

SECTION N CAPITAL GAINS

N TIME STAMP

(4808)

if:(WAVE 1 INTERVIEW) (\*NOT\* REINTERVIEW )
SKIP to:(4983)
SKIP to:(4810)

if:(377)( \*NOT\* 2)
SKIP to:(4983)

if:(179)( \*NOT\* 1) \*AND\* (2226)( \*NOT\* 1) \*AND\* (2229)( \*NOT\* 1) \*AND\*
(OWN MOBILE HOME AND SITE)( \*NOT\* OWNS BOTH \*AND\* \*NOT\* OWNS ONLY HOMES)
SKIP to:(4861)

N1.

Now I'd like to ask you some questions about the changes
in your (family's) finances over the past two years.
(Since (Wave 1 date)/in the last two years), have you
(or your Husband/Wife/Partner) bought or sold
a home that was your primary residence or second home?

(4829)

YES.....1
NO.....5 -Skip-(4856)
DK.....8
RF.....9

N1a.

Did you only buy, only sell, both buy and sell, or what?

(4830)

ONLY BOUGHT.....1 -Skip-(4856)
ONLY SOLD.....2
BOTH BOUGHT AND SOLD.....3
OTHER (SPECIFY).....7 -Skip-(4856)
DK.....8
RF.....9

N1b.

In what month and year did you most recently sell a home?

MONTH:

(4831)

YEAR:

(4832)

N2.

What was the selling price?

DO NOT PROBE DK

(4833)

if:(4833)( \*NOT\* DK \*AND\* \*NOT\* RF)
SKIP to:(4837)

N2a.

Was it more than \$50,000?

(4834)

YES.....1
NO.....5 -Skip-(4836)
DK.....8
RF.....9

if:(4834)(DK \*OR\* RF)
SKIP to:(4837)

N2b.

Was it more than \$200,000?

(4835)

YES.....1 -Skip-(4837)
NO.....5 -Skip-(4837)
DK.....8 -Skip-(4837)
RF.....9 -Skip-(4837)

N2c. Was it more than \$15,000? (4836)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N3a. In what month and year did you buy that home?

MONTH: (4837)

YEAR: (4838)

N4. What was the purchase price?

DO NOT PROBE DK (4839)

if:(4839)( \*NOT\* DK \*AND\* \*NOT\* RF)  
 SKIP to:(4843)

N4a. Was it more than \$50,000? (4840)

YES.....	1
NO.....	5 -Skip-(4842)
DK.....	8
RF.....	9

if:(4840)(DK \*OR\* RF)  
 SKIP to:(4843)

N4b. Was it more than \$200,000? (4841)

YES.....	1 -Skip-(4843)
NO.....	5 -Skip-(4843)
DK.....	8 -Skip-(4843)
RF.....	9 -Skip-(4843)

N4c. Was it more than \$15,000? (4842)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N5. (Since (Wave 1 date)/in the last two years), have you sold any other home that was your primary or secondary residence? (4843)

YES.....	1
NO.....	5 -Skip-(4856)
DK.....	8
RF.....	9

N5a. In what month and year was this other home sold?  
 IF MORE THAN ONE, RECORD THE MOST RECENT SALE

MONTH: (4844)

YEAR: (4845)

N6. What was the selling price?  
DO NOT PROBE DK

(4846)

if:(4846)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4850)

N6a. Was it more than \$50,000?

(4847)

YES.....1  
NO.....5 -Skip-(4849)  
DK.....8  
RF.....9

if:(4847)(DK \*OR\* RF)  
SKIP to:(4850)

N6b. Was it more than \$200,000?

(4848)

YES.....1 -Skip-(4850)  
NO.....5 -Skip-(4850)  
DK.....8 -Skip-(4850)  
RF.....9 -Skip-(4850)

N6c. Was it more than \$15,000?

(4849)

YES.....1  
NO.....5  
DK.....8  
RF.....9

N7a. And when did you buy that home?

MONTH:

(4850)

YEAR:

(4851)

N8. What was the purchase price?  
DO NOT PROBE DK

(4852)

if:(4852)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4856)

N8a. Was it more than \$50,000?

(4853)

YES.....1  
NO.....5 -Skip-(4855)  
DK.....8  
RF.....9

if:(4853)(DK \*OR\* RF)  
SKIP to:(4856)

N8b. Was it more than \$200,000?

(4854)

YES.....1 -Skip-(4856)  
NO.....5 -Skip-(4856)  
DK.....8 -Skip-(4856)  
RF.....9 -Skip-(4856)

N8c.

Was it more than \$15,000?

(4855)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N9.

(Since (Wave 1 date)/in the last two years), did you (or your Husband/Wife/Partner) make any major additions or home improvements to a primary residence (or second home) that you owned?

DO NOT COUNT GENERAL MAINTENANCE OR UPKEEP.

(4856)

YES.....	1
NO.....	5 -Skip-(4861)
DK.....	8
RF.....	9

N10.

What was the dollar cost of these additions and improvements, plus the value of any work you may have done yourself?

DO NOT PROBE DK

(4857)

if:(4857)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4861)

N10a.

Was it more than \$10,000?

(4858)

YES.....	1
NO.....	5 -Skip-(4860)
DK.....	8
RF.....	9

if:(4858)(DK \*OR\* RF)  
SKIP to:(4861)

N10b.

Was it more than \$50,000?

(4859)

YES.....	1 -Skip-(4861)
NO.....	5 -Skip-(4861)
DK.....	8 -Skip-(4861)
RF.....	9 -Skip-(4861)

N10c.

Was it more than \$2,000?

(4860)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

if: WAVE 1 REAL ESTATE (0)  
SKIP to:(4882)

if:WAVE 1 REAL ESTATE (\*NOT\* 1 \*TO\* 3)  
SKIP to:(4882)

N11.

(Since (Wave 1 date)/in the last two years), have you (or your Husband/Wife/Partner) sold any real estate other than your principle residence or second home, such as land, or rental or investment property?

(4861)

YES.....	1 -Skip-(4867)
NO.....	5
DK.....	8
RF.....	9

N11a. Have you bought any such real estate properties  
(since (Wave 1 date)/in the last two years)?

(4862)

YES.....	1	
NO.....	5	-Skip-(4877)
DK.....	8	
RF.....	9	

N12. About how much in total did you pay for this real estate?

DO NOT PROBE DK

(4863)

if:(4863)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4877)

N12a. Was it more than \$200,000?

(4864)

YES.....	1	
NO.....	5	-Skip-(4866)
DK.....	8	
RF.....	9	

if:(4864)(DK \*OR\* RF)  
SKIP to:(4877)

N12b. Was it more than \$500,000?

(4865)

YES.....	1	-Skip-(4877)
NO.....	5	-Skip-(4877)
DK.....	8	-Skip-(4877)
RF.....	9	-Skip-(4877)

N12c. Was it more than \$50,000?

(4866)

YES.....	1	
NO.....	5	
DK.....	8	
RF.....	9	

N13. Did you also buy any real estate of this sort  
(since (Wave 1 date)/in the last two years)?

(4867)

YES.....	1	-Skip-(4872)
NO.....	5	
DK.....	8	
RF.....	9	

N14. About how much in total was the dollar value of the real estate  
you sold (since (Wave 1 date)/in the last two years)?

DO NOT PROBE DK

(4868)

if:(4868)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4877)

N14a. Was it more than \$200,000?

(4869)

YES.....	1	
NO.....	5	-Skip-(4871)
DK.....	8	
RF.....	9	

if:(4869)(DK \*OR\* RF)  
SKIP to:(4877)

N14b. Was it more than \$500,000?

(4870)  
YES.....1 -Skip-(4877)  
NO.....5 -Skip-(4877)  
DK.....8 -Skip-(4877)  
RF.....9 -Skip-(4877)

N14c. Was it more than \$50,000?

(4871)  
YES.....1 -Skip-(4877)  
NO.....5 -Skip-(4877)  
DK.....8 -Skip-(4877)  
RF.....9 -Skip-(4877)

N15. Overall, was the dollar cost of the real estate you bought greater than the dollar value of what you sold (minus commissions), less than the value of what you sold, about equal, or what?

PLEASE PROBE IF DK

(4872)  
BOUGHT MORE THAN SOLD.....1  
BOUGHT LESS THAN SOLD.....2  
BOUGHT AND SOLD ABOUT EQUAL AMOUNTS.....3 -Skip-(4877)  
DK.....8  
RF.....9

N16. Roughly, about how much (more/less) did you buy than you sold?

DO NOT PROBE DK

(4873)

if:(4873)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4877)

N16a. Was it more than \$200,000?

(4874)  
YES.....1  
NO.....5 -Skip-(4876)  
DK.....8  
RF.....9

if:(4874)(DK \*OR\* RF)  
SKIP to:(4877)

N16b. Was it more than \$500,000?

(4875)  
YES.....1 -Skip-(4877)  
NO.....5 -Skip-(4877)  
DK.....8 -Skip-(4877)  
RF.....9 -Skip-(4877)

N16c. Was it more than \$50,000?

(4876)  
YES.....1  
NO.....5  
DK.....8  
RF.....9

N17.

(Since (Wave 1 date)/in the last two years), did you (or your Husband/Wife/Partner) make any major additions or home improvements to any real estate properties that you owned, other than your principle residence or second home?

DO NOT COUNT GENERAL MAINTENANCE OR UPKEEP.

	(4877)
YES.....	1
NO.....	5 -Skip-(4882)
DK.....	8
RF.....	9

N18.

What was the dollar cost of these additions and improvements, plus the value of any work you may have done yourself?

DO NOT PROBE DK

(4878)

if:(4878)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4882)

N18a.

Was it more than \$10,000?

	(4879)
YES.....	1
NO.....	5 -Skip-(4881)
DK.....	8
RF.....	9

if:(4879)(DK \*OR\* RF)  
SKIP to:(4882)

N18b.

Was it more than \$50,000?

	(4880)
YES.....	1 -Skip-(4882)
NO.....	5 -Skip-(4882)
DK.....	8 -Skip-(4882)
RF.....	9 -Skip-(4882)

N18c.

Was it more than \$2,000?

	(4881)
YES.....	1
NO.....	5
DK.....	8
RF.....	9

if:(171)( \*NOT\* 1 \*TO\* 3) \*AND\* (4005)( \*NOT\* 1)  
SKIP to:(4894)

N19.

(Since (Wave 1 date)/in the last two years), have you (or your Husband/Wife/Partner) put personal funds into a privately held business, professional practice, partnership or farm that you own or share ownership in?

	(4882)
YES.....	1
NO.....	5 -Skip-(4887)
DK.....	8
RF.....	9

N20.

Altogether, about how much new money did you (or your Husband/Wife/Partner) put into that, including any funds that you borrowed personally?

DO NOT PROBE DK

(4883)

if:(4883)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4887)

N20a. Was it more than \$50,000?

(4884)

YES.....1

NO.....5 -Skip-(4886)

DK.....8

RF.....9

if:(4884)(DK \*OR\* RF)  
 SKIP to:(4887)

N20b. Was it more than \$500,000?

(4885)

YES.....1 -Skip-(4887)

NO.....5 -Skip-(4887)

DK.....8 -Skip-(4887)

RF.....9 -Skip-(4887)

N20c. Was it more than \$5,000?

(4886)

YES.....1

NO.....5

DK.....8

RF.....9

N21. (Since (Wave 1 date)/in the last two years), have you (or your Husband/Wife/Partner) sold part or all of any interest in a privately held business, professional practice, partnership or farm?

(4887)

YES.....1

NO.....5 -Skip-(4894)

DK.....8

RF.....9

N22. Altogether, about how much did you (or your Husband/Wife/Partner) sell your interest for? (What was the selling price?)

WE WANT THE PRICE THE BUYER PAID.

DO NOT PROBE DK

(4888)

if:(4888)( \*NOT\* DK \*AND\* \*NOT\* RF)  
 SKIP to:(4892)

N22a. Was it more than \$100,000?

(4889)

YES.....1

NO.....5 -Skip-(4891)

DK.....8

RF.....9

if:(4889)(DK \*OR\* RF)  
 SKIP to:(4892)

N22b. Was it more than \$1,000,000?

(4890)

YES.....1 -Skip-(4892)

NO.....5 -Skip-(4892)

DK.....8 -Skip-(4892)

RF.....9 -Skip-(4892)

N22c. Was it more than \$10,000?

(4891)

YES.....1

NO.....5

DK.....8



N23a.

In what month and year did you sell that?

MOST RECENT SALE, IF MORE THAN ONE.

MONTH:

(4892)

YEAR:

(4893)

if:(173)( \*NOT\* 1) \*AND\* (175)( \*NOT\* 1) \*AND\* (4338)( \*NOT\* 1) \*AND\* (4463)( \*NOT\* 1)
SKIP to:(4910)

N24.

Not counting any IRAs, Keoghs or pension accounts,
(since (Wave 1 date)/in the last two years), have you (or your Husband/Wife/Partner)
bought any stock, or put money into stock mutual funds, including
any automatic re-investment?

(4894)

YES.....1
NO.....5 -Skip-(4905)
DK.....8
RF.....9

N24a.

Did you (or your Husband/Wife/Partner) also sell or cash in any
such assets?

(4895)

YES.....1
NO.....5 -Skip-(4901)
DK.....8
RF.....9

N24b.

Did you buy more or sell more -- that is, overall, did you put
new money into stocks, stock mutual funds, managed investment
accounts or trusts, take money out of them, or put in about as
much as you took out?

PLEASE PROBE IF DK.

(4896)

PUT MONEY IN.....1
TAKE MONEY OUT.....2
PUT IN ABOUT AS MUCH AS TOOK
OUT.....3 -Skip-(4910)
DK.....8
RF.....9

N25.

About how much more did you

~IF 4896(1)
put in than you took out?
~ELSE 4896(2)
take out than you put in?

DO NOT PROBE DK

(4897)

if:(4897)( \*NOT\* DK \*AND\* \*NOT\* RF)
SKIP to:(4910)

N25a.

Was it more than \$10,000?

(4898)

YES.....1
NO.....5 -Skip-(4900)
DK.....8
RF.....9

if:(4898)(DK \*OR\* RF)
SKIP to:(4910)

N25b.

Was it more than \$50,000?

	(4899)
YES.....	1 -Skip-(4910)
NO.....	5 -Skip-(4910)
DK.....	8 -Skip-(4910)
RF.....	9 -Skip-(4910)

N25c.

Was it more than \$2,500?

	(4900)
YES.....	1
NO.....	5
DK.....	8
RF.....	9

if:(4897)(ANSWERED )  
SKIP to:(4910)

N26.

Altogether, how much money (including any automatic reinvestment)  
did you (or your Husband/Wife/Partner) put into that?

DO NOT PROBE DK

(4901)

if:(4901)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4910)

N26a.

Was it more than \$25,000?

	(4902)
YES.....	1
NO.....	5 -Skip-(4904)
DK.....	8
RF.....	9

if:(4902)(DK \*OR\* RF)  
SKIP to:(4910)

N26b.

Was it more than \$100,000?

	(4903)
YES.....	1 -Skip-(4910)
NO.....	5 -Skip-(4910)
DK.....	8 -Skip-(4910)
RF.....	9 -Skip-(4910)

N26c.

Was it more than \$5,000?

	(4904)
YES.....	1
NO.....	5
DK.....	8
RF.....	9

if:(4901)(ANSWERED )  
SKIP to:(4910)

N27.

(Since (Wave 1 date)/in the last two years), have you (or your Husband/Wife/Partner)  
sold any shares of stock in publicly held corporations or taken  
money out of any mutual funds, managed investment  
accounts or trusts?

	(4905)
YES.....	1
NO.....	5 -Skip-(4910)
DK.....	8
RF.....	9

N28.

Altogether, how much money did you (or your Husband/Wife/Partner)  
get from that?

DO NOT PROBE DK

if:(4906)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4910)

N28a. Was it more than \$25,000?

(4907)  
YES.....1  
NO.....5 -Skip-(4909)  
DK.....8  
RF.....9

if:(4907)(DK \*OR\* RF)  
SKIP to:(4910)

N28b. Was it more than \$100,000?

(4908)  
YES.....1 -Skip-(4910)  
NO.....5 -Skip-(4910)  
DK.....8 -Skip-(4910)  
RF.....9 -Skip-(4910)

N28c. Was it more than \$5,000?

(4909)  
YES.....1  
NO.....5  
DK.....8  
RF.....9

if:(179)( \*NOT\* 1) \*OR\* (2246)(ANSWERED ) \*OR\* (2435)(ANSWERED )  
SKIP to:(4954)

if:(4830)(2 \*OR\* 3 \*OR\* 7 \*OR\* DK \*OR\* RF)  
SKIP to:(4917)

N28d. Our records show that you owned a home in (Wave 1 date)/the last two years) that you do not now own and did not sell. What happened to that home -- did you give it to someone, are our records incorrect, or what?

(4912)  
GAVE TO SOMEONE.....1  
RECORDS INCORRECT.....2  
OTHER.....7  
DK.....8  
RF.....9

if:(4912)( \*NOT\* 1)  
SKIP to:(4917)

N28f. Who did you give the house to, (that is what is their relationship to you)?

CHOOSE ALL THAT APPLY

(4914)  
CHILD/CHILD-IN-LAW/GRANDCHILD.....2,  
OTHER RELATIVE.....3, -Skip-(4917)  
SOMEONE ELSE.....4, -Skip-(4917)  
DK.....8, -Skip-(4917)  
RF.....9, -Skip-(4917)

if: 2ND HOUSEHOLD  
SKIP to:(4916)

N28g. (Which child is that?)

CHOOSE ALL THAT APPLY

IF GRANDCHILD: Which child of yours (or your Husband/Wife/Partner) is the parent of that grandchild?



if:(4830)( \*NOT\* 2 \*AND\* \*NOT\* 3)  
SKIP to:(4954)

N28e.

Our records show that you owned a home in (Wave 1 date)/(the last two years) that you have since sold. What did you decide to do with the proceeds from selling the house -- did you invest the money, did you give it to someone, are our records incorrect, or what?

(4917)  
INVEST THE MONEY.....1  
GAVE TO SOMEONE.....2  
RECORDS INCORRECT.....3  
OTHER.....7  
DK.....8  
RF.....9

N29 TIMESTAMP (4954)

if:(520)(0) \*OR\* (375)( \*NOT\* 7)  
SKIP to:(4973)

N29.

Sometimes changes in a family's savings or assets are due to people joining or leaving the family. Was there anyone living with you in (Wave 1 date)/(the last two years) who doesn't live with you now and who took \$5,000 or more in assets or debts away with them?

(4955)  
YES, ASSETS.....1  
YES, DEBTS.....2 -Skip-(4960)  
BOTH.....3  
NO.....5 -Skip-(4964)  
DK.....8 -Skip-(4960)  
RF.....9 -Skip-(4960)

N30.

Altogether, what is the total dollar value of assets that were removed that way?

DO NOT PROBE DK

(4956)

if:(4956)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(4960)

N30a.

Was it more than \$25,000?

(4957)  
YES.....1  
NO.....5 -Skip-(4959)  
DK.....8  
RF.....9

if:(4957)(DK \*OR\* RF)  
SKIP to:(4960)

N30b.

Was it more than \$100,000?

(4958)  
YES.....1 -Skip-(4960)  
NO.....5 -Skip-(4960)  
DK.....8 -Skip-(4960)  
RF.....9 -Skip-(4960)

N30c.

Was it more than \$10,000?

(4959)  
YES.....1  
NO.....5  
DK.....8  
RF.....9

if:(4955)( \*NOT\* 2 \*AND\* \*NOT\* 3)  
SKIP to:(4964)

N31. Altogether, what is the total dollar value of debts that were removed that way?  
 DO NOT PROBE DK  
 (4960)

if:(4960)( \*NOT\* DK \*AND\* \*NOT\* RF)  
 SKIP to:(4964)

N31a. Was it more than \$10,000?  
 (4961)  
 YES.....1  
 NO.....5 -Skip-(4963)  
 DK.....8  
 RF.....9

if:(4961)(DK \*OR\* RF)  
 SKIP to:(4964)

N31b. Was it more than \$25,000?  
 (4962)  
 YES.....1 -Skip-(4964)  
 NO.....5 -Skip-(4964)  
 DK.....8 -Skip-(4964)  
 RF.....9 -Skip-(4964)

N31c. Was it more than \$5,000?  
 (4963)  
 YES.....1  
 NO.....5  
 DK.....8  
 RF.....9

N32. Is there anyone in your family living with you now who has joined the family (since (Wave 1 date)/in the last two years) and who had \$5,000 or more in assets or debts at the time they joined the family?  
 (4964)  
 YES, ASSETS.....1  
 YES, DEBTS.....2 -Skip-(4969)  
 BOTH.....3  
 NO.....5 -Skip-(4973)  
 DK.....8 -Skip-(4969)  
 RF.....9 -Skip-(4969)

N33. Altogether, what was the total dollar value of assets that were brought into the family in that way?  
 DO NOT PROBE DK  
 (4965)  
 if:(4965)( \*NOT\* DK \*AND\* \*NOT\* RF)  
 SKIP to:(4969)

N33a. Was it more than \$25,000?  
 (4966)  
 YES.....1  
 NO.....5 -Skip-(4968)  
 DK.....8  
 RF.....9

if:(4966)(DK \*OR\* RF)  
 SKIP to:(4969)

N33b. Was it more than \$100,000?  
 (4967)  
 YES.....1 -Skip-(4969)  
 NO.....5 -Skip-(4969)  
 DK.....8 -Skip-(4969)

N33c. RF.....9 -Skip-(4969)  
 Was it more than \$10,000?  
 (4968)  
 YES.....1  
 NO.....5  
 DK.....8  
 RF.....9

if:(4964)( \*NOT\* 2 \*AND\* \*NOT\* 3)  
 SKIP to:(4973)

N34. Altogether, what was the total dollar value of debts that were  
 brought into the family in that way?  
 DO NOT PROBE DK

(4969)

if:(4969)( \*NOT\* DK \*AND\* \*NOT\* RF)  
 SKIP to:(4973)

N34a. Was it more than \$10,000?

(4970)  
 YES.....1  
 NO.....5 -Skip-(4972)  
 DK.....8  
 RF.....9

if:(4970)(DK \*OR\* RF)  
 SKIP to:(4973)

N34b. Was it more than \$25,000?

(4971)  
 YES.....1 -Skip-(4973)  
 NO.....5 -Skip-(4973)  
 DK.....8 -Skip-(4973)  
 RF.....9 -Skip-(4973)

N34c. Was it more than \$5,000?

(4972)  
 YES.....1  
 NO.....5  
 DK.....8  
 RF.....9

N END TIMESTAMP (4973)

NASSIST

IWER:  
 HOW OFTEN DID R RECEIVE ASSISTANCE WITH ANSWERS  
 IN SECTION N - CAPITAL GAINS?

(4982)  
 INAPs.....0  
 NEVER.....1  
 A FEW TIMES.....2  
 MOST OR ALL OF THE TIME.....3

N50 TIME STAMP. (4983)

N50 TIME STAMP (4994)

SKIP to:(4999)

Version 4: if:(378)( \*NOT\* 5)\*OR\* (R MARITAL STATUS) (\*NOT\* 4 \*TO\* 5)  
 changed SKIP to:(5124)  
 to

Version 5: if:(R MARITAL STATUS )(\*NOT\* 4 \*TO\* 5)\*OR\* (204)(\*NOT 1/2) \*AND\* (746) (\*NOT 1/2)  
 SKIP to:(5124)

N50.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
 Financial situations often change with the death of a  
 (Husband/Wife/Partner). Thinking back to the time surrounding your  
 (Husband/Wife/Partner)'s death, did any income from Social Security  
 start, stop or change because of (his/her) death.  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
 Financial situations often change with divorce. Thinking  
 back to your divorce, did any income from Social Security  
 start, stop, or change because of it?

(5004)

START.....1  
 STOP.....2 -Skip-(5008)  
 CHANGE.....3 -Skip-(5011)  
 NO.....5 -Skip-(5016)  
 DK.....8  
 RF.....9

N50a.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
 What was the usual amount after your (Husband/Wife/Partner)'s  
 death?  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
 What was the usual amount after the divorce?

(5005)

PER

(5006)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

Version 4:           if:(5106)(DK \*OR\* RF)  
 Jump changed    SKIP to:(5016)  
 to  
 Version 5:           if:(5004)(1)  
 SKIP to:(5016)

N50b.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
 What was the usual amount before your (Husband/Wife/Partner)'s  
 death?  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
 What was the usual amount before the divorce?

(5008)

PER

(5009)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

if:(5004)( \*NOT\* 3)  
 SKIP to:(5023)



N50c.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount before your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount before the divorce?

PER (5011)  
(5012)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N50d.

What was it after? (5013)

PER (5014)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N51 TIME STAMP (5016)

N51.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
Did any income from Supplemental Security Income start, stop, or change because of (his/her) death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
Did any income from Supplemental Security Income start, stop, or change because of the divorce?

(5023)  
START.....1  
STOP.....2 -Skip-(5027)  
CHANGE.....3 -Skip-(5030)  
NO.....5 -Skip-(5035)  
DK.....8  
RF.....9

N51a.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount after your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount after the divorce?

PER (5024)  
(5025)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

Version 4: if:(5024)(DK \*OR\* RF)  
Jump changed SKIP to:(5035)  
to  
Version 5: if:(5023)(1)  
SKIP to:(5035)

N51b.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount before your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount before the divorce?

(5027)  
PER  
(5028)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

if:(5023)( \*NOT\* 3)  
SKIP to:(5042)

N51c.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount before your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount before the divorce?

(5030)  
PER  
(5031)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N51d.

What was it after?

(5032)  
PER  
(5033)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N52 TIME STAMP (5035)

N52.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
Did any income from Veterans benefits start, stop, or change because of (his/her) death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
Did any income from Veterans benefits start, stop, or change because of the divorce?

(5042)  
START.....1  
STOP.....2 -Skip-(5046)  
CHANGE.....3 -Skip-(5049)  
NO.....5 -Skip-(5054)  
DK.....8  
RF.....9

N52a.  
 ~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
     What was the usual amount after your (Husband/Wife/Partner)'s  
     death?  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
     What was the usual about after the divorce?

(5043)

PER (5044)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

Version 4:           if:(5043)(DK \*OR\* RF)  
 Jump changed    SKIP to:(5054)  
                   to  
 Version 5:           if:(5042)(1)  
                   SKIP to:(5054)

N52b.  
 ~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
     What was the usual amount before your (Husband/Wife/Partner)'s  
     death?  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
     What was the usual amount before the divorce?

(5046)

PER (5047)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

                  if:(5042)( \*NOT\* 3)  
 SKIP to:(5056)

N52c.  
 ~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
     What was the usual amount before your (Husband/Wife/Partner)'s  
     death?  
 ~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
     What was the usual amount before the divorce?

(5049)

PER (5050)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

N52d.           What was it after?

(5051)

PER (5052)

WEEK.....02  
 EVERY TWO WEEKS/BI-WEEKLY.....03  
 MONTH.....04  
 YEAR.....06  
 LUMP SUM.....95  
 OTHER (SPECIFY).....97  
 DK.....98  
 RF.....99

N53 TIME STAMP (5055)

N53.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
Did any income from other retirement pensions or annuities  
start, stop, or change because of (his/her) death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
Did any income from other retirement pensions or annuities  
start, stop, or change because of the divorce?

(5056)  
START.....1  
STOP.....2 -Skip-(5060)  
CHANGE.....3 -Skip-(5063)  
NO.....5 -Skip-(5068)  
DK.....8  
RF.....9

N53a.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount after your (Husband/Wife/Partner)'s  
death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount after the divorce?

(5057)  
PER (5058)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

Version 4: if:(5057)(DK \*OR\* RF)  
Jump changed SKIP to:(5068)  
to  
Version 5: if:(5056)(1)  
SKIP to:(5068)

N53b.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount before your (Husband/Wife/Partner)'s  
death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount before the divorce?

(5060)  
PER (5061)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

Version 4: if:(5060)(DK \*OR\* RF)  
Jump changed SKIP to:(5068)  
to  
Version 5: if:(5056)(\*NOT\* 3)  
SKIP to:(5068)

N53c.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount before your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount before the divorce?

PER (5063)  
(5064)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N63d.

What was it after?

PER (5065)  
(5066)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N54 TIME STAMP (5069)

N54.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
Did your earnings from work or your work hours start, stop, or change after (he/she) died?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
Did your earnings from work or your work hours start, stop or change after the divorce?

(5070)  
START.....1  
STOP.....2 -Skip-(5075)  
CHANGE.....3 -Skip-(5079)  
NO.....5 -Skip-(5087)  
DK.....8  
RF.....9

N54a.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
What was the usual amount of your earnings after your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
What was the usual amount of your earnings after the divorce?

PER (5071)  
(5072)  
WEEK.....02  
EVERY TWO WEEKS/BI-WEEKLY.....03  
MONTH.....04  
YEAR.....06  
LUMP SUM.....95  
OTHER (SPECIFY).....97  
DK.....98  
RF.....99

N72.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
And how many hours per week did you usually work after your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
And how many hours per week did you usually work after the divorce?

(5073)

HOURS

Version 4: if:(ANSWERED)
Jump changed SKIP to:(5087)
to
Version 5: if:(5070)(1)
SKIP to:(5087)

N54b.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED
What was the usual amount of your earnings before your
(Husband/Wife/Partner)'s death?
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED
What was the usual amount of your earnings before the
divorce?

PER (5075)
(5076)
WEEK.....02
EVERY TWO WEEKS/BI-WEEKLY.....03
MONTH.....04
YEAR.....06
LUMP SUM.....95
OTHER (SPECIFY).....97
DK.....98
RF.....99

N54c.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED
And how many hours per week did you usually work before
your (Husband/Wife/Partner)'s death?
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED
And how many hours per week did you usually work
before the divorce?

HOURS (5077)

Version 4: if:(5056)( \*NOT\* 3)
Jump changed SKIP to:(5088)
to
Version 5: if:(5070)( \*NOT\* 3)
SKIP to:(5088)

N54d.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED
What was the usual amount of your earnings before your
(Husband/Wife/Partner)'s death?
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED
What was the usual amount of your earnings before
the divorce?

PER (5079)
(5080)
WEEK.....02
EVERY TWO WEEKS/BI-WEEKLY.....03
MONTH.....04
YEAR.....06
LUMP SUM.....95
OTHER (SPECIFY).....97
DK.....98
RF.....99

N54e.

What was it after?

PER (5081)
(5082)
WEEK.....02
EVERY TWO WEEKS/BI-WEEKLY.....03
MONTH.....04
YEAR.....06
LUMP SUM.....95
OTHER (SPECIFY).....97
DK.....98
RF.....99

N55.

~IF R MARITAL STATUS WIDOWED OR POST WAVE 1 WIDOWED  
How many hours per week did you usually work before  
your (Husband/Wife/Partner)'s death?  
~ELSE R MARITAL STATUS DIVORCED OR POST WAVE 1 DIVORCED  
How many hours per week did you usually work before  
the divorce?

(5085)

N55a.

And how many after?

(5086)

N56 TIME STAMP

(5087)

N56.

~IF 674 (5) \*OR\* 746 (2)

Did the type, cost, or coverage of your health  
insurance change when (Husband/Wife/Partner) died?

~ELSE

Did the type, cost, or coverage of your health  
insurance change from the divorce?

(5088)

YES.....1  
NO.....5 -Skip-(5090)  
DK.....8  
RF.....9

N56a.

What changed about your health insurance?

CHOOSE ALL THAT APPLY

(5089)

COST BECAME HIGHER.....01,  
COST BECAME LOWER.....02,  
FEWER SERVICES COVERED.....03,  
MORE SERVICES COVERED.....04,  
LESS CHOICE OF PHYSICIANS.....05,  
MORE CHOICE OF PHYSICIANS.....06,  
MORE CONVENIENT.....07,  
LOST PLAN.....08,  
OTHER.....97,  
DK.....98,  
RF.....99,

N57.

Did you receive a life insurance settlement (including any  
that you may have already mentioned)?

(5091)

YES.....1  
NO.....5 -Skip-(5093)  
DK.....8  
RF.....9

N57a.

How much did it amount to?

(5092)

N58.

Did you receive a lump-sum pension settlement?

(5093)

YES.....1  
NO.....5 -Skip-(5095)  
DK.....8  
RF.....9

N58a.

How much did it amount to?

(5094)

SKIP to:(5107)

N59.

~IF 218(N1)

I have a few questions about the circumstances of (his/her) death.

Did (he/she) have an illness lasting three months or more during the last year (he/she) was alive?

~ELSE

Did your (Husband/Wife/Partner) have an illness lasting three months or more during the last year (he/she) was alive?

(5095)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N60.

~IF TYPE OF INTERVIEW (NOT SELF)

Did (he/she) ever live in a nursing home?

~ELSE

Did (he/she) ever live in a nursing home?

(5096)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N61.

Not counting what was covered by Medicare or other insurance,

~IF TYPE OF INTERVIEW (NOT SELF)

all in all, about how much were (his/her) health care expenses during the last year of (his/her) life? I mean all

~ELSE

all in all, about how much were your (Husband/Wife/Partner)'s health care expenses during the last year of (his/her) life? I mean all

expenses for doctors, hospitals, drugs, nursing homes, or home care.

DO NOT PROBE DK

ALT-O NOT SETTLED YET

AMOUNT:

(5097)

if:(5097)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(5102)

N61a.

Was it more than \$5,000?

(5098)

YES.....	1
NO.....	5 -Skip-(5101)
DK.....	8
RF.....	9

if:(5098)(DK \*OR\* RF)  
SKIP to:(5102)

N61b.

Was it more than \$25,000?

(5099)

YES.....	1
NO.....	5 -Skip-(5102)
DK.....	8
RF.....	9

N61c.

Was it more than \$100,000?

(5100)

YES.....	1 -Skip-(5102)
NO.....	5 -Skip-(5102)
DK.....	8 -Skip-(5102)
RF.....	9 -Skip-(5102)



N61d.

Was it more than \$1,000?

	(5101)
YES.....	1
NO.....	5
DK.....	8
RF.....	9

N62.

~IF TYPE OF INTERVIEW (NOT SELF)

Not counting what was covered by insurance or (his/her) estate, what were the expenses associated with the death, that

~ELSE

Not counting what was covered by insurance or your (Husband/Wife/Partner)'s estate, what were your expenses associated with the death, that

is, for funeral expenses, legal fees, etc.?

DO NOT PROBE DK

ALT-O NOT SETTLED YET

AMOUNT:

(5102)

if:(5102)( \*NOT\* DK \*AND\* \*NOT\* RF)  
SKIP to:(5107)

N62a.

Was it more than \$2,000?

	(5103)
YES.....	1
NO.....	5 -Skip-(5106)
DK.....	8
RF.....	9

if:(5103)(DK \*OR\* RF)  
SKIP to:(5107)

N62b.

Was it more than \$75,000?

	(5104)
YES.....	1
NO.....	5 -Skip-(5107)
DK.....	8
RF.....	9

N62c.

Was it more than \$200,000?

	(5105)
YES.....	1 -Skip-(5107)
NO.....	5 -Skip-(5107)
DK.....	8 -Skip-(5107)
RF.....	9 -Skip-(5107)

N62d.

Was it more than \$1,000?

	(5106)
YES.....	1
NO.....	5
DK.....	8
RF.....	9

N63.

To pay the expenses associated with (his/her) illness and death, did you have to sell assets, withdraw money that normally would not be touched, get help from a relative, or do anything else special to find the money?

CHECK ALL THAT APPLY

	(5107)(A1-A3)
YES, SELL ASSETS.....	1, -Skip-(5118)
YES, WITHDRAW MONEY.....	2, -Skip-(5118)
YES, GOT HELP FROM A RELATIVE.....	3,
DID NOT DO ANYTHING SPECIAL.....	5, -Skip-(5118)
YES, OTHER (SPECIFY).....	7, -Skip-(5118)
DK.....	8, -Skip-(5118)

RF.....9, -Skip-(5118)

N63a.

Was that (a child or other) relative of yours  
(or your Husband/Wife/Partner), or was it someone else who helped?

(5108)

CHILD/CHILD-IN-LAW/GRANDCHILD.....	1
OTHER RELATIVE.....	2 -Skip-(5118)
SOMEONE ELSE.....	3 -Skip-(5118)
INSTITUTION OR CHARITY.....	7 -Skip-(5118)
DK.....	8 -Skip-(5118)
RF.....	9 -Skip-(5118)

if: 2ND HOUSEHOLD  
SKIP to:(5110)

N63b.

(Which child is that?)  
IF GRANDCHILD:

Which child of yours (or your Husband/Wife/Partner) is  
the parent of that grandchild?

(5109)(A1-A3)

SKIP to:(5120)

~IF TYPE OF INTERVIEW (NOT SELF)

N63c. In which state or country did (he/she) die?

~ELSE

N63c. In which state or country did your (Husband/Wife/Partner) die?

(5118)

N63d.

~IF TYPE OF INTERVIEW (NOT SELF)

What was the cause of (his/she) death?

~ELSE

What was the cause of (his/her) death?

(5119)

if: TYPE OF INTERVIEW ( \*NOT\* SELF)  
SKIP to:(5121)

if:R MARITAL STATUS WIDOWED \*OR\* POST WAVE 1 WIDOWED  
SKIP to:(5121)

N64.

Because of the divorce, do you expect to receive less in the  
way of life insurance benefits if your former (Husband/Wife/Partner) dies?

(5120)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

N65.

Did you lose any part of your claim on your  
(former/late) (Husband/Wife/Partner)'s pension?

(5121)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

if:(5121)(5 \*OR\* DK \*OR\* RF) \*OR\* R MARITAL STATUS (WIDOWED) \*OR\* (746)(2)  
SKIP to:(5123)

N66.

Did you receive any money or property from your  
(former/late) (Husband/Wife/Partner) to compensate for the  
loss of your claim on (his/her) pension?

(5122)

YES.....	1
NO.....	5
DK.....	8
RF.....	9

if:R MARITAL STATUS WIDOWED \*OR\* POST WAVE 1 WIDOWED  
SKIP to:(5124)

N67.

All in all, what were your expenses associated with  
the divorce, that is, legal fees and costs?

(5123)

