

**NOTE: WHERE THERE IS MORE THAN ONE JUMP WITHIN A BRANCHPOINT BOX, THE JUMPS ARE TO BE APPLIED IN ORDER FROM THE TOP.**

GG1 BRANCHPOINT: IF REINTERVIEW R *and* NOT FROM AHEAD COHORT, GO TO SECTION GH  
IF R IS WORKING NOW FOR PAY, GO TO SECTION GH

GG1. Have you ever worked for pay for more than a few months?

1. YES	5. NO	8. DK	9. RF
GO TO SECTION GH			

GG1a/GG1a1. When did you last work for pay?

[IWER: ENTER RESPONSE IN APPROPRIATE FIELD]

YEAR	DK	RF
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OR

GG1a2. YEARS AGO	DK	RF
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GG1a BRANCHPOINT: IF R LAST WORKED FOR PAY LONGER THAN 2 YEARS AGO, GO TO GG3 BRANCHPOINT

GG1a. In what month was that?

01. JAN	02. FEB	03. MAR	04. APR	05. MAY
06. JUN	07. JUL	08. AUG	09. SEP	10. OCT
11. NOV	12. DEC	98. DK	99. RF	

GG3 BRANCHPOINT: IF {R LAST WORKED FOR PAY MORE THAN 20 YEARS AGO *or* REFUSED TO ANSWER WHEN HE/SHE LAST WORKED} (per GG1a1 or GG1a), GO TO GGASSIST

GG3. The next questions are about the last job you had. We're interested in your situation near the end of this job.

Did you work for someone else, were you self-employed, or what?

[IWER: IF R SAYS, "I RAN MY OWN BUSINESS" ENTER "SELF-EMPLOYED"]

1. SOMEONE ELSE	2. SELF-EMPLOYED	8. DK	9. RF
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GG4. IF NOT SELF EMPLOYED:

What kind of business or industry did you work in — that is, what did they make or do at the place where you worked?

IF SELF EMPLOYED:

What industry did you work in? That is, what did your company do or make?

(What industry did you typically work in?)

_____	DK	RF
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INDUSTRY

GG5 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3=2) or R IS FROM {AHEAD or CODA} COHORT or {IS NEW INTERVIEW R and IS FROM HRS COHORT}, GO TO GG6

GG5. What was the official title of your job?

(The title that your employer used?)

_____	DK	RF
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TITLE

GG6. What sort of work did you do?

(Tell me a little more about what you did.)

_____	DK	RF
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TYPE OF WORK

GG7. How many hours a week did you usually work [for this employer/in this business]?

_____	DK	RF
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HOURS PER WEEK

GG8 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3=2) or R IS FROM {AHEAD or CODA} COHORT or {IS NEW INTERVIEW R and IS FROM HRS COHORT}, GO TO GG9

GG8. Not counting any overtime hours, could you have reduced the number of hours in your regular work schedule?

1. YES	5. NO	8. DK	9. RF
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GO TO GG9

GG8a. Could you have reduced your work hours by quite a lot, say to half what you usually worked, without affecting either your hourly pay rate or your fringe benefits?

1. YES	5. NO	8. DK	9. RF
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GG9. READ SLOWLY:  
Counting paid vacations as weeks of work, how many weeks a year did you usually work?

\_\_\_\_\_

DK	RF
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NUMBER OF WEEKS

GG10. How much were you earning, before deductions, when you [stopped working for the business/left that employer]?

\_\_\_\_\_

DK	RF
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AMOUNT

GO TO GG11  
BRANCHPOINT

GG10. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
06. YEAR	97. OTHER (SPECIFY)	98. DK	99. RF	

GG11 BRANCHPOINT: R IS FROM {AHEAD or CODA} COHORT or {IS NEW INTERVIEW R and IS FROM HRS COHORT}, GO TO GG13

IF R WAS SELF-EMPLOYED (GG3=2), GO TO GG12

GG11. About how many employees worked for that company or organization at the location where you worked?

AMOUNT

|

GG11a. Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

GG12. About how many employees worked for that company or organization at all locations?

NUMBER OF EMPLOYEES

|

GG12a. Was it fewer than 5, 5 to 14, 15 to 24, 25 to 99, 100 to 499, or 500 or more?

GG13. When did you start working for [that employer/yourself]?

[IWER: ENTER RESPONSE IN APPROPRIATE FIELD]

     OR            OR                 

YEAR                                      YEARS AGO                                      AT AGE

GG14 BRANCHPOINT: R IS FROM {AHEAD or CODA} COHORT or {IS NEW INTERVIEW R and IS FROM HRS COHORT}, GO TO GG17

GG14. How much were you earning, before taxes and other deductions, when you started?

	DK	RF
AMOUNT		
	GO TO GG15 BRANCHPOINT	

GG14. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
06. YEAR	97. OTHER (SPECIFY) _____		98. DK	99. RF

GG15 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3=2), GO TO GG16

GG15. Were you covered on that job by a union or employee-association contract?

1. YES	5. NO	8. DK	9. RF
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GG16. IF R WAS SELF-EMPLOYED:  
Why did you stop working at this business?

IF R WAS NOT SELF EMPLOYED:  
Why did you leave that employer?

[IWER: PROBE IF NECESSARY]  
(Did the business close, were you laid off or let go, did you leave to take care of family members, or what?)

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

01. BUSINESS CLOSED	02. LAID OFF/LET GO	03. POOR HEALTH/DISABLED	04. FAMILY CARE	05. BETTER JOB
06. QUIT	07. RETIRED	97. OTHER (SPECIFY) _____	98. DK	99. RF

GG17. (Aside from IRAs or Keogh plans,) were you included in a pension or retirement plan, or in any tax deferred savings plan, when you worked for [that employer/yourself]?

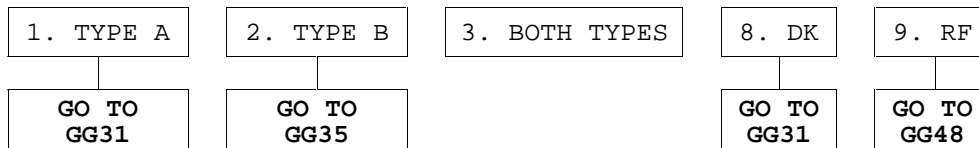
1. YES	5. NO	8. DK	9. RF
GO TO GG48			

GG30 BRANCHPOINT: R IS FROM {AHEAD or CODA} COHORT or {IS NEW INTERVIEW R and IS FROM HRS COHORT}, GO TO GGASSIST

GG30. I would like to know what type of plan this was. In some retirement plans, Type A, benefits are usually based on a formula involving age, years of service, and salary. In other plans, Type B, money is accumulated in an account for you.

Was your plan Type A or Type B?

DEFINITION: 401-K, 403-B, ESOP, SRA, THRIFT/SAVINGS, STOCK/PROFIT SHARING, MONEY PURCHASE PLANS ARE ALL TYPE B RETIREMENT PLANS. TYPE A PLANS ARE OFTEN CALLED "DEFINED BENEFIT" PLANS; TYPE B PLANS ARE OFTEN CALLED "DEFINED CONTRIBUTION."



GG30a. For the part of your pension where money is accumulated in an account, how much money was in your account when you left that employer?

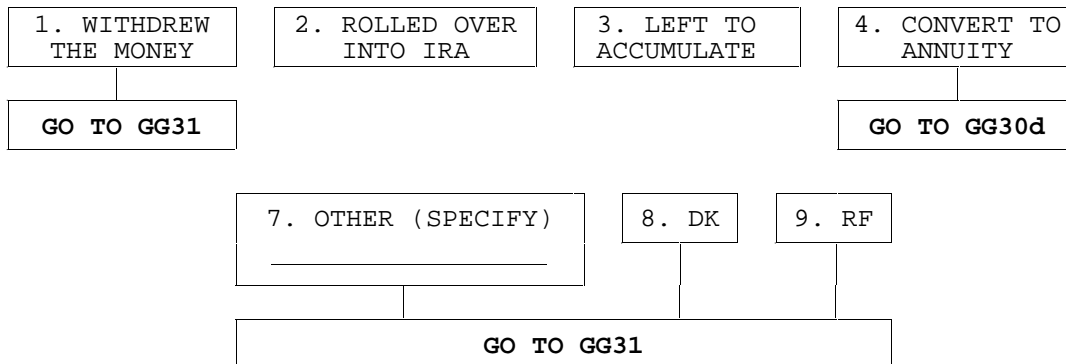
\_\_\_\_\_

AMOUNT

DK	RF
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GG30b. When you left that [employer/business], did you withdraw this money, roll it over into an IRA, leave it to accumulate in the old plan, convert it to an annuity, or what?

[IWER: DO NOT PROBE, BUT IF MORE THAN ONE RESPONSE GIVEN, CHOOSE 7 - OTHER, AND RECORD ALL DETAILS]



GG30c. How much is in your account now?

	8. DK	9. RF
AMOUNT		
<b>GO TO GG31</b>		

GG30d. How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]

	DK	RF
AGE		

GG30e. How much are the benefits per month or year?

[IWER: PROBE IF NECESSARY]  
Was that per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

	DK	RF
AMOUNT		
<b>GO TO GG31</b>		

GG30f. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
06. YEAR	97. OTHER (SPECIFY) _____		98. DK	99. RF

GG31. (Now, about the part of your pension where benefits are based on a formula.)

Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, or what?

[IWER: DO NOT PROBE BUT ENTER ALL THAT APPLY]

1. EXPECT FUTURE BENEFITS	2. RECEIVING BENEFITS NOW	3. RECEIVED CASH SETTLEMENT	4. ROLLED OVER INTO IRA
GO TO GG31e BRANCHPOINT	GO TO GG31e BRANCHPOINT		
5. LOST BENEFITS	7. OTHER (SPECIFY) _____	8. DK	9. RF
GO TO GG31e BRANCHPOINT			

GG31a. In what month and year did you start to receive these benefits?

MONTH:

01. JAN	02. FEB	03. MAR	04. APR	05. MAY
06. JUN	07. JUL	08. AUG	09. SEP	10. OCT
11. NOV	12. DEC	98. DK	99. RF	

_____	DK	RF
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GG31a. YEAR



GG31b. How much are the benefits per month or year?

\_\_\_\_\_

AMOUNT

DK	RF
----	----

GO TO GG31c

GG31b. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
06. YEAR	97. OTHER (SPECIFY)	98. DK	99. RF	

GG31c. Are the benefits adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
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GO TO GG37

GG31d. Have they ever been adjusted for changes in the cost of living?

1. YES	5. NO	8. DK	9. RF
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GG31e BRANCHPOINT: IF R DID NOT REPORT THAT RECEIVED CASH SETTLEMENT (GG31 NOT 3), GO TO GG31g BRANCHPOINT

GG31e. How much did that amount to?

\_\_\_\_\_

AMOUNT

DK	RF
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GG31f. What did you do with the money?

1. BOUGHT DURABLES	2. SPENT IT	3. SAVED/INVESTED	4. PAID OFF DEBT
5. ROLLED INTO IRA	7. OTHER (SPECIFY)	8. DK	9. RF

GG31g BRANCHPOINT: IF R DID NOT REPORT THAT ROLLED PENSION OVER INTO IRA (GG31 NOT 4), GO TO GG32 BRANCHPOINT

GG31g. How much did that amount to?

\_\_\_\_\_

AMOUNT

DK

RF

GG32 BRANCHPOINT: IF R DID NOT REPORT THAT EXPECTS FUTURE BENEFITS FROM THIS PLAN (GG31 NOT 1), GO TO GG37

GG32. How many years altogether were you included in this plan?

[IWER: INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN]

1

\_\_\_\_\_

YEARS

DK

RF

GG33 BRANCHPOINT: IF PROXY INTERVIEW, GO TO GG35

GG33. At what age do you expect to start receiving (the rest of your) benefits from this plan?

\_\_\_\_\_

AMOUNT

95. ALREADY RECEIVING ALL BENEFITS

DK

RF

GG34. About how much do you expect these benefits to be?

(As a proportion of your pay when you left that job, or as an amount per month or year when you start receiving them?)

1. PERCENT OF PAY	2. AMOUNT PER MONTH/YEAR	3. LUMP SUM	8. DK	9. RF
	GO TO GG34b	GO TO GG34(2)	GO TO GG37	

GG34a. [IWER: ENTER THE PERCENT OF FINAL PAY]

\_\_\_\_\_

PERCENT

DK

RF

GO TO GG37

GG34b. [IWER: PROBE IF NECESSARY]  
How much do you expect to receive?

\_\_\_\_\_

AMOUNT

DK	RF
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GO TO GG37

GG34c. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
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GO TO GG37

06. YEAR	97. OTHER (SPECIFY) _____	98. DK	99. RF
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GO TO GG37

GG34(2). [IWER: ENTER LUMP SUM]

\_\_\_\_\_

AMOUNT

DK	RF
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GO TO GG37

GG35. How much money was in your account when you left that employer?

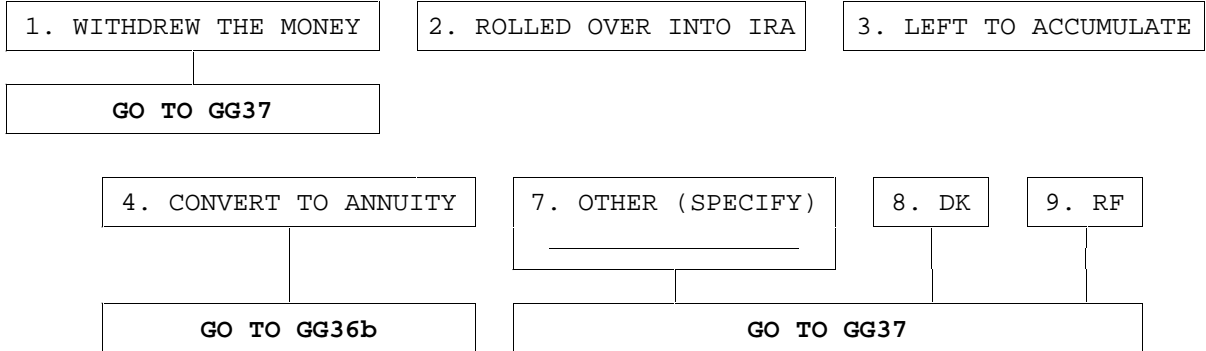
\_\_\_\_\_

AMOUNT

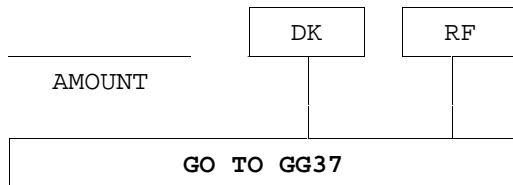
DK	RF
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GG36. When you left that employer, did you withdraw the money, roll it over into an IRA, leave it to accumulate in your old plan, convert it to an annuity, or what?

[IWER: DO NOT PROBE, BUT IF MORE THAN ONE RESPONSE GIVEN, ENTER 7 - OTHER, AND RECORD ALL DETAILS]

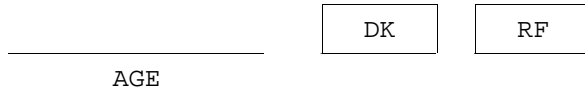


GG36a. How much is in your account now?



GG36b. How old were you when you started receiving the benefits from that annuity?

[IWER: IF R INDICATES BENEFITS HAVE NOT YET STARTED, ASK AT WHAT AGE THEY WILL BEGIN, AND NOTE THIS IN COMMENT BOX]



GG36c. How much are the benefits per month or year?

[IWER: IF BENEFITS HAVE NOT YET STARTED, RECORD WHAT BENEFITS WILL BE]

_____	DK	RF
AMOUNT		
	GO TO GG37	

GG36c. PER:

01. HOUR	02. WEEK	03. EVERY TWO WEEKS/BI-WEEKLY	04. MONTH	05. TWICE A MONTH
06. YEAR	97. OTHER (SPECIFY) _____	98. DK	99. RF	

GG37. What is the earliest age at which you could have left that employer and begun to receive pension benefits?

_____	95. NO AGE REQUIREMENT	DK	RF
AGE			

GG48. Over the last 10 years, since 1988, have you ever been on temporary layoff, or unemployed and looking for work?

[IWER: INCLUDE CURRENT PERIOD IF R IS NOW LOOKING FOR WORK]

1. YES	5. NO	8. DK	9. RF
GO TO GG49 BRANCHPOINT			

GG48a. How many times have you been on temporary layoff or unemployed and looking for work?

_____	DK	RF
NUMBER OF TIMES		

GG49 BRANCHPOINT: IF R WAS SELF-EMPLOYED (GG3=2), GO TO GGASSIST

GG49. READ TO ALL RESPONDENTS:

We would like to obtain complete information about fringe benefits for employees like you. In order to do this, we need the full name and address of your last employer, the employer we were just talking about.

For whom did you work on that job?

(What is the [company's/organization's] name?)

	DK	RF
COMPANY NAME	GO TO GASSIST	

GG50. What is the address of the place where you worked?

[IWER: PROBE IF NECESSARY]

I will need the mailing address, city, state, and zip code.

	DK	RF
GG50a. ADDRESS		GO TO GGASSIST

	DK	RF
GG50b. CITY		GO TO GGASSIST

	DK	RF
GG50c. STATE		GO TO GGASSIST

	DK	RF
GG50d. ZIP		GO TO GGASSIST

[IWER: DO NOT USE DASH IN PHONE NUMBER ENTER 999 FOR UNKNOWN AREA CODE]

	DK	RF
GG50e. PHONE NUMBER		GO TO GGASSIST

GGASSIST

IWER: HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS IN  
SECTION GG — WORK?

1. NEVER

2. A FEW TIMES

3. MOST OR ALL OF THE TIME

GO TO SECTION GH