NOTE ABOUT BRANCHPOINTS:

WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.

******************************************************************

NOTE ABOUT COLORS:

ALL QUESTION TEXT IN BLACK IS FOR THE CORE INTERVIEW.

ALL QUESTION TEXT IN FUCHSIA IS FOR THE EXIT INTERVIEW. ALSO IN FUCHSIA IS ALL OTHER TEXT THAT IS SPECIFIC TO THE EXIT INTERVIEW BUT NOT TO THE CORE.

OTHERWISE, BLACK TEXT FOR CODEFRAMES, INTERVIEWER INSTRUCTIONS, JUMPS AND BRANCHPOINTS, ETC. CAN APPLY TO BOTH THE CORE AND THE EXIT INTERVIEW UNLESS SPECIFIED OTHERWISE OR THERE IS AN EXIT ALTERNATIVE.

ON A BLACK-AND-WHITE HARD COPY OF THE DOCUMENT, THE FUCHSIA TEXT WILL APPEAR SOMEWHAT LIGHTER THAN THE ORIGINAL BLACK.

******************************************************************

NOTE ABOUT EXIT INTERVIEW Rs:

ANY NEW SPOUSE/PARTNER THAT AN EXIT INTERVIEW R ACQUIRED SINCE HIS/HER LAST INTERVIEW IS IGNORED FOR THE PURPOSES OF THIS INTERVIEW.

******************************************************************
NOTE: EXIT QUESTION NUMBERS BEGIN WITH G5/J023

G5/J023 BRANCHPOINT:  IF THIS IS AN EXIT INTERVIEW and R WAS NOT WORKING AT R’s LAST IW, GO TO SECTION N

IF NOT SELF-EMPLOYED IN LAST IW, GO TO G26/J063/Q3508X

J023
Q3384
Q3500X

G5. According to our records, in R’s LAST IW MONTH, YEAR you were self-employed.

In what month and year did you stop working for yourself?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]

Now I have some questions about R’s FIRST NAME's employment before [he/she] died. According to our records, in R’s LAST IW MONTH, YEAR [he/she] was self-employed.

In what month and year did [he/she] stop working for [him/her]self?

[IWER: MONTH ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND R’s DATE OF DEATH — MONTH, YEAR.]

J023
Q3384
Q3500X

G5. MONTH:

01. JAN  02. FEB  03. MAR  04. APR  05. MAY  06. JUN
07. JUL  08. AUG  09. SEP  10. OCT  11. NOV  12. DEC

96. STILL WORKING FOR SELF AS SECOND JOB

EXIT: DID NOT DISPLAY

97. DENIES BEING SELF-EMPLOYED AT PREVIOUS IW

GO TO G39/J158/JW158_2
BRANCHPOINT
EXIT: GO TO SECTION N

98. DK  99. RF

GO TO G5b/J028

DK  RF
G5b/J028 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO G6/Q3502X/J031

IF R DID NOT REPORT THAT IS STILL WORKING FOR SELF (AS SECOND JOB) (G5/J023 NOT 96), GO TO G6/J031

J028
Q3390
G5b. Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] when you were not working for pay?

1. YES   5. NO   8. DK   9. RF

GO TO G39/J158/JW158_2
BRANCHPOINT
G5c. Which months were those?

[R’s PREV WAVE DATE: MONTH, YEAR]

NOTE: MONTH AND YEAR OF R’s LAST IW ARE DISPLAYED TO IWER.

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR]

[IWER: CHOOSE ALL THAT APPLY]

<table>
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NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

G6/J031 BRANCHPOINT: GO TO G39/J158/JW158_2 BRANCHPOINT
G6. Were you paid a regular salary or wages?

Was [she/he] paid a regular salary or wages?

1. YES  5. NO  8. DK  9. RF

GO TO G7/J038

G6a. How much were you paid before taxes and other deductions?

How much was she/he paid before taxes and other deductions?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R REPORTS A 'PER HOUR' AMOUNT, BE SURE TO RECORD CENTS IF APPLICABLE]

0  DK  RF

GO TO G6b/J036

G6b/J036 Unfolding Sequence

Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down

BREAKPOINTS: $625, $1,500, $3,000, $6,500
ENTRY POINT: $1,500

G6b/J036 BRANCHPOINT: GO TO G7/J038

G6b. {CORE AND EXIT} [IWER: PROBE IF NECESSARY]

Was that per hour, week, month, or year?

PER:

1. HOUR  2. WEEK  3. EVERY TWO WEEKS/BI-WEEKLY  4. MONTH  5. TWICE A MONTH

6. YEAR  97. OTHER (SPECIFY)  98. DK  99. RF

J037
G7. Did you receive some or all of the net earnings or profits?

Did [she/he] receive some or all of the net earnings or profits?

1. YES  5. NO  8. DK  9. RF

GO TO G28/J073
G7a. In addition to your regular salary, how much did you receive from net earnings or profits?

[IWER: IF R HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]
What did you earn the last year you worked?

(In addition to [her/his] regular salary,) How much did [she/he] receive from net earnings or profits?

[IWER: IF PROXY HAS TROUBLE GIVING DOLLAR FIGURE, ASK:]
What did [she/he] earn the last year [she/he] worked?

[IWER: DO NOT PROBE DK/RF]

[IWER: IF R REPORTS A 'PER HOUR' AMOUNT, BE SURE TO RECORD CENTS IF APPLICABLE]

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<th>AMOUNT</th>
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GO TO G7b/J043  
GO TO G28/J073

J040-J042 Unfolding Sequence
Question text: {CORE AND EXIT} Did it amount to a total of less than $____ per year, more than $____ per year, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $3,300, $10,000, $30,000, $75,000
ENTRY POINT: $10,000

G7b/J043 BRANCHPOINT: GO TO G28/J073

J043
Q3406
Q3507X
G7b. {CORE AND EXIT} [IWER: PROBE IF NECESSARY]
Was that per hour, week, month, or year?

PER:

1. HOUR  
2. WEEK  
3. EVERY TWO WEEKS/BI-WEEKLY  
4. MONTH  
5. TWICE A MONTH  
6. YEAR  
97. OTHER (SPECIFY)  
98. DK  
99. RF

G19b/J045 BRANCHPOINT: GO TO G28/J073

J045
Q3416
G19b. According to our records, in R's LAST IW MONTH, YEAR you were [working for R's LAST IW EMPLOYER NAME/also working for someone else].

Are you still working there?

1. YES  
7. DENIES WORKING (FOR NAMED EMPLOYER)  
8. DK  
9. RF  
5. NO  

GO TO G26/J063  
GO TO G39/J158/JW158_2 BRANCHPOINT

BEGINNING OF EMPLOYER INFORMATION BLOCK-1:
(G19d/J046/JW158_1) — G19k/J053/JW165_1

NOTE: THE FOLLOWING SERIES OF EMPLOYER NAME AND ADDRESS QUESTIONS (G19d/J046/JW158_1 THROUGH G19k/J053/JW165_1) IS REPLICATED FURTHER ON IN SECTION J (G39/J158/JW158_2 - G40e/J165/JW165_2) AND ALSO IN SECTION K (GG49Y1/KW158_3 - GG50e/KW165_3) AND SECTION L (GH21/LW158_4 - GH21f/LW165_4) AND IS KNOWN AS THE SECTION J EMPLOYER NAME BLOCK.

JW158_1  
J046  
Q3418  

G19d. IWER: READ TO ALL RESPONDENTS:  
We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your employer.

For whom do you work [on your main job/now]?

(What is the (company's/organization's) name?)

COMPANY NAME

DK  
RF  

GO TO G20/J055
G19f. What is the address of the place where you work?

[IWER: PROBE IF NECESSARY]
I will need the mailing address, city, state, and zip code.

ADDRESS 1

GO TO G19h/J049/JW161_1

GO TO G20/J055

ADDRESS 2

GO TO G20/J055

NOTE: THE IWER SELECTS FROM A MENU OF STATE NAMES, INCLUDING WASHINGTON, D.C., AND PUERTO RICO.
JW165_1
J053
Q3425
G19k. PHONE NUMBER

END OF EMPLOYER INFORMATION BLOCK-1:
(G19d/J046/JW158_1) — (G19k/J053/JW165_1)

J055
Q3428
G20. Were there any months since [CURRENT IW MONTH, YEAR 2 YEARS AGO/R's LAST IW MONTH, YEAR] when you did not work for [R's LAST IN EMPLOYER NAME/R's CURRENT EMPLOYER NAME/that employer]?

1. YES  5. NO  8. DK  9. RF

GO TO G21/J058 BRANCHPOINT
J056
Q3429
G20a. Which months were those?

IF THIS IS A NEW SPOUSE/PARTNER:
[IWER: MONTHS ENTERED MUST BE BETWEEN R’S LAST IW MONTH, YEAR AND
CURRENT IW MONTH, YEAR]

[IWER: CHOOSE ALL THAT APPLY]

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NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’S LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

G21/J058 BRANCHPOINT: IF WE DO NOT HAVE JOB TITLE FROM R’S LAST IW, GO TO G41a/J166
G21. In R’s LAST IW MONTH, YEAR our records indicate that your job title was R’s LAST IW TITLE.
Is this still the case?

[IWER: IF JOB TITLE IS SLIGHTLY INACCURATE BUT DESCRIBES R’s CURRENT JOB, ANSWER "YES" HERE AND NOTE CORRECTIONS AS A COMMENT.]

1. YES  5. NO  3. RETIRED & WORKING FOR SAME EMPLOYER/BUSINESS  7. DENIES HAVING THIS JOB TITLE AT PREVIOUS WAVE  8. DK  9. RF

   GO TO G41a/J166  GO TO G41a/J166  GO TO G26/J063  GO TO G41a/J166

G22. What was your job title in [CURRENT IW MONTH, YEAR 2 YEARS AGO/R’s LAST IW MONTH, YEAR]?

[IWER: PROBE:] The title that your employer used?

__________________________  DK  RF

TITLE

G23. What sort of work did you do?

[IWER: PROBE:] Tell me a little more about what you did.

________________________________________

________________________________________

________________________________________

________________________________________

________________________________________
J061
Q3435
G24. What is your job title now?
[IWER: PROBE:]
The title that your employer uses?

_____________  ______________
TITLE  DK  RF

J062
Q3436
G25. What sort of work do you do now?
[IWER: PROBE:]
Tell me a little more about what you do.

_________________________
_________________________
_________________________
_________________________

G26/J063 BRANCHPOINT: IF R DENIED HAVING PREVIOUSLY LISTED JOB TITLE AT R’s LAST IW (G21/J058=7), GO TO G43/J169
G26. IF R WAS WORKING IN LAST IW AND NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (G21/J058=3)} and YEAR RETIRED WAS NOT REPORTED (G1h/J018 NOT ASKED):
   In what month and year did you retire?

   IF R IS NO LONGER WORKING FOR R's LAST IW EMPLOYER (G19b/J045=5):
   In what month and year did you stop working there?

   IF NAME OF R's LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
   According to our records, you were working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.
   In what month and year did you stop working there?

   OTHERWISE:
   According to our records, you were working for someone else when we last talked to you in R's LAST IW MONTH, YEAR.
   In what month and year did you stop working for that employer?

   Now I have some questions about [his/her] employment before [he/she] died.

   IF R'S LAST IW EMPLOYER IS KNOWN (Z091 NOT EMPTY):
   According to our records, [he/she] was working for R's LAST IW EMPLOYER NAME (per Z091) in R's LAST IW MONTH, YEAR.
   In what month and year did [he/she] stop working there, or was [he/she] still working there when [he/she] died?

   OTHERWISE:
   According to our records, [he/she] was working for someone else in R's LAST IW MONTH, YEAR.
   In what month and year did [he/she] stop working for that employer?

   [IWER: MONTHS ENTERED MUST BE BETWEEN R's LAST IW MONTH, YEAR AND CURRENT IW MONTH, YEAR.]
J063
Q3437
Q3508X
G26. MONTH:

01. JAN  02. FEB  03. MAR  04. APR  05. MAY  06. JUN
07. JUL  08. AUG  09. SEP 10. OCT 11. NOV 12. DEC

92. DENY WORKING FOR SELF
EXIT: DID NOT DISPLAY

GO TO G39/J158/JW158 2
BRANCHPOINT

93. STILL WORKING FOR SELF AS SECOND JOB
EXIT: DID NOT DISPLAY

GO TO G39/J158/JW158_2
BRANCHPOINT

94. STILL WORKING FOR SELF (AS PRIMARY JOB)
EXIT: DID NOT DISPLAY

GO BACK TO G3/J021
AND CHANGE RESPONSE TO SELF-EMPLOYED
(G3/J021=2)

96. STILL WORKING FOR PREVIOUS WAVE EMPLOYER
EXIT: WORKED THERE UNTILL DEATH

GO TO G27/J067
BRANCHPOINT

97. DENIES WORKING FOR SOMEONE ELSE, OR FOR NAMED EMPLOYER, AT LAST INTERVIEW

GO TO G39/J158/JW158_2
BRANCHPOINT
EXIT: GO TO SECTION N

DK  RF

G27/J067 BRANCHPOINT: IF THIS IS A CORE INTERVIEW and R SAID STILL WORKING FOR LAST IW EMPLOYER (G26/J063=96) and DID NOT REPORT THAT IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (G21/J058 NOT 3)}, GO BACK TO G19b/J045 AND CHANGE RESPONSE TO YES (G19b/J045=1)
G27. {CORE WORDING} IF R WAS WORKING IN LAST IW and NOW IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS (G21/J058=3)}:
What were you earning, before deductions, when you retired?

OTHERWISE:
What were you earning, before deductions, when you left that employer?

What was [he/she] earning, before deductions, [when [he/she] left that employer/at the time of [his/her] death]?

[IWER: IF R REPORTS A 'PER HOUR' AMOUNT, BE SURE TO RECORD CENTS IF APPLICABLE]

[IWER: DO NOT PROBE DK/RF]

---

G27a/J071 BRANCHPOINT: GO TO G28/J073 BRANCHPOINT

G28/J073 BRANCHPOINT: IF R IS {RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS} (G21/J058=3), GO TO G29/J080 BRANCHPOINT

IF THIS IS AN EXIT INTERVIEW and R WAS WORKING UNTIL DEATH (G26/J063=96), ASSIGN “8. DIED” TO G28/J073 AND GO TO G29/J080 BRANCHPOINT
G28. IF NOT SELF-EMPLOYED AT LAST IW:
   {CORE WORDING} Why did you leave that employer?
   (Did the business close, were you laid off or let go, did you leave to
take care of family members, or what?)
   Why did [he/she] leave that employer?
   (Did the business close, was [he/she] laid off or let go, did [he/she]
leave to take care of family members, or what?)

   IF SELF-EMPLOYED AT LAST IW:
   {CORE WORDING} Why did you stop working at that business?
   Why did [he/she] stop working at that business?

   [IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY]

   01. BUSINESS CLOSERED
   02. LAID OFF/LET GO
   03. POOR HEALTH/DISABLED
   04. FAMILY CARE
   05. BETTER JOB

   GO TO G29/J080 BRANCHPOINT
   GO TO G28d/J079

   06. QUIT
   07. RETIRED
   08. DIED
   97. OTHER (SPECIFY)
   98. DK
   99. RF

   GO TO G29/J080 BRANCHPOINT

G28a/J075 BRANCHPOINT: IF R WAS SELF-EMPLOYED AT R’s LAST IW, GO TO G29/J080 BRANCHPOINT
G28a. {CORE WORDING} Did your employment situation change in some way that encouraged you to leave?

Did [her/his] employment situation change in some way that encouraged [him/her] to leave?

1. YES  
5. NO  
8. DK  
9. RF  

GO TO G28c/J078

G28b. {CORE AND EXIT} How did it change?

[IWER: CHOOSE ALL THAT APPLY]

01. SUPERVISOR ENCOURAGED DEPARTURE  
02. COWORKER ENCOURAGED DEPARTURE  
03. WAGES REDUCED (OR ABOUT TO BE REDUCED)  
04. HOURS REDUCED (OR ABOUT TO BE REDUCED)  
05. WOULD HAVE BEEN LAID OFF  
06. NEW JOB DUTIES  
07. NEW JOB LOCATION  
08. BECAME ELIGIBLE FOR PENSION  
09. EMPLOYER CHANGED HEALTH INSURANCE  
10. SPECIAL EARLY RETIREMENT INCENTIVE OFFER  
97. OTHER (SPECIFY)  
98. DK  
99. RF  

GO TO G28d/J079

G28c. {CORE WORDING} If your employer had allowed you to work fewer hours with correspondingly less pay, would you have continued working there?

If [his/her] employer had allowed [him/her] to work fewer hours with correspondingly less pay, would [he/she] have continued working there?

1. YES  
5. NO  
8. DK  
9. RF
Did your employer experience a permanent reduction in employment between [CURRENT IW MONTH, YEAR  2 YEARS AGO/R’s LAST IW MONTH, YEAR] and the time you were leaving?

Did [his/her] employer experience a permanent reduction in employment between [R’s LAST IW MONTH, YEAR] and the time [[he/she] left that employer/of [his/her] death?

[IWER: PERMANENT EMPLOYMENT REDUCTIONS ARE SOMETIMES CALLED "DOWNSIZING"; "LAYOFFS" CAN BE PERMANENT OR TEMPORARY.]

1. YES  5. NO  8. DK  9. RF

G29/J080 BRANCHPOINT: IF R STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} {ONE MONTH OR LESS} AFTER R’s LAST IW (per {G26&G26a/J063&J064 or G5&G5a/J023&J024}) or R DID NOT SAY WHEN S/HE STOPPED WORKING FOR {LAST IW EMPLOYER or SELF} ({G26/J063 or G26a/J064}={DK or RF} or {G5/J023 or G5a/J024}={DK or RF}), GO TO G30/J084 BRANCHPOINT

Were there any months between R’s LAST IW MONTH, YEAR and MONTH, YEAR  R STOPPED WORKING FOR [EMPLOYER (per G26&G26a/J063&J064)/SELF (per G5&G5a/J023&J024)] when you did not work [at this business/for this employer]?

Were there any months between R’s LAST IW MONTH, YEAR and MONTH, YEAR  R STOPPED WORKING FOR [EMPLOYER (per G26&G26a/J063&J064)/SELF (per G5&G5a/J023&J024)] when [she/he] did not work [at this business/for this employer]?

1. YES  5. NO  8. DK  9. RF

GO TO G30/J084 BRANCHPOINT
Which months were those?

[IWER: MONTHS ENTERED MUST BE BETWEEN R’s LAST IW MONTH, YEAR AND MONTH, YEAR R STOPPED WORKING FOR [EMPLOYER (per G26&G26a/J063&J064)/SELF (per G5&G5a/J023&J024)]]

[IWER: CHOOSE ALL THAT APPLY]

<table>
<thead>
<tr>
<th>OTHER YEARS</th>
<th>MONTHS IN 2000</th>
<th>MONTHS IN 2001</th>
<th>MONTHS IN 2002</th>
</tr>
</thead>
<tbody>
<tr>
<td>(41) ALL OF 2000 (AFTER PREV IW IN)</td>
<td>(1) JAN</td>
<td>(14) JAN</td>
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<tr>
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<td>(2) FEB</td>
<td>(15) FEB</td>
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<td>(43) ALL OF 1999</td>
<td>(3) MAR</td>
<td>(16) MAR</td>
<td>(29) MAR</td>
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<td>(44) ALL OF 1998 (AFTER PREV IW IN)</td>
<td>(4) APR</td>
<td>(17) APR</td>
<td>(30) APR</td>
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<td>(45) ALL OF 1998</td>
<td>(5) MAY</td>
<td>(18) MAY</td>
<td>(31) MAY</td>
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<td>(46) ALL OF 1997 (AFTER PREV IW IN)</td>
<td>(6) JUN</td>
<td>(19) JUN</td>
<td>(32) JUN</td>
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<td>(47) ALL OF 1997</td>
<td>(7) JUL</td>
<td>(20) JUL</td>
<td>(33) JUL</td>
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<td>(48) ALL OF 1996 (AFTER PREV IW IN)</td>
<td>(8) AUG</td>
<td>(21) AUG</td>
<td>(34) AUG</td>
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<td>(49) ALL OF 1996</td>
<td>(9) SEP</td>
<td>(22) SEP</td>
<td>(35) SEP</td>
</tr>
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<td>(50) ALL OF 1995 (AFTER PREV IW IN)</td>
<td>(10) OCT</td>
<td>(23) OCT</td>
<td>(36) OCT</td>
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<tr>
<td>(51) ALL OF 1995</td>
<td>(11) NOV</td>
<td>(24) NOV</td>
<td>(37) NOV</td>
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<td>(52) ALL OF 1994 (AFTER PREV IW IN)</td>
<td>(12) DEC</td>
<td>(25) DEC</td>
<td>(38) DEC</td>
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<td>(53) ALL OF 1994</td>
<td></td>
<td></td>
<td>(39) ALL OF 2002 TO DATE</td>
</tr>
<tr>
<td>(54) ALL OF 1993 (AFTER PREV IW IN)</td>
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<td>(40) ALL OF 2002</td>
</tr>
<tr>
<td>(55) ALL OF 1993</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>(56) ALL OF 1992 (AFTER PREV IW IN)</td>
<td></td>
<td></td>
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<tr>
<td>(57) OTHER (SPECIFY) [J082]</td>
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</tr>
<tr>
<td>(58) DK</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(59) RF</td>
<td></td>
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</tbody>
</table>

NOTE: THE ONLY CHOICES PRESENTED TO THE IWER ARE THOSE FOR MONTHS AND YEARS FROM R’s LAST IW DATE UNTIL CURRENT IW MONTH & YEAR.

(G29b)/J083 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO G30/J084 BRANCHPOINT

IF R DID NOT REPORT THAT IS (RETIRED AND WORKING FOR SAME EMPLOYER/BUSINESS) (G21/J058 NOT 3), GO TO G30/J084 BRANCHPOINT

J083
Q9858
Q3518X

(G29b) Is your current work on this job being credited to the pension plan that you were a member of in your previous interview?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GO TO</strong></td>
<td><strong>GO TO</strong></td>
<td><strong>EXIT: GO TO SECTION N</strong></td>
<td><strong>EXIT: GO TO SECTION N</strong></td>
</tr>
</tbody>
</table>

G30/J084 BRANCHPOINT: IF R HAD PENSION PLAN ON JOB AT R's LAST IW (REF), ASSIGN 1 TO G30/J084 AND GO TO G30a/J085

J084
Q3452
Q3519X

G30. IF NOT SELF-EMPLOYED AT R's LAST IW (Z136 NOT 2):

Were you included in a pension or retirement plan, or in any tax deferred savings plan, when you worked for [R's LAST IW EMPLOYER NAME (per Z091)/R's CURRENT EMPLOYER NAME (per J046/JW158_1)/that employer]?

OTHERWISE:
Aside from IRAs or KEOGH plans, were you included in a pension or retirement plan, or in any tax deferred savings plan, through your work?

IF NOT SELF-EMPLOYED AT R's LAST IW:
Was [he/she] included in a pension or retirement plan, or in any tax deferred savings plan, when [he/she] worked for [R's LAST IW EMPLOYER NAME (per Z091)/that employer]?

OTHERWISE:
Aside from IRAs or KEOGH plans, was [he/she] included in a pension or retirement plan, or in any tax deferred savings plan, through [his/her] work?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>GO TO</strong></td>
<td><strong>EXIT: GO TO SECTION N</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
G30a. IF R REPORTED AT LAST IW THAT WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, you reported that you were included in one or more pension plans on this job.
In how many different pension plans were you included on this job?

[IWER: ENTER "95" IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans were you included on this job?

IF R REPORTED AT LAST IW THAT R WAS INCLUDED IN PENSION PLAN ON THIS JOB (Z133=1):
According to our records, [he/she] reported that [he/she] was included in one or more pension plans on this job.

In how many different pension plans was [he/she] included on this job?

[IWER: ENTER "95" IF R DENIES BEING INCLUDED IN ANY PENSION PLAN]

OTHERWISE:
In how many different pension plans was [he/she] included on this job?

1 – 8 PLANS

0. NONE  1. ONE  2. TWO  3. THREE  4. FOUR  5. FIVE

GO TO G39/J158/JW158 2 BRANCHPOINT
EXIT: GO TO BEGINNING OF G31/JW001_1 LOOP

6. SIX  7. SEVEN  8. EIGHT  95. DENIES BEING INCLUDED IN PENSION PLAN  98. DK  99. RF

GO TO BEGINNING OF G31/JW001_1 LOOP
GO TO G39/J158/JW158 2 BRANCHPOINT
EXIT: GO TO BEGINNING OF G31/JW001_1 LOOP
EXIT: GO TO SECTION N
J086
Q9859
Q3521X

(G30b) Is this just one plan or more than one?

Was this just one plan or more than one?

1. ONE PLAN
3. MORE THAN ONE
8. DK
9. RF

GO TO
G39/J158/JW158_2
BRANCHPOINT
EXIT: GO TO
SECTION N

NOTE: THE FOLLOWING SERIES OF PENSION QUESTIONS COMPRISES THE PENSION BLOCK.
(G31/J088/JW001_1 - G38/J157/JW042_1) IS REPLICATED IN SECTION K
(GG30/J088-GG37) AND TWICE IN SECTION L (GH13/J088-GH20 & GH28/J088-
GH34Y1c) AND IS KNOWN AS THE SECTION J PENSION BLOCK.

BEGINNING OF PENSION BLOCK-1:
(G31/J088/JW001_1) — (G38/J157/JW042_1)

QUESTION NUMBERS WITHIN THE PENSION BLOCK ARE W001 – W042, PRECEDED BY THE
SECTION NAME, J, AND FOLLOWED BY "_1" WHICH INDICATES THAT THIS IS THE
FIRST TIME THE EMPLOYER NAME BLOCK HAS OCCURRED WITHIN THE
QUESTIONNAIRE.

***BEGINNING OF G31/J088/JW001_1 LOOP: QUESTIONS G31/J088/JW001_1 THROUGH
G38/J157/JW042_1 ARE REPEATED FOR UP TO FOUR PENSION PLANS IF R REPORTED THE
NUMBER OF PLANS AT G30a/J085 (G30a/J085={1-8}) OR FOR UP TO TWO PLANS IF R
REPORTED HAVING PLANS AT (G30b)/J086 ((G30b)/J086={1 or 3}).***
IF THIS IS THE FIRST PLAN and R HAS MORE THAN ONE PLAN \((G30a/J085 \geq 2 \text{ or } (G30b)/J086=3)):
Now I have some questions about the most important of these plans. I would like to know what type of plan this was.

IF THIS IS THE SECOND, THIRD OR FOURTH PLAN FOR \((G30a/J085 \geq 2)\) or THE SECOND PLAN FOR \(((G30b)/J086=3)):
Now I have some questions about the next most important of these plans. I would like to know what type of plan this was.

IF R HAS ONLY ONE PLAN \(\{(G30a/J085 \text{ or } (G30b)/J086)=1\}):
I would like to know what type of plan this was.

ALL CORE Rs:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for you.

ALL EXIT Rs:
In some retirement plans, call them Type A, benefits are usually based on a formula involving age, years of service and salary. In other plans, call them Type B, money is accumulated in an account for [him/her].

NOTE: IF R HAS ALREADY BEEN THROUGH THE LOOP ONCE, THE EXPLANATION, ABOVE, APPEARS IN PARENTHESES AND IS READ AT THE IWER’s DISCRETION.

{CORE WORDING} Was your plan Type A or Type B?
{EXIT WORDING} Was [his/her] plan Type A or Type B?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS, AND CASH BALANCE PLANS ARE ALL TYPE B RETIREMENT PLANS.
TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS.
TYPE B PLANS ARE OFTEN CALLED 'DEFINED CONTRIBUTION'.

1. TYPE A  2. TYPE B  3. BOTH TYPES  8. DK  9. RF

GO TO G32/J110/JW021_1
EXIT: CONTINUE ON TO JW043_1 BRANCHPOINT
Did [you/[his/her] [husband/wife/partner]] or will [you/[his/her] [husband/wife/partner]] receive any benefits from this plan?

<table>
<thead>
<tr>
<th>1. YES</th>
<th>5. NO</th>
<th>8. DK</th>
<th>9. RF</th>
</tr>
</thead>
</table>

GO TO SECTION N
JW002_1
J089
Q3456
Q3522X

G31a. [CORE] IF R HAS BOTH TYPES OF PLAN (G31/JW001_1=3):
For the part of your pension where money is accumulated in an account, how much money was in your account when you left that [business/employer]?

OTHERWISE:
How much money was in your account when you left that [business/employer]?

(EXIT) IF R HAS BOTH TYPES OF PLAN (G31/JW001_1=3):
For the part of [his/her] pension where money is accumulated in an account, how much money was in [his/her] account when [he/she] died?

OTHERWISE:
How much money was in [his/her] account when [he/she] died?

[IWER: DO NOT PROBE DK/RF]

__ AMOUNT __

[DK] [RF]

GO TO
G31b/J093/JW006_1

BRANCHPOINT

JW003_1 - JW005_1 Unfolding Sequence
Question text: {CORE AND EXIT} Did it amount to a total of less than $___, more than $___, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
ENTRY POINT: $20,000

G31b/J093/JW006_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO JW044_1 (AFTER G31b/J093/JW006_1)
When you left that [business/employer], did you withdraw the money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDRAW THE MONEY
2. ROLLED OVER INTO IRA
3. LEFT TO ACCUMULATE
4. CONVERTED TO ANNUITY
5. TRANSFERRED TO NEW EMPLOYER
6. LOST BENEFITS
7. OTHER (SPECIFY)
8. DK
9. RF

We are interested in what [you/he/she] did with the money. When [he/she] died, did [you/he/she] withdraw the money, roll it over into an IRA, leave the account to accumulate in the old plan, convert it to an annuity, or what?

[IWER: DO NOT PROBE, BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. WITHDRAW THE MONEY
2. ROLLED OVER INTO IRA
3. LEFT ACCOUNT TO ACCUMULATE
4. CONVERTED TO ANNUITY
5. CONTINUED COLLECTING ALL/PART OF R’S BENEFITS
6. LOST BENEFITS
7. OTHER (SPECIFY)
8. DK
9. RF

(G31ba)/J095/JW008_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY WAS LEFT TO ACCUMULATE IN PENSION ACCOUNT {(G31b/J093/JW006_1 NOT 3) and (JW044_1 NOT 3)}, GO TO (G31ca)/J100/JW013_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS LEFT TO ACCUMULATE ONLY {(G31b/J093/JW006_1=3 ONLY) or (JW044_1 = 3 ONLY)}, GO TO G31c/J096/JW009_1

How much money did you leave in the old account to accumulate?
How much money did [you/he/she] leave in the old account to accumulate?

DK   RF

JW009_1
J096
Q3458
Q3524x

G31c. How much is in that account now?

[IWER: DO NOT PROBE DK/RF]

DK   RF

GO TO
(G31ca)/J100/
JW013_1
BRANCHPOINT

JW010_1 - JW012_1 Unfolding Sequence
Question text: Did it amount to less than $____, more than $____, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $5,000, $20,000, $50,000, $150,000
ENTRY POINT: $20,000

(G31ca)/J100/JW013_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY { (G31b/J093/JW006_1 NOT 4) and (JW044_1 NOT 4) }, GO TO JW046_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS CONVERTED TO AN ANNUITY ONLY { (G31b/J093/JW006_1=4 ONLY) or (JW044_1 = 4 ONLY) }, GO TO G31d/J101/JW014_1
G31ca. How much did you convert to annuity?

How much did [you/he/she] convert to annuity?

__________________________  DK  RF
AMOUNT

G31d. How old were you when you started receiving the benefits from that annuity?

How old [were you/was [he/she]] when [you/he/she] started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

__________________________  DK  RF
AGE
JW015_1
J102
Q3460
Q3527X
G31e. How much are the benefits per month or year?

How much are the benefits from that annuity per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

<table>
<thead>
<tr>
<th>AMOUNT</th>
<th>DK</th>
<th>RF</th>
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</thead>
<tbody>
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</table>

GO TO JW046_1 BRANCHPOINT

JW016_1
J103
Q3461
Q3528X
G31f. [IWER: PROBE IF NECESSARY]

Was that per month or year?

Is that per month or year?

PER:

4. MONTH 6. YEAR 97. OTHER (SPECIFY) 98. DK 99. RF

JW017_1

JW046_1 BRANCHPOINT: IF THIS IS NOT AN EXIT INTERVIEW, GO TO (G31g)/J105/JW018_1 BRANCHPOINT

IF BENEFICIARY IS NOT NOW RECEIVING BENEFITS (JW044_1 NOT 5), GO TO (G31g)/J105/JW018_1 BRANCHPOINT
How much are the benefits that [you/he/she] receive(s) per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

__________________________
AMOUNT

GO TO
(G31g)/J105/JW018_1
BRANCHPOINT

[IWER: PROBE IF NECESSARY]
Is that per month or year?

PER:

4. MONTH
6. YEAR
97. OTHER (SPECIFY)
98. DK
99. RF

(G31g)/J105/JW018_1 BRANCHPOINT: IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA {(G31b/J093/JW006_1 NOT 2) and (JW044_1 NOT 2)}, GO TO (G31ga)/J109/JW019_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS ROLLED OVER INTO AN IRA ONLY {(G31b/J093/JW006_1=2 ONLY) or (JW044_1 = 2 ONLY)}, GO TO (G31ga)/J109/JW019_1 BRANCHPOINT

How much did the rollover amount to?

__________________________
AMOUNT

(G31ga)/J109/JW019_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO G32/J110/JW021_1 BRANCHPOINT

IF R DID NOT REPORT THAT MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER (G31b/J093/JW006_1 NOT 5), GO TO G32/J110/JW021_1 BRANCHPOINT

IF MONEY IN PENSION ACCOUNT WAS TRANSFERRED TO NEW EMPLOYER ONLY (G31b/J093/JW006_1=5 ONLY), GO TO G32/J110/JW021_1 BRANCHPOINT
J109
Q9863
(G31ga) How much did the transfer amount to?

DK  RF

AMOUNT

G32/J110/JW021_1 BRANCHPOINT: IF PLAN IS TYPE B ONLY (G31/J088/JW001_1=2),
   GO TO G38/J157/JW042_1 BRANCHPOINT
G32. IF R’s PLAN IS BOTH TYPE A AND TYPE B (G31/J088/JW001_1=3):

Now, about the part of your pension where benefits are based on a formula.

Now, about the part of [his/her] pension where benefits are based on a formula.

ALL CORE Rs:
Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, or what?

ALL EXIT Rs:
[Do [sic] /Does [his/her] [husband/wife/partner]] expect to receive benefits from this plan in the future, [are you/is [he/she]] receiving benefits now, did [you/he/she] get a cash settlement when [he/she] died, did [you/he/she] lose [your/his/her] benefits, or what?

[IWER: DO NOT PROBE BUT CHOOSE ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS]

1. EXPECTS FUTURE BENEFITS
2. RECEIVING BENEFITS NOW
3. RECEIVED CASH SETTLEMENT
4. ROLLED OVER INTO IRA
5. LOST BENEFITS
6. OTHER (SPECIFY)
7. DK
8. RF

G32a/J112/JW023_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY RECEIVES BENEFITS NOW (G32/J110/JW021_1 NOT 2), GO TO G32g/J122/JW030_1 BRANCHPOINT
G32a. In what month and year did you start to receive these benefits?

In what month and year did [you/he/she] start to receive these benefits?

G32a. MONTH:

01. JAN 02. FEB 03. MAR 04. APR 05. MAY 06. JUN 07. JUL
08. AUG 09. SEP 10. OCT 11. NOV 12. DEC 98. DK 99. RF

G32b. YEAR
G32c. How much are the benefits per month or year?

[IWER: DO NOT PROBE DK/RF]

| AMOUNT | 0 | DK | RF |

GO TO G32d/J119/JW026_1
GO TO G32e/J121/JW028_1

J116_1 - J118_1 Unfolding Sequence

Question text: Did they amount to less than $____ per month, more than $____ per month, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $200, $400, $1,200, $1,600
ENTRY POINT: $400

G32d/J119/JW026_1 BRANCHPOINT: GO TO G32e/J121/JW028_1

G32d. [IWER: PROBE IF NECESSARY]

Was that per month or year?

PER:

| 4. MONTH | 6. YEAR | 97. OTHER (SPECIFY) | 98. DK | 99. RF |

J120/JW027_1

G32e. Are the benefits adjusted for changes in the cost of living?

Are the benefits adjusted for changes in the cost of living?

| 1. YES | 5. NO | 8. DK | 9. RF |

G32g/J122/JW030_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY RECEIVED A CASH SETTLEMENT (G32/J110/JW021_1 NOT 3), GO TO G32j/J128/JW033_1 BRANCHPOINT
G32g. How much did the cash settlement amount to?

[IFER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
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</thead>
<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO G32h/J126/JW031_1

J123_1 - J125_1 Unfolding Sequence

Question text: Did it amount to a total of less than $____, more than $____, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $2,000, $6,000, $60,000, $250,000
ENTRY POINT: $6,000

G32h. What did you do with the money?

What did [you/he/she] do with the money?

1. BOUGHT DURABLES
2. SPENT IT
3. SAVED/INVESTED
4. PAID OFF DEBT
5. ROLLED INTO IRA
6. OTHER (SPECIFY)
7. DK
8. RF
9. RF

J127/JW032_1

G32j/J128/JW033_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY ROLLED PENSION OVER INTO IRA (G32/J110/JW021_1 NOT 4), GO TO G34/J129/JW035_1 BRANCHPOINT

G32j. How much did the rollover amount to?

<table>
<thead>
<tr>
<th>AMOUNT</th>
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</thead>
<tbody>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

IRA ROLLOVER
**G34/J129/JW035_1 BRANCHPOINT: IF R DID NOT REPORT THAT R/BENEFICIARY EXPECTS FUTURE BENEFITS FROM PENSION (G32/J110/JW021_1 NOT 1), GO TO G38/J157/JW042_1 BRANCHPOINT**

IF THIS IS \{NOT A SELF INTERVIEW and NOT AN EXIT INTERVIEW\}, GO TO G38/J157/JW042_1 BRANCHPOINT

---

**G34. At what age do you expect to start receiving (the rest of your) benefits from this plan?**

At what age [do you/does [he/she]] expect to start receiving (the rest of [his/her]) benefits from this plan?

40-94 AGE

<table>
<thead>
<tr>
<th>AGE</th>
<th>96 ALREADY RECEIVING ALL BENEFITS</th>
<th>DK</th>
<th>RF</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>GO BACK TO G32/J110/JW021_1 AND CHANGE RESPONSE TO RECEIVING BENEFITS NOW (G32/J110/JW021_1=2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>GO TO G38/J157/JW042_1 BRANCHPOINT</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
G35. About how much do you expect these benefits to be, either as a percent of your pay when you left that job, or as an amount per month or year when you start receiving them?

About how much [do you/does [he/she]] expect these benefits to be, either as a percent of [his/her] pay when [he/she] left that job, or as an amount per month or year when [you/he/she] starts(s) receiving them?

1. PERCENT OF PAY
2. AMOUNT PER MONTH/YEAR
3. LUMP SUM
8. DK
9. RF

GO TO G35b/J132/JW038_1
GO TO G35d/J138/JW041_1
GO TO G38/J157/JW042_1 BRANCHPOINT

G35a. [IWER: ENTER THE PERCENT OF FINAL PAY]

PERCENT
DK
RF

GO TO G38/J157/JW042_1 BRANCHPOINT
JW038_1
J132
Q3478
Q3542X
G35b. [IWER: PROBE IF NECESSARY]
How much do you expect to receive?

How much [do you/does [he/she]] expect to receive?

[IWER: DO NOT PROBE DK/RF]

<table>
<thead>
<tr>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
</tr>
<tr>
<td>DK</td>
</tr>
<tr>
<td>RF</td>
</tr>
</tbody>
</table>

GO TO G35c/J136/JW039_1

J133_1 - J135_1 Unfolding Sequence

Question text: Do you expect a total of less than $____ per year, more than $____ per year, or what?

PROCEDURE: 2Up1Down
BREAKPOINTS: $500, $1,000, $2,000, $3,000
ENTRY POINT: $1,000

G35c/J136/JW039_1 BRANCHPOINT: GO TO G38/J157/JW042_1 BRANCHPOINT

JW039_1
J136
Q3479
Q3543X
G35c. [IWER: PROBE IF NECESSARY]
Was that per month or year?

Is that per month or year?

PER:

| 4. MONTH |
| 6. YEAR |
| 97. OTHER (SPECIFY) |
| 98. DK |
| 99. RF |

GO TO G38/J157/JW042_1 BRANCHPOINT
JW041_1
J138
Q3481
G3544X
G35d. [CORE AND EXIT] [IWER: ENTER LUMP SUM AMOUNT]

__________
AMOUNT

G38/J157/JW042_1 BRANCHPOINT: IF THIS IS AN EXIT INTERVIEW, GO TO SECTION N

JW042_1
J157
Q3493
G38. What is the earliest age at which you could have left that job and begun to receive pension benefits from this plan?

__________
95. NO AGE REQUIREMENT

AGE

***END OF G31/J088/JW001_1 LOOP: IF THERE ARE MORE PENSION PLANS GIVEN, REPEAT QUESTIONS G31/J088/JW001_1 THROUGH G38/J157/JW042_1) FOR UP TO FOUR PENSION PLANS IF[PROXY] REPORTED HAVING MORE THAN ONE PLAN AT G30a/J085 (G30a/J085={2-8}) OR FOR TWO PLANS IF[PROXY] REPORTED HAVING MORE THAN ONE PLAN AT (G30b)/J086 ((G30b)/J086=3). IF NOT, CONTINUE ON TO G39/JW158_2 BRANCHPOINT.***

END OF PENSION BLOCK-1:
(G31/J088/JW001_1 — G38/J157/JW042_1

EXIT: GO TO EXIT SECTION N