

NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

\*\*\*\*\*

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES \*

THIS IS A REINTERVIEW R (Z076=1);
THIS IS A NEW SPOUSE/PARTNER OF A REINTERVIEW R (Z076=0);
THIS IS A NEVER INTERVIEWED R or A NEW INTERVIEW R (Z076=5)

R IS FROM {AHEAD or CODA} COHORT (X023={1 or 2})

R IS MARRIED (X065=1);
R IS PARTNERED (X065=3);
R IS SINGLE (X065=6)

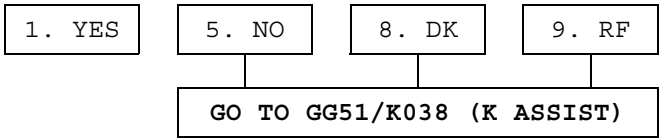
R IS WORKING FOR PAY ({G1/J005 or G2/J020}=1)
R IS NOT CURRENTLY WORKING (G2/J020=5)

\* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not be shown after that.

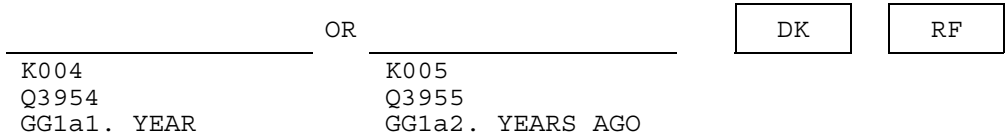
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GG1/K003 BRANCHPOINT: IF THIS IS A REINTERVIEW R (Z076=1), GO TO SECTION L
IF R IS WORKING NOW FOR PAY ({G1/J005 or G2/J020}=1), GO TO SECTION L

K003
Q3953
GG1. Have you ever worked for pay for more than a few months?



K004
Q3954
GG1a1. When did you last work for pay?



GG1a/K006 BRANCHPOINT: IF R LAST WORKED FOR PAY MORE THAN 2 YEARS AGO (per GG1a1/K004 or GG1a2/K005), GO TO GG3/K007 BRANCHPOINT

K006  
Q3957

GG1a. In what month was that?

|        |        |         |         |         |        |        |
|--------|--------|---------|---------|---------|--------|--------|
| 1. JAN | 2. FEB | 3. MAR  | 4. APR  | 5. MAY  | 6. JUN | 7. JUL |
| 8. AUG | 9. SEP | 10. OCT | 11. NOV | 12. DEC | 98. DK | 99. RF |

GG3/K007 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per GG1a1/K004 or GG1a2/K005), GO TO GG13/K022

K007  
Q3958

GG3. The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?

[IWER: IF R SAYS, "I RAN MY OWN BUSINESS" ENTER "SELF-EMPLOYED"]

|                 |                  |       |       |
|-----------------|------------------|-------|-------|
| 1. SOMEONE ELSE | 2. SELF-EMPLOYED | 8. DK | 9. RF |
|-----------------|------------------|-------|-------|

K008  
Q3960

GG4. IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
What kind of business or industry did you work in — that is, what did they make or do at the place where you worked?

IF R WAS SELF-EMPLOYED (GG3/K007=2):  
What industry did you work in? That is, what did your company do or make?  
(What industry did you typically work in?)

\_\_\_\_\_

|    |    |
|----|----|
| DK | RF |
|----|----|

INDUSTRY

GG5/K009 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3/K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GG6/K010

K009  
Q3961

GG5. What was the official title of your job?

(The title that your employer used?)

\_\_\_\_\_

|    |    |
|----|----|
| DK | RF |
|----|----|

TITLE

K010  
Q3962

GG6. What sort of work did you do?

(Tell me a little more about what you did.)

\_\_\_\_\_

TYPE OF WORK

K011  
Q3963

GG7. How many hours a week did you usually work [for this employer/in this business]?

\_\_\_\_\_

HOURS PER WEEK

GG8/K012 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3/K007=2) or IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GG9/K014

K012  
Q3964

GG8. Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

GO TO GG9/K014

K013  
Q3965

GG8a. Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

K014  
Q3966

GG9. IWER: READ SLOWLY:  
Counting paid vacations as weeks of work, how many weeks a year did you usually work?

\_\_\_\_\_

NUMBER OF WEEKS

K015  
Q3967

GG10. IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
How much were you earning, before deductions, when you left that employer?

[IWER: IF RESPONDENT WAS A TEACHER, RECORD ANNUAL SALARY]

IF R WAS SELF-EMPLOYED (GG3/K007=2):  
How much were you earning, before deductions, when you stopped working for the business?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

|                             |   |    |    |
|-----------------------------|---|----|----|
| _____                       | 0 | DK | RF |
| AMOUNT                      |   |    |    |
| GO TO GG11/K018 BRANCHPOINT |   |    |    |

K016  
Q3968  
GG10Y1. PER:

|         |                              |                              |          |                  |
|---------|------------------------------|------------------------------|----------|------------------|
| 1. HOUR | 2. WEEK                      | 3. EVERY TWO WEEKS/BI-WEEKLY | 4. MONTH | 5. TWICE A MONTH |
| 6. YEAR | 97. OTHER (SPECIFY)<br>_____ |                              | 98. DK   | 99. RF           |
| K017    |                              |                              |          |                  |

GG11/K018 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GG13/K022

IF R WAS SELF-EMPLOYED (GG3/K007=2), GO TO GG12/K020

K018  
Q3970

GG11. About how many employees worked for that company or organization at the location where you worked?

[IWER: DO NOT PROBE DK/RF]

|                     |    |    |
|---------------------|----|----|
| _____               | DK | RF |
| NUMBER OF EMPLOYEES |    |    |
| GO TO GG12/K020     |    |    |

K019

Q3971

GG11a. Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

|                 |                |             |             |
|-----------------|----------------|-------------|-------------|
| 1. FEWER THAN 5 | 2. 5 TO 14     | 3. 15 TO 24 | 4. 25 TO 99 |
| 5. 100 TO 499   | 6. 500 OR MORE | 8. DK       | 9. RF       |

K020

Q3972

GG12. About how many employees worked for that company or organization at all locations?

[IWER: DO NOT PROBE DK/RF]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
[IWER: ENTER "-1" IF ONLY ONE LOCATION]

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
[IWER: THE NUMBER MUST BE AT LEAST AS LARGE AS K018]

|                     |    |    |
|---------------------|----|----|
| _____               | DK | RF |
| NUMBER OF EMPLOYEES |    |    |
|                     |    |    |
| GO TO GG13/K022     |    |    |

K021

Q3973

GG12a. Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

|                 |                |             |             |
|-----------------|----------------|-------------|-------------|
| 1. FEWER THAN 5 | 2. 5 TO 14     | 3. 15 TO 24 | 4. 25 TO 99 |
| 5. 100 TO 499   | 6. 500 OR MORE | 8. DK       | 9. RF       |

K022

Q3974

GG13. When did you start working for [that employer/yourself]?

|                             |    |                                    |    |                                 |    |    |
|-----------------------------|----|------------------------------------|----|---------------------------------|----|----|
| _____                       | OR | _____                              | OR | _____                           | DK | RF |
| K022<br>Q3974<br>GG13. YEAR |    | K023<br>Q3975<br>GG13Y1. YEARS AGO |    | K024<br>Q3976<br>GG13Y2. AT AGE |    |    |

GG14/K025 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO or REFUSED TO ANSWER WHEN S/HE LAST WORKED} (per GG1a1/K004 or GG1a2/K005), GO TO GG51/K038 (K ASSIST)

K025  
Q3977

GG14. How much were you earning, before taxes and other deductions, when you started?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

|                 |   |    |    |
|-----------------|---|----|----|
| _____           | 0 | DK | RF |
| AMOUNT          |   |    |    |
| GO TO GG15/K028 |   |    |    |

K026  
Q3978  
GG14Y1. PER:

|         |                              |                                 |          |                     |
|---------|------------------------------|---------------------------------|----------|---------------------|
| 1. HOUR | 2. WEEK                      | 3. EVERY TWO<br>WEEKS/BI-WEEKLY | 4. MONTH | 5. TWICE<br>A MONTH |
| 6. YEAR | 97. OTHER (SPECIFY)<br>_____ |                                 | 98. DK   | 99. RF              |
|         | K027                         |                                 |          |                     |

GG15/K028 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}),  
GO TO GG17/K031

K028  
Q3988

GG15. Were you covered on that job by a union or employee-association contract?

|        |       |       |       |
|--------|-------|-------|-------|
| 1. YES | 5. NO | 8. DK | 9. RF |
|--------|-------|-------|-------|

K029  
Q3989

GG16. IF R WAS SELF-EMPLOYED (GG3/K007=2):  
Why did you stop working at this business?

IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]

(Did the business close, were you laid off or let go, did you leave to take care of family members, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

|                       |                       |                              |                |
|-----------------------|-----------------------|------------------------------|----------------|
| 1. BUSINESS<br>CLOSED | 2. LAID<br>OFF/LET GO | 3. POOR<br>HEALTH/DISABLED   | 4. FAMILY CARE |
| 6. QUIT               | 7. RETIRED            | 97. OTHER (SPECIFY)<br>_____ | 98. DK         |
|                       |                       | K030                         | 99. RF         |

K031  
Q3990

GG17. IF R DID NOT REPORT THAT WAS SELF-EMPLOYED (GG3/K007 NOT 2):  
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for that employer.

IF R WAS SELF-EMPLOYED (GG3/K007=2):  
Aside from IRAs not sponsored by your business or Keogh plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for yourself?

|        |       |       |       |
|--------|-------|-------|-------|
| 1. YES | 5. NO | 8. DK | 9. RF |
|--------|-------|-------|-------|

GG29/K032 BRANCHPOINT: IF R IS IN {AHEAD or CODA} COHORT (X023={1 or 2}), GO TO GG51/K038 (K ASSIST)

IF R DID NOT REPORT THAT WAS INCLUDED IN PENSION PLAN (GG17/K031={5 or DK or RF}), GO TO GG48/K035

K032  
Q3991

GG29. In how many different pension plans were you included on this job?

1 — 8 PLANS



K033  
Q8245

(GG29a) Is this just one plan or more than one?

|             |                  |       |       |
|-------------|------------------|-------|-------|
| 1. ONE PLAN | 3. MORE THAN ONE | 8. DK | 9. RF |
|-------------|------------------|-------|-------|

GO TO GG48/K035

BEGINNING OF PENSION BLOCK-2

(GG30/KW001\_2 — GG37/KW042\_2)

NOTE: THE FOLLOWING PENSION LOOP (GG30/KW001\_2) IS A REPLICATE OF THAT APPEARING IN SECTION J (G31/JW001\_1 THROUGH G38/JW041\_1), WITH THE ADDITION OF QUESTIONS W029 & W034. THE " 2" AFTER EACH LABEL INDICATES THAT THIS IS THE SECOND TIME THIS BLOCK IN THE QUESTIONNAIRE.

\*\*\*BEGINNING OF GG30/KW001\_2 LOOP: QUESTIONS GG30/KW001\_2 THROUGH GG37/KW042\_2 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT GG29/K032 (GG29/K032={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT (GG29a/K033) ((GG29a/K033={1 or 3})).\*\*\*

KW001\_2  
Q3994

GG30. IF THIS IS THE FIRST PLAN and {R HAS MORE THAN ONE PLAN (GG29/K032 ≥ 2 or (GG29a/K033)=3)}:

Now I have some questions about the most important of these plans.  
I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (GG29/K032 ≥ 2) or THE SECOND PLAN FOR ((GG29a/K033)=3):

Now I have some questions about the next most important of these plans.  
I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN ({GG29/K032 or (GG29a/K033)}=1):  
I would like to know what type of plan this was.

READ TO ALL Rs ON THE FIRST LOOP:

In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER'S DISCRETION.

ASK ALL Rs:

Was your plan Type A or Type B?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.  
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.  
TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."

1. TYPE A

2. TYPE B

3. BOTH TYPES

8. DK

9. RF

GO TO  
GG31/KW021\_2

GO TO GG48/K035  
(OUT OF  
GG30/KW001\_2 LOOP)



NOTE: FROM KW002\_2 ONWARDS, THROUGHOUT THE REMAINDER OF THE PENSION BLOCK, THE SCREEN DISPLAYS THE TYPE OF PLAN SELECTED AT W001\_2.

KW002\_2

Q3995

GG30a. IF R HAS BOTH TYPES OF PLAN (GG30/KW001\_2=3):

For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

OTHERWISE:

How much money was in your account when you left that [business/employer]?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|    |    |
|----|----|
| DK | RF |
|----|----|

|

|                        |
|------------------------|
| GO TO<br>GG30b/KW006_2 |
|------------------------|

KW003\_2 - KW005\_2 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$5,000, \$20,000, \$50,000, \$150,000

ENTRY POINT: \$20,000

KW006\_2

Q3996

GG30b. When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, did you lose your benefits, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

|                       |                         |                       |
|-----------------------|-------------------------|-----------------------|
| 1. WITHDREW THE MONEY | 2. ROLLED OVER INTO IRA | 3. LEFT TO ACCUMULATE |
| 4. CONVERT TO ANNUITY | 6. LOST BENEFITS        | 7. OTHER (SPECIFY)    |
|                       | _____                   |                       |
|                       | KW007_2                 |                       |
|                       |                         | 8. DK                 |
|                       |                         | 9. RF                 |

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

KW008 2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT (GG30b/KW006 2 NOT 3), GO TO KW013 2 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY (GG30b/KW006\_2=3 ONLY), GO TO GG30c/KW009\_2

KW008\_2  
Q9860

How much money did you leave in the old account to accumulate?

\_\_\_\_\_

AMOUNT

DK  RF

KW009\_2  
Q3997

GG30c. How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK  RF

**GO TO KW013 2  
BRANCHPOINT**

KW010\_2 - KW012\_2 Unfolding Sequence

Question text: Does it amount to less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURE: 3Up

BREAKPOINTS: \$10,000, \$20,000, \$50,000, \$150,000

ENTRY POINT: \$10,000

KW013 2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY (GG30b/KW006 2 NOT 4), GO TO KW018 2 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY (GG30b/KW006\_2=4 ONLY), GO TO GG30d/KW014\_2

KW013\_2  
Q9861

How much did you convert to an annuity?

\_\_\_\_\_

AMOUNT

DK  RF

KW014\_2  
Q3998

GG30d. How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

\_\_\_\_\_

AGE

DK

RF

KW015\_2  
Q3999

GG30e. How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

\_\_\_\_\_

AMOUNT

0

DK

RF

GO TO (GG30g)/KW049\_2  
(AFTER KW017\_2)

KW016\_2  
Q4000

GG30f. [IWER: PROBE IF NECESSARY]  
Is that per month or year?

PER:

4. MONTH

6. YEAR

97. OTHER (SPECIFY)  
\_\_\_\_\_  
KW017\_2

98. DK

99. RF

KW049\_2

(GG30g) IF R IS MARRIED/PARTNERED (X065={1 or 3}):

Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:

Will this payment continue as long as you live, is it for a fixed time period, or what?

1. AS LONG AS  
R LIVES

2. AS LONG AS R OR  
SPOUSE/PARTNER LIVES

3. FOR A FIXED TIME  
PERIOD

GO TO (G30i)/KW054\_2 BRANCHPOINT

7. OTHER (SPECIFY)  
\_\_\_\_\_  
KW050\_2

8. DK

9. RF

GO TO (G31i)/KW054\_2 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

KW051\_2  
(GG30h) What is that time period?

|                                   |    |                                   |    |                               |    |    |
|-----------------------------------|----|-----------------------------------|----|-------------------------------|----|----|
| _____                             | OR | _____                             | OR | _____                         | DK | RF |
| KW051_2<br>(GG30h).<br>UNTIL YEAR |    | KW052_2<br>(GG30ha).<br>UNTIL AGE |    | KW053_2<br>(GG30hb).<br>YEARS |    |    |

(GG30i)/KW054\_2 BRANCHPOINT: IF R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO KW018\_2 BRANCHPOINT

KW054\_2  
(GG30i) If you or your [husband/wife/partner] should die, will the income from the annuity change, stay the same, or what?

|           |                  |  |       |       |
|-----------|------------------|--|-------|-------|
| 1. CHANGE | 3. STAY THE SAME | 7. OTHER (SPECIFY)<br>_____<br>KW055_2 | 8. DK | 9. RF |
|-----------|------------------|--|-------|-------|

KW018\_2 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA (GG30b/KW006\_2 NOT 2) or THAT WAS ONLY RESPONSE (GG30b/KW006\_2=2 ONLY), GO TO (GG30j)/KW056\_2 BRANCHPOINT

KW018\_2  
Q9862  
How much did the rollover amount to?

|        |    |    |
|--------|----|----|
| _____  | DK | RF |
| AMOUNT |    |    |

GG30j/KW056\_2 BRANCHPOINT: IF R DID NOT REPORT THAT WITHDREW THE MONEY (GG30b/KW006\_2 NOT 1), GO TO (GG31)/J110/KW021\_2 BRANCHPOINT  
  
IF R WITHDREW THE MONEY ONLY (GG30b/KW006\_2=1 ONLY), GO TO (GG30k)/KW057\_2

KW056\_2  
(GG30j) How much money did you withdraw?

|        |    |    |
|--------|----|----|
| _____  | DK | RF |
| AMOUNT |    |    |

KW057\_2

(GG30k) What did you do with the money?

|                    |                               |                   |                  |
|--------------------|-------------------------------|-------------------|------------------|
| 1. BOUGHT DURABLES | 2. SPENT IT                   | 3. SAVED/INVESTED | 4. PAID OFF DEBT |
| 5. ROLLED INTO IRA | 7. OTHER (SPECIFY)<br>KW058_2 | 8. DK             | 9. RF            |

GG31/KW021 2 BRANCHPOINT: IF PLAN IS TYPE B ONLY (GG30/KW001 2=2), GO TO GG37/KW042\_2

KW021\_2

Q4002

GG31. IF R HAS BOTH TYPE A AND TYPE B PLANS or R DIDN'T KNOW (GG30/KW001\_2={3 or 8}):

Now, about the part of your pension where benefits are based on a formula.

ASK OF ALL:

Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, did you roll over into an IRA, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

|                           |                               |                             |                         |
|---------------------------|-------------------------------|-----------------------------|-------------------------|
| 1. EXPECT FUTURE BENEFITS | 2. RECEIVING BENEFITS NOW     | 3. RECEIVED CASH SETTLEMENT | 4. ROLLED OVER INTO IRA |
| 6. LOST BENEFITS          | 7. OTHER (SPECIFY)<br>KW022_2 | 8. DK                       | 9. RF                   |

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

GG31a/KW023 2 BRANCHPOINT: IF R DID NOT REPORT THAT IS RECEIVING BENEFITS NOW (GG31/KW021\_2 NOT 2), GO TO GG31e/KW030\_2 BRANCHPOINT

KW023\_2

Q4003

GG31a. In what month and year did you start to receive these benefits?

GG31a. MONTH:

|        |        |         |         |         |        |        |
|--------|--------|---------|---------|---------|--------|--------|
| 1. JAN | 2. FEB | 3. MAR  | 4. APR  | 5. MAY  | 6. JUN | 7. JUL |
| 8. AUG | 9. SEP | 10. OCT | 11. NOV | 12. DEC | 98. DK | 99. RF |

|    |    |
|----|----|
| DK | RF |
|----|----|

\_\_\_\_\_

KW024\_2

Q4004

GG31Y1b. YEAR

KW025\_2

Q4005

GG31b. How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

|                          |                        |    |    |
|--------------------------|------------------------|----|----|
| AMOUNT                   | 0                      | DK | RF |
| GO TO<br>GG31Y2b/KW026_2 | GO TO<br>GG31c/KW028_2 |    |    |

J116\_2 - J118\_2 Unfolding Sequence

Question text: Do they amount to less than \$\_\_\_\_ per month, more than \$\_\_\_\_ per month, or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$200, \$400, \$1,200, \$1,600

ENTRY POINT: \$400

GG31Y2b/KW026\_2 BRANCHPOINT: GO TO GG31c/KW028\_2

KW026\_2

Q4006

GG31Y2b. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

|          |         |  |        |        |
|----------|---------|--|--------|--------|
| 4. MONTH | 6. YEAR | 97. OTHER<br>(SPECIFY)<br>_____<br>KW027_2 | 98. DK | 99. RF |
|----------|---------|--|--------|--------|

KW028\_2

Q4008

GG31c. Are the benefits adjusted for changes in the cost of living?

|        |       |       |       |
|--------|-------|-------|-------|
| 1. YES | 5. NO | 8. DK | 9. RF |
|--------|-------|-------|-------|

|

|                        |
|------------------------|
| GO TO (GG31ca)/KW059_2 |
|------------------------|

KW029\_2

Q4009

GG31d. Have they ever been adjusted for changes in the cost of living?

|        |       |       |       |
|--------|-------|-------|-------|
| 1. YES | 5. NO | 8. DK | 9. RF |
|--------|-------|-------|-------|

| | | |

|                                 |
|---------------------------------|
| GO TO GG31e/KW030_2 BRANCHPOINT |
|---------------------------------|

KW059\_2

(GG31ca) Are the benefits adjusted automatically?

|        |       |       |       |
|--------|-------|-------|-------|
| 1. YES | 5. NO | 8. DK | 9. RF |
|--------|-------|-------|-------|

GG31e/KW030 2 BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED CASH SETTLEMENT (GG31/KW021\_2 NOT 3), GO TO GG31g/KW033\_2 BRANCHPOINT

KW030\_2

Q4010

GG31e. How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

|    |    |
|----|----|
| DK | RF |
|----|----|

AMOUNT

|

|                     |
|---------------------|
| GO TO GG31f/KW031_2 |
|---------------------|

J123-J125 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$2,000, \$6,000, \$60,000, \$250,000

ENTRY POINT: \$6,000

KW031\_2

Q4011

GG31f. What did you do with the money?

|                    |                               |                   |                  |
|--------------------|-------------------------------|-------------------|------------------|
| 1. BOUGHT DURABLES | 2. SPENT IT                   | 3. SAVED/INVESTED | 4. PAID OFF DEBT |
| 5. ROLLED INTO IRA | 7. OTHER (SPECIFY)<br>KW032_2 | 8. DK             | 9. RF            |

[NOTE: THE IWER MAY CHOOSE AS MANY RESPONSES AS APPLY.]

GG31g/KW033 2 BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (GG31/KW021\_2 NOT 4), GO TO GG32/KW034\_2 BRANCHPOINT

KW033\_2

Q4012

GG31g. How much did the rollover amount to?

IRA ROLLOVER

\_\_\_\_\_

AMOUNT

|    |    |
|----|----|
| DK | RF |
|----|----|

GG32/KW034 2 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (GG31/KW021\_2 NOT 1), GO TO GG37/KW042\_2

KW034\_2

Q4013

GG32. How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

[IWER: ROUND THE VALUE TO THE NEAREST YEAR]

\_\_\_\_\_

YEARS

|    |    |
|----|----|
| DK | RF |
|----|----|

GG33/KW035 2 BRANCHPOINT: IF THIS IS NOT A SELF INTERVIEW (A009 NOT 1), GO TO GG37/KW042\_2

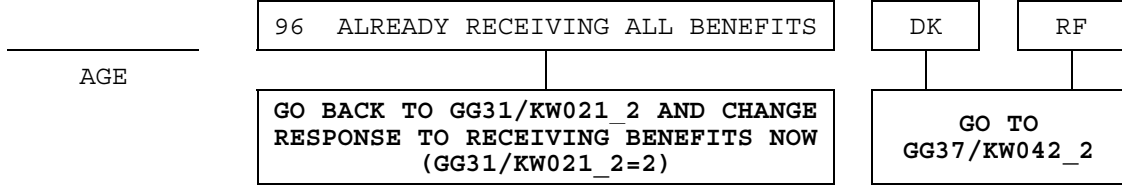


KW035\_2

Q4014

GG33. At what age do you expect to start receiving (the rest of your) benefits from this plan?

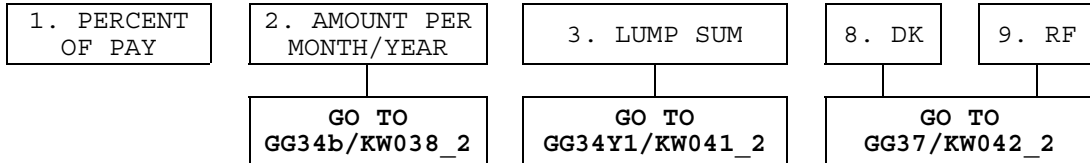
40-94 AGE



KW036\_2

Q4015

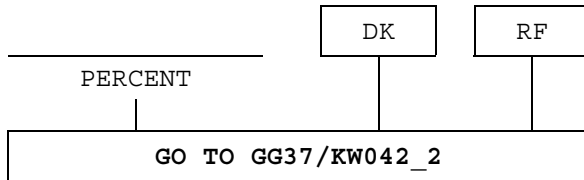
GG34. About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?



KW037\_2

Q4016

GG34a. [IWER: ENTER THE PERCENT OF FINAL PAY]



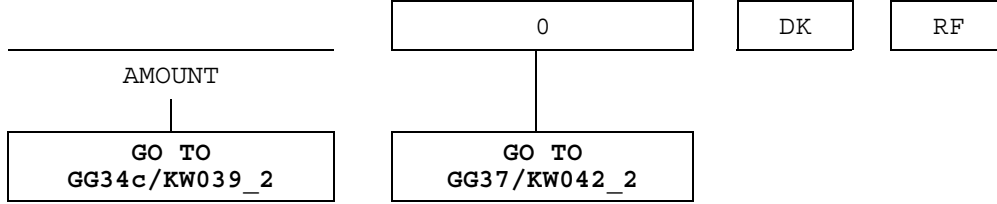
KW038\_2

Q4017

GG34b. [IWER: PROBE IF NECESSARY]

How much do you expect to receive?

[IWER: DO NOT PROBE DK/RF]



J133\_2 - J135\_2 Unfolding Sequence

Question text: Do you expect a total of less than \$\_\_\_\_ per year, more than \$\_\_\_\_ per year, or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: \$500, \$1,000, \$2,000, \$3,000

ENTRY POINT: \$1,000

GG34c/KW039\_2 BRANCHPOINT: GO TO GG37/KW042\_2

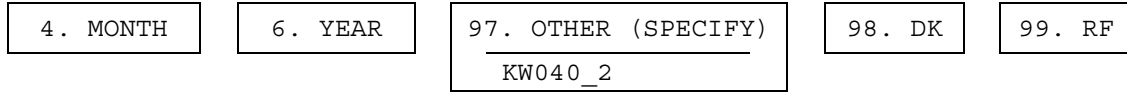
KW039\_2

Q4018

GG34c. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

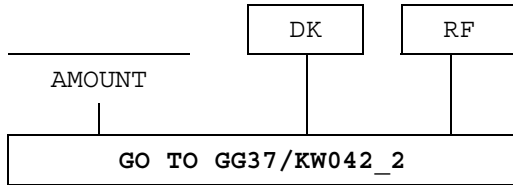


GG34Y1/KW041\_2 BRANCHPOINT: GO TO GG37/KW042\_2

KW041\_2

Q4020

GG34Y1. [IWER: ENTER LUMP SUM AMOUNT]



KW042\_2  
Q4028

GG37. What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

\_\_\_\_\_

|              |                       |    |    |
|--------------|-----------------------|----|----|
| 30-80<br>AGE | 95 NO AGE REQUIREMENT | DK | RF |
|--------------|-----------------------|----|----|

\*\*\*END OF GG30/KW001\_2 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS GG30/KW001\_2 THROUGH GG37/KW042\_2 FOR UP TO FOUR PENSION PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT GG29/K032 (GG29/K032={2-8}) OR FOR TWO PLANS IF R REPORTED HAVING MORE THAN ONE PLAN AT (GG29a/K033) ((GG29a/K033)=3). IF NOT, CONTINUE ON TO GG48/K035.\*\*\*

END OF PENSION BLOCK-2: (GG30/J088/KW001\_2 — GG37/J157/KW042\_2)

K035  
Q4030

GG48. Over the last 10 years, since 1994, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

|                                     |       |       |       |
|-------------------------------------|-------|-------|-------|
| 1. YES                              | 5. NO | 8. DK | 9. RF |
| GO TO GG49Y1/KW158_3<br>BRANCHPOINT |       |       |       |

K036  
Q4051

GG48a. How many times have you been on temporary layoff or unemployed and looking for work?

\_\_\_\_\_

|    |    |
|----|----|
| DK | RF |
|----|----|

NUMBER OF TIMES

GG49Y1/KW158 3 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3/K007=2), GO TO GG51/K038 (K ASSIST)

BEGINNING OF **EMPLOYER INFORMATION BLOCK-3:**

(GG49Y1/KW158\_3 — GG50e/KW165\_3)

*NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (GG49Y1/KW158\_3 THROUGH GG50e/KW165\_3) IS A REPLICATE OF THAT APPEARING IN SECTION J (G39/JW158\_1 - G40e/JW165\_1) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.*

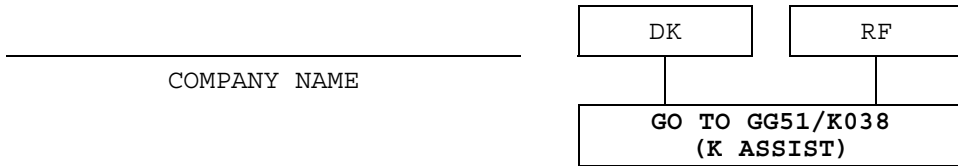
KW158\_3  
Q4056

GG49Y1. IWER: READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

For whom did you work on that job?

What is the (company's/organization's) name?

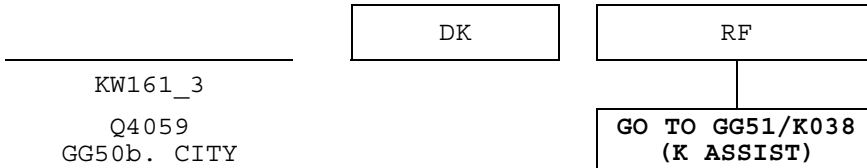
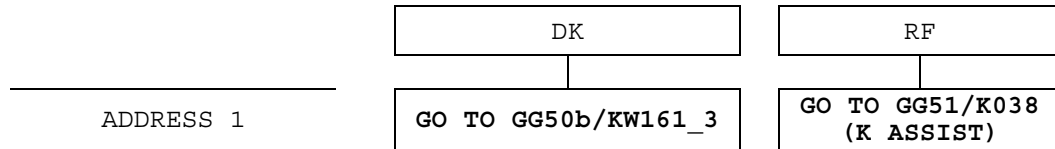


KW159\_3  
Q4057

GG50. What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]

I will need the mailing address, city, state, and zip code.



|  |  |               |                                       |
|--|--|---------------|---------------------------------------|
| <p>_____</p> <p>KW162_3</p> <p>Q4060</p> <p>GG50c. STATE</p> | <p>97. OTHER COUNTRY<br/>(SPECIFY)</p> <p>_____</p> <p>KW163_3</p> | <p>98. DK</p> | <p>99. RF</p>                         |
|  |  |               | <p>GO TO GG51/K038<br/>(K ASSIST)</p> |

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

|  |           |           |
|--|-----------|-----------|
| <p>_____</p> <p>KW164_3</p> <p>Q4061</p> <p>GG50d. ZIP</p> | <p>DK</p> | <p>RF</p> |
|--|-----------|-----------|

[IWER: DO NOT USE DASH IN PHONE NUMBER]

[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]

|   |           |           |
|---|-----------|-----------|
| <p>_____</p> <p>KW165_3</p> <p>Q4062</p> <p>GG50e. PHONE NUMBER</p> | <p>DK</p> | <p>RF</p> |
|---|-----------|-----------|

END OF **EMPLOYER INFORMATION BLOCK-3:**  
(GG49Y1/KW158\_3 — GG50e/KW165\_3)

K038  
Q4063  
GG51. K ASSIST  
IWER:  
HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN  
SECTION K — LAST JOB?

|                 |                       |                                       |  |
|-----------------|-----------------------|---------------------------------------|--|
| <p>1. NEVER</p> | <p>2. A FEW TIMES</p> | <p>3. MOST OR ALL<br/>OF THE TIME</p> | <p>4. THE SECTION WAS DONE<br/>BY A PROXY REPORTER</p> |
|-----------------|-----------------------|---------------------------------------|--|

GO TO SECTION L