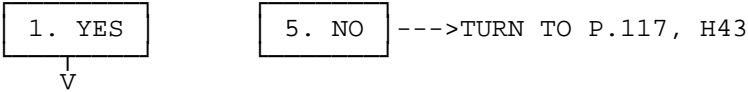


PROXY (PRIMARY/SECONDARY) 1

SECTION H: JOB HISTORY

H1. Besides (your current job/the job you just told me about), have you worked for any other employer for at least 5 years or more, including self-employment?

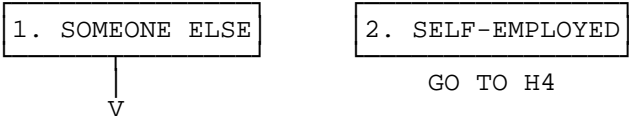


H2. For how many such employers have you worked?



I want to ask about the most recent such employer.
 ↓

H3. (Were you working for someone else, were you self-employed, or what?)
 [IF R SAYS "I RAN MY OWN BUSINESS", MARK SELF-EMPLOYED.]



H3a. For whom did you work? [What is the (company's/organization's) name?]

MARK BOX H3a AND RECORD EMPLOYER'S NAME ON THE DATA CARD, SIDE 1 ("PREVIOUS JOB")

H4. When did you start working for (that employer/yourself)?

_____ OR _____ YRS AGO OR AT AGE _____
 YEAR

H5. What kind of business or industry did you work in -- that is, what did they make or do at the place where you worked?

H5a. What was the official title of your job? (The title that your employer used?)

H6. What sort of work did you do on that job? (Tell me a little more about what you did.)

H7. When did you (leave that employer/stop working for yourself)?

_____ OR _____ YRS AGO OR AT AGE _____
YEAR

H8. During your last full year at that job, how many hours a week did you usually work?

_____ HOURS/WEEK

H9. [READ SLOWLY:] Counting paid vacations as weeks of work, how many weeks did you work that year?

_____ # WEEKS

H10. How much were you earning, before taxes and other deductions, that year? (Is that per hour, week, month, or year?)

\$_____ PER _____

H11. Why did you leave? (Did the business close, were you laid off or let go, did you find a better job, did you leave to take care of family members, or what?) [DO NOT PROBE BUT CHECK ALL THAT APPLY.]

A. BUSINESS
CLOSED

B. LAID OFF/
LET GO

C. POOR HEALTH/
DISABLED

D. FAMILY
CARE

E. BETTER
JOB

F. QUIT

G. RETIRED

H. OTHER (SPECIFY):

H12. Were you included in a pension or retirement plan, or in any tax-deferred savings plan, when you worked for (that employer/yourself)?

1. YES

5. NO --->TURN TO P.109, H22

H13. (RB, TOP OF P.8) I would like to know what type of plan this was. In some retirement plans, Type A, benefits are usually based on a formula involving age, years of service, and salary. In other plans, Type B, money is accumulated in an account for you. Was your plan Type A or Type B?

1. TYPE A

2. TYPE B

3. BOTH TYPES

8. DON'T KNOW

NEXT PAGE, H14

TURN TO P.108,
H18

NEXT PAGE, H14

↓

H13a. How much money was in your account when you left that employer?

\$_____

DON'T KNOW

H14. Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, or what?
 [DO NOT PROBE BUT CHECK ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS. IF MORE THAN 1 BOX IS CHECKED, FOLLOW THE SKIPS FOR THE FIRST BOX MARKED.]

A. EXPECT FUTURE BENEFITS → NEXT PAGE, H15

B. RECEIVING BENEFITS NOW →

H14a. In what month and year did you start to receive these benefits?

 MONTH/YEAR

H14b. How much are the benefits per month or year?
 \$_____ PER _____

H14c. Are the benefits adjusted for changes in the cost of living?
 1. YES 5. NO
 TURN TO P. 108, H20

↓

H14d. Have they ever been adjusted for changes in the cost of living?
 1. YES 5. NO
 TURN TO P. 108, H20

C. RECEIVED CASH SETTLEMENT →

H14e. How much did that amount to?
 \$_____

H14f. What did you do with the money?
 1. SPENT IT 2. SAVED/
 INVESTED
 3. PAID OFF DEBT 4. ROLLED INTO IRA
 TURN TO P. 108, H20

D. ROLLED OVER INTO IRA →

H14g. How much did that amount to?
 \$_____

TURN TO P. 108, H20

E. LOST BENEFITS → TURN TO P. 108, H20

F. OTHER (SPECIFY:)

TURN TO P. 108, H20

H15. For how many years altogether were you included in this plan?
 [INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN.]

_____ # YEARS

H16. At what age do you expect to start receiving (the rest of your) benefits from this plan?

| | | | |
|-----------------|-----|---|---|
| _____ ↓ V | AGE | <div style="border: 1px solid black; padding: 2px; display: inline-block;">96. ALREADY RECEIVING ALL BENEFITS</div> [MAKE SURE BENEFITS ARE RECORDED ON P.106 AT H14a-d] NEXT PAGE, H20 | <div style="border: 1px solid black; padding: 2px; display: inline-block;">98. DON'T KNOW</div> ↓ V |
|-----------------|-----|---|---|

H17. About how much do you expect these benefits to be? (As a proportion of your pay when you left that job, or as an amount per month or year when you start receiving them?)

\$_____ PER _____ OR _____% OF FINAL PAY

OR \$_____ LUMP SUM

DON'T KNOW

NEXT PAGE, H20

H18. How much money was in your account when you left that employer?

\$ _____ X98. DON'T KNOW

H19. When you left that employer, did you transfer your account to a new employer, roll it over into an IRA, leave it to accumulate in your old plan, convert it to an annuity, or what? [DO NOT PROBE BUT IF MORE THAN ONE RESPONSE GIVEN, CHECK BOX 7 AND RECORD ALL DETAILS.]

1. TRANSFERRED TO NEW EMPLOYER → GO TO H20

2. ROLLED OVER INTO IRA
3. LEFT TO ACCUMULATE

H19a. How much is in your account now?
\$ _____ X98. DON'T KNOW
GO TO H20

4. CONVERT TO ANNUITY →

H19b. How old were you when that annuity started?
_____ AGE
H19c. How much are the benefits per month or year?
\$ _____ PER _____
GO TO H20

7. OTHER [PLEASE DESCRIBE FULLY:] _____



H20. What is the earliest age at which you could have left that employer and begun to receive pension benefits?

_____ AGE 98. DON'T KNOW

H21. We would like to obtain complete information about retirement benefits for people with work experience like yours. In order to do this, we need the complete address of your former employer.

MARK BOX "H21" AND RECORD EMPLOYER'S ADDRESS ON DATA CARD, SIDE 1 ("PREVIOUS JOB")

H22. INTERVIEWER CHECKPOINT

SEE P. 104, H2

1. ONE 5-YEAR-PLUS JOB—>TURN TO P. 117, H43

2 TWO OR MORE 5-YEAR-PLUS JOBS

↓

H23. Besides the jobs you have already told me about, have you worked for any other employer where you were included in a pension or retirement plan, or in a tax-deferred savings plan of some sort?

1. YES 5. NO—>TURN TO P. 117, H43

↓

H24. How many such employers have you worked for? (Besides the ones you have already told me about.)

OF "OTHER" EMPLOYERS WHERE R WAS INCLUDED IN A PENSION OR RETIREMENT PLAN

↓

| | FIRST | NEXT |
|---|-------------------------|-------------------------|
| H25. When did you start working for (that/the first such/the next such) employer? | _____ YEAR | _____ YEAR |
| H26. And when did you leave? | _____ YEAR | _____ YEAR |
| H27. How much were you earning when you left? | \$_____ PER _____ | \$_____ PER _____ |

↓

TURN TO P. 113, H36

H28. (RB, TOP OF P. 8) I would like to know what type of pension or retirement plan you had. In some plans, Type A, benefits are usually based on a formula involving age, years of service, and salary. In other plans, Type B, money is accumulated in an account for you. Was your plan Type A or Type B?

1. TYPE A 2. TYPE B 3. BOTH TYPES 8. DON'T KNOW

NEXT PAGE, H29

TURN TO P. 112, H33

NEXT PAGE, H29

↓

H28a. How much money was in your account when you left that employer?

\$_____ X98. DON'T KNOW

H29. Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, or what?

[DO NOT PROBE BUT CHECK ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS. IF MORE THAN 1 BOX IS CHECKED, FOLLOW THE SKIPS FOR THE FIRST BOX MARKED.]

A. EXPECT FUTURE BENEFITS → NEXT PAGE, H30

B. RECEIVING BENEFITS NOW →

H29a. In what month and year did you start to receive these benefits?

MONTH/YEAR

H29b. How much are the benefits per month or year?

\$_____ PER _____

H29c. Are the benefits adjusted for changes in the cost of living?

1. YES 5. NO

TURN TO P. 113, H35

↓

H29d. Have they ever been adjusted for changes in the cost of living?

1. YES 5. NO

TURN TO P. 113, H35

C. RECEIVED CASH SETTLEMENT →

H29e. How much did that amount to?

\$_____

H29f. What did you do with the money?

1. SPENT IT 2. SAVED/
INVESTED

3. PAID OFF DEBT 4. ROLLED INTO IRA

TURN TO P. 113, H35

D. ROLLED OVER INTO IRA →

H29g. How much did that amount to?

\$_____

TURN TO P. 113, H35

E. LOST BENEFITS → TURN TO P. 113, H35

F. OTHER (SPECIFY:)

H30. How many years altogether were you included in this plan?
[INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN.]

_____ # YEARS

H31. At what age do you expect to start receiving (the rest of your) benefits from this plan?

| | | | |
|-------|-----|---|----------------|
| _____ | AGE | 96. ALREADY RECEIVING ALL BENEFITS | 98. DON'T KNOW |
| | | [MAKE SURE BENEFITS ARE RECORDED ON P. 110 AT H29a-d;] TURN TO P. 113, H35 | |
| V | | | V |

H32. About how much do you expect these benefits to be? (As a proportion of your pay when you left that job, or as an amount per month or year when you start receiving them?)

\$_____ PER _____ OR _____% OF FINAL PAY

OR \$_____ LUMP SUM X98. DON'T KNOW

TURN TO P. 113, H35

H33. How much money was in your account when you left that employer?

\$ _____

DON'T KNOW

H34. When you left that employer, did you transfer your account to a new employer, roll it over into an IRA, leave it to accumulate in your old plan, convert it to an annuity, or what? [DO NOT PROBE BUT IF MORE THAN ONE RESPONSE GIVEN, CHECK BOX 7 AND RECORD ALL DETAILS.]

1. TRANSFERRED TO NEW EMPLOYER

>NEXT PAGE, H35

2. ROLLED OVER INTO IRA

3. LEFT TO ACCUMULATE

H34a. How much is in your account now?
\$ _____ X98. DON'T KNOW
NEXT PAGE, H35

4. CONVERT TO ANNUITY

H34b. How old were you when that annuity started?
_____ AGE
H34c. How much are the benefits per month or year?
\$ _____ PER _____
NEXT PAGE, H35

7. OTHER [PLEASE DESCRIBE FULLY:] _____

H35. INTERVIEWER CHECKPOINT

SEE P. 109, H24

1. ONLY ONE "OTHER" EMPLOYER—>TURN TO P. 117, H43
2. ALL OTHERS—>GO BACK TO H25, P. 109, "NEXT EMPLOYER"

H36. (RB, TOP OF P. 8) I would like to know what type of pension or retirement plan you had. (In some plans, Type A, the benefits are usually based on a formula involving age, years of service, and salary. In other plans, Type B, money is accumulated in an account for you.) Was your plan Type A or Type B?

1. TYPE A

2. TYPE B

3. BOTH TYPES

8. DON'T KNOW

NEXT PAGE, H37

TURN TO P. 116,
H41

NEXT PAGE, H37

V

H36a. How much money was in your account when you left that employer?

\$_____

DON'T KNOW

H37. Do you expect to receive benefits from this plan in the future, are you receiving benefits now, did you get a cash settlement when you left, did you lose your benefits, or what?
 [CHECK ALL THAT APPLY AND ASK ALL APPROPRIATE FOLLOW-UP QUESTIONS. IF MORE THAN 1 BOX IS CHECKED, FOLLOW THE SKIPS FOR THE FIRST BOX MARKED.]

A. EXPECT FUTURE BENEFITS

→ NEXT PAGE, H38

B. RECEIVING BENEFITS NOW

H37a. In what month and year did you start to receive these benefits?

MONTH/YEAR

H37b. How much are the benefits per month or year?

\$_____ PER _____

H37c. Are the benefits adjusted for changes in the cost of living?

1. YES 5. NO

TURN TO P. 117, H43

↓

H37d. Have they ever been adjusted for changes in the cost of living?

1. YES 5. NO

TURN TO P. 117, H43

C. RECEIVED CASH SETTLEMENT

H37e. How much did that amount to?

\$_____

H37f. What did you do with the money?

1. SPENT IT 2. SAVED/
INVESTED

3. PAID OFF DEBT 4. ROLLED INTO IRA

TURN TO P. 117, H43

D. ROLLED OVER INTO IRA

H37g. How much did that amount to?

\$_____

TURN TO P. 117, H43

E. LOST BENEFITS

→ TURN TO P. 117, H43

F. OTHER (SPECIFY:)

TURN TO P. 117, H43

H38. For how many years altogether were you included in this plan?
 [INCLUDE YEARS WITH OTHER EMPLOYERS IF SAME PLAN.]

_____ # YEARS

H39. At what age do you expect to start receiving (the rest of your) benefits from this plan?

| | | | |
|-------|-----|---|----------------|
| _____ | AGE | 96. ALREADY RECEIVING ALL BENEFITS | 98. DON'T KNOW |
| ↓ | | [MAKE SURE BENEFITS ARE RECORDED ON P. 114 AT H37a-d;] TURN TO P. 117, H43 | ↓ |

H40. About how much do you expect these benefits to be? (As a proportion of your pay when you left that job, or as an amount per month or year when you start receiving them?)

\$_____ PER _____ OR _____% OF FINAL PAY

OR \$_____ LUMP SUM DON'T KNOW

TURN TO P. 117, H43

H41. How much money was in your account when you left that employer?

\$ _____

DON'T KNOW

H42. When you left that employer, did you transfer your account to a new employer, roll it over into an IRA, leave it to accumulate in your old plan, convert it to an annuity, or what? [DO NOT PROBE BUT IF MORE THAN ONE RESPONSE GIVEN, CHECK BOX 7 AND RECORD ALL DETAILS.]

1. TRANSFERRED TO NEW EMPLOYER

NEXT PAGE, H43

2. ROLLED OVER INTO IRA

3. LEFT TO ACCUMULATE

H42a. How much is in your account now?

\$ _____

X98. DON'T KNOW

NEXT PAGE, H43

4. CONVERT TO ANNUITY

H42b. What was your age when that annuity started?

_____ AGE

H42c. How much are the benefits per month or year?

\$ _____ PER _____

NEXT PAGE, H43

7. OTHER [PLEASE DESCRIBE FULLY:] _____

H43. Employers sometimes encourage older workers to leave a firm at a particular time by offering a special financial incentive, like a cash bonus or improved pension benefits. These are often called "early retirement windows." Have you ever been offered such an early retirement window on any job?

1. YES

5. NO --->TURN TO P. 119, H53



H44. How many times has this happened?

OF TIMES

| | COLUMN A | COLUMN B |
|---|--|--|
| | FIRST EARLY-OUT WINDOW | LAST EARLY-OUT WINDOW |
| H45. When was the (first/last) special incentive offered? | _____ YEAR | _____ YEAR |
| H46. Which employer were you working for at that time? | RECORD NAME ON DATA CARD, SIDE 1, FIRST EARLY-OUT WINDOW | RECORD NAME ON DATA CARD, SIDE 1, LAST EARLY-OUT WINDOW |
| H47. Was the special incentive a cash bonus, improved pension benefits, or what? [CHECK ALL THAT APPLY.] | <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">A. CASH BONUS</div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">B. IMPROVED BENEFITS</div> <div style="border: 1px solid black; padding: 2px;">C. OTHER (SPECIFY:) _____ _____</div> | <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">A. CASH BONUS</div> <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">B. IMPROVED BENEFITS</div> <div style="border: 1px solid black; padding: 2px;">C. OTHER (SPECIFY:) _____ _____</div> |
| H48. How much did the (MENTION IN H47) amount to? | \$_____ CASH BONUS \$_____ EXTRA PENSION BENEFIT PER _____ \$_____ OTHER (DESCRIBE:) _____ | \$_____ CASH BONUS \$_____ EXTRA PENSION BENEFIT PER _____ \$_____ OTHER (DESCRIBE:) _____ |
| H49. Did you accept the incentive and leave that employer? | <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 2px;">1. YES</div> <div style="border: 1px solid black; padding: 2px;">5. NO</div> </div> NEXT PAGE, H50 NEXT PAGE, H51 | <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 2px;">1. YES</div> <div style="border: 1px solid black; padding: 2px;">5. NO</div> </div> NEXT PAGE, H50 NEXT PAGE, H51 |
| H50. Was the special incentive important in your decision, or would you probably have left at about that time anyway? | <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 2px;">1. IMPORTANT</div> <div style="border: 1px solid black; padding: 2px;">5. LEFT ANYWAY</div> </div> GO TO H52 | <div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 2px;">1. IMPORTANT</div> <div style="border: 1px solid black; padding: 2px;">5. LEFT ANYWAY</div> </div> NEXT PAGE, H53 |

COLUMN A

COLUMN B

| | FIRST EARLY-OUT WINDOW | LAST EARLY-OUT WINDOW |
|---|---|--|
| time anyway? | | |
| H51. If you had been offered twice as much (to leave) at that time, would you have done so? | <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; padding: 2px 10px;">1. YES</div> <div style="border: 1px solid black; padding: 2px 10px;">5. NO</div> </div> <div style="text-align: center; margin-top: 5px;"> ↓ V </div> | <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border: 1px solid black; padding: 2px 10px;">1. YES</div> <div style="border: 1px solid black; padding: 2px 10px;">5. NO</div> </div> <div style="text-align: center; margin-top: 5px;"> ↓ NEXT PAGE, H53 </div> |
| H52. INTERVIEWER CHECKPOINT SEE P. 117, H44 <input type="checkbox"/> ONLY ONE EARLY-OUT WINDOW--->NEXT PAGE, H53 <input type="checkbox"/> ALL OTHERS--->GO BACK TO P. 117, H45, LAST EARLY-OUT WINDOW | | |

H53. Individuals are sometimes exposed to dangerous chemicals or other hazards at work. Have you ever had to breathe any kinds of dusts, fumes, or vapors, or been exposed to organic solvents or pesticides at work?

1. YES

5. NO

8. DK



NEXT PAGE, H59

H54. Have you been exposed to these hazards for more than one year?

1. YES

5. NO →NEXT PAGE, H59



H54a. What kinds were they?

H55. In general, has your exposure to these things been occasional, or has it been a regular part of your job?

1. REGULAR

2. OCCASIONAL



NEXT PAGE, H59

H56. How old were you when you were first exposed to these things?

_____ AGE

H57. And how old were you when you were last exposed?

_____ AGE

95. CURRENT AGE; STILL EXPOSED

H58. For about how many years have you been exposed to these dusts, fumes, vapors, organic solvents, or pesticides during your entire working life?

_____ # OF YEARS

98. DON'T KNOW

120

H59. Do you think that you have been harmed by chemicals or physical hazards in any jobs that you have held?

| | |
|--------|-------|
| 1. YES | 5. NO |
|--------|-------|

→GO TO H60

↓
V

H59a. Do you believe that the harm is permanent?

| | |
|--------|-------|
| 1. YES | 5. NO |
|--------|-------|

H60. Have you ever changed jobs or refused a regular job that was otherwise good because you were concerned that it might harm your health or be unsafe?

| | |
|--------|-------|
| 1. YES | 5. NO |
|--------|-------|

H61. (Remind me again...) Have you ever been employed by a unit of a state, county, or local government?

| | |
|--------|-------|
| 1. YES | 5. NO |
|--------|-------|

→GO TO H62

↓
V

| | FIRST EMPLOYMENT PERIOD | NEXT EMPLOYMENT PERIOD |
|--|----------------------------------|----------------------------------|
| H61a. During what years were you employed by state or local governments? | FROM _____ TO _____ YEAR YEAR | FROM _____ TO _____ YEAR YEAR |

H62. Aside from military service, (remind me again,) have you ever been employed by the federal government?

| | |
|--------|-------|
| 1. YES | 5. NO |
|--------|-------|

→NEXT PAGE, SECTION J

↓
V

| | FIRST EMPLOYMENT PERIOD | NEXT EMPLOYMENT PERIOD |
|--|----------------------------------|----------------------------------|
| H62a. During what years were you employed by the federal government? | FROM _____ TO _____ YEAR YEAR | FROM _____ TO _____ YEAR YEAR |