

**NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.**

N1/R002 BRANCHPOINT: IF THIS IS NOT A FINANCIAL R (X007 {NOT 1 and NOT 3}), GO TO SECTION S

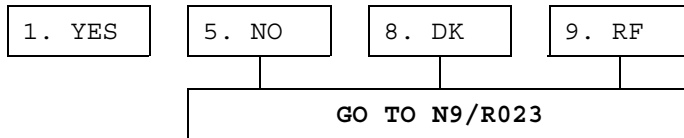
IF R OWNED A {FIRST or SECOND} RESIDENCE AT R'S LAST IW (Z132=1) or R NOW OWNS {{FIRST HOME or {MOBILE HOME AND SITE} or {SECOND HOME OR CONDO}({F3/H004 or F5/H014 or F40/H151}=1)} or FARM (F4/H008={1 or 2})}, CONTINUE ON TO N1/R002

OTHERWISE, GO TO N11/R028 BRANCHPOINT

R002  
Q5918

N1. Now I'd like to ask you some questions about the changes in your (family's) finances since we last talked to you.

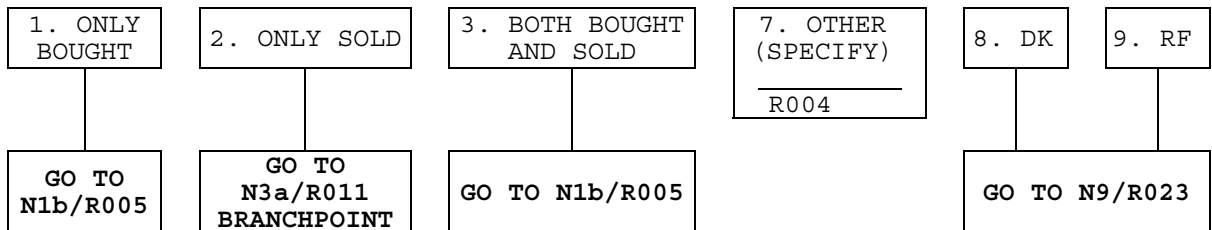
[Since HH's LAST IW MONTH, YEAR/In the last two years], have you (or your [husband/wife/ partner]) bought or sold a home that was your primary residence or second home?



R003  
Q5919

N1a. Did you only buy, only sell, both buy and sell, or what?

[IWER: IF R REPORTS MULTIPLE SALES OR PURCHASES, SELECT 'OTHER (SPECIFY)']



R004  
Q5940

N8. Please describe [each of those transactions/that transaction].

[IWER: PROBE FOR MONTH AND YEAR OF EACH SALE OR PURCHASE  
[since *HH's LAST IW MONTH, YEAR*/in the last two years] AND THE PURCHASE  
OR SELLING PRICE OF EACH HOME]


N1b/R005 BRANCHPOINT: GO TO N9/R023

R005  
Q5920

N1b. In what month and year did you buy a home?

R005  
Q5920  
N1b. MONTH:

01. JAN	02. FEB	03. MAR	04. APR	05. MAY	06. JUN	07. JUL
08. AUG	09. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

DK	RF
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\_\_\_\_\_ R006  
Q5921  
N1c. YEAR

R007  
Q5922

N2. What was the purchase price?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

DK	RF
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AMOUNT

GO TO N3a/R011  
BRANCHPOINT

R008-R010 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$15,000, \$50,000, \$200,000

ENTRY POINT: \$50,000

N3a/R011 BRANCHPOINT: IF R BOUGHT BUT DID NOT SELL A HOME (N1a/R003=1),  
GO TO N9/R023

R011  
Q5926

N3a. In what month and year did you sell a home?

R011  
Q5926  
N3a. MONTH:

01. JAN	02. FEB	03. MAR	04. APR	05. MAY	06. JUN	07. JUL
08. AUG	09. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

DK	RF
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R012  
Q5927  
N3b. YEAR

R013  
Q5928

N4. What was the selling price?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

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R014-R016 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down  
BREAKPOINTS: \$15,000, **\$50,000**, \$200,000  
ENTRY POINT: \$50,000

R017  
Q5932

N5a. In what month and year did you buy the home you just sold?

[IWER: THIS REFERS TO THE HOME THAT THEY SOLD]

R017  
Q5932  
N5a. MONTH:

01. JAN	02. FEB	03. MAR	04. APR	05. MAY	06. JUN	07. JUL
08. AUG	09. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

\_\_\_\_\_

R018  
Q5933  
N5b. YEAR

R019  
Q5934

N6. What was the purchase price?

[IWER: THIS REFERS TO THE HOME THAT THEY SOLD]

[IWER: DO NOT PROBE DK/RF]

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AMOUNT

|

DK	RF
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GO TO N9/R023
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R020-R022 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$15,000, **\$50,000**, \$200,000

ENTRY POINT: \$50,000

R023  
Q5945

N9. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], did you (or your [husband/wife/partner]) make any major additions or home improvements to a primary residence (or second home) that you owned?

[IWER: DO NOT COUNT GENERAL MAINTENANCE OR UPKEEP]

1. YES	5. NO	8. DK	9. RF
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GO TO N11/R028 BRANCHPOINT
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R024  
Q5946

N10. What was the dollar cost of these additions and improvements, plus the value of any work you may have done yourself?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK

RF

GO TO N11/R028  
BRANCHPOINT

R025-R027 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$2,000, \$10,000, \$50,000

ENTRY POINT: \$10,000

N11/R028 BRANCHPOINT: IF R DID NOT REPORT OWNING ANY REAL ESTATE OTHER THAN {MAIN OR SECOND} HOME {IN R's LAST IW (Z098 NOT 1) and IN THIS IW (J76/Q133 {NOT 1 and NOT 2})}, GO TO N19/R049 BRANCHPOINT

R028  
Q5950

N11. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], have you (or your [husband/wife/partner]) sold any real estate other than your principle residence or second home, such as land, or rental or investment property?

1. YES

5. NO

8. DK

9. RF

GO TO  
N13/R034

R029  
Q5951

N11a. Have you bought any such real estate properties [since *HH's LAST IW MONTH, YEAR*/in the last two years]?

1. YES

5. NO

8. DK

9. RF

GO TO N17/R044

R030  
Q5952

N12. About how much in total did you pay for this real estate?

[IWER: DO NOT PROBE DK/RF]

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AMOUNT

|

DK	RF
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GO TO N17/R035
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R031-R033 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$50,000, **\$200,000**, \$500,000

ENTRY POINT: \$200,000

N13/R034 BRANCHPOINT: GO TO N14/R035
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R034  
Q5956

N13. Did you also buy any real estate of this sort [since HH's LAST IW MONTH, YEAR/in the last two years]?

1. YES	5. NO	8. DK	9. RF
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GO TO N15/R039
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R035  
Q5957

N14. About how much in total was the dollar value of the real estate you sold  
[since HH's LAST IW MONTH, YEAR/in the last two years]?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|

DK	RF
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GO TO N17/R044
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R036-R038 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than  
\$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$50,000, \$200,000, \$500,000

ENTRY POINT: \$200,000

R039  
Q5961

N15. Overall, was the dollar cost of the real estate you bought greater than  
the dollar value of what you sold (minus commissions), less than the  
value of what you sold, about equal, or what?

[IWER: PLEASE PROBE IF DK]

1. BOUGHT MORE THAN SOLD	2. BOUGHT LESS THAN SOLD	3. BOUGHT AND SOLD ABOUT EQUAL AMOUNTS	8. DK	9. RF
GO TO N17/R044				



R040  
Q5962

N16. Roughly, about how much [more/less] did you buy than you sold?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|

DK	RF
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GO TO N17/R044
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R041-R043 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$50,000, **\$200,000**, \$500,000

ENTRY POINT: \$200,000

R044  
Q5966

N17. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], did you (or your [husband/wife/partner]) make any major additions or home improvements to any real estate properties that you owned, other than your principle residence or second home?

[IWER: DO NOT COUNT GENERAL MAINTENANCE OR UPKEEP]

1. YES	5. NO	8. DK	9. RF
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GO TO N19/R049 BRANCHPOINT
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R045  
Q5967

N18. What was the dollar cost of these additions and improvements, plus the value of any work you may have done yourself?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK       RF

GO TO N19/R049  
BRANCHPOINT

R046-R048 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$2,000, \$10,000, \$50,000

ENTRY POINT: \$10,000

N19/R049 BRANCHPOINT: IF R DID NOT REPORT OWNING {ALL OR PART} OF A BUSINESS {AT R'S LAST IW (Z115 NOT 1) and IN THIS IW (J83/Q147 {NOT 1 and NOT 2})}, GO TO N24/R061 BRANCHPOINT

R049  
Q5971

N19. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], have you (or your [husband/wife/partner]) put personal funds into a privately held business, professional practice, partnership or farm that you own or share ownership in?

1. YES       5. NO       8. DK       9. RF

GO TO N21/R054

R050  
Q5972

N20. Altogether, about how much new money did you (or your [husband/wife/partner]) put into that, including any funds that you borrowed personally?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK	RF
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GO TO N21/R054

R051-R053 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, \$50,000, \$500,000

ENTRY POINT: \$50,000

R054  
Q5976

N21. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], have you (or your [husband/wife/partner]) sold part or all of any interest in a privately held business, professional practice, partnership or farm?

1. YES	5. NO	8. DK	9. RF
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GO TO N24/R061 BRANCHPOINT

R055  
Q5977

N22. Altogether, about how much did you (or your [husband/wife/partner]) sell your interest for?

(What was the selling price?)

[IWER: WE WANT THE PRICE THE BUYER PAID]

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

R056-R058 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_, more than \$\_\_\_\_, or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$10,000, \$100,000, \$1,000,000

ENTRY POINT: \$100,000

R059  
Q5981

N23a. In what month and year did you sell that?

[IWER: MOST RECENT SALE, IF MORE THAN ONE]

R059  
Q5981

N23a. MONTH:

01. JAN	02. FEB	03. MAR	04. APR	05. MAY	06. JUN	07. JUL
08. AUG	09. SEP	10. OCT	11. NOV	12. DEC	98. DK	99. RF

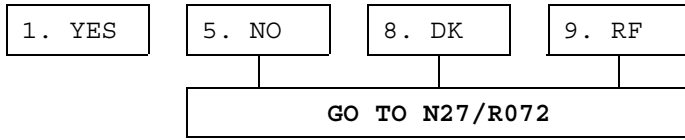
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R060  
Q5982  
N23b. YEAR

N24/R061 BRANCHPOINT: IF R DID NOT REPORT OWNING {STOCKS OR STOCK MUTUAL FUNDS} {AT R's LAST IW (Z116 NOT 1) and IN THIS IW (J207/Q316 NOT 1)}, GO TO N28d/R077 BRANCHPOINT

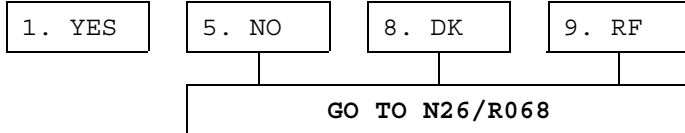
R061  
Q5983

N24. Not counting any IRAs, Keoghs or pension accounts, [since HH's LAST IW MONTH, YEAR/in the last two years], have you (or your [husband/wife/partner]) bought any stock, or put money into stock mutual funds, including any automatic reinvestments?



R062  
Q5984

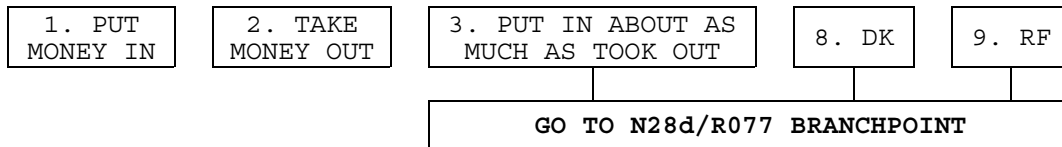
N24a. Did you (or your [husband/wife/partner]) also sell or cash in any such assets?



R063  
Q5985

N24b. Did you buy more or sell more — that is, overall, did you put new money into stocks, stock mutual funds, managed investment accounts or trusts, take money out of them, or put in about as much as you took out?

[IWER: PLEASE PROBE IF DK]



R064  
Q5986

N25. About how much more did you [put in than you took out/take out than you put in]?

[IWER: DO NOT PROBE DK/RF]

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AMOUNT

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DK	RF
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<b>GO TO N28d/R077 BRANCHPOINT</b>
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R065-R067 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$2,500, **\$10,000**, \$50,000

ENTRY POINT: \$10,000

N26/R068 BRANCHPOINT: GO TO N28d/R077 BRANCHPOINT

R068  
Q5990

N26. Altogether, how much money (including any automatic reinvestment) did you (or your [husband/wife/partner]) put into that?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|

DK	RF
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<b>GO TO N28d/R077 RANCHPOINT</b>
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R069-R071 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, **\$25,000**, \$100,000

ENTRY POINT: \$25,000

N27/R072 BRANCHPOINT: GO TO N28d/R077 BRANCHPOINT

R072  
Q5994

N27. [Since *HH's LAST IW MONTH, YEAR*/In the last two years], have you (or your [husband/wife/partner]) sold any shares of stock in publicly held

corporations or taken money out of any mutual funds, managed investment accounts or trusts?

1. YES	5. NO	8. DK	9. RF
GO TO N28d/R077 BRANCHPOINT			

R073  
Q5995

N28. Altogether, how much money did you (or your [husband/wife/partner]) get from that?

[IWER: DO NOT PROBE DK/RF]

_____	DK	RF
AMOUNT		
GO TO N28d/R077 BRANCHPOINT		

R074-R076 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down  
 BREAKPOINTS: \$5,000, **\$25,000**, \$100,000  
 ENTRY POINT: \$25,000

N28d/R077 BRANCHPOINT: IF R DID NOT REPORT OWNING A HOME AT R'S LAST IW (Z132 NOT 1) *or* R WAS ASKED ABOUT THE VALUE OF A {MAIN OR SECOND} HOME IN THIS IW ({F6/H020 *or* F46f/H166} NOT EMPTY), GO TO N28e/R081 BRANCHPOINT

IF R DID NOT REPORT THAT S/HE DID NOT {BUY OR SELL} A {MAIN OR SECOND} HOME SINCE R'S LAST IW (N1/R002 NOT 5), GO TO N28e/R081 BRANCHPOINT

R077  
Q6001

N28d. Our records show that you owned a home in [HH's LAST IW MONTH, YEAR/the last two years] that you do not now own and did not sell.

What happened to that home — did you give it to someone, are our records incorrect, or what?

1. GAVE TO SOMEONE	2. RECORDS INCORRECT	7. OTHER (SPECIFY) _____ R078	8. DK	9. RF
<b>GO TO N28e/R081 BRANCHPOINT</b>				

R079  
Q6003

N28f. Who did you give the house to, (that is, what is their relationship to you)?

[IWER: CHOOSE ALL THAT APPLY]

2. CHILD/CHILD-IN-LAW /GRANDCHILD	3. OTHER RELATIVE	4. SOMEONE ELSE	8. DK	9. RF
<b>GO TO N28e/R081 BRANCHPOINT</b>				

R080  
Q6004

N28g. (Which child is that?)

[IWER: CHOOSE ALL THAT APPLY]

IF GRANDCHILD:  
Which child of yours (or your [husband/wife/partner]) is the parent of that grandchild?

CHILD NAME(S) [DISPLAYED BY BLAISE FROM PREVIOUS RESPONSES]
3. TO 42. CHILD NAME(S)
[ROWS PROVIDED BY BLAISE AS NECESSARY]
92. DECEASED CHILD
93. ALL CHILDREN
DK
RF

N28e/R081 BRANCHPOINT: IF R SOLD BUT DID NOT BUY A HOME (N1a/R003 NOT 2), GO TO N29/R083 BRANCHPOINT

R081  
Q6006



N28e. Our records show that you owned a home in [HH's LAST IW MONTH, YEAR/the last two years] that you have since sold.

What did you decide to do with the proceeds from selling the house — did you invest the money, did you give it to someone, are our records incorrect, or what?

1. INVEST THE MONEY	2. GAVE TO SOMEONE	3. RECORDS INCORRECT	7. OTHER (SPECIFY)  R082	8. DK	9. RF
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N29/R083 BRANCPOINT: IF NO ONE MOVED {IN OR OUT} OF THE HH SINCE R's LAST IW (A102=0), GO TO N35/R101 (R ASSIST)

R083  
Q6044

N29. Sometimes changes in a family's savings or assets are due to people joining or leaving the family.

Was there anyone living with you in [HH's LAST IW MONTH, YEAR/the last two years] who doesn't live with you now and who took \$5,000 or more in assets or debts away with them?

1. YES, ASSETS	2. YES, DEBTS	3. BOTH	5. NO	8. DK	9. RF
	GO TO N31/R088		GO TO N32/R092		

R084  
Q6045

N30. Altogether, what is the total dollar value of assets that were removed that way?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|

DK	RF
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<b>GO TO N31/R088 BRANCHPOINT</b>
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R085-R087 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$10,000, **\$25,000**, \$100,000

ENTRY POINT: \$25,000

N31/R088 BRANCHPOINT: IF R DID NOT SAY THAT DEBTS WERE REMOVED FROM THE HH BY A HH MEMBER LEAVING (N29/R083 {NOT 2 and NOT 3}), GO TO N32/R092

R088  
Q6049

N31. Altogether, what is the total dollar value of debts that were removed that way?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

|

DK	RF
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<b>GO TO N32/R092</b>
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R089-R091 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, **\$10,000**, \$25,000

ENTRY POINT: \$10,000

R092  
Q6053

N32. Is there anyone in your family living with you now who has joined the family [since HH's LAST IW MONTH, YEAR/in the last two years] and who had \$5,000 or more in assets or debts at the time they joined the family?

1. YES, ASSETS	2. YES, DEBTS	3. BOTH	5. NO	8. DK	9. RF
	GO TO N34/R097		GO TO N35/R101 (R ASSIST)		

R093  
Q6054

N33. Altogether, what was the total dollar value of assets that were brought into the family in that way?

[IWER: DO NOT PROBE DK/RF]

\_\_\_\_\_

AMOUNT

DK	RF
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GO TO N34/R097  
BRANCHPOINT

R094-R096 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down  
BREAKPOINTS: \$10,000, **\$25,000**, \$100,000  
ENTRY POINT: \$25,000

N34/R097 BRANCHPOINT: IF R DID NOT SAY THAT DEBTS WERE ADDED TO THE HH BY A NEW HH MEMBER MOVING IN (N32/R092 {NOT 2 and NOT 3}), GO TO N35/R101 (R ASSIST)

R097  
Q6058

N34. Altogether, what was the total dollar value of debts that were brought into the family in that way?

[IWER: DO NOT PROBE DK/RF]

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AMOUNT

|

DK	RF
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GO TO N35/R101 (R ASSIST)
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R098-R100 Unfolding Sequence

Question text: Did it amount to a total of less than \$\_\_\_\_ , more than \$\_\_\_\_ , or what?

PROCEDURE: 1Up1Down

BREAKPOINTS: \$5,000, \$10,000, \$25,000

ENTRY POINT: \$10,000

R101  
Q6071

N35. R ASSIST

IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN SECTION R - ASSET CHANGE?

1. NEVER	2. A FEW TIMES	3. MOST OR ALL OF THE TIME	4. THE SECTION WAS DONE BY A PROXY REPORTER
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GO TO SECTION S