

HEALTH AND RETIREMENT STUDY
2000 Post-Exit Proxy
Final Version 1.0
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Data Description and Usage

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Data Description and Usage

1. Introduction

The 2000 HRS Post-Exit (Final, Version 1.0) data release includes data obtained as part of the Health and Retirement Study (HRS). The HRS received funding from the National Institute on Aging at NIH (U01 AGO9740), with supplemental support from the Social Security Administration. The HRS was conducted by, the Institute for Social Research, Survey Research Center, at the University of Michigan.

The 2000 Post-Exit data were obtained by doing telephone interviews with proxy reporters for respondents who were interviewed in prior Exit waves and had unresolved financial situations (Wills and Trusts, and Estate). The 2000 Post-Exit Proxy Final Release has 359 respondents.

To the extent possible, proxy informants are knowledgeable about the financial situation of the deceased (often the proxy is a widow, widower, or some other family member). The content of the 2000 Post-Exit Proxy interview is designed to augment information on a given deceased respondent who had a proxy report in a prior Exit interview. However, the proxy informants in the Post-Exit may or may not be the same person who provided information in a prior Exit interview.

By receiving the data files, you agree to use them for research and statistical purposes only and to make no effort to identify respondents. In addition, you agree to send the HRS a copy of any publications you produce based on the data. See Obtaining the Data (at the end of this document) for additional details.

Data alerts, new file notifications, and updates concerning the HRS 2000 Post-Exit Proxy Final Release will be placed on the HRS Web page at:

http://hrsonline.isr.umich.edu/meta/sho_meta.php?hfyle=alerts/index

We recommend that users check this location regularly.

If you have any questions concerning our final releases, or wish to notify us of errors, please send electronic mail to:

HRS Questions: (hrsquest@isr.umich.edu).

2. The Sample Interviewed in 2000

The data collection period for the 2000 interview was June 2000 through November 2000.

The first sub-sample, the HRS sub-sample, consists of people who were born 1931 through 1941 and were household residents of the conterminous U.S. in the spring of 1992, and their spouses or partners at the time of the initial interview in 1992 or at the time of any subsequent interview. The HRS sub-sample was interviewed in 1992-1993, and every two years thereafter.

The AHEAD sub-sample consists of people who were born before 1924, and were household residents of the conterminous U.S. in the spring of 1992, and were still household residents at the time of their first interview in 1993 or 1994, and their spouses or partners at the time of the

initial interview or at the time of any subsequent interview. The AHEAD sub-sample was interviewed in 1993-1994, 1995-1996, 1998-1999, and every two years thereafter.

The sample for the 2000 Post-Exit was drawn from the 1998 HRS exit proxy respondents based on the following criteria:

- A. If the deceased respondent owned a home at their last interview and if the home had not been disposed of at the time of the exit interview.
- B. If the deceased respondent left a will and it had not yet been probated at the time of the exit interview.
- C. If the deceased respondent's other assets and possessions had not been distributed at the time of the exit interview.

In addition, if a Respondent was in the 1998 Post-Exit sample and any or all of the above were not settled, then they also became part of the 2000 Post-Exit sample. The variable RPPX98 indicates if an R was part of the 1998 Post-Exit sample. The above conditions determined which questions were asked of the 2000 Post-Exit Proxy respondents. The variable RP219 indicates the year in which an Exit Proxy or Post-Exit Proxy interview was conducted (prior to the 2000 interview). RPEXITIW refers to an Exit interview wave.

2A. Estate Callback

In the months of September through December 2003, Exit Proxy phone interviews were conducted with 2000 Exit proxy respondents. These interviews are referred to as the Estate Callback (ECB). Of the 797 proxy respondents that were part of the sample, 573 completed the ECB. The ECB interviews were designed to capture information missing from the HRS 2000 Exit Proxy (Section N), HRS2000 Post Exit proxy (Section N) and 2002 Exit Proxy (Section R) interviews regarding home ownership and/or the value of an estate. More specifically, respondents who owned a home at the time of their initial Exit Proxy interview missed questions about their homes. Additionally, changes in the question text made it unclear as to whether the total value of their estate included the value of the home or not. Both of these issues form the basis for the ECB interviews. Interviewers were instructed to conduct the ECB with the same proxy who completed the original Exit proxy interview in 2000 or 2002. In the event that the same proxy was not available, the ECB interview was not administered. The data from the ECB are in the file called PX00N_N. This file (PX00N_N) contains information for respondents who were and were not part of the ECB. The variable ECBFLAG identifies cases selected for the ECB, and also designates which of the selected cases completed an ECB interview. The variable ECBPATH (also in x00n_r) identifies which questions in Section N a particular proxy respondent was asked. Please check the documentation area for the ECB box and arrow questionnaire, which is included with this release as file x00ecb.pdf.

3. Topical Areas

The 2000 HRS Post-Exit data collection instrument or questionnaire contains the following sections.

Section PR	Preload
Section CS	Cover Screen
Section N	Wills and Trusts, and Estate

3A. Levels of Files

In the 2000 HRS Post-Exit data collection instrument, the proxy respondent served as the financial respondent. Only financial questions

are asked in this data collection. Relevant family data are preloaded from their Exit interview and cannot be updated. The 2000 HRS Post-Exit data (Final, Version 1.0) contains files at two different levels: respondent, and household-member-and-child.

3A1. Respondent-Level Files

Respondent-level files contain questions that were asked of all Post-Exit proxy respondents on behalf of deceased respondents. Respondent level files contain one record for each proxy who gave an interview in the 2000 HRS Post-Exit.

3A2. Household-Member-and-Child-Level Files

These files contain information about each household member, child of the deceased respondent, or child of the deceased respondent's spouse or partner. The files contain one record for each household member and/or child and their spouse or partner.

4. File Naming Conventions

In the 2000 HRS Post-Exit (Final, Version 1.0), files are named beginning with "PX00" for Post-Exit 2000. The next one or two letters designate the questionnaire section ("PX00PR"), and a separator ("_") followed by one or two letters indicates the level of each file. For example, PX00PR_MC refers to the 2000 HRS Post-Exit Preload file at the Household-Member-and-Child level. The abbreviations for the file levels are as follows:

R Respondent
MC Household-Member-and-Child

The following extensions are used for the 2000 Post-Exit Final release files:

.da data files
.sas SAS program statements
.sps SPSS program statements
.do STATA do statements
.dct STATA dictionary statements
.txt codebook files

5. Data Files

The 2000 HRS Post-Exit (Final, Version 1.0) data release contains 4 files. The files are listed below along with the name of the file, number of cases (N), and number of variables (NV). The 2000 HRS Post-Exit data are provided in ASCII format, with fixed-length records. The records in the data files are sorted in order by the primary identifiers. You'll want to use associated SAS, SPSS, or STATA program statements to read the data into the analysis package of your choice.

Sec.	Description	File Name	N	NV
PR	Preload (Respondent)	PX00PR_R	359	24
PR	Preload (HHMemberChild)	PX00PR_MC	644	14
CS	Cover Screen (Respondent)	PX00CS_R	359	19
N	Estate (Respondent)	PX00N_R	359	175

6. Identification Variables

Identification variables are stored in character format and are used to link files within and across waves of data.

6A. Primary identification variables

Primary identification variables include HHID, RPSUBHH, PN, and OPN. The HHID, or Household Identifier, is six digits, and is stable across waves of data collection. The HHID uniquely identifies an original household and any household derived from the original household in subsequent waves of data collection.

The RPSUBHH, or 2000 HRS Post-Exit Sub-household Identifier, identifies households within a HHID at the time of the 2000 Post-Exit interview. RPSUBHH will match the sub-household identifier from the 1995 or 1996 exit (NSUBHH or PSUBHH). The RPSUBHH ID in the 2000 HRS Post-Exit data has a value of 3 or 4.

The PN, or Person Number, is three digits, and uniquely identifies a respondent within an original household (HHID). The PN does not change across waves.

The OPN, or Other Person Number, is used to identify household residents (not including the respondents) and children. OPN is unique within a sub-household (RPSUBHH in the 2000 Post-Exit).

Additional information about ID variables can be found in the data description associated with the Tracker file, the 2000 HRS Core, Final Version, or the 2000 HRS Exit, Final Version.

6B. Secondary identification variables

The secondary identification variables included in the 2000 Post-Exit data are RPPN_SP and RPEXITIW. RPPN_SP can be used to link the 2000 Post-Exit data with Core household data from AHEAD 1995 (DSUBHH) or HRS 1998 (FSUBHH), or to link a deceased respondent with data from their surviving spouse or partner in 1995, 1996, 1998, or 2000 (RPPN_SP). In order to merge the Post-Exit data to the data of the surviving spouse or partner in waves 1995, 1996, 1998, or 2000, rename PN in those waves to RPPN_SP.

The RPEXITIW variable indicates an Exit interview wave and is located in Section CS_R.

7. Distribution Files and Directory Structure

7A. Distribution Files

The distribution files are packaged for download from our Web site in one large .zip file, PX00.zip, which contains six smaller .zip files and this data description document, in .pdf format, px00dd.pdf.

Data files

px00da.zip data files.

Program statement files

px00sas.zip - SAS data descriptors.
px00sps.zip - SPSS data descriptors.
px00sta.zip - STATA data descriptors.

Documentation files

px00cb.zip - codebook.
px00qn.zip - questionnaire.

You'll typically want to unzip at least the data files, one set of program statement files, and the codebook files. The codebook files are

also available on our Web site in an HTML format which provides an index for each section.

7B. Directory Structure

While a particular setup is not required for using the 2000 HRS Exit files, if the following directory structure is used, then no changes to the path name in the data descriptor files is necessary. If you use a different structure, change the directory references in the distribution files.

Directory	Contents
===== c:\h2000px\ c:\h2000px\codebook\ c:\h2000px\data\ c:\h2000px\sas\ c:\h2000px\spss\ c:\h2000px\stata\ c:\h2000px\qnaire\ =====	===== Files downloaded from HRS Web site Unzipped files from px00cb.zip Unzipped files from px00da.zip Unzipped files from px00sas.zip Unzipped files from px00sps.zip Unzipped files from px00sta.zip Unzipped files from px00qn.zip =====

8. Program Statements

Each data file comes with associated SPSS, SAS, or STATA program statements to read the data. Files containing SPSS statements are named with a .sps extension, those with SAS statements with a .sas extension, and those with STATA statements with .do and .dct extensions. The statement files are named beginning with the same prefix as the corresponding data file. For example, SAS statements in the file px00n_r.sas correspond with the PX00N_R.da data file.

8A. Using the Files with SAS

To build a SAS file for a particular dataset, two file types must be present for that dataset: SAS program statement files and .da data files. To create a SAS system file, load the *.sas file into the SAS Program Editor. If the data file is located in "c:\h2000px\data", you can run the downloaded *.sas file as is. A SAS system file (*.sas7bdat or *.sd2) will be saved to directory "c:\h2000px\sas". If the files are not located in the specified directories, you will need to edit the *.sas file to reflect the proper path names prior to running the file.

8B. Using the Files with SPSS

To build an SPSS file for a particular dataset, two file types must be present for that dataset: .sps program statement files and .da data files. To create an SPSS system file, open the *.sps file in SPSS as an SPSS Syntax File. If the data file is located in "c:\h2000px\data", you can run the downloaded *.sps file as is. An SPSS system file (*.sav) will be saved to directory "c:\h2000px\spss". If the files are not located in the specified directories, you will need to edit the *.sps file to reflect the proper path names prior to running the file.

8C. Using the Files with STATA

To use STATA with a particular dataset, the following three file types must be present for the STATA dataset: .dct files, .do files, and .da data files. Files with the suffix "da" contain the raw data for STATA to read. Files with the suffix "dct" are STATA dictionaries used to describe the data. Files with the suffix "do" are short STATA programs ("do files") which you may use to read in the data. Load the .do file into STATA and then submit it. If the *.dct files are located in "c:\h2000px\stata" and the data file is located in "c:\h2000px\data", you can run the downloaded .do file as is. If the files are not located in these directories, you must edit the *.do and *.dct files to reflect the proper path names before you run the files.

9. Documentation

9A. Masked Variables

In order to protect the confidentiality of respondents, some variables are either masked or not included in public release data sets. Some of these variables, in their original form, may be made available to analysts as restricted data. See the HRS Web site for details about gaining access to restricted data. Respondent names, addresses, days of birth, information on geographical relocation, and similar variables are not included in publicly released files. For public releases, geographical locations are recoded to a level no more detailed than U.S. Census Region and Division.

There are no masked variables in the 2000 Post-Exit.

10. Obtaining the Data

10A. Registering and Downloading the Data

HRS data are available for free to researchers and analysts at the HRS Web site. In order to obtain public release data, you must first register at our Web site. Once you have completed the registration process, your username and password will be sent to you via e-mail. Your username and password are required to download any data files.

By registering all users, we are able to document for our sponsors the size and diversity of our user community allowing us to continue to collect these important data. Registered users receive user support, information related to errors in the data, future releases, workshops, and publication lists. The information you provide will not be used for any commercial use, and will not be redistributed to third parties.

10B. Conditions of Use

By registering, you agree to the Conditions of Use governing access to Health and Retirement public release data. You must agree to

- o not attempt to identify respondents
- o not transfer data to third parties except as specified
- o not share your username and password
- o include specified citations in work based on HRS data
- o provide information to us about publications based on HRS data
- o report apparent errors in the HRS data or documentation files
- o notify us of changes in your contact information

For more information concerning privacy issues and conditions of use, please read "Conditions of Use for Public Data Files" and "Privacy and Security Notice" at the Public File Download Area of the HRS Web site.

10C. Publications Based on Data

As part of the data registration process, you agree to include specified citations and to inform HRS of any papers, publications, or presentations based on HRS data. Please send a copy of any publications you produce based on HRS data, with a bibliographical reference, if appropriate, to the address below.

Health and Retirement Study
Attn: Papers and Publications
The Institute for Social Research, Room 3050
P.O. Box 1248
Ann Arbor, MI (USA) 48106-1248

Alternately, you may contact us by e-mail at hqsquest@isr.umich.edu with "Attn: Papers and Publications" in the subject line.

11. If You Need to Know More

This document is intended to serve as a brief overview and to provide guidelines to using the 2000 HRS Post-Exit (Final, Version 1.0) data. If you have questions or concerns that are not adequately covered here or on our Web site, or if you have any comments, please contact us. We will do our best to provide answers.

11A. HRS Internet Site

Health and Retirement Study public release data and additional information about the study are available on the Internet. To access the data and other relevant information, point your Web browser to the HRS Web site. Our URL is: <http://hrsonline.isr.umich.edu/>

11B. Contact Information

If you need to contact us, you may do so by one of the methods listed below.

Internet: Help Desk at our Web site

E-mail: hqsquest@isr.umich.edu

Postal service:

Health and Retirement Study
The Institute for Social Research, Room 3050
The University of Michigan
P.O. Box 1248
Ann Arbor, MI 48106-1248

FAX: (734) 647-1186

12. Appendix: Merge Examples

This section of the documentation provides examples of how the 2000 Post-Exit data might be merged with other HRS data files.

SAS Example of R to R Merge - 2000 Post-Exit to 1998 Exit (for only those in the 2000 Post-Exit data file - HRS Sample)

```
/* create sas libraries; change paths to match local file locations*/

libname px00 "c:\h2000px\sas" ;
libname x98 "c:\x1998\sas" ;

/*Primary identifiers for cross wave, respondent merging are HHID and
PN; Sort data by these variables */

proc sort data = px00.px00n_r out=px00n_r;
by hhid pn;
run;

proc sort data = x98.x98cs_r out=x98cs_r;
by hhid pn;
run;

/*perform the merge, creating the new data set called "cs" - "in"
statement on data set for which you want to keep all records*/

data cs;
merge px00n_r(in=y) x98cs_r;
by hhid pn;
if y=1;
run;
```

STATA Example of R to R Merge - 2000 Post-Exit to 1998 Exit (for only those in the 2000 Post-Exit data file - HRS Sample)

```
use HHID PN Q488 Q490 using x98CS_R
sort HHID PN
save tmp1, replace
use HHID PN RP2323 RP2324 using px00n_r
sort HHID PN
merge HHID PN using tmp1
tab _m
drop if _m==2
drop _m
sort HHID PN
save rdata, replace
```

SPSS Example of R to R Merge - 2000 Post-Exit to 1998 Exit (for only those in the 2000 Post-Exit data file - HRS Sample)

```
GET
FILE='C:\h2000px\spss\px00n_r.sav'.
SORT CASES BY
hhid (A) pn (A) .
SAVE OUTFILE='C:\h2000px\spss\n_r.sav'.

GET
FILE='C:\x1998\spss\x98CS_R.SAV'.
SORT CASES BY
hhid (A) pn (A) .
SAVE OUTFILE='C:\x1998\spss\CS_R.SAV'.
```

```
MATCH FILES /FILE='C:\x1998\spss\CS_R.SAV'  
/FILE='C:\h2000px\spss\n_r.sav'  
/IN=source01  
/BY hhid pn.  
VARIABLE LABELS source01  
'Case source is C:\h2000px\spss\n_r.sav'.  
SELECT IF( source01 = 1).  
EXECUTE.
```