRANCHPOINT: IF YEAR R STARTED FIRST (6 MONTHS OR MORE) JOB (AS REPORTED AT GH52Y4/L068) WAS NO EARLIER THAN THE Earliest START DATE AMONG REPORTED JOBS IN SECTIONS J, K OR L, GO TO GH52d/L077

GH52ac/L072. Based on questions we've asked you so far, we have a good idea of your employment history since YEAR STARTED Earliest JOB REPORTED IN SECTION J, K OR L (L094EarliestJob). The next question refers to the period between YEAR STARTED FIRST JOB (per GH52Y4/L068) and YEAR STARTED Earliest JOB REPORTED IN SECTION J, K OR L (L094EarliestJob).

During how many of these years did you work six months or more?

[IWER: IF R GIVES NUMBER OF YEARS, PRESS [ENTER] AND RECORD THE NUMBER ON THE NEXT SCREEN]

2. ALL YEARS BETWEEN FIRST JOB (GH52Y4/L068) AND Earliest JOB REPORTED IN SECTION J, K OR L (L094EarliestJob)

GO TO GH52bc/L076 BRANCHPOINT

OR

L071
Q4268
GH52ab. [IWER: IF R GIVES NUMBER OF YEARS, ENTER THE NUMBER ON THIS SCREEN]

[IWER: PRESS [ENTER] FOR OTHER CHOICES]

NUMBER OF YEARS

GO TO GH52bc/L076 BRANCHPOINT

OR
GH52a. (Based on questions we’ve asked you so far, we have a good idea of your employment history since YEAR STARTED EARLIEST JOB REPORTED IN SECTION J, K OR L (L094EarliestJob). The next question refers to the period between YEAR STARTED FIRST JOB (per GH52Y4/L068) and YEAR STARTED EARLIEST JOB REPORTED IN SECTION J, K OR L (L094EarliestJob).

During how many of these years did you work six months or more?)

[IWER: IF R GIVES A RANGE OF YEARS OTHER THAN THE ABOVE RANGE, ENTER START YEAR ON THIS SCREEN]

[IWER: PRESS [ENTER] FOR OTHER CHOICES]

<table>
<thead>
<tr>
<th>DK</th>
<th>RF</th>
<th>NO ENTRY</th>
</tr>
</thead>
</table>

START YEAR

AND

GH52aa. [IWER: IF R GIVES A RANGE OF YEARS OTHER THAN THE ABOVE RANGE, ENTER END YEAR ON THIS SCREEN]

END YEAR

<table>
<thead>
<tr>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
</table>

GH52bc/L076 BRANCHPOINT: IF R DID NOT SAY AT ANY OF GH52a/L069 THROUGH GH52ac/L072 {WHICH YEARS OR HOW MANY YEARS} THAT S/HE WORKED or R REPORTED THAT DID NOT WORK ANY YEARS (GH52ab/L071 WAS ANSWERED AS ZERO), GO TO GH52d/L077
L076
Q4274
GH52bc. And during how many of these years did you work full time?

[IWER: IF R GIVES NUMBER OF YEARS, PRESS [ENTER] AND RECORD THE NUMBER ON THE NEXT SCREEN]

| 1. ALL OF THESE YEARS | 2. ALL YEARS BETWEEN FIRST JOB (GH52Y4/L068) AND EARLIEST JOB REPORTED IN SECTION J, K OR L (L094EarliestJob) | 8. DK | 9. RF | NO ENTRY |

GO TO GH52d/L077

OR

L075
Q4273
GH52bb. [IWER: IF R GIVES NUMBER OF YEARS, ENTER THE NUMBER ON THIS SCREEN]

[IWER: PRESS [ENTER] FOR OTHER CHOICES]

NUMBER OF YEARS

DK RF NO ENTRY

GO TO GH52d/L077

OR

L073
Q4271
GH52b. (And during how many of these years did you work full time)?

[IWER: IF R GIVES A RANGE OF YEARS, ENTER START YEAR ON THIS SCREEN]

[IWER: PRESS [ENTER] FOR OTHER CHOICES]

START YEAR

DK RF NO ENTRY

GO TO GH52d/L077

AND

L074
Q4272
GH52ba. [IWER: IF R GIVES A RANGE OF YEARS OTHER THAN THE ABOVE RANGE, ENTER END YEAR ON THIS SCREEN]

DK RF

END YEAR
L077
Q4277
GH52d. (Remind me again...) Have you ever been employed by a unit of a state, county, or local government?

1. YES  5. NO  8. DK  9. RF

GO TO GH52f/L082

L078
Q4278
GH52e1. During what years were you employed by state or local governments?

FIRST EMPLOYMENT PERIOD

FROM ___________________ TO ___________________  
L078  Q4278  GH52e1. START YEAR  L079  Q4279  GH52e2. END YEAR

SECOND EMPLOYMENT PERIOD

[IF R HAD ONLY ONE GOVERNMENT JOB, PRESS [ENTER] FOR NONE]

(IF [ENTER] WAS PRESSED FOR NONE AT L080, GO TO GH52f/L082)

FROM ___________________ TO ___________________  
L080  Q4280  GH52e3. START YEAR  L081  Q4281  GH52e4. END YEAR

L082
Q4282
GH52f. Aside from military service, (remind me again,) have you ever been employed by the federal government?

1. YES  5. NO  8. DK  9. RF

GO TO GH52h/L087
L083
Q4283
GH52g1. During what years were you employed by the federal government?

FIRST EMPLOYMENT PERIOD

FROM  
L083 Q4283
GH52g1. START YEAR

TO  
L084 Q4284
GH52g2. END YEAR

SECOND EMPLOYMENT PERIOD

[IF R HAD ONLY ONE GOVERNMENT JOB, PRESS [ENTER] FOR NONE]
(IF [ENTER] WAS PRESSED FOR NONE AT L085, GO TO GH52h/)

FROM  
L085 Q4285
GH52g3. START YEAR

TO  
L086 Q4286
GH52g4. END YEAR

L087
Q4287
GH52h. Some state and local government and certain other workers have not always been covered by Social Security.

(INCLUDING THE STATE, LOCAL, AND FEDERAL JOBS YOU JUST TOLD ME ABOUT AND OTHER JOBS,) [did/Did] you ever work in a job where you did not pay social security taxes?

1. YES  5. NO  8. DK  9. RF

GO TO GH54/L092 (L ASSIST)
GH52i1. During what years were you first employed in a job where social security taxes were not paid?

**FIRST EMPLOYMENT PERIOD**

<table>
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<th>FROM</th>
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<tbody>
<tr>
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<td>L089</td>
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<tr>
<td>Q4288</td>
<td>Q4289</td>
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<tr>
<td>GH52i1. START YEAR</td>
<td>GH52i2. END YEAR</td>
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</tbody>
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**SECOND EMPLOYMENT PERIOD**

[IF NONE, GO TO GH54/L092 (L ASSIST)]

<table>
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<th>FROM</th>
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<tbody>
<tr>
<td>L090</td>
<td>L091</td>
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<tr>
<td>Q4290</td>
<td>Q4291</td>
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<tr>
<td>GH52i3. START YEAR</td>
<td>GH52i4. END YEAR</td>
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</tbody>
</table>

L092
Q4302
GH54. L ASSIST
IWER:
HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION L — JOB HISTORY?

| 1. NEVER | 2. A FEW TIMES | 3. MOST OR ALL OF THE TIME | 4. THE SECTION WAS DONE BY A PROXY REPORTER |

GO TO SECTION M