NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

******************************************************************

NOTE ABOUT COLORS:

ALL QUESTION TEXT IN BLACK IS FOR THE CORE INTERVIEW.

ALL QUESTION TEXT IN FUCHSIA IS FOR THE EXIT INTERVIEW. ALSO IN FUCHSIA IS ALL OTHER TEXT THAT IS SPECIFIC TO THE EXIT INTERVIEW BUT NOT TO THE CORE.

OTHERWISE, BLACK TEXT FOR CODEFRAMES, INTERVIEWER INSTRUCTIONS, JUMPS AND BRANCHPOINTS, ETC. CAN APPLY TO BOTH THE CORE AND THE EXIT INTERVIEW UNLESS SPECIFIED OTHERWISE OR THERE IS AN EXIT ALTERNATIVE.

ON A BLACK-AND-WHITE HARD COPY OF THE DOCUMENT, THE FUCHSIA TEXT WILL APPEAR SOMEWHAT LIGHTER THAN THE ORIGINAL BLACK.

******************************************************************

NOTE ABOUT EXIT INTERVIEW Rs:

ANY NEW SPOUSE/PARTNER THAT AN EXIT INTERVIEW R ACQUIRED SINCE HIS/HER LAST INTERVIEW IS IGNORED FOR THE PURPOSES OF THIS INTERVIEW.

******************************************************************

MAJOR FLOW CONTROL, CONDITION AND FILL VARIABLES *

SELF INTERVIEW (CS1A/A009=1);
PROXY INTERVIEW (CS1A/A009={2 or 3})

R IS MARRIED (X065=1);
R IS PARTNERED (X065=3);
R IS SINGLE (X065=6)

R’s LAST IW MONTH (per Z092), YEAR (per Z093) = R’s LAST IW DATE

R’s FIRST NAME (per Respondents1X058APName = X058)

R’s LAST IW EMPLOYER NAME (per Z091)

R’s LAST IW TITLE (per Z128)

* A variable fieldname and code reference is shown at its first occurrence in the questionnaire, but in some cases, especially when it is common, is not be shown after that.

******************************************************************

NOTE: QUESTIONS FOR THE EXIT INTERVIEW BEGIN WITH G5/Q3500X/J023

******************************************************************
G5/J023 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW AND R WAS NOT WORKING AT R’s LAST IW (Z123 NOT 1), GO TO SECTION N

IF THIS IS AN EXIT INTERVIEW AND R WAS NOT SELF-EMPLOYED AT LAST IW (Z136 NOT 2), GO TO G26/J063

IF R IS CURRENTLY WORKING FOR SOMEONE ELSE (G3/J021=1) AND WAS WORKING FOR SOMEONE ELSE AT R’s LAST IW (Z136=1), GO TO G19b/J045

IF R DID NOT REPORT THAT IS CURRENTLY WORKING FOR SOMEONE ELSE ({G2/J020 and G3/J021} NOT 1) AND WAS WORKING FOR SOMEONE ELSE AT R’s LAST IW (Z136=1), GO TO G26/J063

IF R WAS SELF-EMPLOYED AT R’s LAST IW (Z136=2) AND DID NOT REPORT THAT IS CURRENTLY SELF-EMPLOYED (G3/J021 NOT 2), CONTINUE ON TO G5/J023

OTHERWISE, GO TO G39/J158/JW158_2 BRANCHPOINT
J023  Q3384  Q3500X
G5. According to our records, in R’s LAST IW MONTH (per Z092), YEAR (per Z093) you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R’S LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

Now I have some questions about R’s FIRST NAME’s (Respondents1X058AFName) employment before [he/she] died. According to our records, in R’s LAST IW MONTH, YEAR [he/she] was self-employed.

In what month and year did [he/she] stop working for [him/her]self?

[IWER: MONTH ENTERED MUST BE BETWEEN R’S LAST IW MONTH, YEAR AND R’S DATE OF DEATH — MONTH, YEAR.]

J023  Q3384  Q3500X
G5. MONTH:

1. JAN  2. FEB  3. MAR  4. APR  5. MAY  6. JUN
7. JUL  8. AUG  9. SEP  10. OCT  11. NOV  12. DEC

96. STILL WORKING FOR SELF AS SECOND JOB
EXIT: WORKED THERE UNTIL DEATH

GO TO G5b/J028 BRANCHPOINT

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW

GO TO G39/J158/JW158_2 BRANCHPOINT
EXIT: GO TO SECTION N

98. DK  99. RF

J024  Q3385  Q3501X
G5a. YEAR

G5b/J028 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO G6/Q3502X/J031

IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (G5/J023 NOT 96), GO TO G6/J031

J028  Q3390  G5b. Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R’s LAST IW MONTH, YEAR] when you were not working for pay?
J029
Q3391

G5c. Which months were those?

[R’s PREV WAVE DATE: MONTH, YEAR]

NOTE: MONTH AND YEAR OF R’s LAST IW ARE DISPLAYED TO IWER.

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

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NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.
G6. Were you paid a regular salary or wages?

Was [she/he] paid a regular salary or wages?

1. YES  5. NO  8. DK  9. RF

GO TO G7/J038
G6a. How much were you paid before taxes and other deductions?

How much was she/he paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

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GO TO G6b/J036
GO TO G7/J038

J033-J035 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $625, $1,500, $3,000, $6,500
ENTRY POINT: $1,500

G6b/J036 BRANCHPOINT: GO TO G7/J038

J036
Q3394
Q3504X

G6b. {CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH

6. YEAR
97. OTHER (SPECIFY)
98. DK
99. RF

J037
G7. Did you receive some or all of the net earnings or profits?

Did [she/he] receive some or all of the net earnings or profits?

DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.

1. YES  5. NO  8. DK  9. RF

GO TO G28/J073 BRANCHPOINT
G7a. In addition to your regular salary, how much did you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:] What did you earn the last year you worked?

(In addition to [her/his] regular salary, how much did [she/he] receive from net earnings or profits?

[IWER: IF PROXY HAS TROUBLE GIVING DOLLAR FIGURE, ASK:] What did [she/he] earn the last year [she/he] worked?

**DEFINITION: NET EARNING OR PROFIT IS TOTAL BUSINESS INCOMES LESS TOTAL BUSINESS EXPENSES.**

[IWER: DO NOT PROBE DK/RF]

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]

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GO TO G7b/J043

J040-J042 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per year, more than $____ per year, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $3,300, $10,000, $30,000, $75,000
ENTRY POINT: $10,000

G7b/J043 BRANCHPOINT: GO TO G28/J073 BRANCHPOINT

J043
Q3406
Q3507X

G7b. {CORE AND EXIT} [IWER: PROBE IF NECESSARY] Was that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  97. OTHER (SPECIFY)  98. DK  99. RF

G19b/J045 BRANCHPOINT: GO TO G28/J073 BRANCHPOINT
G19b. According to our records, in R’s LAST IW MONTH, YEAR you were [working for R’s LAST IW EMPLOYER NAME (per 2091)/also working for someone else].

Are you still working there?

1. YES  5. NO  7. DENIES WORKING (FOR NAMED EMPLOYER)  8. DK  9. RF

GO TO G26/J063  GO TO G39/J158/JW158_2 BRANCHPOINT

BEGINNING OF EMPLOYER INFORMATION BLOCK-1:
(G19c/JW168_1 — G19k/J053/JW165_1)

THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (G19c/JW168_1 THROUGH G19k/J053/JW165_1) IS REPLICATED FURTHER ON IN SECTION J (G39/J158/JW158_2 - G40e/J165/JW165_2) AND ALSO IN SECTION K (GG49Y1/KW158_3 - GG50e/KW165_3) AND SECTION L (GH21/LW158_4 - GH21f/LW165_4) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK. QUESTION NUMBERS WITHIN THE EMPLOYER NAME BLOCK ARE W158 - W165, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY “_1” WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE. QUESTION JW168 OCCURS ONLY IN THIS FIRST OCCURRENCE OF THE EMPLOYER INFORMATION BLOCK, BELOW.

G19c/JW168_1 BRANCHPOINT: IF WE DO NOT KNOW EMPLOYER NAME FROM R’s LAST IW (Z091=EMPTY), GO TO G19d/JW158_1

JW168_1

G19c. Is the correct name of your employer R’s LAST IW EMPLOYER NAME?

1. YES  5. NO  7. DENIES WORKING AT PREVIOUS IW  8. DK  9. RF

GO TO G19f/J047/JW159_1  GO TO G39/J158/JW158_2 BRANCHPOINT  GO TO G20/J055
G19d. IWER: READ TO ALL Respondents:
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [on your main job[now]]?
What is the (company's/organization's) name?

 COMPANY NAME

 GO TO G20/J055

G19f. IF R WORKS FOR SAME EMPLOYER AS R’S LAST IW (G19b/J045=1) and WE KNOW EMPLOYER NAME FROM LAST IW (Z091 NOT EMPTY):
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full address of your employer.

ASK ALL Rs:
What is the address of the place where you work?
[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

 ADDRESS 1

 GO TO G19h/J049/JW161_1

 GO TO G20/J055

G19g. ADDRESS 2

 GO TO G20/J055

G19h. CITY
NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.

[IWER: DO NOT USE DASH IN PHONE NUMBER]
[IWER: ENTER 999 FOR UNKNOWN AREA CODE OR NUMBER]
J056
Q3429
G20a. Which months were those?

IF THIS IS A NEW SPOUSE/PARTNER:
[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES, THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

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G21/J058 BRANCHPOINT: IF WE DO NOT HAVE JOB TITLE FROM R’s LAST IW (Z128=EMPTY), GO TO G41b/J167 BRANCHPOINT
**G21.** In *R’s LAST IW MONTH, YEAR* our records indicate that your job title was *R’s LAST IW TITLE*.

Is this still the case?

[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R’s CURRENT JOB, ANSWER "YES" HERE AND NOTE CORRECTIONS AS A COMMENT.]

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<tr>
<td>1. YES</td>
<td>3. RETIRED &amp; WORKING FOR SAME EMPLOYER/BUSINESS</td>
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<tr>
<td>GO TO G44/J172</td>
<td>GO TO G26/J063 BRANCHPOINT</td>
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**G22.** What was your job title in *CURRENT IW MONTH, YEAR 2 YEARS AGO/R’s LAST IW MONTH, YEAR*?

[IWER: PROBE:]
The title that your employer used?

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**G23.** What sort of work did you do?

[IWER: PROBE:]
Tell me a little more about what you did.
J061
Q3435
G24. What is your job title now?

[IWER: PROBE:]
The title that your employer uses?

______________________  [DK]  [RF]

TITLE

J062
Q3436
G25. What sort of work do you do now?

[IWER: PROBE:]
Tell me a little more about what you do.

______________________

______________________

______________________

______________________

G26/J063 BRANCHPOINT: IF R DENIES HAVING PREVIOUSLY LISTED JOB TITLE AT R’s LAST IW (G21/J058=7), GO TO G43/J169

IF R REPORTED RETIRED AND WORKING FOR SAME EMPLOYER (G21/J058=3) and GAVE YEAR S/HE RETIRED AT G1j/J018, GO TO G27/J067 BRANCHPOINT
G26. IF {R WAS WORKING IN LAST IW AND NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS}} (G21/J058=3) and YEAR RETIRED WAS NOT REPORTED (G1j/J018 NOT ASKED):
   In what month and year did you retire?

   IF R IS NO LONGER WORKING FOR R’s LAST IW EMPLOYER (G19b/J045=5):
   In what month and year did you stop working there?

   IF NAME OF R’s LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
   According to our records, you were working for R’s LAST IW EMPLOYER NAME (per Z091) in R’s LAST IW MONTH, YEAR.
   In what month and year did you stop working there?

   OTHERWISE:
   According to our records, you were working for someone else when we last talked to you in R’s LAST IW MONTH, YEAR.
   In what month and year did you stop working for that employer?

   [IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

Now I have some questions about R’s FIRST NAME’s (X058) employment before [he/she] died.

   IF R’S LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
   According to our records, [he/she] was working for R’s LAST IW EMPLOYER NAME (per Z091) in R’s LAST IW MONTH, YEAR.
   In what month and year did [he/she] stop working there, or was [he/she] still working there when [he/she] died?

   OTHERWISE:
   According to our records, [he/she] was working for someone else in R’s LAST IW MONTH, YEAR.
   In what month and year did [he/she] stop working for that employer?

   [IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND R’s DATE OF DEATH, MONTH, YEAR.]
J063
Q3437
Q3508X
G26. MONTH:

1. JAN  
2. FEB  
3. MAR  
4. APR  
5. MAY  
6. JUN
7. JUL  
8. AUG  
9. SEP  
10. OCT 
11. NOV 
12. DEC

92. DENY WORKING FOR SELF
EXIT: DID NOT DISPLAY
GO TO G39/J158/JW158_2 BRANCHPOINT

93. STILL WORKING FOR SELF AS SECOND JOB
EXIT: DID NOT DISPLAY
GO TO G39/J158/JW158_2 BRANCHPOINT

94. STILL WORKING FOR SELF (AS PRIMARY JOB)
EXIT: DID NOT DISPLAY
GO BACK TO G3/J021 AND CHANGE RESPONSE TO SELF-EMPLOYED (G3/J021=2)

96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER
EXIT: WORKED THERE UNTIL DEATH
GO TO G27/J067 BRANCHPOINT

97. DENIES WORKING FOR SOMEONE ELSE, OR FOR NAMED EMPLOYER, AT LAST INTERVIEW

98. DK  
99. RF

NOTE: WHEN R REPORTED EARLIER THAT WAS RETIRED AND WORKING FOR THE SAME EMPLOYER (G21/J058=3), CODE "96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER" DOES NOT APPEAR IN THIS CODEFRAME.

G27/J067 BRANCHPOINT: IF R IS SELF-EMPLOYED (G3/J021=2) and SAID THAT IS STILL WORKING FOR SELF AS PRIMARY JOB (G26/J063=94), GO TO G41a/J166

IF R SAID STILL WORKING FOR LAST IW EMPLOYER (G26/J063=96) and DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (G21/J058 NOT 3) and R WAS ASKED G19b/J045 (G19b/J045 NOT EMPTY), GO BACK TO G19b/J045 AND CHANGE RESPONSE TO YES (G19b/J045=1)
G27. IF R WAS WORKING IN LAST IW (Z123=1) and NOW IS {RETIRING AND WORKING FOR SAME EMPLOYER/BUSINESS (G21/J058=3)}:
What were you earning, before deductions, when you retired?

OTHERWISE:
What were you earning, before deductions, when you left that employer?

What was [he/she] earning, before deductions, [when [he/she] left that employer/at the time of [his/her] death]?

[IWER: IF AMOUNT PER HOUR, ENTER BOTH DOLLARS AND CENTS]
[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>GO TO</td>
<td>G27a/J071</td>
<td></td>
<td></td>
</tr>
<tr>
<td>GO TO</td>
<td>G28/J073</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

J068-J070 Unfolding Sequence
Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $200, $800, $1,500, $3,000
ENTRY POINT: $800

G27a/J071 BRANCHPOINT: GO TO G28/J073 BRANCHPOINT

J071
Q3442
Q3510X
G27a. {CORE AND EXIT} [IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?

PER:

1. HOUR
2. WEEK
3. EVERY TWO WEEKS/BI-WEEKLY
4. MONTH
5. TWICE A MONTH
6. YEAR
97. OTHER (SPECIFY)
98. DK
99. RF

G28/J073 BRANCHPOINT: IF R IS {RETIRING AND WORKING FOR SAME EMPLOYER/BUSINESS} (G21/J058=3), GO TO G29/J080 BRANCHPOINT

IF THIS IS AN EXIT INTERVIEW, GO TO G30/J084 BRANCHPOINT
G28a.  {CORE WORDING} Did your employment situation change in some way that encouraged you to leave?

1. YES  8. DK  9. RF

GO TO G28c/J078
**J076**

**Q3446**

**G28b.** How did it change?

[IWER: CHOOSE ALL THAT APPLY]

- **1. SUPERVISOR ENCOURAGED DEPARTURE**
- **2. COWORKER ENCOURAGED DEPARTURE**
- **3. WAGES REDUCED (OR ABOUT TO BE REDUCED)**
- **4. HOURS REDUCED (OR ABOUT TO BE REDUCED)**

- **5. WOULD HAVE BEEN LAID OFF**
- **6. NEW JOB DUTIES**
- **7. NEW JOB LOCATION**
- **8. BECAME ELIGIBLE FOR PENSION**

- **9. EMPLOYER CHANGED HEALTH INSURANCE**
- **10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER**

- **97. OTHER (SPECIFY)**
- **98. DK**
- **99. RF**

**J077**

---

**J078**

**Q3447**

**G28c.** {CORE WORDING} If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

- **1. YES**
- **5. NO**
- **8. DK**
- **9. RF**

**J079**

**Q3448**

**G28d.** Did your employer experience a permanent reduction in employment between [CURRENT IW MONTH, YEAR 2 YEARS AGO/R’s LAST IW MONTH, YEAR] and the time you were leaving?

[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED "DOWNSIZING"; "LAYOFFS" CAN BE PERMANENT OR TEMPORARY.]

- **1. YES**
- **5. NO**
- **8. DK**
- **9. RF**

---

**G29/J080 BRANCHPOINT:** IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} {ONE MONTH OR LESS} AFTER R’s LAST IW (per {G26&G26a/J063&J064 or G5&G5a/J023&J024 or G1h&G1j/J017&J018}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} ([G26/J063 or G26a/J064]={DK or RF} or {[G5/J023 or G5a/J024} or {G1h/J017 or G1j/J018})={DK or RF}), GO TO G30/J084 BRANCHPOINT
Q3449

G29. Were there any months between R’s LAST IW MONTH, YEAR and MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per G26&G26a/J063&J064)/SELF (per G5&G5a/J023&J024)] when you did not work [at this business/for this employer]?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
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<tbody>
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GO TO G29b/J083 BRANCHPOINT
J081
Q3450
G29a. Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND
MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per
G26&G26a/J063&J064)/SELF (per G5&G5a/J023&J024)]

[IWER: PRESS [F9] AND ARROW DOWNWARD FOR WHOLE YEAR AND OTHER CHOICES,
THEN PRESS [ENTER] TO RETURN TO ANSWER BOX]

[IWER: CHOOSE ALL THAT APPLY]

<table>
<thead>
<tr>
<th>MONTHS IN 2002</th>
<th>MONTHS IN 2003</th>
<th>MONTHS IN 2004</th>
<th>OTHER YEARS</th>
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<tbody>
<tr>
<td>(1) JAN 02</td>
<td>(14) JAN 03</td>
<td>(27) JAN 04</td>
<td>(41) ALL OF 2002 (AFTER PREV IW MO)</td>
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<tr>
<td>(2) FEB 02</td>
<td>(15) FEB 03</td>
<td>(28) FEB 04</td>
<td>(42) ALL OF 2001 (AFTER PREV IW MO)</td>
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<tr>
<td>(3) MAR 02</td>
<td>(16) MAR 03</td>
<td>(29) MAR 04</td>
<td>(43) ALL OF 2001</td>
</tr>
<tr>
<td>(4) APR 02</td>
<td>(17) APR 03</td>
<td>(30) APR 04</td>
<td>(44) ALL OF 2000(AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(5) MAY 02</td>
<td>(18) MAY 03</td>
<td>(31) MAY 04</td>
<td>(45) ALL OF 2000</td>
</tr>
<tr>
<td>(6) JUN 02</td>
<td>(19) JUN 03</td>
<td>(32) JUN 04</td>
<td>(46) ALL OF 1999 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(7) JUL 02</td>
<td>(20) JUL 03</td>
<td>(33) JUL 04</td>
<td>(47) ALL OF 1999</td>
</tr>
<tr>
<td>(8) AUG 02</td>
<td>(21) AUG 03</td>
<td>(34) AUG 04</td>
<td>(48) ALL OF 1998 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(9) SEP 02</td>
<td>(22) SEP 03</td>
<td>(35) SEP 04</td>
<td>(49) ALL OF 1998</td>
</tr>
<tr>
<td>(10) OCT 02</td>
<td>(23) OCT 03</td>
<td>(36) OCT 04</td>
<td>(50) ALL OF 1997 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(11) NOV 02</td>
<td>(24) NOV 03</td>
<td>(37) NOV 04</td>
<td>(51) ALL OF 1997</td>
</tr>
<tr>
<td>(12) DEC 02</td>
<td>(25) DEC 03</td>
<td>(38) DEC 04</td>
<td>(52) ALL OF 1996 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(13) ALL OF 2002</td>
<td>(26) ALL OF 2003</td>
<td>(39) ALL OF 2004 TO DATE</td>
<td>(53) ALL OF 1996</td>
</tr>
<tr>
<td>(14) JAN 03</td>
<td>(27) JAN 04</td>
<td>(40) ALL OF 2004</td>
<td>(54) ALL OF 1995 (AFTER PREV IW MO)</td>
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<tr>
<td>(15) FEB 03</td>
<td>(28) FEB 04</td>
<td></td>
<td>(55) ALL OF 1995</td>
</tr>
<tr>
<td>(16) MAR 03</td>
<td>(29) MAR 04</td>
<td></td>
<td>(56) ALL OF 1994 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(17) APR 03</td>
<td>(30) APR 04</td>
<td></td>
<td>(57) ALL OF 1994</td>
</tr>
<tr>
<td>(18) MAY 03</td>
<td>(31) MAY 04</td>
<td></td>
<td>(58) ALL OF 1993 (AFTER PREV IW MO)</td>
</tr>
<tr>
<td>(19) JUN 03</td>
<td>(32) JUN 04</td>
<td></td>
<td>(59) ALL OF 1993</td>
</tr>
<tr>
<td>(20) JUL 03</td>
<td>(33) JUL 04</td>
<td></td>
<td>(60) ALL OF 1992 (AFTER PREV IW MO)</td>
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<td>(21) AUG 03</td>
<td>(34) AUG 04</td>
<td></td>
<td>(61) OTHER (SPECIFY) [J082]</td>
</tr>
<tr>
<td>(22) SEP 03</td>
<td>(35) SEP 04</td>
<td></td>
<td>(98) DK</td>
</tr>
<tr>
<td>(23) OCT 03</td>
<td>(36) OCT 04</td>
<td></td>
<td>(99) RF</td>
</tr>
</tbody>
</table>

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.
(G29b)/J083 BRANCHPOINT: IF R DID NOT REPORT THAT IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (G21/J058 NOT 3), GO TO G30/J084 BRANCHPOINT

J083
Q9858
Q3518X
(G29b) Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?

1. YES
5. NO
8. DK
9. RF

GO TO G39/J158/JW158_2 BRANCHPOINT

G30/J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R’s LAST IW (Z133=1), ASSIGN 1 TO G30/J084 AND GO TO G30a/J085

J084
Q3452
Q3519X
G30. IF NOT SELF-EMPLOYED AT R’s LAST IW (Z136 NOT 2):
Were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work when you worked for [R’s LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:
Aside from IRAs not sponsored by your business or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

IF NOT SELF-EMPLOYED AT R’s LAST IW (Z136 NOT 2):
Was R’s FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through your work when [he/she] worked for [R’s LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:
Aside from IRAs not sponsored by your business or KEOGH plans, was R’s FIRST NAME included in a pension or retirement plan, or in any tax deferred savings plan, through [his/her] work?

1. YES
5. NO
8. DK
9. RF

GO TO G39/J158/JW158_2 BRANCHPOINT

EXIT: GO TO SECTION N

J085
Q3453
Q3520X
G30a. IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, you reported that you were included in one or more pension plans on this job.
In how many different pension plans were you included on this job?
[IWER: ENTER "95 IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans were you included on this job?

IF R REPORTED AT LAST IW THAT R WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, R’s FIRST NAME reported that [he/she] was included in one or more pension plans on this job.

In how many different pension plans was [he/she] included on this job?

[IWER: ENTER "95 IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans was [he/she] included on this job?

1 - 8 PLANS

<table>
<thead>
<tr>
<th>0. NONE</th>
<th>1. ONE</th>
<th>2. TWO</th>
<th>3. THREE</th>
<th>4. FOUR</th>
<th>5. FIVE</th>
</tr>
</thead>
</table>

GO TO G39/J158/JW158_2 BRANCHPOINT
EXIT: GO TO BEGINNING OF G31/JW001_1 LOOP

<table>
<thead>
<tr>
<th>6. SIX</th>
<th>7. SEVEN</th>
<th>8. EIGHT</th>
<th>95. DENIES BEING INCLUDED IN PENSION PLAN</th>
<th>98. DK</th>
<th>99. RF</th>
</tr>
</thead>
</table>

GO TO BEGINNING OF G31/JW001_1 LOOP

GO TO G39/J158/JW158_2 BRANCHPOINT
EXIT: GO TO BEGINNING OF G31/JW001_1 LOOP

GO TO SECTION N
(G30b) Is this just one plan or more than one?

Was this just one plan or more than one?

1. ONE PLAN   3. MORE THAN ONE   8. DK   9. RF

GO TO
G39/J158/JW158_2
BRANCHPOINT
EXIT: GO TO SECTION N

NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISSES THE PENSION BLOCK. (G31/J088/JW001_1 - G38/J157/JW042_1) IS REPLICATED IN SECTION K (GG30/J088-GG37) AND TWICE IN SECTION L (GH13/J088-GH20 and GH28/J088-GH34Y1c) AND IS KNOWN AS THE SECTION J PENSION BLOCK.

BEGINNING OF PENSION BLOCK-1:
(G31/J088/JW001_1 — G38/J157/JW042_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W001 - W042, PRECEDED BY THE SECTION NAME, J, AND FOLLOWED BY "_1" WHICH INDICATES THAT THIS IS THE FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE QUESTIONNAIRE.

***BEGINNING OF G31/J088/JW001_1 LOOP: QUESTIONS G31/J088/JW001_1 THROUGH G38/J157/JW042_1 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE NUMBER OF PLANS AT G30a/J085 (G30a/J085={1-8}) OR FOR UP TO TWO PLANS IF R REPORTED HAVING PLANS AT (G30b)/J086 ((G30b)/J086={1 or 3}).***
IF THIS IS THE FIRST PLAN and R HAS MORE THAN ONE PLAN (G30a/J085 ≥2 or (G30b)/J086=3):
Now I have some questions about the most important of these plans. I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR (G30a/J085 ≥2) or THE SECOND PLAN FOR ((G30b)/J086=3):
Now I have some questions about the next most important of these plans. I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN ({G30a/J085 or (G30b)/J086}=1):
I would like to know what type of plan this was.

ALL CORE Rs:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

ALL EXIT Rs:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for [him/her].

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

{CORE WORDING} Was your plan Type A or Type B?

{EXIT WORDING} Was [his/her] plan Type A or Type B?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.
TYPE B PLANS ARE OFTEN CALLED ‘DEFINED CONTRIBUTION’.
1. TYPE A  
2. TYPE B  
3. BOTH TYPES  
8. DK  
9. RF

GO TO G32/J110/JW021_1  
EXIT: CONTINUE ON TO JW043_1 BRANCHPOINT

“PENSION TYPE B - ACCOUNT” APPEARS AT (G31a/J089/JW002_1) THROUGH \{\{(G31ha)/JW057_1 _1\} \ (JUST BEFORE G32/J110/JW021_1)\} IF \{\{G31/J088/JW001_1\} =2\}.  
“PENSION TYPE A - FORMULA” APPEARS AT (G32/J110/JW021_1) THROUGH (G38/J157/JW042_1) IF \{\{G31/J088/JW001_1\} =1\}.  
“PENSION TYPE AB - FORMULA/ACCOUNT” APPEARS AT (G31a/J089/JW002_1) THROUGH (G38/J157/JW042_1) IF \{\{G31/J088/JW001_1\} =3\}.  
THIS DISPLAY IS STANDARD FOR PENSION BLOCK QUESTIONS WHERE THEY APPEAR IN OTHER LOCATIONS IN SECTIONS K AND L.

JW043_1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO G31a/J089/JW002_1  
IF THIS IS AN EXIT INTERVIEW and R WAS NOT COUPLED AT THE TIME OF DEATH (X065 \{NOT 1 and NOT 3\}), GO TO END OF G31/J088/JW001_1 LOOP (AFTER G38/ JW042_1)

JW043_1  
Did [you/R’s FIRST NAME’s [husband/wife/partner]] or will [you/[his/her] [husband/wife/partner]] receive any benefits from this plan?  
1. YES  
5. NO  
8. DK  
9. RF

GO TO END OF G31/J088/JW001_1 LOOP (AFTER G38/ JW042_1)

G31a/J089/JW002_1 BRANCHPOINT: IF PLAN IS TYPE A (G31/J088/JW001=1), GO TO G32/J110/JW021_1
G31a. {CORE} IF R HAS BOTH TYPES OF PLAN (G31/JW001_1=3):
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that
[business/employer]? 

OTHERWISE:
How much money was in your account when you left that
[business/employer]?

{EXIT} IF R HAS BOTH TYPES OF PLAN (G31/JW001_1=3):
For the part of [his/her] pension where money was accumulated in an
account, how much money was in [his/her] account when [he/she] died?

OTHERWISE:
How much money was in [his/her] account when [he/she] died?

[IWER: DO NOT PROBE DK/RF]
G31b. R IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (G21/J058=3):
When you retired, did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

OTHERWISE:
When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, transfer it to a new employer, did you lose your benefits, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

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<tbody>
<tr>
<td><strong>1. WITHDREW THE MONEY</strong></td>
<td><strong>2. ROLLED OVER INTO IRA</strong></td>
<td><strong>3. LEFT TO ACCUMULATE</strong></td>
<td><strong>4. CONVERTED TO ANNUITY</strong></td>
<td></td>
</tr>
<tr>
<td><strong>5. TRANSFERRED TO NEW EMPLOYER</strong></td>
<td><strong>6. LOST BENEFITS</strong></td>
<td><strong>7. OTHER (SPECIFY)</strong></td>
<td><strong>8. DK</strong></td>
<td><strong>9. RF</strong></td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

--- Branchpoint: If this is not an exit interview, go to (G31ba)/J095/JW008_1 Branchpoint ---

JW044_1

- We are interested in what [you/R’s FIRST NAME’s husband/wife/partner] did with the money. When [he/she] died, did [you/R’s FIRST NAME’s husband/wife/partner] withdraw the money, roll it over into an IRA, leave the account unchanged in the old plan, convert it to an annuity, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

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</thead>
<tbody>
<tr>
<td><strong>1. WITHDREW THE MONEY</strong></td>
<td><strong>2. ROLLED OVER INTO IRA</strong></td>
<td><strong>3. LEFT ACCOUNT UNCHANGED</strong></td>
<td><strong>4. CONVERTED TO ANNUITY</strong></td>
<td></td>
</tr>
<tr>
<td><strong>5. CONTINUED COLLECTING ALL/PART OF R’S BENEFITS</strong></td>
<td><strong>6. LOST BENEFITS</strong></td>
<td><strong>7. OTHER (SPECIFY)</strong></td>
<td><strong>8. DK</strong></td>
<td><strong>9. RF</strong></td>
</tr>
</tbody>
</table>

NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.
(G31ba)/J095/JW008_1 BRANCHPOINT: IF R/BENEFICIARY DID NOT REPORT THAT {LEFT TO ACCUMULATE/LEFT UNCHANGED} IN PENSION ACCOUNT {(G31b/J093/JW006_1 NOT 3) and (JW044_1 NOT 3)}, GO TO (G31ca)/J100/JW013_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS {LEFT TO ACCUMULATE/LEFT UNCHANGED} ONLY {(G31b/J093/JW006_1=3 ONLY) or (JW044_1 = 3 ONLY)}, GO TO G31c/J096/JW009_1

JW008_1
J095
Q9860
(G31ba) How much money did you leave in the old account to accumulate?

How much money did [you/he/she] leave in that account?

_________________________________  DK  RF
AMOUNT

JW009_1
J096
Q3458
Q3524x
G31c. How much is in that account now?

How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

_________________________________  DK  RF
AMOUNT

GO TO

(G31ca)/J100/ JW013_1
BRANCHPOINT

JW010_1 – JW012_1 Unfolding Sequence
Question text: {CORE AND EXIT} Did it amount to less than $____ , more than $____ , or what?

PROCEDURE: 3Up
BREAKPOINTS: $10,000, $20,000, $50,000, $150,000
ENTRY POINT: $10,000

(G31ca)/J100/JW013_1 BRANCHPOINT: IF R/BENEFICIARY DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY {(G31b/J093/JW006_1 NOT 4) and (JW044_1 NOT 4)}, GO TO (G31g)/J105/JW018_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY {(G31b/J093/JW006_1=4 ONLY) or (JW044_1 = 4 ONLY)}, GO TO G31d/J101/JW014_1
G31ca. How much did you convert to an annuity?

How much did [you/he/she] convert to an annuity?

<table>
<thead>
<tr>
<th>AMOUNT</th>
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</thead>
<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

G31d. How old were you when you started receiving the benefits from that annuity?

How old [were you/was R’s FIRST NAME’s [husband/wife/partner]] when [you/he/she] started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

<table>
<thead>
<tr>
<th>AGE</th>
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<tbody>
<tr>
<td>DK</td>
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<tr>
<td>RF</td>
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</tbody>
</table>
G31e. How much are the benefits from that annuity per month or year?

How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]  

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>0</th>
<th>DK</th>
<th>RF</th>
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</thead>
</table>

GO TO (G31fa)/JW049_1  
(AFTER JW017_1)

G31f. [IWER: PROBE IF NECESSARY]  
Is that per month or year?  
Is that per month or year?  

PER:  

<table>
<thead>
<tr>
<th>4. MONTH</th>
<th>6. YEAR</th>
<th>97. OTHER (SPECIFY)</th>
<th>98. DK</th>
<th>99. RF</th>
</tr>
</thead>
</table>

JW017_1
IF R IS MARRIED/PARTNERED (X065={1 or 3}):
Will this payment continue only as long as you live, as long as either you or your [husband/wife/partner] live, is it for a fixed time period, or what?

OTHERWISE:
Will this payment continue as long as you live, is it for a fixed time period, or what?

Will this payment continue as long as [you/R’s FIRST NAME’s husband/wife/partner] [live/lives], is it for a fixed period of time, or what?

1. AS LONG AS R LIVES
   EXIT: DID NOT DISPLAY

2. AS LONG AS R OR SPOUSE/PARTNER LIVES
   EXIT: AS LONG AS SPOUSE/PARTNER LIVES

3. FOR A FIXED TIME PERIOD

GO TO (G31fe)/JW054_1 BRANCHPOINT

7. OTHER (SPECIFY)

8. DK

9. RF

GO TO (G31fe)/JW054_1 BRANCHPOINT

NOTE: CODE 2 IS NOT SEEN FOR NON-COUPLED Rs.

What is that time period?

What is that time period?

OR

JW051_1
(G31fb).
UNTIL YEAR

JW052_1
(G31fc).
UNTIL AGE

JW053_1
(G31fd).
YEARS

DK
RF

(G31fe)/JW054_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW or R IS NOT MARRIED/PARTNERED (X065 {NOT 1 and NOT 3}), GO TO (G31g)/J105/JW018_1 BRANCHPOINT
(G31f) If you or your [husband/wife/partner] should die, will the income from
the annuity change, stay the same, or what?

5. CHANGE
3. STAY THE SAME
7. OTHER (SPECIFY)
8. DK
9. RF

(G31g)/J105/JW018_1 BRANCHPOINT: IF R/BENEFICIARY DID NOT REPORT THAT MONEY IN
PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA
{(G31b/J093/JW006_1 NOT 2) and (JW044_1 NOT 2)}, GO TO
(G31ga)/J109/JW019_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ONLY
{(G31b/J093/JW006_1=2 ONLY) or (JW044_1 = 2 ONLY)}, GO TO
(G31ga)/J109/JW019_1 BRANCHPOINT

(G31g)/J109/JW019_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO
(G31h)/JW056_1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS
TRANSFERRED TO NEW EMPLOYER (G31b/J093/JW006_1 NOT 5) or
THAT WAS ONLY RESPONSE (G31b/J093/JW006_1=5 ONLY), GO TO
(G31h)/JW056_1 BRANCHPOINT

(G31g) How much did the rollover amount to?

How much did the rollover amount to?

DK
RF

AMOUNT

(G31ga)/J109/JW019_1 BRANCHPOINT: IF R/BENEFICIARY DID NOT REPORT THAT WITHDREW THE
MONEY {(G31b/J093/JW006_1 NOT 1) and (JW044_1 NOT 1)}, GO TO
(G31h)/J110/JW021_1 BRANCHPOINT

IF R WITHDREW THE MONEY ONLY {(G31b/J093/JW006_1=1 ONLY) or
(JW044_1 = 1 ONLY)}, GO TO (G31ha)/JW057_1

(G31h)/JW056_1 BRANCHPOINT: IF R/BENEFICIARY DID NOT REPORT THAT WITHDREW THE
MONEY {(G31b/J093/JW006_1 NOT 1) and (JW044_1 NOT 1)}, GO TO
(G32)/J110/JW021_1 BRANCHPOINT

IF R WITHDREW THE MONEY ONLY {(G31b/J093/JW006_1=1 ONLY) or
(JW044_1 = 1 ONLY)}, GO TO (G31ha)/JW057_1

(G31h)/JW056_1
(G31h) How much money did you withdraw?

How much money did [you/R’s FIRST NAME’s [husband/wife/partner]] withdraw?

________________________ AMOUNT

DK  RF

JW057_1

(G31ha) What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES  2. SPENT IT  3. SAVED/INVESTED  4. PAID OFF DEBT

5. ROLLED INTO IRA  7. OTHER (SPECIFY)  8. DK  9. RF

JW058_1

G32/J110/JW021_1 BRANCHPOINT: IF PLAN IS TYPE B ONLY (G31/J088/JW001_1=2),
GO TO G38/J157/JW042_1 BRANCHPOINT
G32. IF R’s PLAN IS BOTH TYPE A AND TYPE B or R DIDN’T KNOW (G31/J088/JW001_1={3 or 8}):
   Now, about the part of your pension where benefits are based on a formula.

   Now, about the part of [his/her] pension where benefits are based on a formula.

   ASK ALL CORE Rs:
   Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, did you roll over into an IRA, or what?

   ASK ALL EXIT Rs:
   [Do you/Does R’s FIRST NAME’s [husband/wife/partner]] expect to receive benefits from this plan in the future, [are you/is [he/she]] receiving benefits now, did [you/he/she] get a cash settlement when [he/she] died, did [you/he/she] lose [your/his/her] benefits, did [you/he/she] roll over into an IRA, or what?

   [IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

   1. EXPECTS FUTURE BENEFITS
   2. RECEIVING BENEFITS NOW
   3. RECEIVED CASH SETTLEMENT
   4. ROLLED OVER INTO IRA
   6. LOST BENEFITS
   7. OTHER (SPECIFY)
   8. DK
   9. RF

   J111/JW022_1

   NOTE: A RESPONSE CODE OF "6. LOST BENEFITS" MAY NOT BE SELECTED WITH ANY OTHER RESPONSE.

G32a/J112/JW023_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY RECEIVES BENEFITS NOW (G32/J110/JW021_1 NOT 2), GO TO G32g/J122/JW030_1 BRANCHPOINT
G32a. In what month and year did you start to receive these benefits?

In what month and year did [you/R’s FIRST NAME’s [husband/wife/partner]] start to receive these benefits?

G32a. MONTH:

<p>| | | | | | | |</p>
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</thead>
<tbody>
<tr>
<td>1. JAN</td>
<td>2. FEB</td>
<td>3. MAR</td>
<td>4. APR</td>
<td>5. MAY</td>
<td>6. JUN</td>
<td>7. JUL</td>
</tr>
</tbody>
</table>

G32b. YEAR
G32c. How much are the benefits per month or year?

How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>GO TO</th>
</tr>
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<tbody>
<tr>
<td>0</td>
<td>G32d/J119/JW026_1</td>
</tr>
<tr>
<td>DK</td>
<td></td>
</tr>
<tr>
<td>RF</td>
<td></td>
</tr>
</tbody>
</table>

G32d. [IWER: PROBE IF NECESSARY]

Is that per month or year?

PER:

4. MONTH    6. YEAR    97. OTHER (SPECIFY)    98. DK    99. RF

J120/JW027_1

G32e. Are the benefits adjusted for changes in the cost of living?

Are the benefits adjusted for changes in the cost of living?

1. YES    5. NO    8. DK    9. RF

GO TO G32g/J122/JW030_1

BRANCHPOINT

G32f) Are the benefits adjusted automatically?

Are the benefits adjusted automatically?
G32g/J122/JW030_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY RECEIVED A CASH SETTLEMENT (G32/J110/JW021_1 NOT 3), GO TO G32j/J128/JW033_1 BRANCHPOINT

How much did the cash settlement amount to?

[IWER: DO NOT PROBE DK/RF]

GO TO G32h/J126/JW031_1

J123_1 - J125_1 Unfolding Sequence

Did it amount to a total of less than $____, more than $____, or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: $2,000, $6,000, $60,000, $250,000
ENTRY POINT: $6,000
G32h. What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES
2. SPENT IT
3. SAVED/INVESTED
4. PAID OFF DEBT
5. ROLLED INTO IRA
6. OTHER (SPECIFY)
7. DK
8. RF
9. OTHER (SPECIFY)

[NOTE: THE IWER MAY CHOOSE AS MANY RESPONSES AS APPLY.]

G32j/J128/JW033_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY ROLLED PENSION OVER INTO IRA (G32/J110/JW021_1 NOT 4), GO TO G34/J129/JW035_1 BRANCHPOINT

G32j. How much did the rollover amount to?

How much did the rollover amount to?

IRA ROLLOVER

AMOUNT

G34/J129/JW035_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY EXPECTS FUTURE BENEFITS FROM PENSION (G32/J110/JW021_1 NOT 1), GO TO G38/J157/JW042_1 BRANCHPOINT

IF THIS IS {NOT A SELF INTERVIEW (CS1A/A009 NOT 1) and NOT AN EXIT INTERVIEW}, GO TO G38/J157/JW042_1 BRANCHPOINT
G34. At what age do you expect to start receiving (the rest of your) benefits from this plan?

At what age [do you/does R’s FIRST NAME’s [husband/wife/partner]] expect to start receiving (the rest of [his/her]) benefits from this plan?

40-94 AGE

GO BACK TO G32/J110/JW021_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (G32/J110/JW021_1=2)

GO TO G38/J157/JW042_1 BRANCHPOINT

G35. About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

About how much [do you/does [he/she]] expect these benefits to be, either as an amount per month or year or a lump sum amount?

1. PERCENT OF PAY EXIT: DO NOT DISPLAY

2. AMOUNT PER MONTH/YEAR

GO TO G35b/J132/JW038_1

3. LUMP SUM

GO TO G35d/J138/JW041_1

8. DK

9. RF

GO TO G38/J157/JW042_1 BRANCHPOINT

G35a. {CORE AND EXIT} [IWER: ENTER THE PERCENT OF FINAL PAY]
How much do you expect to receive?

How much [do you/does [he/she]] expect to receive?

[IWER: DO NOT PROBE DK/RF]

---

**J133.1 - J135.1 Unfolding Sequence**

**Question text:** {CORE AND EXIT} Do you expect a total of less than $____ per year, more than $____ per year, or what?

**PROCEDURE:** 2Up1Down

**BREAKPOINTS:** $500, $1,000, $2,000, $3,000

**ENTRY POINT:** $1,000

---

**G35c/J136/JW039_1 BRANCHPOINT:** GO TO G38/J157/JW042_1 BRANCHPOINT

**G35d. {CORE AND EXIT} [IWER: ENTER LUMP SUM AMOUNT]**

---

**G38/J157/JW042_1 BRANCHPOINT:** IF THIS IS AN EXIT INTERVIEW, GO TO END OF G31/J088/JW001_1 LOOP (AFTER G38/ JW042_1)
G38. What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

95  NO AGE REQUIREMENT     DK     RF

30-80
AGE

***END OF G31/J088/JW001_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS G31/J088/JW001_1 THROUGH G38/J157/JW042_1) FOR UP TO FOUR PENSION PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT G30a/J085 (G30a/J085={2-8}) OR FOR TWO PLANS IF [PROXY] REPORTED HAVING MORE THAN ONE PLAN AT (G30b)/J086 ((G30b)/J086=3). IF NOT, CONTINUE ON TO G39/JW158_2 BRANCHPOINT.***

END OF PENSION BLOCK-1:
(G31/J088/JW001_1 — G38/J157/JW042_1

EXIT: GO TO SECTION N